



MAJOR DONOR COMMITTEES

CAMPAIGN DISCLOSURE **MANUAL 5**

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INTRODUCTION

Purpose of this Manual

The purpose of California's Political Reform Act (the "Act") is to ensure that disclosure of political payments is accurate, timely, and made in a transparent manner. Clear and accurate disclosure is key to making voters aware of who is paying for political messages so they can evaluate the content and make informed decisions at the ballot box.

In California, the true source of a contribution must be disclosed. This manual sets out the procedures for major donor committees to comply with the reporting and advertisement disclaimer requirements. A business, individual, or multi-purpose organization (including 501(c)(3) and 501(c)(4) nonprofit organizations) may qualify as a major donor committee.

Since 1974, there have been more than 200 amendments to the Act's campaign disclosure provisions. This manual has been prepared to assist committees in complying with the Act's numerous and often detailed rules. This manual is written in a "user friendly" format so that committees have a resource guide. Also provided is a list of statutes and regulations at the end of each chapter. These can be accessed on the FPPC website.

In addition, federal and state tax laws and other rules may be applicable. Telephone numbers and website addresses for the Federal Election Commission, Internal Revenue Service, California Franchise Tax Board, and the Federal Communications Commission are listed in the Appendix.

Controlling Law

This manual summarizes key campaign disclosure laws and regulations and draws from years of FPPC staff advice on complying with the provisions of the Act's campaign disclosure laws. Each committee's activity is different, however, and may raise issues not discussed in this manual. If there are any discrepancies between the manual and the Act or its corresponding regulations, the Act and its regulations will control.

Need Help?

If you need assistance, the Fair Political Practices Commission (FPPC) provides advice by email and by a toll-free telephone advice line. The FPPC does not provide third party advice or advice on past conduct. In addition, the FPPC website (www.fppc.ca.gov) contains forms, manuals, and a wealth of other helpful information:

Email Advice	Telephone Advice
advice@fppc.ca.gov	1-866-ASK FPPC (1-866-275-3772)

Don't forget these rules...

- Major donor committees do not receive contributions. If you receive contributions (persons providing you funds for political purposes) totaling \$1,000 or more in a calendar year you may not be a major donor committee. See Chapter 1.
- Major donor committees terminate automatically at the end of each year. A prior year's activity does not trigger filing in another calendar year, unless the donor forgives a loan made in a prior year.
- If you make contributions totaling \$25,000 or more in a calendar year, you may be required to file electronically with the Secretary of State.
- Once you become a major donor committee during the 90 days prior to an election, you may be required to file the Form 497 Contribution Report within 24 hours.

- A major donor committee must file a semi-annual report for each six-month period in which a contribution or independent expenditure is made. A committee active in a city election may be required to file preelection statements in connection with the city's election. State donors may also trigger odd-year reports. Check the filing schedules at www.fppc.ca.gov for deadlines.
- Do not report contributions to federal candidates.
- When a major donor is required to be identified in a mass mailing or other advertisement, the legal name of the individual or entity must be disclosed (e.g., ABC Development Corporation).

Key Revisions Since 2008

24-Hour Reporting: State and local committees must file reports within 24 hours for certain independent expenditures and contributions made in the 90 days prior to an election.

Campaign Statement Filing Location: Provisions in Regulation 18227.5 determine if a committee should file as a state, county, or city committee. The revised rules make campaign finance data easier to access, as more committees will file online with the Secretary of State.

Electronic Filing Threshold Reduced to \$25,000: The electronic filing threshold for state committees filing with the Secretary of State was reduced from \$50,000 to \$25,000.

Electronic Media and Mass Mailing Disclosure Requirements: Committees preparing mass mailings and certain campaign ads must state "paid for by" adjacent to the name of the committee. This identification is also required if more than 200 substantially similar electronic messages are sent to the public within a calendar month.

“Express Advocacy” Definition Update: Communications that advocate support or opposition of a clearly identified candidate or ballot measure contain “express advocacy” in certain circumstances. The definition of “express advocacy” in Regulation 18225 was amended to include communications made within 60 days prior to the candidate’s or ballot measure’s election when, taken as a whole, the communications unambiguously urge a particular result in an election. (See Chapter 1 for more information.)

Local Electronic Filing Provisions: Some local jurisdictions may require campaign forms to be filed electronically. Contact the local jurisdiction for information on whether there is an e-filing requirement.

Independent Expenditures:

New Verification Requirements: The filer or a principal officer must sign a verification form (Form 462) and email it to the FPPC stating that a communication reported as an independent expenditure has not been coordinated with a candidate or committee and there has been no reimbursement.

Cumulative Totals: The cumulative-to-date total spent on independent expenditures relating to a candidate or measure must be reported on the Form 496.

Reporting Rules Related to the Following Subject Areas:

- Local Agency Formation Commission payments
- State and local agencies making payments for campaign related communications
- Multi-purpose organizations (e.g., nonprofits and local clubs focusing on educational and social activities)

ARE YOU A COMMITTEE?

This manual provides important information on campaign disclosure rules for major donor committees.

A. What is a Major Donor Committee?

A major donor committee is an individual or entity (e.g., corporation, firm, business, or proprietorship) that makes one or more contributions to state or local candidates, ballot measure committees, or other committees (including political parties and PACs) totaling \$10,000 or more in a calendar year. A major donor committee does not receive contributions in order to make contributions but instead uses its own funds to make contributions.

Ex 1.1 – In April, the Alpha Corporation made contributions totaling \$8,000 to various state and local candidates. In September of the same year, the corporation made another \$3,000 contribution to a State Senator’s legal defense fund. With the \$3,000 contribution, the corporation qualified as a major donor committee.

This year, the CDI Corporation made a \$35,000 contribution to a ballot measure committee. The corporation qualified as a major donor committee.

Last year, Marge used her personal funds to make contributions totaling \$10,000 to two state candidates and local ballot measure committees and candidates. She qualified as a major donor and had reporting obligations. This year, Marge made contributions totaling only \$8,000 to candidates and committees. Marge did not qualify as a major donor, and thus did not incur reporting obligations.

Persons may receive a notice (see page 1.5) alerting donors of reporting requirements.

Multi-Purpose Organizations (Including Nonprofit Organizations)

A nonprofit or other multi-purpose organization that makes political expenditures in California may also qualify as a major donor committee. The following information summarizes the committee qualification rules for such organizations.

Quick Tip

If an individual or entity makes independent expenditures of \$1,000 or more in a calendar year, the individual or entity may qualify as an “independent expenditure committee.” (An “independent expenditure” is a payment for a communication that expressly advocates support of or opposition to a clearly identified candidate or ballot measure, but is not made at the behest of the affected candidate or a committee formed to support or oppose the measure.) See FPPC Disclosure Manual 6 for Independent Expenditure Committees for guidance.

Quick Tip

If contributions totaling \$1,000 or more are received in a calendar year for the purpose of making contributions or independent expenditures in connection with California candidates or ballot measures, a recipient committee has been formed. When two or more individuals or entities make separate payments totaling \$1,000 or more for a single product or service used to make contributions or independent expenditures (e.g., a newspaper ad or a mailing), they also may qualify as a recipient committee.

Major Donor Reporting:

General Treasury Funds. A nonprofit or other multi-purpose organization that receives donations or other payments (e.g., membership dues) for purposes other than making political expenditures in California but nevertheless does, at times, make expenditures and contributions in California will qualify as a major donor committee if the organization makes contributions in a calendar year which total between \$10,000 and \$50,000. An organization that makes political expenditures of more than \$50,000 in a 12 month period or more than \$100,000 in four consecutive calendar years will qualify as a recipient committee.

Non-Donor Funds. A nonprofit or other multi-purpose organization that uses only non-donor funds for expenditures and contributions in California will qualify as a major donor committee in each calendar year that the organization's political payments total \$10,000 or more. Examples of non-donor income include interest payments and sales revenue.

A multi-purpose organization that qualifies as a major donor committee is subject to the same campaign disclosure provisions reviewed in this manual as a business entity, including the filing of a Form 497 (24-hour Contribution Report) and Form 461 (Major Donor Report), as well as the advertisement disclaimer requirements.

Recipient Committee Reporting:

A multi-purpose organization that solicits funds of \$1,000 or more in a calendar year to be used for political purposes (i.e., making contributions) or accepts payments subject to an agreement or understanding that all or a portion will be used for making contributions and expenditures in California will not file as a major donor committee but will instead file as a recipient committee. A recipient committee must also be formed when an organization has existing funds and there is a subsequent agreement or understanding reached that \$1,000 or more of the funds will be used for contributions and expenditures in California. A recipient committee must also be formed if an organization spends more than \$50,000 in a 12 month period or

Quick Tip

An organization or corporation may qualify as a major donor committee because another organization made contributions and expenditures in California and determined that the corporation or organization was a funding source for its political expenditures. In such cases, a major donor notice must be sent to the organization that was a funding source.

Quick Tip

The FPPC website has up-to-date information on reporting requirements for multi-purpose organizations.

Quick Tip

A federal or out-of-state political action committee does not file major donor reports. Such committees qualify as recipient committees when \$1,000 or more in a calendar year is used for expenditures and contributions in California.

more than \$100,000 in four consecutive years to make contributions and expenditures in California and the funds were from membership fees and/or general treasury funds. See information on the FPPC website for recipient committee filing requirements which are distinctly different from major donor filing obligations.

Affiliated and Non-Affiliated Persons

There are certain situations when payments made by two or more persons are aggregated and the persons are treated as a single contributor for reporting purposes and for purposes of contribution limits.

Affiliated individuals and entities will qualify as a single committee if, together, their independent expenditures total \$1,000 or more, or their contributions total \$10,000 or more, in a calendar year. In addition, a major donor committee that files campaign statements disclosing contributions made by affiliates must notify the recipients of its contributions of the name used on the major donor campaign statement under the “Name of Filer.” (See Chapter 4.)

There is an exception for payments made by individuals listed on the same joint checking account. A contribution is attributed, in full, to the individual who signs the check. (See Chapter 2.)

The following payments are aggregated:

- Contributions or independent expenditures made from an individual’s personal funds and from funds of any entity he or she directs and controls.
- Contributions or independent expenditures made by two or more entities whose contributions or independent expenditures are directed and controlled by a majority of the same persons.

Ex 1.2 – A trade association of city businesses raises money for charitable events in the community. One year, the association makes a \$45,000 contribution to a committee formed to support a city ballot measure. The association had not raised the money for political purposes and did not reach an agreement with donors that their payments may be used for political purposes. Therefore, the association does not qualify as a recipient committee required to report donors; however, it qualifies as a major donor committee and must file the Form 461 to report the contribution. If the contribution was made in the 90 days before the election, the Form 497 must also be filed.

Quick Tip

Under the Act, the term “person” includes an individual, proprietorship, firm, partnership, joint venture, syndicate, business trust, company, corporation, limited liability company, committee, and association.

- Contributions or independent expenditures made by entities that are majority owned by any person. Contributions and independent expenditures made by the majority owner and all other entities majority owned by that person are aggregated unless the entities act independently in their decisions to make contributions or independent expenditures.

The following are basic examples to provide general guidance. Every case is unique and we encourage you to contact the FPPC for advice.

Ex 1.3 – In May, Ellen Gomez made contributions from her personal funds totaling \$6,000 to candidates for county and state offices. In June, she made a \$3,000 independent expenditure from her wholly owned business to oppose a ballot measure and qualified as an independent expenditure committee. Because Ms. Gomez directed and controlled all of the payments, she and her business qualify as a single committee and must disclose her personal contributions as well as the independent expenditures made from her business. (Refer to FPPC Campaign Disclosure Manual 6 for Independent Expenditure Committees.)

Ex 1.4 – Judy and Bill Copren are listed on a joint checking account. During a calendar year, Judy signed several checks amounting to \$6,000 to various local candidates. Bill also signed several checks amounting to \$7,000. Judy and Bill do not qualify as a major donor committee. Each individual's contributions are treated separately for purposes of qualifying as a major donor committee.

In addition, Judy and Bill are partners in four limited partnerships. Contributions made from each of the limited partnerships must be approved by both Judy and Bill. The four limited partnerships qualify as a single major donor when contributions of \$10,000 or more are made in a calendar year. (Note: the partnership major donor statement would not list Judy and Bill's personal contributions because neither Judy nor Bill can direct and control the contributions themselves.)

Ex 1.5 – Pace Computers contributed a total of \$9,000 to support various legislative candidates. Under the direction of Pace Computers, Global Software, Inc., a company in which Pace Computers has a greater than 50 percent ownership interest, contributes \$7,000 to support local candidates. Because Global Software, Inc. did not act independently, the companies qualify as a single major donor committee.

