

1 GARY S. WINUK  
Chief of Enforcement  
**FAIR POLITICAL PRACTICES COMMISSION**

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5 Attorney for Complainant

6  
7 **BEFORE THE FAIR POLITICAL PRACTICES COMMISSION**

8 **STATE OF CALIFORNIA**

9  
10 In the Matter of ) FPPC No. 13/0311  
11 )  
12 )  
13 )  
14 ) MONIQUE DOLLONNE, MONIQUE FOR ) DEFAULT DECISION AND ORDER  
SCHOOL BOARD, AND THOMAS )  
ROHRBECHER, )  
15 )  
) (Gov. Code §§ 11506 and 11520)  
)  
Respondents. )

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17 Complainant, the Fair Political Practices Commission, hereby submits this Default Decision and  
18 Order for consideration at its next regularly scheduled meeting.

19 Pursuant to the California Administrative Procedure Act,<sup>1</sup> Respondents Monique Dollonne,  
20 Monique for School Board, and Thomas Rohrbecher, have been served with all of the documents  
21 necessary to conduct an administrative hearing regarding the above-captioned matter, including the  
22 following:

- 23 1. An Order Finding Probable Cause;
- 24 2. An Accusation;
- 25 3. A Notice of Defense (Two Copies);

26 <sup>1</sup>The California Administrative Procedure Act, which governs administrative adjudications, is contained in Sections  
27 11370 through 11529 of the Government Code.

1 4. A Statement to Respondent; and

2 5. Copies of Sections 11506 through 11508 of the Government Code.

3 Government Code Section 11506 provides that failure of a respondent to file a Notice of Defense  
4 within 15 days after being served with an Accusation shall constitute a waiver of respondent's right to a  
5 hearing on the merits of the Accusation. The Statement to Respondent, served on Respondents Monique  
6 Dollonne, Monique for School Board, and Thomas Rohrbecher, explicitly stated that a Notice of  
7 Defense must be filed in order to request a hearing. Respondents failed to file a Notice of Defense  
8 within fifteen days of being served with the Accusation.

9 Government Code Section 11520 provides that, if the respondent fails to file a Notice of  
10 Defense, the Commission may take action, by way of a default, based upon the respondent's express  
11 admissions or upon other evidence, and that affidavits may be used as evidence without any notice to the  
12 respondent.

13 Respondents Monique Dollonne, Monique for School Board, and Thomas Rohrbecher, violated  
14 the Political Reform Act as described in Exhibit 1, and accompanying declarations, which are attached  
15 hereto and incorporated by reference as though fully set forth herein. Exhibit 1 is a true and accurate  
16 summary of the law and evidence in this matter. This Default Decision and Order is submitted to the  
17 Commission to obtain a final disposition of this matter.

18  
19 Dated: \_\_\_\_\_

\_\_\_\_\_  
20 Gary S. Winuk, Chief of Enforcement  
21 Fair Political Practices Commission  
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**ORDER**

The Commission issues this Default Decision and Order and imposes an administrative penalty of Twelve Thousand Dollars (\$12,000) upon Respondents Monique Dollonne, Monique for School Board, and Thomas Rohrbecher, payable to the “General Fund of the State of California.”

IT IS SO ORDERED, effective upon execution below by the Chair of the Fair Political Practices Commission at Sacramento, California.

Dated: \_\_\_\_\_

\_\_\_\_\_  
Joann Remke, Chair  
Fair Political Practices Commission

## EXHIBIT 1

### INTRODUCTION

Monique Dollonne (“Respondent Dollonne”) was an unsuccessful candidate for the Ventura Unified School District Board in the November 3, 2009 election. Monique for School Board (“Respondent Committee”) is Respondent Dollonne’s controlled committee and Thomas Rohrbecher (“Respondent Rohrbecher”) served as the treasurer for Respondent Committee. Respondents Dollonne, Rohrbecher, and Committee are referred to collectively as “Respondents.” Under the Political Reform Act (the “Act”),<sup>1</sup> Respondents have a duty to timely file campaign disclosure statements. For the purposes of this Default, Respondents’ violations of the Act are stated as follows:

- Count 1:** Respondents failed to file a semiannual campaign statement covering January 1, 2011 through June 30, 2011, due August 1, 2011, in violation of section 84200.
- Count 2:** Respondents failed to file a semiannual campaign statement covering July 1, 2011 through December 31, 2011, due January 31, 2012, in violation of section 84200.
- Count 3:** Respondents failed to file a semiannual campaign statement covering January 1, 2012 through June 30, 2012, due July 31, 2012, in violation of section 84200.
- Count 4:** Respondents failed to file a semiannual campaign statement covering July 1, 2012 through December 31, 2012, due January 31, 2013, in violation of section 84200.
- Count 5:** Respondents failed to file a semiannual campaign statement covering January 1, 2013 through June 30, 2013, due July 31, 2013, in violation of section 84200.
- Count 6:** Respondents failed to file a semiannual campaign statement covering July 1, 2013 through December 31, 2013, due January 31, 2014, in violation of section 84200.

### SUMMARY OF THE LAW

When the Act was enacted, the people of the state of California found and declared that previous laws regulating political practices suffered from inadequate enforcement by state and local authorities. (§ 81001, subd. (h).) To that end, the Act is to be liberally construed and vigorously enforced to achieve its purposes. (§§ 81002, subd. (f), 81003.) One such purpose of the Act, as set forth in section 81002, subdivision (a), is to ensure that the contributions and expenditures affecting election campaigns are fully and truthfully disclosed to the public, so that voters are better informed, and improper practices are inhibited; the Act, therefore, established a comprehensive campaign finance reporting system in furtherance of this purpose. The following reflects the law as it was in effect at the time of the relevant violations.

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<sup>1</sup> The Political Reform Act is contained in Government Code sections 81000 through 91014. The regulations of the Fair Political Practices Commission (“Commission”) are contained in Sections 18110 through 18997 of Title 2 of the California Code of Regulations. All statutory references are to the Government Code and all regulatory references are to Title 2, Division 6 of the California Code of Regulations, unless otherwise indicated.

## **Campaign Reporting and the Duty to File Semiannual Statements**

Under the Act's campaign reporting system, candidates<sup>2</sup> and committees<sup>3</sup> are required to file periodic campaign statements<sup>4</sup> disclosing their financial activities. Under section 84200, the duty to file campaign statements includes the filing of semiannual statements. The first semiannual statement covers the reporting period January 1 to June 30, and must be filed by July 31; the second covers the reporting period July 1 to December 31, and must be filed by January 31 of the following year.<sup>5</sup> The semiannual statements must contain all information required by Section 84211, including contributions received and expenditures made by the committee during the relevant reporting period.

