



MEMORANDUM

To: Chair Remke, Commissioners Audero, Casher, Wasserman, and Wynne
From: Galena West, Enforcement Chief
Subject: Enforcement Division's Monthly Report
Date: September 30, 2015

During the period of September 2, 2015 through September 29, 2015, the Enforcement Division received 162 referrals and complaints as detailed in the chart below.

Type	SWORN	PROACTIVE/INFORMAL	NON-FILER
Number Received	18	41	103
Case Opened	4	7	102
Complaint Rejected	6	20	1
Under Review	8	14	0

Also during this time, the Division closed a total of 147 cases including:

- 16 warning letters,
- 1 advisory letter,
- 74 no violation letters,
- 47 as a result of the adoption of stipulations and defaults at the September Commission meeting, and
- 9 committees were administratively terminated.

The Division had 1,165 cases in various stages of resolution at the time of the September Monthly Report and currently has 1,131 cases in various stages of resolution, including the 60 cases before the Commission as listed in the October 2015 agenda.

In order to effectively manage and to provide more detailed information about the outcomes for the large number of \$50 Annual Fee referrals, they are now tracked separately in the chart below. The 2,460 referrals listed are for the 2013 calendar year and were received from the Secretary of State's office on May 1, 2015. We have requested the Secretary of State's office to provide us with the 2014 \$50 Annual Fee referral list and expect to receive it on October 16, 2015.

	\$50 ANNUAL FEE: Total Referral – 2,460
Referrals Rejected	625
Slated for Administrative Termination (Pending)	1,112
Other Terminations	262
Administrative Fine	51 (+40 proposed on October agenda)
Warning Letters	87
No Violation Letters	46
Under Review	237