Ex 1.6 – Southwest Telecom has a greater than 50 percent ownership interest in American Telecom. Each entity, entirely on its own and with separate decisionmaking bodies, makes a contribution of \$5,000 to a ballot measure committee. Because Southwest Telecom and American Telecom acted completely independently in their decisions to make the contributions, they do not qualify as a major donor.

Quick Tip

If any combination of individuals or entities described above qualifies as a single committee, a single campaign statement must be filed reflecting the name of each individual's or entity's payment. (See Chapter 2 for specific instructions on which name is identified as the name of the filer.)

Quick Tip

Contributions from a joint checking account may be allocated according to a written document (signed by both individuals) that accompanies the contribution.

\$5,000 Notification to Potential “Major Donors”

Committees that receive one or more contributions totaling \$5,000 or more in a calendar year from an individual or entity that made the contribution(s) from personal, business, corporate, or general funds must send the contributor written notice that they may have a filing obligation.

Generally, a major donor notice must be sent within two weeks of receiving contributions totaling \$5,000 or more; however, if a contribution of \$10,000 or more is received in the 90 days prior to the election, the notice must be sent within one week.

The notice language may vary but must contain language substantially similar to the language below:

If your contribution(s) to this committee and to other California state or local committees total(s) \$10,000 or more in a calendar year, California law requires you to file a Major Donor Committee Campaign Statement (Form 461). The deadline and location for filing this statement will depend upon the timing and type of contribution(s) you have made. For additional information, visit www.fppc.ca.gov and review the available campaign materials.

24-Hour Reports

Major donors that trigger reporting obligations must also file a 24-hour Contribution Report (Form 497) if it makes:

- Contributions totaling \$1,000 or more to a single candidate, any of the candidate’s controlled committees, or to a committee primarily formed to support or oppose a candidate or ballot measure during the 90 days before the election in which the candidate or measure is being voted on; or*
- Contributions totaling \$1,000 or more to a state or county political party committee during the 90 days prior to a state election, including a state special election.*

Electronic Filing

State major donor committees that make contributions of \$25,000 or more must file electronically with the Secretary of State. State major donor committees that are required to file the Form 497 (24-hour Contribution Report) must file this form electronically even if the \$25,000 threshold has not yet been met. For more information on the electronic filing requirements, contact the Secretary of State's office at (916) 653-6224. Local committees should contact the local jurisdiction to determine if there are electronic filing requirements.

Late Filing Penalties and Fines

Failure to file campaign statements may result in late filing penalties of \$10/day (state committees may be fined \$20/day if they must file electronically and on paper) and fines of up to \$5,000 per violation.

Quick Tip

If you receive a major donor notice – you may need to file an FPPC report!

B. Other Types of Payments

Local Agency Formation Commission (LAFCO) Proposals

Payments made in connection with Local Agency Formation Commission (LAFCO) proposals may be reportable contributions or independent expenditures. These questions are very fact specific, so contact the FPPC for guidance.

Quick Tip

Laws outside the Act generally prohibit the use of public moneys for campaign activities by a governmental agency.

CalPERS/CalSTRS Candidates

Contributions an individual or business entity makes to support or oppose candidates seeking certain offices, including the California Public Employees' Retirement System or the California Teachers' Retirement System, count towards qualification as a major donor committee.

Government Agency Communications

A state or local agency making payments for campaign activities may become a committee subject to reporting if the payments qualify as contributions or independent expenditures. For example, if governmental employees spend more than 10 percent of their time on campaign activities, a contribution or expenditure is made.

Governmental agencies must refer to FPPC Regulation 18420.1 for rules in determining when a payment for a communication is a contribution or independent expenditure. The rules are different than those provided for non-governmental entities. For example, the factors a governmental entity will use to determine if a communication unambiguously urges a particular result in an election include either of the following: 1) The agency prepared campaign material such as bumper stickers or television or radio spots; 2) The style, tenor, and timing of the communication can be reasonably characterized as campaign material and is not a fair presentation of facts serving only an informational purpose. Regulation 18420 addresses the types of payments that qualify as campaign activities for governmental agencies.

Agencies should refer to FPPC Regulation 18420.1 if there is a question as to whether a communication is considered a campaign communication, as the determination will always be based upon the words used in the particular communication.

Answering Your Questions

A. Will a candidate or the spouse of a candidate become a major donor by contributing \$10,000 to the candidate's campaign?

If the contributions are made from community funds, neither the candidate nor the spouse will qualify as a major donor. However, if the candidate's spouse makes contributions from legally separate funds, the spouse will become a major donor. The donor is the individual who signs the check. (Spouse includes registered domestic partner.)

B. A legislator made a \$40,000 personal contribution to a state ballot measure committee. Does the legislator file as a major donor committee?

Yes.

C. May corporations make political contributions in California?

Yes, the Act does not prohibit in-state or out-of-state corporations from making contributions. There are restrictions for foreign corporations **as described in Chapter 2.**

D. Must contributions totaling \$10,000 or more be made to a single candidate, committee, or measure in order for the contributor to become a major donor?

No, if a contributor makes contributions totaling \$10,000 or more to any combination of California state and local candidates, committees, or measures in a calendar year, the contributor meets the definition of major donor.

E. An entity has qualified and filed as a major donor committee in the past three consecutive years, but will not qualify this year. Is it necessary for this entity to terminate its status as a committee to avoid having to file this year?

No. Major donor committees terminate automatically at the end of the calendar year and are under no obligation to file anything in a year in which they do not engage in enough activity to qualify as a major donor committee.

F. If an individual makes a \$6,000 contribution in the current year and, also in the current year, forgives a \$5,000 campaign loan made in the previous calendar year, will that individual become a major donor?

Yes.

G. If a person makes a \$1,000 contribution during the 90 days before the election, and, including that contribution, has not qualified as a major donor committee, is a 24-hour contribution report required?

No. The person has no filing obligations until qualifying as a major donor committee.

H. Will an individual qualify as a major donor by making a \$6,000 loan and forgiving that same \$6,000 loan in the same calendar year if the individual has made no other contributions to California committees?

No. However, if the loan is forgiven in a subsequent calendar year, the forgiveness counts toward qualification as a major donor for that year.

I. A major donor only gives a \$10,000 contribution to a superior court judge candidate. Where does the major donor file?

The major donor files as a county major donor committee with the County Registrar of Voters.

J. If a Member of Congress contributes \$10,000 to a statewide ballot measure from the congressional committee, is reporting required?

Yes. The congressional campaign committee must file a Form 461 as a state major donor committee.

K. Our trade association has never before made a political expenditure; however, this year the association made a single contribution of \$45,000 to a committee primarily formed to support a county ballot measure. Is our association required to register as a recipient committee?

No. Since the contribution was not more than \$50,000, the association does not qualify as a recipient committee so long as the funds were not raised for California political purposes and there was no agreement with the donors that their payments may be used for California political purposes. The association does, however, qualify as a major donor committee and must file the applicable campaign reports and meet the specified filing deadlines.

L. Our organization provides a variety of services to its members, including insurance coverage which results in income to the organization. The organization makes its political expenditures from the income producing account (non-donor funds), not from its membership dues account. Is our organization required to register as a recipient committee?

No. Since non-donor funds are used, the organization does not qualify as a recipient committee. If the organization makes contributions of \$10,000 or more or independent expenditures of \$1,000 or more in a single calendar year, the organization will qualify as a major donor committee or an independent expenditure committee and must file the applicable campaign reports and meet the specified filing deadlines.

M. Our association receives its funds exclusively from its members. The members do not designate their funds for California political purposes. This year, the association made a \$55,000 contribution to a committee primarily formed to support a county ballot measure. The association will not make additional political expenditures this calendar year. Is our association required to register as a major donor committee or a recipient committee?

The association must file the Form 410 and since its only contribution was to a county ballot measure committee, it must register as a county primarily formed ballot measure committee. In Part 4, the committee will note that it is a calendar year filer ("CY") and describe the organization's mission or significant activities (a reference to its IRS tax form is permissible). The association must also file all applicable campaign reports and meet the specified filing deadlines. Unless the association elects to remain registered as a recipient committee, the committee will automatically terminate at the end of the calendar year.

Authority

The following Government Code sections and Title 2 Regulations provide authority for the information in this chapter:

Government Code Sections

- 82013 Committee.
- 82015 Contribution.
- 82031 Independent Expenditure.
- 85310 Communications Identifying State Candidates.
- 85311 Affiliated Entities; Aggregation of Contributions to State Candidates.
- 85312 Communications to Members of an Organization.

Title 2 Regulations

- 18215 Contribution.
- 18215.1 Contributions; When Aggregated.
- 18225 Expenditure.
- 18225.4 Independent Expenditures; When Aggregated.
- 18225.7 Made at the Behest of.
- 18228 Inclusion of Registered Domestic Partners.
- 18420 Reporting of Campaign Contributions and Expenditures of State or Local Government Agencies.
- 18420.1 Payments by State or Local Agencies for a Campaign Related Communication.
- 18422 Nonprofit and Multipurpose Organization Political Activity Transparency.**
- 18427.1 Notification to Contributors of \$5,000 or More.
- 18428 Reporting of Contributions and Independent Expenditures Required to be Aggregated.

CONTRIBUTIONS

This chapter begins with a discussion of common types of contributions and includes information on the state contribution limits.

A. What is a Contribution?

A contribution is a monetary or nonmonetary payment made to a candidate or committee for which the candidate or committee has not provided full and adequate consideration in return. A contribution may take any of the following forms:

- Money (cash, check, credit card, wire transfers)
- Loans (including loan guarantees, co-signing, lines of credit, and forgiveness of a loan)
- Tickets to political fundraisers (full value of the ticket)
- Nonmonetary items (donated goods or services)
- Enforceable promises

Common types of nonmonetary contributions include:

Staff: If a business donates the use of an employee to work on a campaign, the amount the individual is paid for working on the campaign is a nonmonetary contribution if the employee spends more than 10 percent of his or her compensated time in a calendar month working on campaign activity **for any number of candidates or committees**. The amount is the pro-rata portion of the gross salary attributed to political activity. The names, addresses, and specific salaries of the employees are not required to be reported.

Discounts: If an entity provides a discount on goods or services to a committee and the discount is not offered to the public in the regular course of business, the discount is a nonmonetary contribution.

Quick Tip

Office Space: The value of donated office space may be computed based on comparable rental rates for office space in the area.

Enforceable Promises: A legally enforceable promise to pay for goods or services is a contribution. A pledge card is not considered an enforceable promise to make a contribution. “Enforceable promise” also does not include a contributor’s agreement to make future installment payments through wire transfer, credit card transaction, debit account transaction, or similar electronic payment.

Phone Banks: Businesses and other entities will sometimes allow a committee to use their phones to call prospective voters during non-business hours. The fair market value of the use of the phones is calculated to determine the amount reported as a nonmonetary contribution, even if only local calls are made. One method to determine the fair market value is to contact organizations that provide phone banks as a business.

Joint Fundraisers: Major donors will sometimes pay for a fundraising event for multiple candidates. The fair market value of the contribution for each candidate may be allocated based on the amount of expenditures and the number of candidates at the event. Also see page 2.5.

Polls: A person or entity that provides data from a privately purchased public opinion poll or survey to a candidate or committee is making a nonmonetary contribution if the candidate or committee requests the data or the data are used for political purposes. A formula utilized by the Federal Election Commission may be used for valuing polling or survey data, as long as the formula is used in a reasonable manner to provide a fair estimate. The formula calculates the value based on the age of the data. The chart below illustrates the fair market value of data based on the number of days that pass from the date the entity originally received the data to the date the data were provided to the candidate or committee.

Age of Data	Value
0 -15 days	Full Value
16 - 60 days	50%
61 - 180 days	5%
More than 180 days	No Value

When only a portion of a survey is provided to or for the benefit of a candidate or committee, the nonmonetary contribution is the prorated portion of the total value of the survey.

B. Reporting Contributions Made

In general, a monetary contribution is made on the date that the contribution is mailed, delivered, or otherwise transmitted to the candidate or committee. Alternatively, the date of the check may be used provided it is no later than the date the contribution is mailed, delivered, or otherwise transmitted. For example, a check dated July 10 and delivered June 10 is made on June 10. Whereas, a check dated May 5 but delivered June 10 may be reported as made on either May 5 or June 10.

A nonmonetary contribution is made on the earlier of the following:

- The date funds were expended by the contributor for the goods or services; or
- The date the committee or an agent of the committee obtained possession or control or otherwise receives the benefit of the goods or services.
- Services of salaried personnel are considered made on the payroll date.