### **Candidate and Treasurer Liability**

Under sections 81004, 84100, 84213, and regulation 18427, it is the duty of the candidate and the treasurer of a controlled committee to ensure that the committee complies with all of the requirements of the Act concerning the receipt, expenditure, and reporting of funds. The candidate and treasurer may be held jointly and severally liable, along with the committee, for violations committed by the committee. (§§ 83116.5, 91006; regulation 18316.6.)

### **SUMMARY OF THE FACTS**

Documents supporting the factual history are described in the Certification of Records ("Certification"), attached as Exhibit A, A-1 through A-3, and incorporated by reference.

On or around August 7, 2009, Monique Dollonne ("Respondent Dollonne") declared her intention to run for a seat on the Ventura Unified School District Board. (Certification, Exhibit A-1.) Respondent Dollonne established "Monique for School Board" ("Respondent Committee"), as her candidate controlled committee, and Thomas Rohrbecher ("Respondent Rohrbecher") served as the treasurer for Respondent Committee. (Certification, Exhibit A-2.) As such, Respondents were required to file periodic campaign disclosure statements, and continue filing until they terminate their committee status. (§§82013, 84214.) The last statement filed by the Respondents covered the period July 1, 2010 through December 31, 2010, reflecting an ending cash balance of \$4,100.19. (Certification, Exhibit A-3.) Since then, Respondents neither terminated the committee nor filed any of the subsequent campaign statements.

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<sup>2</sup> "Candidate" includes any individual who is listed on the ballot for election to any elective office. The status as a candidate remains until such time as that status is terminated pursuant to Section 84214. (§ 82007.)

<sup>3</sup> Section 82013, subdivision (a), defines a "committee" to include any person or combination of persons who receives contributions totaling \$1,000 or more in a calendar year (commonly referred to as a "recipient committee"). Recipient committees retain their status as a committee until that status is terminated pursuant to Section 84214. Under Section 82016, a recipient committee which is controlled directly or indirectly by a candidate is a "controlled committee."

<sup>4</sup> "Campaign statement" means an itemized report which is prepared on a form prescribed by the Commission and which provides the information required by Chapter 4 of this title. (§ 82006.)

<sup>5</sup> Under regulation 18116, if the last day of the month falls on a Saturday, Sunday or official holiday, the filing deadline is extended to the next regular business day. July 31, 2011 was a Sunday.

Accordingly, Respondents committed six violations of the Act, as follows:

### **Counts 1–6: Failure to File Semiannual Campaign Statements**

Respondents had a duty to file semiannual statements for periods January 1, 2011 through June 30, 2011 (due August 1, 2011); July 1, 2011 through December 31, 2011 (due January 31, 2012); January 1, 2012 through June 30, 2012 (due July 31, 2012); July 1, 2012 through December 31, 2012 (due January 31, 2013); January 1, 2013 through June 30, 2013 (due July 31, 2013); July 1, 2013 through December 31, 2013 (due January 31, 2014). Respondents failed to file these statements.

### **PROCEDURAL HISTORY**

Documents supporting the procedural history are described in the Certification, attached as Exhibit A, A-4 through A-16, and incorporated by reference.

This matter arose out of Non-Filer Enforcement Referrals from the Ventura County Clerk (the “County Clerk”). (Certification, Exhibit A-4.) Between July 11, 2011 and February 20, 2014, the County Clerk sent Respondents 13 notifications informing them of their duty to file semiannual statements and reminding Respondents to file their outstanding statements. (Certification, Exhibit A-5.) Respondents failed to file any of their outstanding statements.

Before initiating an administrative action, the Enforcement Division called Respondents six times between June 7, 2013 and August 30, 2013, speaking with Respondent Dollonne once and Respondent Rohrbecher once. The Enforcement Division informed Respondents of their violations and attempted to facilitate compliance. (Certification, Exhibit A-6.) Respondents failed to file the outstanding statements or terminate the Committee.

On September 5, 2013, the Enforcement Division sent Respondents a settlement communication offering to resolve the matter with a \$200.00 fine per violation and the filing of the delinquent statements. (Certification, Exhibit A-7.) Respondents failed to file the statements, pay the fine, or enter into the settlement agreement. On October 25, 2013, the Enforcement Division sent Respondents a second settlement communication, this time offering to resolve the matter with a \$400.00 fine per violation and the filing of the delinquent statements. (Certification, Exhibit A-8.) Again, Respondents failed to file the statements, pay the fine, or enter into the settlement agreement.

In April 2014, the Enforcement Division reached out to Respondents in an attempt to dispose of the case before commencing formal administrative action. Seven phone calls were made by the Enforcement Division, speaking with Respondent Dollonne five times. Respondent Dollonne indicated she would fill out the required forms within a week. (Certification, Exhibit A-9.) Respondent Dollonne, however, failed to follow through – she took no corrective action to amend previously filed statements, file outstanding statements, or terminate the committee. Another six phone calls were made in May, speaking with Respondent Rohrbecher, once, who indicated Respondent Dollonne would take care of everything. When there was no response again, the Enforcement Division initiated an administrative action.

### **Initiation of the Administrative Action**

Under section 83115.5, probable cause can only be found by the Fair Political Practices Commission (the “Commission”) when the Respondent is (1) notified of the alleged violation by service of process or registered mail with return receipt requested;<sup>6</sup> (2) provided with a summary of the evidence; and (3) informed of his or her right to be present and represented by counsel at any probable cause proceeding held by the Commission in connection with the Respondent’s case. Service of the probable cause notice is considered the commencement of an administrative action, and all administrative actions must be commenced within five years of the date on which the alleged violation occurred. (§ 91000.5.) Service is considered effective on the date of service, the date the registered mail receipt is signed, or if the registered mail receipt is not signed, the date returned by the post office. (§ 83115.5.)

In accordance with sections 83115.5 and 91000.5, the Enforcement Division initiated the administrative action against Respondents in this matter by serving them with a packet containing a cover letter, a Report in Support of a Finding of Probable Cause (the “Report”), a fact sheet, selected sections of the California Government Code, and selected regulations of the Commission regarding probable cause proceedings. (Certification, Exhibit A-10.) Respondent was served by certified mail, return receipt requested. The original return receipt addressed to Respondents was signed on June 6, 2014, and was returned to the Enforcement Division. (Certification, Exhibit A-11.) Therefore, the administrative action commenced on June 6, 2014, the date the certified mail receipt was signed, and the five year statute of limitations was effectively tolled on this date.