When a nonmonetary contribution is made, the fair market value must be reported.

The value of all nonmonetary contributions of \$100 or more must be reported in writing to the recipient upon the recipient's written request.

Joint Checking Accounts: Individuals (including spouses) may make separate contributions from a joint checking account. For reporting purposes, the full amount of the contribution is reported as made from the individual who signs the check. If two or more individuals sign the check, the contribution is divided equally between or among the signers, unless there is an accompanying document signed by each

Ex 2.1 – Linda and Jerry Nelson are both listed names on a joint checking account. From this account, Linda signed a \$15,000 check payable to Citizens Against Street Crime, Yes on Measure D. Linda is the contributor of the full \$15,000.

individual whose name is printed on the check that clearly indicates a different apportionment.

A check drawn on a joint checking account that is signed by an individual not listed on the check (e.g., an accountant) must be accompanied by a document signed by at least one of the individuals listed on the check stating to whom the check is to be attributed.

Generally, if a check is drawn on the account of a business entity, the contributor is the business entity, not the individual who signed the check.

A contribution made by a child under the age of 18 is presumed to be a contribution from his or her parent or guardian, unless the facts show otherwise (i.e., that the child actually chose to make the contribution).

Affiliated Committees: A major donor committee that files campaign statements disclosing contributions made by affiliates must notify the recipients of its contributions of the name used on the major donor campaign statement under the “name of filer.”

Ex 2.2 – Global Software made a \$13,000 contribution to a ballot measure committee. Global Software’s contributions are reported on the major donor statement filed under the name of Pace Computers, Global Software’s parent. Global Software must notify the ballot measure committee that the contribution is disclosed on the campaign statement filed by Pace Computers. The ballot measure committee will identify both Global Software and Pace Computers on its campaign statement.

C. Contributions: Exceptions

There are many exceptions to the definition of “contribution.” Four common exceptions include:

Volunteer Personal Services: If an individual donates his or her personal or professional services to a campaign, no contribution has been made or received. However, if an employer donates employee services to a campaign, and any employee spends more than 10 percent of his or her compensated time in a calendar month providing the services, the employer has made a nonmonetary contribution. “Volunteer personal services” does not include any tangible items. Donated goods are contributions. A volunteer’s travel expenses are not nonmonetary contributions as long as there is no understanding that the expenses will be repaid.

Home/Office Fundraisers: If someone holds a fundraiser or other campaign event in his or her home or office for one or more candidates, the costs incurred by the occupant of the home or office need not be reported as long as the total cost of the event is \$500 or less. However, if someone else donates food, beverages, or anything else of value for the event, the fair market value of those donated goods is a nonmonetary contribution. In addition, the donated goods must be counted to determine whether the total cost of the event is \$500 or less.

Member Communications: Payments made by an entity (including a business entity) for a communication that supports or opposes a candidate or ballot measure are not contributions or expenditures as long as the communication is sent only to the organization's members, employees, shareholders, or their families. The payments may not be for general public advertising, such as billboards, newspaper, radio, or television ads.



Ex 2.3 – Shannon sponsors an event for a candidate after work in her business' office. She spends \$450. A friend supplies decorations worth \$75. Since the total cost of the event exceeds \$500, the candidate must report receiving contributions of \$450 from Shannon and \$75 from the friend.

Quick Tip

The total cost of a home or office fundraiser must be \$500 or less. This is true no matter how many committees or candidates benefit from the event.

Ex 2.4 – A corporation sends a mailing supporting a ballot measure to the corporation's shareholders. The mailing is not a contribution to the ballot measure committee whose position is supported or an independent expenditure.

At the behest of a ballot measure committee, the corporation sends a mailing supporting Measure A to all registered voters in the district where the measure will appear on the ballot. The mailing to the voters is a nonmonetary contribution.

Quick Tip

Consult Regulation 18215 for a complete list of exceptions to the definition of a contribution.

Payments for Governmental, Legislative, or Charitable Purposes:

Payments made in connection with a governmental, legislative, or charitable event, such as a job or health fair, a charity fundraiser, or a conference on educational issues, that are coordinated or requested by an elected official from a source other than the official's agency, such as a business, are generally not considered gifts or contributions to the elected official who is co-sponsoring the event. This includes payments behested by the official or by his or her agent or employee on the official's behalf. These payments (sometimes referred to as "behested payments") are not for personal or campaign purposes. However, the official may be required to report such payments on the Form 803 if they total \$5,000 or more. A major donor is not required to report such payments on Form 461.

The official must file Form 803 within 30 days following the date on which the payment(s) meets or exceeds \$5,000 in the aggregate from a single source in a calendar year. The FPPC posts on its website payments on its website made at the behest of state elected officials and members of the Public Utilities Commission.

Ex 2.5 – At Councilmember Stark's request, Diamond Dairy made a \$10,000 donation to the Boys' and Girls' Club. Councilmember Stark will file Form 803 with the city clerk disclosing the donation and donor's name and address. Diamond Dairy is not required to report the payment.

Quick Tip

Merely inviting an elected official to speak at an event is not a behested payment.

D. Contribution Restrictions

The True Source of Contributions must be Disclosed: One of the prohibitions in the Act states that no contribution shall be made, directly or indirectly, by any person in a name other than the name by which the person is identified for legal purposes. Failure to disclose the true source of a contribution is a serious violation of the law. For example, reimbursing employees or others so that the original source of the contribution is hidden from the candidate or committee is unlawful.

Ex 2.6 – Build Right Homes is a housing company located in Sun City, California. Sun City has a \$1,000 per election contribution limit for local officials. The City Council is considering a contentious slow-growth plan for Sun City. Concerned about the plan, the president of Build Right Homes makes a maximum contribution to a council candidate who opposes it. He asks the employees of Build Right Homes to do the same, and plans to reimburse them. Reimbursing employees or others to get around contribution limits is called campaign money laundering and is illegal.

Ex 2.7 – In the weeks before an election, an out-of-state nonprofit organization for Citizen Rights made a \$5 million contribution to a California state ballot measure committee, without reporting the source of the funds. The \$5 million contribution originated with another out-of-state nonprofit organization and was transferred through two other nonprofit groups before it was contributed to the ballot measure committee. Failing to report the true source of the contribution is campaign money laundering. Under Sections 84301 and 84302, the true source of the contribution must be reported, and the nonprofit organizations through which the funds were transferred must be identified as intermediaries for the contribution.

Quick Tip

Reimbursing contributions of employees or others, in attempts to avoid or to get around state or local contribution limits, is a violation of Section 84301.

Quick Tip

An intermediary of a contribution also has a duty to disclose to a candidate or committee the true source of the funds.

Contributions of \$100 or More: Monetary contributions of \$100 or more must be made by written instrument (such as a check) containing the name of the donor and drawn from the account of the donor or intermediary. Contributions may also be made by credit card or electronic transmission (e.g., wire transfer).

Contributions of \$100 or more made by money order, cashier's check, or traveler's check are prohibited. A cash contribution of \$100 or more is prohibited and an anonymous contribution of \$100 or more is prohibited.

In addition, a candidate or committee must return a contribution of \$100 or more from an individual if the individual's name, address, occupation, and employer are not obtained within 60 days of receipt of the contribution. All contributions to a candidate or committee must be made in the name by which the contributor is identified for legal purposes.

Intermediary: An intermediary is a person or entity that makes a contribution on behalf of another person and has been or will be reimbursed for the contribution. For each contribution of \$100 or more from an intermediary, the name, address, and, if applicable, the occupation and employer information must be disclosed for both the true source of the contribution and the intermediary.

Contributions in State Office Buildings Prohibited: Contributions may not be delivered, personally or through an agent, in the State Capitol or other state office building if the State of California pays the majority of the rent for that building. "Personally delivered" includes the delivery of a copy or facsimile of a contribution, and the original or a copy of a contribution transmittal letter. This prohibition does not apply to contributions received or delivered in a legislative district office, or those sent by mail.

Contributions from Foreign Governments or Principals

Prohibited: A foreign government or foreign principal may not make contributions or expenditures in connection with the qualification or support of, or opposition to, any state or local ballot measure. The term “foreign principal” as defined in 22 U.S.C.A. Section 611(b) includes:

- A foreign political party;
- A person outside the United States, unless the person is a U.S. citizen or a U.S. corporation whose principal place of business is in the United States;
- A foreign partnership, association, corporation, or organization; or
- A domestic subsidiary of a foreign corporation if the decision to contribute or expend funds is made by an officer, director, or management employee of the foreign corporation who is not a U.S. citizen or lawful permanent resident.

Contributions from Foreign Nationals, National Banks, or

Corporations Prohibited: Foreign nationals, national banks, and federally-chartered corporations may not make contributions in connection with any local, state, or federal election. (The Federal Election Campaign Act (2 U.S.C. Section 441b).) Contact the Federal Election Commission for more information at (800) 424-9530.

Pay to Play Laws: Some localities in California have pay-to-play ordinances that prohibit city contractors from making campaign contributions to candidates for public office in that jurisdiction.

Disqualification and Campaign Contributions: Government Code Section 84308 disqualifies certain appointed board members and commissioners from participating in governmental decisions affecting campaign contributors (including the parent, subsidiary, or affiliate of a campaign contributor) who have given \$250 or more within 12 months before the decision. Section 84308 applies to proceedings

on licenses, permits, and other entitlements for use pending before certain state and local agencies, boards, and commissions. In addition, the official is prohibited from accepting or soliciting contributions of \$250 or more from the parties and other participants during the proceeding. Contact the FPPC for more information.

Quick Tip

Contributions from affiliated committees are aggregated for purposes of the limits on contributions to state candidates. (See Chapter 1.)

E. State Contribution Limits

Candidate Election Committees: Candidates for state office have limits on how much they may accept from a single source per election. For purposes of contribution limits, the primary, general, special, and special runoff elections are considered separate elections. Contribution limits may increase or decrease every two years based on changes in the Consumer Price Index.

Candidates may raise contributions for a general or special general election prior to a primary or special primary election. If the candidate loses or withdraws from the general or special general election, contributions received for the general or special general election must be returned to the contributors on a pro rata basis, less the cost of raising and administering the funds. The chart on the next page lists the current contribution limits.

Ex 2.8 – Assemblymember Jones opens an officeholder committee in November. In June of the following year, Jones opens a committee to run for Senate. A \$3,000 contribution to the officeholder committee counts toward the contribution limit of \$3,600 from the same contributor to the Senate committee. The donor may only contribute \$600 to the Senate committee.

California Fair Political Practices Commission

California State Contribution Limits

(Effective January 1, 2015 - December 31, 2016)

Candidates seeking a state office and committees that make contributions to state candidates are subject to contribution limits from a single source. (Sections 85301 - 85303.) Contributions from affiliated entities are aggregated for purposes of the limits. (Regulation 18215.1.) The chart below shows the current limits per contributor for state offices. The primary, general, special, and special run-off elections are considered separate elections. Contribution limits to candidates apply to each election. Contribution limits to officeholder and other committees apply on a calendar year basis. Contact your city or county about contribution limits for local offices.

Contribution Limits to State Candidates Per Election

Candidate or Officeholder	Contributor Sources		Political Party
	Person (individual, business entity, committee/PAC)	Small Contributor Committee (see definition on page 2)	
Senate and Assembly	\$4,200	\$8,500	No Limit
CalPERS/CalSTRS	\$4,200	\$8,500	No Limit
Lt. Governor, Secretary of State, Attorney General, Treasurer, Controller, Supt. of Public Instruction, Insurance Commissioner, and Board of Equalization	\$7,000	\$14,100	No Limit
Governor	\$28,200	\$28,200	No Limit

Contributions to Other State Committees Per Calendar Year

Committee	Contributor Sources
	Person (individual, business entity, committee/PAC)
Committee (Not Political Party) that Contributes to State Candidates (PAC)	\$7,000
Political Party Account for State Candidates	\$35,200
Small Contributor Committee	\$200
Committee Account NOT for State Candidates (Ballot Measure, PAC, Political Party)	No Limit*

*State committees (including political parties and PACs) may receive contributions in excess of the limits identified above as long as the contributions are NOT used for state candidate contributions. (Regulation 18534.)

Contributions to State Officeholder Committees Per Calendar Year

Committee	Contributor Sources	
	Any Source (Person, Small Contributor Committee or Political Party)	Aggregate From All Sources
Senate and Assembly	\$3,500	\$58,500
CalPERS/CalSTRS	\$3,500	\$58,500
Lt. Governor, Secretary of State, Attorney General, Treasurer, Controller, Supt. of Public Instruction, Insurance Commissioner, and Board of Equalization	\$5,900	\$117,100
Governor	\$23,400	\$234,200

F. Campaign Rules

Extensions of Credit: When there is an agreement with the provider of goods or services that a state candidate or committee will pay for goods or services on credit, the goods or services may become a contribution to the candidate or committee and be subject to contribution limits if it remains unpaid after 45 days.

Contributions that Exceed the Limits: A violation of the Act does not occur if a contribution to a state candidate that exceeds the limit is not deposited into the candidate's bank account and is returned within 14 days of receipt. For nonmonetary contributions, either the item itself, its monetary value, or the monetary amount by which the value of the nonmonetary contribution exceeds the limits must be returned within 14 days of receipt.

Legal Defense Funds: State and local candidates and officeholders may establish a legal defense fund to defray attorney's fees and other related legal costs incurred for the candidate's or officeholder's legal defense. The candidate or officeholder must be subject to a civil or criminal proceeding or administrative proceeding arising directly out of the conduct of an election campaign, the electoral process, or the performance of the officeholder's governmental activities and duties. Contributions made to a legal defense committee are reportable but are not subject to contribution limits. Legal defense committees are required to have the candidate's or officeholder's last name and the words "legal defense" in the name of the committee.

Recall Elections: A state officeholder who is the subject of a recall may set up a separate committee to oppose the qualification of the recall measure and, if the recall petition qualifies, the recall election. Contributions to this committee are reportable but are not subject to limits.

Ballot Measure Committees: A primarily formed or general purpose ballot measure committee is not subject to state contribution limits,

including those committees controlled by a state candidate or officeholder. But funds from a ballot measure committee may not be used for the state candidate's election.

Contribution Limits and Repaid Loans: Loans are contributions subject to contribution limits. However, if a loan has been repaid, the lender may make additional contributions to the same candidate or committee up to the contribution limit.

Authority

The following Government Code sections and Title 2 regulations provide authority for the preceding information in this chapter:

Government Code Sections

82015	Contribution.
82025	Expenditure.
82031	Independent Expenditure.
82044	Payment.
82047	Person.
84211	Contents of Campaign Statement.
84300	Cash and In-Kind Contributions; Cash Expenditures.
84302	Contributions by Intermediary or Agent.
84304	Anonymous Contributions; Prohibition.
84308	Contributions to Officers; Disqualification.
84309	Transmittal of Campaign Contributions in State Office Buildings; Prohibition.
85301	Limits on Contributions from Persons.
85303	Limits on Contributions to Committees and Political Parties.
85304	Legal Defense Fund.
85306	Transfers Between a Candidate's Own Committees; Use of Funds Raised Prior to Effective Date.
85307	Loans.
85308	Family Contributions.

- 85312 Communications to Members of an Organization.
- 85320 Foreign Entities.
- 85700 Donor Information Requirements; Return of Contributions.

Title 2 Regulations

- 18215 Contribution.
- 18215.1 Contributions; When Aggregated.
- 18216 Enforceable Promise to Make a Payment.
- 18225 Expenditure.
- 18225.7 Made at the Behest of.
- 18421.1 Disclosure of the Making and Receipt of Contributions.
- 18423 Payments for Personal Services as Contributions and Expenditures.
- 18428 Reporting of Contributions and Independent Expenditures Required to be Aggregated.
- 18432.5 Intermediary.
- 18439 Definition of “Personally Deliver.”
- 18530.4 Legal Defense Funds - State Candidates and Officers.
- 18530.45 Legal Defense Funds - Local Candidates and Officers.
- 18530.7 Extensions of Credit.
- 18531 Return of Excessive Contributions.
- 18531.5 Recall Elections.
- 18531.62 Elected State Officeholder Bank Accounts.
- 18531.7 Payments for Communications—Section 85312.
- 18533 Contributions from Joint Checking Accounts.
- 18537 Contributions Limits and Application to Repaid Loans.
- 18545 Contribution Limits and Voluntary Expenditure Ceiling Amounts.

ADVERTISEMENT DISCLAIMERS

This chapter addresses common issues relating to political mailings and other advertisements that are nonmonetary contributions to a committee. For information on disclaimers for political ads that are independent expenditures see Campaign Manual 6 for guidance.

A. Ads Prepared by Others

Candidate ads: The Act does not require major donors to be identified in a disclaimer when the ad is prepared by a candidate.

Ballot measure ads: The Act does require committees primarily formed for a ballot measure to specifically identify in a disclaimer on the ad the top two contributors of \$50,000 or more. As a major donor, your name may appear in advertisements placed by a ballot measure committee.

Quick Tip

A major donor making independent expenditures to support or oppose a candidate must be identified in a disclaimer. See Manual 6.

B. Ballot Measure Ads Prepared by a Major Donor

The following information addresses the disclaimers required if a major donor prepares a political ad that has been coordinated with a ballot measure committee. These ads (nonmonetary contributions) are reported on campaign statements by both the major donor and the ballot measure committee.

Ex 3.1 – A corporation paid \$40,000 for a mass mailing to voters stating “Vote for Measure J.” Since the communication expressly advocates support for a clearly-identified measure and the corporation coordinated the mailing with the committee, the communication is a nonmonetary contribution and also requires identification of the corporation on the mailing as described in the following pages.

What is the Disclaimer?

“Paid for by *[major donor name]*” is the basic disclaimer on most campaign communications. The disclaimer is required on the following:

- Mass mailings
- Paid telephone calls
- Radio ads
- Television ads
- Electronic media ads
- Newspaper ads
- Billboards
- Yard signs

How Must the Disclaimer Appear?

Disclaimers on political ads must be clear and conspicuous so as to be understood by the public. Written disclaimers must be printed clearly and legibly. Spoken disclaimers must be clearly audible and intelligible. Specific requirements for color contrast, print font size, and time appearing on screen are provided on the following chart. Disclaimers must also be written or spoken in the same language used in the advertisement.

The address of the major donor must appear on printed mass mailings; on all other communications only the name of the major donor is required after the words “paid for by.”

When a major donor is required to be identified in a mass mailing or other advertisement, the legal name of the individual or entity must be disclosed. For example, “ABC Corporation” or “Dr. Jane Sommer,” and not “Business for Growth” or “Doctors Who Care.”

The FPPC’s regulatory authority ensures that the payor of the ad is identified. The Act does not regulate the truth or accuracy of the content of political communications given the broad First Amendment protection for political speech.

Is there a penalty for not including the proper disclaimer?

Yes. The penalty for failing to comply is a fine of up to \$5,000 per violation. In addition, any person who violates the disclaimer requirements may be liable for a fine of up to three times the cost of the advertisement, including placement costs.

Political Advertising Disclaimers

Ballot Measure Ads

The disclaimer must include, unless otherwise noted: “Paid for by [committee name]”

Example:

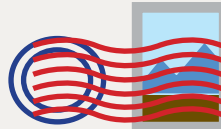
- “This call was paid for by ABC Corporation”

Communication	Manner of Display
All mass mailings (more than 200) (see note next page)	<ul style="list-style-type: none">• Committee name/address (as reported on Form 461) on mailer in no less than 10 pt type/contrasting color
Telephone calls (more than 200) - made by vendors (“robo” calls) or paid individuals	<ul style="list-style-type: none">• Disclaimer must state the call is “paid for by” committee name• Must be at least 3 seconds at beginning or end of call
Radio	<ul style="list-style-type: none">• Must be at least 3 seconds either at beginning or end of the ad• Ad which is 15 seconds or less requires only highest contributor
Television	<ul style="list-style-type: none">• Both written & spoken at the beginning or end of ad• Not less than 4 seconds• Size & contrasting color must be legible to the average viewer• Ad which is 15 seconds or less requires only highest contributor• Exception - no spoken disclosure required if written statement is shown for at least 5 seconds on a 30 second broadcast or 10 seconds on a 60 second broadcast

Communication	Manner of Display
<p>Electronic Media</p> <ol style="list-style-type: none"> Websites, blast emails, Facebook posts Ads of limited size (micro bar, button ad, ads limited to 500 characters or less) SMS texts Electronic ads sent in an audio format Electronic ads sent in a video format 	<ol style="list-style-type: none"> Disclaimer statement must be in the same font size as majority of text and displayed conspicuously near the ad Ad must provide disclaimer via rollover, link, or other connection to website with the disclaimer Include the committee ID number and if technically possible link to the committee’s campaign statement on Secretary of State’s website Same requirements as for radio ads above Same requirements as for television ads above
<p>Paid spokesperson in ballot measure ad</p> <ul style="list-style-type: none"> \$5,000 payment to individual in ad Any payment to individual in ad portraying a member of a licensed occupation (nurse, doctor, firefighter, scientist, engineer, lawyer, etc.) who is not actually a member of that occupation. 	<ul style="list-style-type: none"> Disclaimer: “(Spokesperson’s name) is being paid by this campaign or its donors.” Disclaimer: “Persons portraying members of an occupation in this advertisement are compensated spokespersons not necessarily employed in those occupations.” Printed or televised ad: shown continuously in highly visible Roman font Radio broadcast or phone message: spoken in clearly audible format
<p>Newspaper Ads</p>	<ul style="list-style-type: none"> 10 pt type in contrasting color Ad which is 20 sq. in. or less requires only highest major contributor Also check the Elections Code
<p>Yard Signs (more than 200) Billboards</p>	<ul style="list-style-type: none"> 5% of height of ad in contrasting color
<p>Door hangers, flyers, posters, and oversized campaign buttons and bumper stickers (buttons 10” across or larger and stickers 60 sq. in. or larger) (more than 200)</p>	<ul style="list-style-type: none"> 10 pt type in contrasting color Ad which is 20 sq. in. or less requires only highest major contributor

Note: Two display rules exist for mass mailings. A mass mailing must have the committee name/address on the outside of an envelope in 6 pt type, while the manner of display for the ad disclaimer requires the committee name in no less than 10 pt type. Often a one-page mailer combines the display rules with both the committee name and address in no less than 10 pt type although the address may be at 6 pt type.

Paid for by ABC Company
123 Elm Street
Elmton, CA 95523



Fred Smith
7200 Oak St
Oakville, CA 92253

**Quick
Tip**

Mass mailings include emails.

From: ABCCompany@emailaddress.web
To: Voter@emailaddress.web
Cc:
Subject: Vote for Smith for Senate

The following message is paid for by ABC Company, 123 Elm Street, Elmton, CA 95223

C. Candidate Advertisements Prepared by a Major Donor

A major donor who prepares an advertisement that is coordinated with a state or local candidate is making an in-kind contribution to the candidate's committee and the payment must be reported by both the major donor and the candidate. If the advertisement is a mass mailing, the major donor must be identified on the outside of the envelope after the words "paid for by." Emails of more than 200 must also identify the major donor.

Candidate Calls

The name of the major donor must be identified when a major donor pays the costs associated with telephone calls of 500 or more and the message expressly advocates support or opposition of a candidate. The disclaimer is required whether the call is made by electronic means or by an individual. Exceptions:

- the message states that the call is authorized by the candidate; or
- the telephone calls are actually made by the candidate, candidate's manager or volunteers

D. Valuing a Mailing and Personal Websites

Multiple candidates/measures: If a mass mailing (including emails) supports or opposes more than one candidate or measure, the fair market value attributable to each may be calculated by prorating the costs based on the amount of space allotted to each candidate or measure supported or opposed in the mailing.

Political and non-political material: The cost of a mailing containing both express advocacy supporting or opposing a ballot measure or candidate as well as non-political material may be prorated. Costs directly associated with the political message are reportable, including staff time of more than 10 percent in a calendar month.

Individual Internet Activity: When an individual who is not being paid by a campaign sends communications that expressly advocate a candidate or measure over the Internet, such as emails, social networking, blogging, website postings, and hyperlinks, this is not reportable activity.

Communications Identifying State Candidates (No Express Advocacy)

A major donor committee that makes a payment or promise of payment totaling \$50,000 or more for a communication that 1) clearly identifies a state candidate; but 2) does not expressly advocate the election or defeat of the candidate; and 3) is disseminated, broadcast, or otherwise published within 45 days of an election, is required to file Form E-530. (See Chapter 4.) These payments are not required to be reported on the major donor committee campaign statement (Form 461).

Ex 3.2 – If a candidate’s neighbor sends emails to his family and friends and posts on his personal social networking site support of the campaign, the communications are not reportable even though the candidate may have provided the neighbor with campaign materials. However, an electronic communication is a contribution or expenditure if an individual receives compensation for the messages and a majority of his or her advertisement revenue is from a single candidate or committee.