The information contained in the above-mentioned packet advised Respondent that she had 21 days to request a probable cause conference or file a written response to the Report. Respondent neither requested a probable cause conference nor submitted a written response.

### **Ex Parte Request for a Finding of Probable Cause**

Since Respondents failed to request a conference or submit a written response, the Enforcement Division submitted an “Ex Parte Request for a Finding of Probable Cause and an Order that an Accusation be Prepared and Served” to General Counsel Zackery P. Morazzini (the “Hearing Officer”) on July 10, 2014. (Certification, Exhibit A-12.) On July 23, 2014, the Hearing Officer issued the Finding and Order and caused it to be served on the Commission’s Chief of Enforcement, Gary S. Winuk (the “Chief of Enforcement”), and Respondents Dollonne and Rohrbecher. (Certification, Exhibit A-13.)

### **The Issuance and Service of the Accusation**

Under the Act, if the Hearing Officer issues the Finding and Order, the Enforcement Division is to prepare and serve an Accusation, in accordance with the Administrative Procedure Act (the “APA”).<sup>7</sup> (Regulation 18361.4, subd. (e).) The APA requires the Accusation to be a

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<sup>6</sup> When a communication is required to be sent by registered mail, certified mail is considered sufficient. (§ 8311.)

<sup>7</sup> The California Administrative Procedure Act is contained in sections 11370–11529 of the Government Code.

concise written statement, describing the acts or omissions with which the Respondent is charged, and specifying the statutes and rules the Respondent is alleged to have violated. (§ 11503.) Once the Accusation is prepared, the agency is required to serve, by personal service or registered mail, a packet including (1) a copy of the Accusation; (2) a “Notice of Defense” form; (3) a “Statement to the Respondent” explaining the Respondents’ rights to file the Notice of Defense with the Commission within 15 days after service of the Accusation, request a hearing, object to the Accusation’s form or substance or to the adverse effects of complying with the Accusation, admit the Accusation in whole or in part, or present new matter by way of a defense; and (4) copies of sections 11507.5, 11507.6, and 11507.7 of the APA. (§§ 11505, 11506.)

On August 7, 2014, the Chief of Enforcement issued an Accusation against Respondent in this matter. In accordance with section 11505, the Accusation and accompanying information, consisting of a cover letter dated August 7, 2014, a Statement to Respondent, two copies of a Notice of Defense Form, and copies of Government Code sections 11506 through 11508, were personally served on Respondents on August 19, 2014. (Certification, Exhibit A-14.)

On August 27, 2014, the Enforcement Division contacted Respondent Dollonne to discuss the Accusation, the status of the case, and the potential implications of her failure to take advantage of either our settlement offers or her administrative rights. Respondent Dollonne alleged she had sent in a response to previous Enforcement correspondence in late July. The Enforcement Division informed Respondent Dollonne that the response was not received and Respondent Dollonne indicated she would resend the documents. (Certification, Exhibit A-9.)

On September 3, 2014, Respondents’ 15 day period to file a Notice of Defense expired. However, on September 4, 2014, Respondent Dollonne contacted the Commission Assistant regarding the response she allegedly sent in late July. The Commission Assistant also informed Respondent Dollonne that the Commission has no record of receiving any correspondence from Respondents. (Certification, Exhibit A-15.) On September 5, 2014, Respondent indicated she would resend the July correspondence.

To date, the Commission has not received the July correspondence and Respondents never filed a Notice of Defense. Further, Respondents have neither filed the outstanding statements nor terminated the committee.

### **Default Proceedings Under the Administrative Procedure Act**

The APA provides that a Respondent’s failure to file a Notice of Defense within 15 days after service of an Accusation constitutes a waiver of the Respondent’s right to a hearing, and permits the Commission to take action, by way of a default, based on the Respondent’s express admissions, affidavits, or other evidence. (§§ 11506, subd. (c), 11520, subd. (a).)

As a result of Respondents’ waiver, the Enforcement Division sent a letter to Respondents advising them that this matter would be submitted for Default at the Commission’s next public meeting. (Certification, Exhibit A-16.) A copy of the proposed Default Decision and Order and this Exhibit 1 were included with the letter.



## CONCLUSION

This matter consists of six counts of violating the Act, which carry a total maximum administrative penalty of \$30,000.

In determining the appropriate penalty for a particular violation of the Act, the Enforcement Division considers the typical treatment of a violation in the overall statutory scheme of the Act, with an emphasis on serving the purposes and intent of the Act. Additionally, the Enforcement Division considers the facts and circumstances of the violation in context of the factors set forth in regulation 18361.5, subdivision (d)(1)-(6), including the seriousness of the violations; the presence or lack of intent to deceive the voting public; whether the violation was deliberate, negligent, or inadvertent; whether the Respondent(s) demonstrated good faith in consulting with Commission staff; whether there was a pattern of violations; and whether upon learning of the violation the Respondent voluntarily filed amendments to provide full disclosure.

In this matter, Respondents failed to file six semiannual campaign statements. In mitigation, Respondents have no prior history of enforcement action, have been inactive, and provided a bank statement from March 2010 reflecting a \$0 balance and account closure. (Certification, Exhibit A-17.) However, the expenditure of the last reported balance in her campaign account, \$4,100.19 was not detailed or publically reported. In aggravation, Respondents were aware of their filing obligations, were notified 13 times by their filing officer, were contacted more than 30 times by phone and email by the Enforcement Division, and given 13 months to fulfill their filing obligations and participate in the Enforcement Division's streamline settlement program. To date, Respondents have neither filed the outstanding statements nor terminated the committee.

Other similar default cases regarding unsuccessful candidates' failure to file post-election semiannual campaign statements recently approved by the Commission include:

*In the Matter of Edwin Jacinto and The Committee to Elect Edwin Jacinto*, FPPC No. 12/0197. Respondent had an enforcement history with the Commission including a Warning Letter in August 2009 and a \$6,000 fine in June 2011, both for failing to file campaign statements. In this case, Respondent failed to file one pre-election and one semiannual statement, and refused to cooperate with Commission staff. Specific to the semiannual statement, the Commission approved a \$2,000 penalty on December 13, 2012.

*In the Matter of Michael Glover, et al.*, FPPC No. 09/0615. Respondent was found to have committed 16 violations of the Act, four of which were for failure to file semiannual statements. This failure was a part of a pattern of failing to timely file campaign statements that continued for several years and in two separate elections. Respondent did not have an enforcement history. The Commission approved a \$3,000 penalty for each of the four counts on March 15, 2012.