Ex 3.3 – One month before a state general election, a major donor pays for several billboard ads that state: “Assemblymember Jones, thank you for supporting AB 1010, low cost prescriptions for seniors!” A Form E-530 must be filed.

Answering Your Questions

A. If we pay for a mass mailing that was coordinated with a candidate, must we be identified on the mailing?

Yes. If more than 200 pieces of the same or substantially similar mail are sent in a calendar month, the name and address of the major donor paying for the mailing must appear somewhere on the outside of the mailing in no less than six-point type and in a color that contrasts with the background (e.g., no white on white).

B. If we decide to produce a broadcast expressly asking voters to vote for a candidate without coordinating with the candidate, are there identification requirements?

Yes. The name of the major donor paying for the advertisement must be presented in a clear and conspicuous manner, including broadcast information appropriately conveyed to the hearing impaired. In addition, a statement that the broadcast was not authorized by the candidate is required.

C. If my company sends more than 200 emails to customers supporting a ballot measure, and this mailing is an independent expenditure, are there any identification requirements?

Yes. The name of the major donor sending the electronic messages must be presented in a clear and conspicuous manner.

D. Are we identified on any communications we are not responsible for producing?

Yes. If a contribution or contributions totaling \$50,000 or more are made to a primarily formed ballot measure committee, the name of the major contributor must be included in the primarily formed committee's advertisements if the major contributor is one of the two highest contributors to the committee. However, only the highest major contributor must be identified in any advertisement that is an electronic broadcast of 15 seconds or less, or a newspaper, magazine, or other public print media advertisement that is 20 square inches or less.

Authority

The following Government Code sections and Title 2 Regulations provide authority for the preceding information in this chapter:

Government Codes Sections

- 82015 Contribution.
- 82031 Independent Expenditure.
- 82041.5 Mass Mailing.
- 84305 Requirements for Mass Mailing.
- 84310 Identification Requirements for Telephone Calls.
- 84501 Advertisement.
- 84503 Disclaimer; Ballot Measure Ads.
- 84504 Ballot Measure Committee Name.
- 84505 Avoidance of Disclosure.
- 84506 Disclaimer; Independent Expenditures; Advertisements.
- 84506.5 Disclaimer; Independent Expenditure Ads; Not Authorized by Candidate.
- 84507 Disclaimer; Legible and Audible.
- 84508 Disclaimer; Small Ad.
- 84511 Ballot Measure Ads; Paid Spokesperson Disclosure.
- 85310 Communications Identifying State Candidates.

Title 2 Regulations

- 18215.2 Uncompensated Internet Activity by Individuals that is not a Contribution or Expenditure.
- 18225 Expenditure.
- 18225.7 Made at the Behest of.
- 18435 Definition of Mass Mailing and Sender.
- 18440. Telephone Advocacy.
- 18450.1 Definitions. Advertisement Disclosure.
- 18450.4 Contents of Disclosure Statements. Advertisement Disclosure.
- 18450.11 Spokesperson Disclosure.
- 18531.10 Communications Identifying State Candidates.
- 18550.1 Independent and Coordinated Expenditures.

REPORTING REQUIREMENTS

Individuals and entities making contributions totaling \$10,000 or more in a calendar year qualify as major donor committees and must file timely disclosure reports showing amounts paid and the candidates and ballot measures supported and opposed. The Act requires reporting of contributions at specific times so that voters are fully informed. In some cases, a contribution of \$1,000 or more is reported on two different forms. The true sources of contributions must be fully disclosed; otherwise, a serious violation of the law occurs.

This chapter reviews completing campaign statements. Generally, the first statement a major donor may file is the 24-Hour Contribution Report, Form 497. This report is due for certain contributions of \$1,000 or more made 90 days before a state or local election. The one page report provides immediate disclosure to the public, and the contribution is also reported by the recipient committee. All major donors must also complete Form 461, which provides a summary of all expenditures made. It includes all payments, including those made before or during a 90-day election cycle. The report also provides the public with more information on the nature of the persons completing the report. The chapter concludes with additional reports that may be required depending on the committee's activity.

A. Committee Type

Initial Determination:

When an individual or business entity qualifies as a major donor committee, making contributions or expenditures of \$10,000 or more in a calendar year, it must file as a state, county, or city committee.

State Committee: A major donor that makes one or more contributions to a state candidate, measure, or committee when it qualifies as a major donor committee will file as a state committee. The committee will also qualify as a state committee unless it qualifies as a county or city committee.

County Committee: A major donor that makes 70% or more of the initial contributions to candidates, measures, and other general purpose committees in only one county, or in more than one jurisdiction within one county, is a county committee.

City Committee: A major donor that makes 70% or more of the initial contributions to candidates, measures, and other general purpose committees in only one city is a city committee. (Including consolidated city/county municipalities such as San Francisco.)

Calendar Year Determination: A major donor qualifies anew each year and counts contributions or expenditures only during the current calendar year.

Quarterly Review: It is common for major donors to make payments in connection with more than one city, county, or state election. The FPPC recognizes the need to provide voters within the jurisdiction holding an election access to a donor's campaign statements and to abide by the local agency's campaign ordinance, if any. At the same time, the FPPC is aware of the reporting burden if major donors must file in multiple jurisdictions.

Regulation 18227.5 addresses this issue. A major donor must reassess and verify its reporting status at the end of each quarter. However, a committee is not prohibited from changing its status before the end of a calendar quarter if it chooses to do so.

This regulation provides a common ground for the diversity of major donors that spend money in both state and local California elections. Many donors prefer to file at the state level; thus the regulation allows for filing with the Secretary of State when only a single contribution is made. Other major donors who give locally will remain local committees.

Ex 4.1 – A committee's first two contributions were a \$4,000 contribution to a statewide elected officer's committee and a \$7,000 contribution to a local committee. The committee is a state committee.

Ex 4.2 – A committee's initial contribution was \$50,000 to a state ballot measure committee. The committee will remain a state committee for the calendar year no matter how many contributions it later makes to local committees.

Ex 4.3 – A committee's initial contribution was \$50,000 to a city ballot measure committee. The committee must file a pre-election report, if required. The following month, the committee made its second payment, a \$3,000 contribution to a state ballot measure committee. The major donor may start filing as a state committee immediately or do so at the end of the calendar quarter.

Ex 4.4 – A committee's initial contribution was \$10,000 or more to a city committee. The following month, the committee made a contribution of \$100,000 in connection with a county ballot measure. The committee may elect to file immediately with the county filing officer or begin to file with the county following the end of the calendar quarter.

Exceptions:

- A major donor that has not made contributions and/or expenditures of \$5,000 or more to support or oppose candidates/measures in the preceding quarter is not required to review or change its status.
- City and county committees that make four or fewer contributions to certain state candidates are allowed to remain local committees. See FPPC regulation 18227.5.

Quick Tip

FPPC regulations state that a major donor shall not knowingly file in an incorrect jurisdiction with the intent of avoiding legal disclosure to the public. Committees may always seek FPPC formal advice on where to file.

Filing Locations

The filing locations are identified on the chart below. A committee that changes jurisdictions during a calendar year must file in each jurisdiction for that year. For example, a major donor that files its first semi-annual Form 461 as a city committee and later qualifies as a state committee will file the second semi-annual Form 461 with the Secretary of State and the city filing officer.

Quick Tip

A county major donor committee qualified as a state major donor committee in the last six months of a calendar year. The semi-annual statement due January 31, covering the previous six months, must be filed with both the county and the state.

State Committees	Secretary of State Political Reform Division P.O. Box 1467 1500 11th Street, Room 495 Sacramento, CA 95814 Fax (916) 653-5045
County Committees	County Elections Office
LAFCO Proposals	File with the county elections office in the county that the proposal may be voted upon. Once a proposal is listed on a ballot, file as a multi-county, county, or city committee
City Committees	City Clerk

Deadlines for filing reports are provided on filing schedules posted on the FPPC website. Disclosure reports must be filed on time so that voters are informed before an election.

Quick Tip

The Secretary of State may fine \$10 for the paper report and \$10 for the same report filed electronically; resulting in a \$20/day fine

- Filing deadlines cannot be extended.
- For statements that are mailed, the date of the postmark is considered the date filed.
- Failure to file on time may lead to late filing penalties of \$10 for each day a statement is late.
- Failure to file a statement may result in additional penalties.

Electronic Filing

State Committees

Form 497: State committees that make certain contributions of \$1,000 or more in the 90 days before a state election file an electronic submission of the Form 497. This report is required when \$1,000 or more is made to the following committees:

- a candidate controlled committee; 90 days before the candidate's election;
- a ballot measure committee; 90 days before the measure's election;
- a primarily formed candidate committee; 90 days before the candidate's election; or
- a political party committee; 90 days before any state election

All forms are filed electronically even if the major donor has not made contributions or expenditures of \$25,000 or more. Committees may use the Secretary of State's online form.

Form 461: State committees must file this report electronically with the Secretary of State if cumulative contributions (including loans) or expenditures made are \$25,000 or more. The cumulative totals include expenditures a state committee makes regarding a

Ex 4.5 – One month before the November election, a major donor made a \$20,000 contribution to Yes on Measure G. The major donor must file Form 497 within 24 hours of making the contribution. (The Measure G committee also must report the receipt of the contribution.)

10 days before a special state election to fill a vacant Assembly seat, a major donor makes a contribution of \$5,000 to the Riverside County Republican Central Committee. Regardless of the purpose for the contribution, the major donor must file Form 497, and the central committee receiving the contribution must file a report as well.

Quick Tip

Check the FPPC website for filing schedules.

Quick Tip

A contribution to a general purpose PAC does not trigger Form 497 (exception: state and local political parties).

local election or committee. Major donor committees need not file electronically in any calendar year in which they have not reached the \$25,000 threshold. (A Form 461 must also be filed on paper.)

For information about electronic filing requirements, contact the Secretary of State's office at (916) 653-6224 or www.sos.ca.gov. Depending on when a committee qualifies, its first electronic filing may be a 24-hour contribution report or a semi-annual statement.

Form	Paper Filers	Electronic Filers
461	Paper	Paper and Electronic
497	Electronic Only	Electronic Only

Local Committees

Some local jurisdictions may require campaign forms to be filed electronically. Contact the local jurisdiction for information on whether there is a requirement to file electronically.

B. 24-Hour Contributions (Form 497)

24-Hour Contribution Report: A Form 497 must be filed if, during the 90 days before an election, a major donor makes a contribution of \$1,000 or more, or multiple contributions aggregating \$1,000 or more, to a single candidate or primarily formed ballot measure committee and the candidate or ballot measure is being voted upon in that election. A Form 497 must also be filed if a committee makes a contribution of \$1,000 or more to a political party (including central committees) during the 90 days before **any** state election.

Note: The Form 497 is not required if a payment is made to a national political party or to a federal account of a state or local political party.

When to File

A contribution is made on the date the contribution is mailed, delivered, or otherwise transmitted to a candidate or committee. Generally, if a report is due on a Saturday, Sunday, or official state holiday, the 24-hour deadline is extended to the next business day. However, the Form 497 must be filed within 24 hours including Saturday, Sunday, or an official state holiday when the report is due immediately prior to an election. For example, if a donor makes a contribution on the Saturday before the election, the Form 497 is due on Sunday.

Where to File

See chart on page 4.3

State Committees

State committees file 24-hour reports with the Secretary of State; no paper copies are required. This is true even if the committee has not met the \$25,000 threshold that requires Form 461 to be filed electronically.

Local Committees

The Form 497 must be filed by fax, guaranteed overnight delivery service, or personal delivery at the same locations where the committee files the Form 461. Some local agencies will require reports to be filed electronically. **Regular mail may not be used.**

497 Contribution Report

Type or print in ink.
Amounts may be rounded to whole dollars.

497 CONTRIBUTION REPORT

A NAME OF FILER Hart Corporation (including aggregated contributions)	Date of This Filing <u>6/2/XX</u>	Date Stamp CALIFORNIA FORM 497 For Official Use Only
	Report No. <u>1</u>	
	<input type="checkbox"/> Amendment to Report No. _____ (explain below)	
	No. of Pages <u>1</u>	
AREA CODE/PHONE NUMBER (916) 876-5023	I.D. NUMBER (if applicable)	
STREET ADDRESS 4300 Industrial Park West		
CITY Sacramento	STATE CA	ZIP CODE 95817

B Contribution(s) Made				
DATE MADE	FULL NAME, STREET ADDRESS AND ZIP CODE OF RECIPIENT (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CANDIDATE AND OFFICE OR MEASURE AND JURISDICTION	AMOUNT OF CONTRIBUTION	DATE OF ELECTION (IF APPLICABLE)
6/1/XX	Republican Party 123 G Street Anytown, CA 95881 #0012233		26,000	
6/1/XX	Yes on Prop 88, Voters for School funding 34 Gough Street San Francisco, CA 94103 (made by Kline Ltd.)	Prop. 88, State	10,754	6/3/XX

A How to Complete Form 497

- Enter the committee's name, street address, city, state, and zip code.
- Indicate the date of filing this report.
- Assign a unique number to each late contribution report, such as 1, 2, 3, PR-1, PR-2, PR-3, etc.
- The amount of the contribution; and
- The date of the election, if a state committee is disclosing a late contribution to a local candidate.

Amendments

To amend a previously filed Form 497, file a new Form 497 with the corrected information and the "Amendment" box checked. The "Report Number" of the report being amended should be included. There is no deadline for filing amendments. However, amendments should be filed before the respective election, or as soon as practicable. File the amendment in the same location(s) as the original.

B For 24-hour contributions *made*, provide:

- The date made;
- The recipient's full name and address;
- Office sought or held (if to a candidate);
- Ballot measure number or letter and jurisdiction (if to a ballot measure committee, you may also include full name and I.D. number.);

Notes: Software programs may display data in other formats. As this issue goes to press, the Commission may reconsider the person identified in the recipient field; the recipient of the payment (as shown above) or the recipient committee receiving the benefit.

Answering Your Questions

- A. If a contribution of \$1,000 or more is made to one of a candidate's campaign committees (i.e., legal defense, ballot measure, past election), but not to the committee that is formed for the election triggering the 24-hour reporting, are the candidate and/or the donor required to file a Form 497?**

Yes. When a candidate is in a 90-day reporting period, each contribution of \$1,000 or more to any of his or her committees requires the Form 497 to be filed by both the candidate and the donor.

- B. A candidate received \$500 from a donor for the special primary election a few days before the election, and another \$500 from the same donor a few days after the primary election when the candidate moved to the special general election. Both contributions were received during the 90 days before the general election. Is a Form 497 required to be filed by the donor and/or the candidate?**

No. Because \$1,000 or more was not received in connection with one election, the Form 497 is not required to be filed.

- C. A political party must report contributions 90 days before a state election. How does a major donor determine its reporting obligations?**

A political party and a donor to a political party must report each contribution of \$1,000 or more during the 90-day period before any state election. For example, in 2013 there were four state special elections prior to July 31. Due to overlapping 90-day periods, each contribution of \$1,000 or more received from January 1, 2013 through July 30, 2013 triggered a Form 497.

D. Is a Form 497 required if a major donor makes a contribution of \$1,000 or more to a general purpose recipient committee, such as a trade association's or union's PAC?

No. The Form 497 is not required to be filed when contributions are made to a general purpose committee. In addition, a Form 497 is not required if a contribution is made to a candidate or measure committee outside the 90-day period before an election.

E. A major donor made two separate contributions to a city council candidate seeking an election. A \$500 contribution was made 60 days before the election and a \$700 contribution was made 40 days before the election. Is a Form 497 required?

Yes. Contributions aggregating \$1,000 or more to a candidate, if made 90 days before the election, trigger Form 497. The Form 497 must be filed when the \$700 contribution was made. Both contributions should be reported on the form.

F. A major donor made two separate contributions; one was \$500 to a city ballot measure committee and the other was \$600 to a city candidate. Is Form 497 required?

No. A contribution of \$1,000 or more was not made to a single candidate or ballot measure.

G. A major donor made a \$1,500 contribution to a candidate during the 90 days before the candidate's election. The major donor filed a Form 497. Does the major donor file a subsequent Form 497 if the only other contribution, before the election, was \$200?

No. The contributor must make another contribution of \$1,000 or more.

C. Form 461 – Committee Campaign Statement

The Form 461 is used to report contributions and independent expenditures made to support or oppose California state and local candidates, officeholders, and ballot measures, and contributions to campaign committees such as PACs and political parties. The statement provides the public with information regarding the nature and interests of the person making the payments.

Quick Tip

If your committee makes both contributions and independent expenditures, also refer to FPPC Campaign Disclosure Manual 6 for Independent Expenditure Committees.

When to File Form 461

Semiannual Statements: All Committees

January 1 – June 30: Due July 31

If an entity qualifies as a committee during the first six months of the year, the Form 461 is due no later than July 31, covering the period January 1 through June 30. If no additional contributions or independent expenditures are made during the second semiannual period, July 1 through December 31, no other Form 461 is required during the calendar year.

July 1 – December 31: Due January 31

If an entity qualifies as a committee during the first six months of the year and any amount of contributions are made after June 30, a Form 461 covering the period July 1 through December 31 is required. If an entity or individual qualifies as a committee during the second six months of the year, its Form 461 will be due no later than January 31, covering the period January 1 through December 31 of the prior year.

Odd Year Report: State Committees

During an odd-numbered year, Form 461 must be filed if the major donor committee makes contributions totaling \$10,000 or more to **elected state officers**, their controlled committees, or committees primarily formed to support or oppose any elected state officer between January 1 and March 31, or between July 1 and September 30. The special odd-year reports are due April 30 and October 31, respectively.

Ex 4.6 – During March of an odd-numbered year, ABC Corporation makes a contribution of \$3,000 to a state officeholder's controlled ballot measure committee, and four contributions to four different Assembly officeholders of \$3,000 each. The corporation files a Form 461 covering the period January 1 through March 31. The report is due no later than April 30 to the Secretary of State.

Major donors are not subject to quarterly filings in even-numbered years because elected state officers file campaign statements disclosing contributions received from major donor committees more frequently in even-numbered years.

Form 461 is triggered if contributions are made to any controlled committee of an elected state officer, including controlled general purpose ballot measure committees, controlled primarily formed ballot measure committees, officeholder committees, legal defense committees, or recall committees.

The form is completed and filed in the same manner as a regular semi-annual statement and includes all of the major donor committee's activity during the reporting period, not just contributions to elected state officers.

Preelection Statements: City Committees

During a six-month period in which a city election is held, a city committee may be required to file two preelection statements. Preelection statements are required if the committee makes contributions that total \$500 or more during the period covered by the statement. Contact the city filing officer for the election filing schedule.

Early Filing

A major donor may file campaign statements in advance of a deadline. For example, if a major donor makes contributions in the first quarter of a year triggering the special odd-year report (see page 4.10), and does not expect to make additional contributions that year, it may file a statement covering the period January 1 through June 30 on or before April 30. A semi-annual statement would not be required for the July 31st deadline. If additional contributions are made, a Form 461 must be filed.

Quick Tip

Check the FPPC website for filing schedules. Most local election and all state election filing schedules are published there.

Amendments

If, at any time, a committee determines that an error has occurred on a previous filing, an amendment is required. Check the amendment box on Form 461 and complete Part 1. Only the amended information, including Part 3, if applicable, must be disclosed. Online filers may submit a complete corrected statement. The verification must be completed.

Where to File

Form 461

State Committees	Secretary of State Political Reform Division P.O. Box 1467 1500 11th Street, Room 495 Sacramento, CA 95814 Fax (916) 653-5045
County Committees	County Elections Office
LAFCO Proposals	File with the county elections office in the county that the proposal may be voted upon. Once a proposal is listed on a ballot, file as a multi-county, county, or city committee
City Committees	City Clerk

Quick Tip

A committee that changes jurisdictions in a calendar year must file statements with both jurisdictions through the end of the calendar year. For example, a city major donor that becomes a state major donor in October must file its semi-annual statement due January 31 with both the city and the state.

A LAFCO agency may also require a campaign statement.

Quick Tip

Viewers can access on the Secretary of State's Cal Access system each filed report of an electronic filing. If the last digit in the URL field is not 0 ("original"), simply change the last number to view earlier reports.

Major Donor and Independent Expenditure Committee Campaign Statement
(Government Code sections 84200-84216.5)

Type or print in ink.

MAJOR DONOR AND INDEPENDENT EXPENDITURE COMMITTEE STATEMENT

Date Stamp	CALIFORNIA FORM 461
Page <u>1</u> of <u>2</u>	
For Official Use Only	

A Statement covers period
from 1/1/XX
through 6/30/XX

Date of election if applicable:
(Month, Day, Year)

1 SEE INSTRUCTIONS ON REVERSE

1. Name and Address of Filer

NAME OF FILER
Hart Corporation (including aggregated contributions and independent expenditures)

RESIDENTIAL OR MAILING ADDRESS (NO. AND STREET)
4300 Industrial Park West

CITY STATE ZIP CODE
Sacramento CA 95817

RESPONSIBLE OFFICER (If filer is other than an individual)
Howard Hart (916) 876-5023

2. Nature and Interests of Filer (Complete each applicable section.)

A FILER WHO IS AN INDIVIDUAL MUST LIST THE NAME, ADDRESS, AND BUSINESS INTERESTS OF EMPLOYER OR, IF SELF-EMPLOYED, THE NAME, ADDRESS, AND NATURE OF THE BUSINESS

NAME OF EMPLOYER/BUSINESS BUSINESS INTERESTS

ADDRESS OF EMPLOYER/BUSINESS

A FILER THAT IS A BUSINESS ENTITY MUST DESCRIBE THE BUSINESS ACTIVITY IN WHICH IT IS ENGAGED
Gourmet Food

A FILER THAT IS AN ASSOCIATION MUST PROVIDE A SPECIFIC DESCRIPTION OF ITS INTERESTS

A FILER THAT IS NOT AN INDIVIDUAL, BUSINESS ENTITY, OR ASSOCIATION MUST DESCRIBE THE COMMON ECONOMIC INTEREST OF THE GROUP OR ENTITY

3. Summary

(Amounts may be rounded to whole dollars.)

1. Expenditures and contributions (including loans) of \$100 or more made this period. (Part 5.)	\$ <u>72,754</u>
2. Unitemized expenditures and contributions (including loans) under \$100 made this period.	\$ <u>0</u>
3. Total expenditures and contributions made this period. (Add Lines 1 + 2.)	SUBTOTAL \$ <u>72,754</u>
4. Total expenditures and contributions made from prior statement. (Enter amount from Line 5 of last statement filed. If this is the first statement for the calendar year, enter zero.)	\$ <u>0</u>
5. Total expenditures and contributions (including loans) made since January 1 of the current calendar year. (Add Lines 3 + 4.)	TOTAL \$ <u>72,754</u>

4. Verification

I have used all reasonable diligence in preparing this statement. I have reviewed the statement and to the best of my knowledge the information contained herein is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 7/15/XX By _____
DATE SIGNATURE OF INDIVIDUAL DONOR OR RESPONSIBLE OFFICER, IF OTHER THAN AN INDIVIDUAL

How to Complete

A Statement Period and Date of Election

If this is the first filing of the calendar year, the “from date” should be January 1. Otherwise, this date should be the day after the closing date of the most recently filed Form 461. The closing date of the statement depends on the type of statement being filed. Only city committees complete the “Date of election” box when filing a preelection statement.

1 Filer Information

Enter the legal name of the individual or entity filing the statement. If the filer is commonly known to the public by another name, that name may be used. When a person directs and controls the making of contributions and independent expenditures by a related entity (e.g., a subsidiary or a majority shareholder of a corporation) that must be aggregated and reported on Form 461, list as the “Name of Filer” the name of the individual or entity that directs and controls the making of the contributions.

Ex 4.7 – Rosebud Productions is disclosing a \$10,000 contribution made on May 1. Its Form 461 will show the period as January 1 through June 30. In the second half of the year, Rosebud made another contribution. Its second Form 461 for the year will show the period as July 1 through December 31.

Ex 4.8 – Hector Flores is the sole owner of two separate businesses. Hector directed a \$7,000 contribution from one business to a local ballot measure committee in January and a \$5,000 contribution from the other business to a local candidate in February. No other contributions were made in the calendar year. Hector will file the Form

continued on next page

Ex 4.8 continued – 461 under the name “Hector Flores (including aggregated contributions).” The Form 461 will identify both contributions and the business entity name associated with each contribution. Hector must also notify the ballot measure committee and the candidate that the contributions must be reported under the name Hector Flores.

In addition:

- Indicate that the campaign statement includes the contributions of other entities. For example, “ABC Corporation, including aggregated contributions.”

Other examples for listing major donors and affiliates: Johnson, Dana/DJ Capitol Group, Inc.; Rivera, Mark/Sara A. Rivera Family Trust; Feather River Tribal Council/Bear Mountain Casino; Spar Industries, Inc; Robert L. Spar; Darryl Spar; Solano Engineers, Inc.; Gulf Oil Products and affiliated entities.