*In the Matter of Maxine Sherard, et al.*, FPPC No. 10/0026. Respondent was charged with eight violations of the Act, two of which were for failure to file semiannual statements. This failure was a part of a pattern of failing to timely file campaign statements that continued for several years and in two separate elections. Respondent had previously received a warning letter regarding campaign reporting violations. The Commission approved a \$2,500 penalty for the two counts regarding semiannual statements.

### **PROPOSED PENALTY**

After consideration of the factors of Regulation 18361.5 and the penalties in prior enforcement actions, the imposition of a penalty of two thousand dollars (\$2,000) per count, for a total penalty of twelve thousand dollars (\$12,000) is recommended.



## FAIR POLITICAL PRACTICES COMMISSION

428 J Street • Suite 620 • Sacramento, CA 95814-2329

(916) 322-5660 • Fax (916) 322-0886

### DECLARATION OF CUSTODIAN OF RECORDS CALIFORNIA FAIR POLITICAL PRACTICES COMMISSION Enforcement Division

#### CERTIFICATION OF RECORDS

I, the undersigned, declare and certify as follows:

1. I am employed as a paralegal by the California Fair Political Practices Commission (the "Commission"). My business address is: California Fair Political Practices Commission, 428 J Street, Suite 620, Sacramento, CA 95814.
2. I am a duly authorized custodian of the records maintained by the Commission in the Enforcement Division. As such, I am authorized to certify copies of those records as being true and correct copies of the original business records which are in the custody of the Commission.
3. I have reviewed documents maintained in *FPPC Case No. 13/0311, Monique Dollonne*, and have caused copies to be made of documents contained therein. I certify that the copies attached hereto are true and correct copies of the documents prepared in the normal course of business and which are contained in files maintained by the Commission. The attached documents are as follows:

Exhibit A-1 Copy of the Form 501 Statement of Intention filed by Monique Dollonne on August 7, 2009;

Exhibit A-2 Copy of the Form 410 Initial Statement for Monique for School Board, filed August 15, 2007, and amended October 4, 2007;

Exhibit A-3 Copy of the last Form 460 Semiannual Campaign Statement filed by Monique Dollonne for Monique for School Board, covering July 1, 2010 through December 31, 2010;

Exhibit A-4 Copies of the Non-Filer Enforcement Referrals from the Ventura County Clerk;

Exhibit A-5 Copies of the 13 notifications sent by the Ventura County Clerk to Respondents;

Exhibit A-6 Copy of Enforcement's Case Chronology for Monique Dollonne FPPC Case No. 13/0311 from May, 2013 through November, 2013;

Exhibit A-7 Copy of the Tier One Streamlined Settlement offer sent by the Fair Political Practices Commission's Enforcement Division to Monique Dollonne, dated September 5, 2013;

- Exhibit A-8 Copy of the Tier Two Streamlined Settlement offer sent by the Fair Political Practices Commission's Enforcement Division to Monique Dollonne, dated October 25, 2013;
- Exhibit A-9 Copy of Enforcement's Case Chronology for Monique Dollonne FPPC No. 13/0311 from April, 2014 through October, 2014;
- Exhibit A-10 Copy of a Cover Letter dated June 2, 2014, Report in Support of a Finding of Probable Cause, dated June 2, 2014, Probable Cause Fact Sheet, Statutes and Regulations, sent to Monique Dollonne and Thomas Rohrbecher;
- Exhibit A-11 Copies of the Certified Mail Receipts, signed and dated June 6, 2014, for the Report in Support of a Finding of Probable Cause and accompanying documents sent to Monique Dollonne and Thomas Rohrbecher;
- Exhibit A-12 Copy of the Ex Parte Request for a Finding of Probable Cause, dated July 10, 2014;
- Exhibit A-13 Copy of the Finding of Probable Cause and Order to Prepare and Serve an Accusation, dated July 23, 2014, and served on Gary Winuk, Monique Dollonne and Thomas Rohrbecher on July 23, 2014;
- Exhibit A-14 Copy of the Accusation and accompanying documents personally served on Monique Dollonne and Thomas Rohrbecher on August 19, 2014;
- Exhibit A-15 Copy of email correspondence between the Commission Assistant and Monique Dollonne on September 4, 2014, and September 5, 2014;
- Exhibit A-16 Copy of the Letter from Chief of the Commission Enforcement Division to Monique Dollonne and Thomas Rohrbecher advising that this matter would be submitted for a Default Decision and Order, dated November 5, 2014;
- Exhibit A-17 Copy of the bank statement emailed to Enforcement by Monique Dollonne on September 4, 2014;

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on November 5, 2014 in Sacramento, California.

  
\_\_\_\_\_  
Tracey Frazier  
Paralegal  
Fair Political Practices Commission

EXHIBIT A-1

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DEFAULT DECISION AND ORDER  
FPPC NO. 13/0311

Candidate Intention Statement

Type or Print in Ink.

FILED

Ventura County Clerk

Date Stamp

AUG 7 2009

CANDIDATE INTENTION STATEMENT

CALIFORNIA FORM 501

For Official Use Only

Check One:  Initial  Amendment (explain)

By: *[Signature]*  
Deputy County Clerk

1. Candidate Information:

NAME OF CANDIDATE (Last, First, Middle Initial) Monique Dollone DAYTIME TELEPHONE NUMBER (805) 654-8774 FAX NUMBER (optional) (805) 644-0850 E-MAIL (optional) isscs@shellglobal.net

STREET ADDRESS 5552 Floyd St CITY VENTURA STATE CA ZIP CODE 93003

OFFICE SOUGHT (POSITION TITLE) Governing Board Member AGENCY NAME NVSD DISTRICT NUMBER, if applicable. CA PARTY:  NON-PARTISAN

OFFICE JURISDICTION  State (Complete Part 2.)  County  Multi-County: VENTURA UNIFIED 09

(Name of State/County Jurisdiction) (Year of Election)

2. State Candidate Expenditure Limit Statement:

(CAREERS candidates, judges, judicial candidates, and candidates for local offices are not required to complete Part 2.)

Primary/general election Special/runoff election

- (Check one box) I accept the voluntary expenditure ceiling for the election stated above.
  - I do not accept the voluntary expenditure ceiling for the election stated above.
- Amendment
- I did not exceed the expenditure ceiling in the primary or special election held on: \_\_\_/\_\_\_/\_\_\_ and I accept the voluntary expenditure ceiling for the general or special run-off election.