- Identify any entities added to this report that were not included in a prior report filed for the current calendar year, as well as any entities included in a prior report for the current calendar year that are no longer required to aggregate under the name of filer.
- Identify both names if the “Name of Filer” listed on a previous report filed for the current calendar year is different from the name identified on this report. For example, “Tim Lewis, formerly Lewis Construction.”
- Enter the name of the responsible officer of an entity or organization filing the statement.

2 Nature and Interests of Filer

The nature and interests of the filer must be clearly identified. The business activity, association interests, or economic interest must be defined in the appropriate category. When payments from different persons are reported, if there are different interests, an attachment must identify the various persons and respective interests.

3 Summary

The summary lists the totals of all expenditures and contributions (including loans) made during the period covered by the statement. Collectively, Lines 1-3 represent the expenditures and contributions made during the reporting period. Line 4 must be the same figure shown on Line 5 of the previously filed statement or zero if it is the first statement for the calendar year. Line 5 represents the total of all expenditures and contributions made since January 1 of the current calendar year.

4 Verification

An attorney, a certified public accountant, or a responsible officer of an entity or an entity filing jointly with any number of affiliates may sign the verification on behalf of the entity or entities. However, a statement filed by an individual must be signed by the individual rather than the individual’s attorney or certified public accountant. The Form 461 is not considered filed if it is not signed.

The amendment box is checked if an amendment is being filed to a previously filed Form 461. A brief explanation of the change is required and the period covered by the statement being amended should be noted above in the “statement covers period” box.

5

5. Contributions (Including Loans, Forgiveness of Loans, and Loan Guarantees) and Expenditures Made

(If more space is needed, use additional copies of this page for continuation sheets.)

DATE	NAME, STREET ADDRESS, CITY, STATE AND ZIP CODE OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	TYPE OF PAYMENT	DESCRIPTION OF PAYMENT (IF OTHER THAN MONETARY CONTRIBUTION OR LOAN)	CANDIDATE AND OFFICE, MEASURE AND JURISDICTION, OR COMMITTEE	AMOUNT THIS PERIOD	CUMULATIVE AMOUNT RELATED TO THIS CANDIDATE, MEASURE, OR COMMITTEE
4/10/XX	Committee to Save California and Supporting J.Addams, AD 5 2014 4733 Rancho Road Sacramento, CA 95821 #1251273 (made by Hart Co.)	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Loan <input type="checkbox"/> Non-Monetary Contribution <input type="checkbox"/> Independent Expenditure		Jane Addams, AD 5 <input type="checkbox"/> Support <input checked="" type="checkbox"/> Oppose	6,000	6,000
6/1/XX	Republican Party 123 G Street Anytown, CA 95881 #0012233	<input checked="" type="checkbox"/> Monetary Contribution <input type="checkbox"/> Loan <input type="checkbox"/> Non-Monetary Contribution <input type="checkbox"/> Independent Expenditure		Republican Party <input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose	26,000	26,000
5/27/XX	KZYY 1240 AM 4700 Broadway Sacramento, CA 95814 (made by Kline Ltd.)	<input type="checkbox"/> Monetary Contribution <input type="checkbox"/> Loan <input type="checkbox"/> Non-Monetary Contribution <input checked="" type="checkbox"/> Independent Expenditure	air time	Jane Addams, AD 5 <input type="checkbox"/> Support <input checked="" type="checkbox"/> Oppose	30,000	30,000
6/1/XX	Pym and Associates 34 Gough Street San Francisco, CA 94103 (made by Kline Ltd.)	<input type="checkbox"/> Monetary Contribution <input type="checkbox"/> Loan <input checked="" type="checkbox"/> Non-Monetary Contribution <input type="checkbox"/> Independent Expenditure	advertisement	Yes on Prop. 88, State or Yes on Prop. 88, Voters for Schools <input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose	10,754	10,754
SUBTOTAL \$					72,754	

5 Contributions and Expenditures Made

- Disclose the dates of the contributions or independent expenditures made during the period. (See Chapter 2 for determining when a contribution is made.)
- Each payment of \$100 or more must be itemized. The name, street address, city, state, and zip code of the payee must be included. Also include committee I.D. numbers if applicable. Do not use a post office box number.
- For aggregated activity, identify the name of the entity making the contribution or independent expenditures for each itemized payment made.
- Check the box indicating what type of payment was made. If the payment was a nonmonetary contribution, provide a description of the payment.
- If a total of \$100 or more was contributed or expended during a calendar year to support or oppose a single candidate, ballot measure, or general purpose committee (e.g., a political party or a PAC), the name of the candidate and the office sought or held, the name and jurisdiction of the ballot measure, or the name of the general purpose committee must be disclosed. For each candidate or measure listed, indicate whether the payment was made to support or oppose the candidate or measure.
- The amount of contributions or expenditures made this period relative to each candidate, measure, or committee and the cumulative amount contributed or paid since January 1 of the current calendar year must be provided.
- **Multi-Purpose Organizations.** When a multi-purpose organization uses non-donor funds to make contributions or independent expenditures, it must briefly describe in the

“Description of Payment” field or in a memo entry on the Form 461, the source of non-donor funds used. For example, if interest income was used to make the political payments, that must be noted.

Reporting Tips

Affiliated Entities. When contributions or expenditures from affiliated entities are itemized on one statement, each affiliated entity must be identified with its specific payment. In addition, the statement must also identify the addition or deletion of any entity for which contributions and expenditures are aggregated together.

Loans. Loan repayments are not reported. However, if a loan was made and forgiven during the same calendar year, the amount of the forgiveness under “Description of Payment” is reported. The same is true if a loan guarantee is made and payments to the lender are made during the same calendar year.

Giving to Primarily Formed Committee. When a payment is made to a primarily formed committee for a candidate or measure, disclose the name of the primarily formed committee under the “name of the payee” section. Under the applicable section, disclose the candidate and office or measure and jurisdiction and whether the committee to which you contributed “supports” or “opposes” the particular candidate or measure. For example, the oppose box would be marked if a contribution was made to the Committee to Oppose Candidate Saxton.

Returned Contributions. A contribution that is returned, uncashed, during the reporting period is not reportable. If a candidate returns a contribution that has been previously reported, do not amend the previous report. The contribution can be noted as returned in the subsequent report.

Federal Candidates. Contributions made to federal candidates or candidates in other states should not be reported on Form 461.

Transferred Contribution. A contribution that has been transferred by a candidate to another of his or her controlled committees does not need to be reported again. However, in some cases, the transferred contribution counts toward the state contribution limit for the new committee for that donor.

Spouses. The contributions of spouses and domestic partners are calculated separately for purposes of qualifying as a major donor committee. If both individuals qualify as major donor committees, they must file separate forms.

Contributions to an officeholder’s committee are cumulated with the candidate’s future election committee contributions for purposes of contribution limits. For purposes of reporting on Form 461 payments that are not cumulated include:

- Contributions made to a candidate’s election committee and to that candidate’s controlled ballot measure committee, legal defense fund, or recall committee or officeholder committee.
- Independent expenditures supporting a candidate and contributions to that same candidate’s campaign.

Nonmonetary Contributions. If goods on hand were contributed (e.g., paper or postage), describe the goods or services in the “Description of Payment” column, and disclose the fair market value of the goods or services. The fair market value is the amount it would cost the recipient to purchase the goods on the open market.

Subvendor Payments. If a payment was made to an agent or independent contractor who then made payments on behalf of the filer (subvendor payments), disclose payments made to the subvendor in addition to the payments made to the agent. Report the name and address of the agent, followed by the name and address of each subvendor paid \$500 or more. Amounts paid to the agent are disclosed in the “Amount this Period” column and the amounts paid to a subvendor(s) are reported in the “Description of Payment” column or may be in another format as long as it is clearly identified.

5. Contributions (Including Loans, Forgiveness of Loans, and Loan Guarantees) and Expenditures Made

(If more space is needed, use additional copies of this page for continuation sheets.)

DATE	NAME, STREET ADDRESS, CITY, STATE AND ZIP CODE OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	TYPE OF PAYMENT	DESCRIPTION OF PAYMENT (IF OTHER THAN MONETARY CONTRIBUTION OR LOAN)	CANDIDATE AND OFFICE, MEASURE AND JURISDICTION, OR COMMITTEE	AMOUNT THIS PERIOD	CUMULATIVE AMOUNT RELATED TO THIS CANDIDATE, MEASURE, OR COMMITTEE
5/28/XX	AMCD Political Consulting \$30,000 444 Main Street Sacramento, CA 95826	<input type="checkbox"/> Monetary Contribution <input type="checkbox"/> Loan <input checked="" type="checkbox"/> Non-Monetary Contribution <input type="checkbox"/> Independent Expenditure	Mailing - subvendor Joe's Printing, 222 Front St, Sac CA 95811 \$10,000	Proposition A, Sacramento <input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose	10,000	40,000
6/3/XX	AMCD Political Consulting (continued) Subvendor: KKPP radio, 444 St, Sacramento, CA 95814 (\$15,000)	<input type="checkbox"/> Monetary Contribution <input type="checkbox"/> Loan <input checked="" type="checkbox"/> Non-Monetary Contribution <input type="checkbox"/> Independent Expenditure	Radio Ads	Proposition B, Sacramento <input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose	15,000	15,000

Staff Time. If an employee spends more than 10 percent of his or her compensated time in any one month engaged in campaign activities that expressly advocate the support of or opposition to a candidate or measure (e.g., phone banks or precinct walking), the employer must report the payments as nonmonetary contributions. The names, addresses, and the specific salaries of the employees are not required to be disclosed.

Candidate's Contributions. A candidate's contributions to his or her own committee do not trigger the requirement to file Form 461. However, a candidate filing a Form 461 disclosing personal contributions made to other candidates or committees must also disclose personal contributions made to his or her own controlled committees.

Intermediary. If a contribution is made through an intermediary, list the name and address and committee number, if applicable, of the intermediary.

Software Programs. This manual provides reporting exhibits. Some software programs may vary the display of data. As long as voters may easily identify the required information, this is permissible. This is also true for a filer submitting a paper report.

D. Additional Reports

In addition to the Form 461 and Form 497, other reports may be required depending on a committee's activity, including:

- Paid Spokesperson Report (Form 511)
- Report of Communications Identifying State Candidates (Form E-530)

A major donor that makes independent expenditures must report such payments on Form 461 and also the following forms. See Manual 6 for guidance and examples on how to complete the following forms:

- Verification of Independent Expenditure (Form 462)
- Supplemental Independent Expenditure Report (Form 465)
- 24-Hour Independent Expenditure Report (Form 496)

FPPC Reporting Forms

	File
Makes contribution(s) totaling \$10,000 or more to support or oppose state and local candidates, measures, or committees.	Form 461
Makes independent expenditures of \$1,000 or more to support or oppose a single candidate or ballot measure.	Form 461, Form 462, Form 465
Makes independent expenditures of \$1,000 or more to support or oppose a single candidate or measure during the 90 days before the candidate's or measure's election.	Form 496
Makes contributions totaling \$1,000 or more to a single candidate or primarily formed ballot measure or candidate committee during the 90 days before the candidate's or measure's election.	Form 497
Makes contributions totaling \$1,000 or more to a political party (including central committees) during the 90 days before a state election.	Form 497
Makes expenditures of \$5,000 or more for an individual to appear in a ballot measure advertisement.	Form 511
Makes an expenditure of any amount for an individual to appear in a ballot measure advertisement that states or suggests that the individual is a member of an occupation that requires licensure, certification, or other specialized, documented training as a prerequisite to engage in that occupation (e.g., nurse, doctor, firefighter, scientist, engineer, lawyer)	Form 511
Makes payments of \$50,000 or more to "feature" a state candidate 45 days before an election.	Form E-530

Ballot Measure Ad – Paid Spokesperson Report (Form 511)

The Act requires specific disclosure when a committee pays an individual for his or her appearance in a ballot measure advertisement. The committee must file a Paid Spokesperson Report (Form 511) and include a disclaimer on the ad in the following situations:

- **\$5,000 payment to individual in ad:** The committee makes expenditures totaling \$5,000 or more to an individual for his or her appearance in an advertisement to support or oppose the qualification, passage or defeat of a state or local ballot measure.
- **Any payment to individual in ad portraying a professional (nurse, doctor, firefighter, scientist, engineer, lawyer, etc.):** The committee makes expenditures of any amount to an individual for his or her appearance in an advertisement to support or oppose the qualification, passage or defeat of a state or local ballot measure that states or suggests that the individual is a member of an occupation that requires licensure, certification, or other specialized, documented training to engage in that occupation

See Chapter 3 for information about the advertisement disclaimer requirements.