On \_\_\_/\_\_\_/\_\_\_ I contributed personal funds in excess of the expenditure ceiling for the election stated above.

3. Verification:

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 8/7/09 Signature *[Signature]*

EXHIBIT A-2

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DEFAULT DECISION AND ORDER  
FPPC NO. 13/0311

Statement of Organization  
 Recipient Committee **R**  
**L-510**

Type or print in ink **1300370**

STATEMENT OF ORGANIZATION

Statement Type  Initial  Amendment  
 Not yet qualified  or List I.D. number: \_\_\_\_\_

Termination -- See Part 5  
 List I.D. number: \_\_\_\_\_

Date qualified as committee: \_\_\_/\_\_\_/\_\_\_ Date qualified as committee (if applicable): \_\_\_/\_\_\_/\_\_\_  
 # \_\_\_\_\_ # \_\_\_\_\_  
 Date of Termination: \_\_\_/\_\_\_/\_\_\_

Date Stamp	<b>AUG 15 2007</b>
RECEIVED AND FILED In the office of the Secretary of State of the State of California	<b>AUG 28 2007</b> <i>red</i>
<b>DEBRA BOWEN</b> Secretary of State	<b>CALIFORNIA FORM 410</b> For Official Use Only

**1. Committee Information**

NAME OF COMMITTEE: **Honique For School Board**  
 STREET ADDRESS (NO P.O. BOX): **5552 Floyd ST**  
 CITY: **VENTURA** STATE: **CA** ZIP CODE: **93003** AREA CODE/PHONE: **(805) 654-8774**  
 MAILING ADDRESS (IF DIFFERENT): **5722 Telephone Road, Suite C-12-110, 155 Ashcglobal.net VENTURA CA 93003**  
 COUNTY OF DOMICILE: **VENTURA** COUNTY WHERE COMMITTEE IS ACTIVE IF DIFFERENT THAN COUNTY OF DOMICILE: \_\_\_\_\_

**2. Treasurer and Other Principal Officers**

NAME OF TREASURER: **TOM ROHRBAEHER**  
 STREET ADDRESS: **5552 FLOYD ST**  
 CITY: **VENTURA** STATE: **CA** ZIP CODE: **93003** AREA CODE/PHONE: **805 654-8774**  
 NAME OF ASSISTANT TREASURER, IF ANY: \_\_\_\_\_  
 STREET ADDRESS: \_\_\_\_\_  
 CITY: \_\_\_\_\_ STATE: \_\_\_\_\_ ZIP CODE: \_\_\_\_\_ AREA CODE/PHONE: \_\_\_\_\_  
 NAME AND POSITION OF OTHER PRINCIPAL OFFICER(S), IF APPLICABLE: \_\_\_\_\_  
 MAILING ADDRESS: \_\_\_\_\_  
 CITY: \_\_\_\_\_ STATE: \_\_\_\_\_ ZIP CODE: \_\_\_\_\_ AREA CODE/PHONE: \_\_\_\_\_

Attach additional information on appropriately labeled continuation sheets.

**3. Verification**

I have used all reasonable diligence in preparing this statement and to the best of my knowledge the information contained herein is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on **8/10/07** DATE  
 Executed on **8/10/07** DATE  
 Executed on \_\_\_\_\_ DATE  
 Executed on \_\_\_\_\_ DATE  
 Executed on \_\_\_\_\_ DATE  
 Executed on \_\_\_\_\_ DATE  
 BY: *[Signature]* SIGNATURE OF TREASURER OR ASSISTANT TREASURER  
 BY: *[Signature]* SIGNATURE OF CONTROLLING OFFICERHOLDER, CANDIDATE, OR STATE MEASURE PROPONENT  
 BY: \_\_\_\_\_ SIGNATURE OF CONTROLLING OFFICERHOLDER, CANDIDATE, OR STATE MEASURE PROPONENT  
 BY: \_\_\_\_\_ SIGNATURE OF CONTROLLING OFFICERHOLDER, CANDIDATE, OR STATE MEASURE PROPONENT  
 BY: \_\_\_\_\_ SIGNATURE OF CONTROLLING OFFICERHOLDER, CANDIDATE, OR STATE MEASURE PROPONENT



**Statement of Organization  
Recipient Committee**

INSTRUCTIONS ON REVERSE  
COMMITTEE NAME Monique For School Board

**4. Type of Committee** Complete the applicable sections.

**Controlled Committee**

- List the name of each controlling officeholder, candidate, or state measure proponent. If candidate or officeholder controlled, also list the elective office sought or held, and district number, if any, and the year of the election.
- List the political party with which each officeholder or candidate is affiliated or check "non-partisan."
- If this committee acts jointly with another controlled committee, list the name and identification number of the other controlled committee.

NAME OF CANDIDATE/OFFICEHOLDER/STATE MEASURE PROPONENT	ELECTIVE OFFICE SOUGHT OR HELD (INCLUDE DISTRICT NUMBER IF APPLICABLE)	YEAR OF ELECTION	PARTY
Monique Dallowe	School Board	2007	<input checked="" type="checkbox"/> Non-Partisan <input type="checkbox"/> Non-Partisan

- List the financial institution where the campaign bank account is located (controlled "candidate election" committees only)

NAME OF FINANCIAL INSTITUTION	AREA CODE/PHONE	BANK ACCOUNT NUMBER	CITY	STATE	ZIP CODE
N/A					

**Primarily Formed Committee**

Primarily formed to support or oppose specific candidates or measures in a single election. List below:

CANDIDATE(S) NAME OR MEASURE(S) FULL TITLE (INCLUDE BALLOT NO. OR LETTER)	CANDIDATE(S) OFFICE SOUGHT OR HELD OR MEASURE(S) JURISDICTION (INCLUDE DISTRICT NO., CITY OR COUNTY, AS APPLICABLE)	CHECK ONE	
		SUPPORT	OPPOSE
		SUPPORT	OPPOSE

# Statement of Organization Recipient Committee

INSTRUCTIONS ON REVERSE

COMMITTEE NAME

## 4. Type of Committee (Continued)

### General Purpose Committee

Not formed to support or oppose specific candidates or measures in a single election. Check only one box:

- CITY Committee
- COUNTY Committee
- STATE Committee

PROVIDE BRIEF DESCRIPTION OF ACTIVITY

### Sponsored Committee

List additional sponsors on an attachment.

NAME OF SPONSOR

INDUSTRY GROUP OR AFFILIATION OF SPONSOR

STREET ADDRESS NO. AND STREET

CITY

STATE

ZIP CODE

### Small Contributor Committee

\_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_ Check box and provide the date this committee qualified as a small contributor committee. If the committee qualified as a small contributor committee on January 1, 2001, enter 1/1/01.  
Date qualified

## 5. Termination Requirements

By signing the verification, the treasurer, assistant treasurer and/or candidate, officeholder, or proponent certify that all of the following conditions have been met:

- This committee has ceased to receive contributions and make expenditures;
  - This committee does not anticipate receiving contributions or making expenditures in the future;
  - This committee has eliminated or has no intention or ability to discharge all debts, loans received, and other obligations;
  - This committee has no surplus funds; and
  - This committee has filed all campaign statements required by the Political Reform Act disclosing all reportable transactions.
- There are restrictions on the disposition of surplus campaign funds held by elected officers who are leaving office and by defeated candidates. Refer to Government Code Section 89519.

**Statement of Organization  
Recipient Committee**

Type or print in ink

1300370

STATEMENT OF ORGANIZATION

Statement Type  Initial

Not yet qualified  or

Amendment

Termination - See Part 5

Date qualified as committee

List I.D. number: # 1300370

Date of Termination

Date Stamp	RECEIVED AND FILED	CALIFORNIA FORM 410
	in the office of the Secretary of State for Official Use Only	
	of the State of California	
	OCT 04 2007	
	DEBRA BOWEN	
	Secretary of State	

*Plan*

**1. Committee Information**

NAME OF COMMITTEE

Monique For School Board

**2. Treasurer and Other Principal Officers**

NAME OF TREASURER

STREET ADDRESS

CITY

STATE

ZIP CODE

AREA CODE/PHONE

STREET ADDRESS (NO P.O. BOX)  
5552 Floyd ST CA 93003 (805)6548774

CITY VENTURA STATE CA ZIP CODE 93003 AREA CODE/PHONE

STREET ADDRESS

CITY

STATE

ZIP CODE

AREA CODE/PHONE

MAILING ADDRESS (IF DIFFERENT)  
5782 Telephone Road, suite C-12-110

NAME OF ASSISTANT TREASURER, IF ANY

OPTIONAL: FAX/E-MAIL ADDRESS  
iscsaxh@globalnet

NAME AND POSITION OF OTHER PRINCIPAL OFFICER(S), IF APPLICABLE

COUNTY OF DOMICILE

COUNTY WHERE COMMITTEE IS ACTIVE IF DIFFERENT THAN COUNTY OF DOMICILE

MAILING ADDRESS

CITY

STATE

ZIP CODE

AREA CODE/PHONE

VENTURA

Attach additional information on appropriately labeled continuation sheets.

**3. Verification**

I have used all reasonable diligence in preparing this statement and to the best of my knowledge the information contained herein is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 9/27/2007 DATE

By [Signature] SIGNATURE OF TREASURER OR ASSISTANT TREASURER

Executed on \_\_\_\_\_ DATE

By \_\_\_\_\_ SIGNATURE OF SOLE/LEAD OFFICER/HOLDER, CANDIDATE, OR STATE MEASURE PROPONENT

Executed on \_\_\_\_\_ DATE

By \_\_\_\_\_ SIGNATURE OF CONTROLLING OFFICER/HOLDER, CANDIDATE, OR STATE MEASURE PROPONENT

Executed on \_\_\_\_\_ DATE

By \_\_\_\_\_ SIGNATURE OF CONTROLLING OFFICER/HOLDER, CANDIDATE, OR STATE MEASURE PROPONENT

**Statement of Organization  
Recipient Committee**

INSTRUCTIONS ON REVERSE

COMMITTEE NAME

Monique Dollone For School Board

Page 2  
I.D. NUMBER

STATEMENT OF ORGANIZATION  
CALIFORNIA  
FORM  
**410**

**4. Type of Committee** Complete the applicable sections.

**Controlled Committee**

- List the name of each controlling officeholder, candidate, or state measure proponent. If candidate or officeholder controlled, also list the elective office sought or held, and district number, if any, and the year of the election.
- List the political party with which each officeholder or candidate is affiliated or check "non-partisan."
- If this committee acts jointly with another controlled committee, list the name and identification number of the other controlled committee.

NAME OF CANDIDATE/OFFICEHOLDER/STATE MEASURE PROPONENT	ELECTIVE OFFICE SOUGHT OR HELD (INCLUDE DISTRICT NUMBER IF APPLICABLE)	YEAR OF ELECTION	PARTY
Monique Dollone	School Board	2007	<input checked="" type="checkbox"/> Non-Partisan <input type="checkbox"/> Non-Partisan

- List the financial institution where the campaign bank account is located (controlled "candidate election" committees only)

NAME OF FINANCIAL INSTITUTION	AREA CODE/PHONE	BANK ACCOUNT NUMBER
Bank of America	(805)	
ADDRESS	CITY	STATE
2699 E Main ST	VENTURA	CA
		ZIP CODE
		93003

**Primarily Formed Committee**

Primarily formed to support or oppose specific candidates or measures in a single election. List below:

CANDIDATE(S) NAME OR MEASURE(S) FULL TITLE (INCLUDE BALLOT NO. OR LETTER)	CANDIDATE(S) OFFICE SOUGHT OR HELD OR MEASURE(S) JURISDICTION (INCLUDE DISTRICT NO., CITY OR COUNTY, AS APPLICABLE)	CHECK ONE	
		SUPPORT	OPPOSE
		SUPPORT	OPPOSE

# Statement of Organization Recipient Committee

INSTRUCTIONS ON REVERSE

COMMITTEE NAME

## 4. Type of Committee (Continued)

### General Purpose Committee

Not formed to support or oppose specific candidates or measures in a single election. Check only one box:

- CITY Committee     COUNTY Committee     STATE Committee

PROVIDE BRIEF DESCRIPTION OF ACTIVITY

### Sponsored Committee

List additional sponsors on an attachment.

NAME OF SPONSOR

INDUSTRY GROUP OR AFFILIATION OF SPONSOR

STREET ADDRESS

NO. AND STREET

CITY

STATE

ZIP CODE

### Small Contributor Committee

\_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_ Date qualified. Check box and provide the date this committee qualified as a small contributor committee. If the committee qualified as a small contributor committee on January 1, 2001, enter 1/1/01.