When to File Form 511

A committee that makes an expenditure identified above must file the Form 511 within 10 days. An expenditure is made on the date the payment is made or the date the services are received, if any, whichever is earlier.

Where to File Form 511

State Committees: File Form 511 with the Secretary of State. Committees required to E-file must also file a paper version.

Local Committees: File Form 511 in the same location the committee files its regular campaign statements (i.e., Form 461).

Committees Reporting Top Contributors

The FPPC publishes information for voters on its website that identifies major funding sources of state campaigns. Specifically, state ballot measure committees that raise \$1,000,000 or more for an election must file a report (Form T-10) with the FPPC and identify the current top 10 contributors of \$10,000 or more to the committee. Similar reports are also filed by committees primarily formed to support or oppose state candidates. A major donor committee may be identified on these reports.

Paid Spokesperson Report

Type or print in ink. Amounts may be rounded to whole dollars.

Date Stamp

CALIFORNIA
FORM 511

For Official Use Only

NAME OF FILER

Hart Corporation (including aggregated contributions and independent expenditures)

ID # (If required)

AREA CODE/PHONE NUMBER

(916) 876-5023

E-MAIL (Optional)

howard.hart@sbcglobal.net

Amendment (explain)

STREET ADDRESS

4300 Industrial Park West

CITY

Sacramento

STATE

CA

ZIP CODE

95817

Payments Made

 Attach additional information on appropriately labeled continuation sheets.

DATE	NAME AND ADDRESS OF SPOKESPERSON	BALLOT MEASURE SUPPORTED OR OPPOSED (INCLUDE BALLOT NUMBER OR LETTER AND JURISDICTION)	AMOUNT
10/1/XX	Judy Sanchez 10 Main Street Sacramento, CA 95814 (Hart Corp.)	Prop. 215, State of CA <input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose	5,500
10/1/XX	Steve Fong 28 Gerber Drive Sacramento, CA 95814 (Kline Ltd.)	Prop. 215, State of CA <input checked="" type="checkbox"/> Support <input type="checkbox"/> Oppose	7,000
		<input type="checkbox"/> Support <input type="checkbox"/> Oppose	

Verification

I have used all reasonable diligence in preparing this report. I have reviewed the report and to the best of my knowledge the information contained herein is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 10/5/XX
DATE

By [Signature Required]
SIGNATURE OF TREASURER/ASSISTANT TREASURER/FILER

How to Complete Form 511

Payments Made

In the first column, provide the date the payments were made or the services were received, whichever is earlier. In the second column, indicate the name and address of the individual who was paid to appear in the advertisement. In the third column, provide the name, number or letter, and jurisdiction of the ballot measure supported or opposed by the advertisement. Finally, in the last column, indicate the total amount of the expenditure.

Ex 4.9 – A corporation pays \$30,000 for a public relations firm to produce a television advertisement supporting Measure B. A celebrity is paid \$5,000 or more to appear in the ad. The corporation must file the Form 511. In addition, the ad must state that the individual was paid to appear.

Verification

The Form 511 is not considered filed if it is not signed.

Amendments

To amend a previously filed Form 511, file another Form 511 with the corrected information. Check the “Amendment” box and give a brief description of the amendment. Like the original, the amendment must be signed and dated. There is no deadline for filing amendments. However, amendments should be filed prior to the respective election, or as soon as practicable. File the amendment in the same location(s) as the original.

Communications Identifying State Candidates (Form E-530)

A major donor committee that spends \$50,000 or more for a communication disseminated during the 45 days before an election that clearly identifies a state candidate appearing on the ballot, but does not expressly advocate the election or defeat of that candidate, must file Form E-530 electronically with the Secretary of State within 48 hours of making payments, or promising to make payments, totaling \$50,000 or more. The report must disclose the amount and date of the payment(s), and the name of and office sought by the candidate identified in the communication. A separate report must be filed for each state candidate identified in the communication.

Form E-530 must be filed in addition to any preelection or semi-annual campaign statements the committee is required to file. The report must be verified by a written “electronic filing declaration,” signed, dated, and verified on the same date that the report is transmitted to the Secretary of State. This declaration must be retained in the committee’s records for five years following the date that the campaign report to which it relates is filed. The statement must include the following language:

“I have used all reasonable diligence in preparing this report and to the best of my knowledge the information contained herein is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.”

Ex 4.10 – The Temple Company purchased billboard space up and down Interstate 5 stating, “Thank You, Governor Romez, for Supporting Assembly Bill 1 Helping California’s Children.” The billboard space was rented for the 30 days prior to the Governor’s election. The total cost for design, printing, placement, and space rental was \$65,000 and was paid on October 6. By October 8, the company must file the Form E-530 electronically with the Secretary of State.

How to File Form E-530

There is no paper version of the Form E-530. To access the online form, go to the Secretary of State's website (www.sos.ca.gov) and click on "For Filers Only" under Campaign Finance. You must apply for a Filer ID and password using the form provided. Then, from the same location on the website, you can click on the E-530 link to access the form.

Authority

The following Government Code sections and Title 2 regulations provide authority for the preceding information in this chapter:

Government Code Sections

- 81004 Reports and Statements; Perjury; Verification.
- 81004.5 Reports and Statements; Amendments.
- 82015 Contribution.
- 82025 Expenditure.
- 82027.5 General Purpose Committee.
- 82036 Late Contribution.
- 82036.5 Late Independent Expenditure.
- 82044 Payment.
- 82046 Period Covered.
- 84200 Semi-Annual Statements.
- 84200.5 Preelection Statements.
- 84200.6 Special Campaign Statements and Reports.
- 84202.7 Time for Filing by Committees of Odd-Numbered Year Reports.
- 84203 Late Contribution; Reports.
- 84203.3 Late In-Kind Contributions.
- 84203.5 Supplemental Independent Expenditure Report.
- 84204 Late Independent Expenditures; Reports.
- 84211 Contents of Campaign Statement.
- 84213 Verification.
- 84215 Campaign Reports and Statements; Where to File.
- 84303 Expenditure by Agent or Independent Contractor.
- 84511 Ballot Measure Ads; Paid Spokesperson Disclosure.

- 84605 Who Shall File Online.
- 84615 Campaign Reports and Statements - Electronic Filing for Local Agencies.
- 85310 Communications Identifying State Candidates.

Title 2 Regulations

- 18116 Reports and Statements; Filing Dates.
- 18215 Contribution.
- 18227.5 General Purpose Committees: State, County or City.
- 18421.1 Disclosure of the Making and Receipt of Contributions.
- 18423 Payments for Personal Services as Contributions and Expenditures.
- 18427.5 Top Contributor Disclosure by Committees Primarily Formed for State Ballot Measures or Candidates.**
- 18428 Reporting of Contributions and Independent Expenditures Required to be Aggregated.
- 18431 Reporting of Expenditures by an Agent or Independent Contractor.
- 18450.11 Spokesperson Disclosure.
- 18539.2 Reporting Payments Pursuant to Government Code Section 85310.

APPENDIX

The Political Reform Act of 1974

The Political Reform Act (the “Act”) was a voter-approved initiative on the 1974 primary election ballot. One of the major provisions of the Act requires the truthful and accurate disclosure of campaign contributions and expenditures during elections.

The Fair Political Practices Commission

The Fair Political Practices Commission (FPPC) is the independent, nonpartisan state agency authorized to implement, interpret, and enforce the provisions of the Act. A full-time chair appointed by the Governor, and four part-time commissioners, one each appointed by the Controller, the Attorney General, the Secretary of State, and the Governor comprise the Commission. Each member serves a four-year term and no more than three members may be from the same political party. FPPC staff is composed of five divisions: Executive, Administration, Enforcement, Legal, and Technical Assistance.

Governing Statutes

The Act is contained in Government Code Sections 81000-91014.

Regulations

Regulations interpreting the Act are located at Title 2, Division 6 of the California Code of Regulations, beginning at Section 18109.

Opinions and Advice Letters

The FPPC periodically issues opinions interpreting provisions of the Act. The opinions are adopted at a public meeting, with opportunity for input from interested persons.

In addition, FPPC staff issues written advice letters as to the applicability of the Act and regulations to a particular factual situation. -

Refer to the information on requesting written advice from the FPPC available on the FPPC website.

Obtaining Information from the FPPC

Visit the FPPC website to get copies of specific advice letters, sign up for RSS feeds, or to be put on mailing lists.

Fair Political Practices Commission
428 J Street, Suite 620
Sacramento, CA 95814
(916) 322-5660 – Toll-free (866) 275-3772
advice@fppc.ca.gov
Twitter: @CA_FPPC
Facebook: CA FPPC

Website

The FPPC's website (www.fppc.ca.gov) contains a wealth of helpful information, including:

- The Act and its corresponding regulations
- Commission opinions
- Advice letters
- Notices of Commission meeting dates and agendas, supporting documentation for agenda items, and meeting summaries
- Forms required by the Act (also available at the FPPC's office, the Secretary of State's Office, and many local clerks' offices)
- Manuals, fact sheets, and useful summaries of the law
- Enforcement closure letters

Additional Campaign Manuals

Additional copies of this manual, and manuals for other types of campaign committees, are available from the FPPC, the Secretary of State, and many city clerks or county registrars.

Manuals are available for:

- State candidates and officeholders, and committees primarily formed to support/oppose candidates
- Local candidates and officeholders, and committees primarily formed to support/oppose candidates
- Ballot measure committees
- General purpose recipient committees
- Slate mailer organizations

Obtaining Information Elsewhere

A subscription for regulations is available from:

Barclay's Law Publishing

P.O. Box 2006

South San Francisco, CA 94126

(800) 888-3600

Opinions and advice letters are available from these subscription services:

Westlaw (800) 328-9352

Database: "CA-ETH"

(Advice letters from 1986 to present)

Lexis-Nexis (800) 227-9597

Database: "CA Fair Political Practices Commission"

(Advice letters from 1990 to present)

Other Resources

The Secretary of State, city clerks, and county clerks or registrars of voters are the filing officers for campaign disclosure statements. Committee statements will be filed with the Secretary of State or a local clerk or registrar depending on whether the filer is a state candidate, a candidate running for local election, or a state or local committee.

Secretary of State

The Secretary of State is also responsible for issuing campaign committee identification numbers.

(916) 653-6224

(916) 653-5045

www.sos.ca.gov

Federal Election Commission

The Federal Election Commission answers questions regarding federal elections and contributions to all candidates from national banks, national corporations, and foreign nationals.

Federal Election Commission

999 E Street, NW

Washington, DC 20463

(800) 424-9530

www.fec.gov

Franchise Tax Board

The Franchise Tax Board is responsible for responding to questions regarding tax status, tax-deductibility of political contributions, 501(c)(3) groups, audits, or any tax-related questions.

(800) 852-5711 or (800) 338-0505

www.ftb.ca.gov

Internal Revenue Service

The Internal Revenue Service provides assistance regarding federal tax laws and obtaining a taxpayer identification number.

(877) 829-5500 (located in Washington, D.C.)

(800) 829-3676 (taxpayer ID number)

www.irs.gov

Federal Communications Commission

The Federal Communications Commission can answer questions regarding rates for purchasing broadcast time and equal access to broadcast media.

(888) 225-5322 (located in Washington, D.C.)

www.fcc.gov

email: fccinfo@fcc.gov

Local Ordinances

A city or county officeholder, candidate, or committee may be subject to additional reporting or other requirements such as requiring campaign reports to be filed electronically. For example, there may be contribution limits, lower itemization thresholds, or an additional pre-election statement, just to mention a few. A city or county campaign ordinance may never preempt state law.

Privacy Information Notice

Information requested on all FPPC forms is used by the FPPC to administer and enforce the Political Reform Act (Government Code Sections 81000-91014 and California Code of Regulations sections 18109-18997). All information required by these forms is mandated by the Political Reform Act. Failure to provide all of the information required by the Act is a violation subject to administrative, criminal, or civil prosecution. All reports and statements provided are public records open for public inspection and reproduction. If you have any questions regarding this Privacy Notice please contact the FPPC.

General Counsel
428 J Street, Suite 620
Sacramento, CA 95814
(916) 322-5660

Enforcement

The Fair Political Practices Commission, the Attorney General, county district attorneys, and elected city attorneys of charter cities have enforcement authority under the Act. Failure to provide all or any part

of the information required by the Political Reform Act is a violation subject to:

- An administrative enforcement proceeding before the Fair Political Practices Commission;
- A criminal misdemeanor proceeding;
- A civil action; and
- Levying of late penalties by filing officers.

Penalties for not filing campaign statements may be imposed up to \$5,000 per violation.