## 5. Termination Requirements

By signing the verification, the treasurer, assistant treasurer and/or candidate, officerholder, or proponent certify that all of the following conditions have been met:

- This committee has ceased to receive contributions and make expenditures;
  - This committee does not anticipate receiving contributions or making expenditures in the future;
  - This committee has eliminated or has no intention or ability to discharge all debts, loans received, and other obligations;
  - This committee has no surplus funds; and
  - This committee has filed all campaign statements required by the Political Reform Act disclosing all reportable transactions.
- There are restrictions on the disposition of surplus campaign funds held by elected officers who are leaving office and by defeated candidates. Refer to Government Code Section 89519.

EXHIBIT A-3

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DEFAULT DECISION AND ORDER  
FPPC NO. 13/0311

**Recipient Committee  
Campaign Statement  
Cover Page**  
(Government Code Sections 84200-84216.5)

Type or print in ink.

**FILED**  
Ventura County Clerk

COVER PAGE  
CALIFORNIA  
2007/02  
FORM **460**

SEE INSTRUCTIONS ON REVERSE

Statement covers period  
from 09/1/09  
through 12/31/10

Date of election if applicable:  
(Month, Day, Year)  
Nov 3, 2009 By: Shane Paris  
Deputy County Clerk

JAN 31 2011

Page 1 of 3  
For Official Use Only

**1. Type of Recipient Committee:** All Committees - Complete Parts 1, 2, 3, and 4.

- Officeholder, Candidate Controlled Committee
- State Candidate Election Committee
- Recall (Also Complete Part 5)
- General Purpose Committee
- Sponsored
- Small Contributor Committee
- Political Party/Central Committee
- Primarily Formed Candidate/Officeholder Committee (Also Complete Part 7)
- Primarily Formed Ballot Measure Committee
- Controlled
- Sponsored (Also Complete Part 6)

**2. Type of Statement:**

- Pre-election Statement
- Semi-annual Statement
- Termination Statement (Also file a Form 410 Termination)
- Amendment (Explain below)
- Quarterly Statement
- Special Odd-Year Report
- Supplemental Pre-election Statement - Attach Form 495

**3. Committee Information**

COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE)  
HONIGUE DOLANNE for School Board  
2009

I.D. NUMBER  
1300370

STREET ADDRESS (NO P.O. BOX)  
5552 Floyd St  
CITY VENTURA STATE CA ZIP CODE 93003 AREA CODE/PHONE 805-654-8774  
MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BOX

Treasurer(s)  
NAME OF TREASURER  
Sharon S. Rehbecker  
MAILING ADDRESS  
5552 Floyd St  
CITY VENTURA STATE CA ZIP CODE 93003  
NAME OF ASSISTANT TREASURER, IF ANY  
MAILING ADDRESS

CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP CODE \_\_\_\_\_ AREA CODE/PHONE \_\_\_\_\_  
OPTIONAL: FAX / E-MAIL ADDRESS \_\_\_\_\_

CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP CODE \_\_\_\_\_ AREA CODE/PHONE \_\_\_\_\_  
OPTIONAL: FAX / E-MAIL ADDRESS \_\_\_\_\_

**4. Verification**

I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on \_\_\_\_\_ Date  
1/30/11  
Executed on \_\_\_\_\_ Date  
Executed on \_\_\_\_\_ Date

By \_\_\_\_\_ Signature of Treasurer or Assistant Treasurer  
By \_\_\_\_\_ Signature of Controlling Officer, Candidate, State Measure Proponent or Responsible Officer of Sponsor  
By \_\_\_\_\_ Signature of Controlling Officer, Candidate, State Measure Proponent  
By \_\_\_\_\_ Signature of Controlling Officer, Candidate, State Measure Proponent

**Recipient Committee  
Campaign Statement  
Cover Page — Part 2**

Type or print in ink.

**5. Officeholder or Candidate Controlled Committee**

NAME OF OFFICEHOLDER OR CANDIDATE  
Monique Dobbins

OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)  
VUSD Board of Trustee

RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET) CITY STATE ZIP  
5552 Floyd St Ventura, CA 93003

**Related Committees Not Included in this Statement:** List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or make expenditures on behalf of your candidacy.

COMMITTEE NAME	I.D. NUMBER
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)
CITY	STATE ZIP CODE AREA CODE/PHONE
COMMITTEE NAME	I.D. NUMBER
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)
CITY	STATE ZIP CODE AREA CODE/PHONE

**6. Primarily Formed Ballot Measure Committee**

NAME OF BALLOT MEASURE

BALLOT NO. OR LETTER	JURISDICTION	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
----------------------	--------------	---

Identify the controlling officeholder, candidate, or state measure proponent, if any.  
NAME OF OFFICEHOLDER, CANDIDATE, OR PROPONENT

OFFICE SOUGHT OR HELD

DISTRICT NO. IF ANY

**7. Primarily Formed Candidate/Officeholder Committee** List names of officeholder(s) or candidate(s) for which this committee is primarily formed.

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE

Attach continuation sheets if necessary



# Campaign Disclosure Statement

## Summary Page

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SEE INSTRUCTIONS ON REVERSE  
NAME OF FILER

RONIQUE DOCCONNE

### Contributions Received

	Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B CALENDAR YEAR TOTAL TO DATE
1. Monetary Contributions	\$ 0	\$ 4,388.00
2. Loans Received	\$ 0	500.00
3. SUBTOTAL CASH CONTRIBUTIONS	\$ 0	\$ 4,888.00
4. Nonmonetary Contributions	\$ 0	200.00
5. TOTAL CONTRIBUTIONS RECEIVED	\$ 0	\$ 5,088.00

### Expenditures Made

6. Payments Made	\$ 0	\$ 1,672.95
7. Loans Made	\$ 0	0
8. SUBTOTAL CASH PAYMENTS	\$ 0	\$ 1,672.95
9. Accrued Expenses (Unpaid Bills)	\$ 0	4,228.22
10. Nonmonetary Adjustment	\$ 0	0
11. TOTAL EXPENDITURES MADE	\$ 0	\$ 5,901.17

### Current Cash Statement

12. Beginning Cash Balance	Previous Summary Page, Line 16	\$ 4,100.19
13. Cash Receipts	Column A, Line 3 above	0
14. Miscellaneous Increases to Cash	Schedule I, Line 4	0
15. Cash Payments	Column A, Line 8 above	0
16. ENDING CASH BALANCE	Add Lines 12 + 13 + 14, then subtract Line 15 <i>If this is a termination statement, Line 16 must be zero.</i>	\$ 4,100.19

### 17. LOAN GUARANTEES RECEIVED

Schedule B, Part 2

### Cash Equivalents and Outstanding Debts

18. Cash Equivalents	See instructions on reverse	\$
19. Outstanding Debts	Add Line 2 + Line 9 in Column B above	\$

Statement covers period  
from 7/1/10  
through 12/31/10

CALIFORNIA  
FORM  
**460**

Page 3 of 3  
I.D. NUMBER  
1300370

### Calendar Year Summary for Candidates Running in Both the State Primary and General Elections

20. Contributions Received	\$	7/1 through 6/30	7/1 to Date
21. Expenditures Made	\$		

### Expenditure Limit Summary for State Candidates

22. Cumulative Expenditures Made* (if subject to Voluntary Expenditure Limit)	\$	Total to Date
Date of Election (mm/dd/yy)		

\*Amounts in this section may be different from amounts reported in Column B.

To calculate Column B, add amounts in Column A to the corresponding amounts from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).

EXHIBIT A-4

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DEFAULT DECISION AND ORDER  
FPPC NO. 13/0311

RECEIVED  
FAIR POLITICAL  
PRACTICES COMMISSION  
13 MAY 13 AM 11:38

### Campaign Disclosure Statements Non-Filer Enforcement Referral

In order to expedite the enforcement referral, please complete the information below.

**I. Filing Official**  
Contact Person: Daniel Chavez City/County: Ventura  
Address: 800 South Victoria Avenue  
Telephone: (805) 654-2745 Fax: (805) 648-9200

**II. Non-Filer**  
Name: Monique Dollonne Office Sought: VUSD Board Member  
Address: 5552 Floyd St., Ventura CA 93003  
Telephone: Bus. (805) 654-8774 Home: \_\_\_\_\_  
Date of Election: November 3, 2009 Incumbent  Non-Incumbent   
(If applicable)  
Type of Statement: Semi Annual Date Due: 8/1/11  
Period Covering: 1/1/11-6/30/11 Number of Prior Filings: 5  
Number of Prior Late Filings: 1 (Attach copy of Form 410 and most recent statement filed) (If applicable)

**III. Notifications: (Attach copy of written notifications)**  
Date: \_\_\_\_\_ Verbal  Written  Date: \_\_\_\_\_ Verbal  Written   
Date: \_\_\_\_\_ Verbal  Written  Date: \_\_\_\_\_ Verbal  Written

Please note: Two notifications must be sent before referring this matter to the Fair Political Practices Commission Enforcement Division for consideration for formal enforcement action.

If you have any questions, please call the Enforcement Division at (916) 322-5660. Return the completed form and attachments to: Fair Political Practices Commission, Enforcement Division, 428 J Street, Suite 620, Sacramento, CA 95814.

**Campaign Disclosure Statements  
Non-Filer Enforcement Referral**

In order to expedite the enforcement referral, please complete the information below.

**I. Filing Official**

Contact Person: Daniel Chavez City/County: Ventura  
Address: 800 South Victoria Avenue  
Telephone: (805) 654-2745 Fax: (805) 648-9200

**II. Non-Filer**

Name: Monique Dollonne Office Sought: VUSD Board Member  
Address: 5552 Floyd St., Ventura CA 93003  
Telephone: Bus: (805) 654-8774 Home: \_\_\_\_\_  
Date of Election: November 3, 2009 Incumbent  Non-Incumbent   
Type of Statement: Semi Annual (If applicable) Date Due: 1/31/12  
Period Covering: 7/1/11-12/31/11 Number of Prior Filings: 5  
Number of Prior Late Filings: 1 (Attach copy of Form 410 and most recent statement filed) (If applicable)

**III. Notifications: (Attach copy of written notifications)**

Date: 2/6/12 Verbal  Written  Date: \_\_\_\_\_ Verbal  Written   
Date: \_\_\_\_\_ Verbal  Written  Date: \_\_\_\_\_ Verbal  Written

Please note: Two notifications must be sent before referring this matter to the Fair Political Practices Commission Enforcement Division for consideration for formal enforcement action.

If you have any questions, please call the Enforcement Division at (916) 322-5660. Return the completed form and attachments to: Fair Political Practices Commission, Enforcement Division, 428 J Street, Suite 620, Sacramento, CA 95814.

**Campaign Disclosure Statements  
Non-Filer Enforcement Referral**

In order to expedite the enforcement referral, please complete the information below.

**I. Filing Official**

Contact Person: Daniel Chavez City/County: Ventura  
Address: 800 South Victoria Avenue  
Telephone: (805) 654-2745 Fax: (805) 648-9200

**II. Non-Filer**

Name: Monique Dollonne Office Sought: VUSD Board Member  
Address: 5552 Floyd St., Ventura CA 93003  
Telephone: Bus. (805) 654-8774 Home: \_\_\_\_\_  
Date of Election: November 3, 2009 Incumbent  Non-Incumbent   
Type of Statement: Semi Annual (If applicable) Date Due: 7/31/12  
Period Covering: 1/1/12-6/30/12 Number of Prior Filings: 5  
Number of Prior Late Filings: 1 (Attach copy of Form 410 and most recent statement filed) (If applicable)

**III. Notifications: (Attach copy of written notifications)**

Date: 12/19/12 Verbal  Written  Date: \_\_\_\_\_ Verbal  Written   
Date: \_\_\_\_\_ Verbal  Written  Date: \_\_\_\_\_ Verbal  Written

Please note: Two notifications must be sent before referring this matter to the Fair Political Practices Commission Enforcement Division for consideration for formal enforcement action.

If you have any questions, please call the Enforcement Division at (916) 322-5660. Return the completed form and attachments to: Fair Political Practices Commission, Enforcement Division, 428 J Street, Suite 620, Sacramento, CA 95814.

**Campaign Disclosure Statements  
Non-Filer Enforcement Referral**

In order to expedite the enforcement referral, please complete the information below.

**I. Filing Official**

Contact Person: Daniel Chavez City/County: Ventura  
Address: 800 South Victoria Avenue  
Telephone: (805) 654-2745 Fax: (805) 648-9200

**II. Non-Filer**

Name: Monique Dollonne Office Sought: VUSD Board Member  
Address: 5552 Floyd St., Ventura CA 93003  
Telephone: Bus. (805) 654-8774 Home: \_\_\_\_\_  
Date of Election: November 3, 2009 Incumbent  Non-Incumbent   
Type of Statement: Semi Annual (If applicable) Date Due: 1/31/13  
Period Covering: 7/1/12-12/31/12 Number of Prior Filings: 5  
Number of Prior Late Filings: 1 (Attach copy of Form 410 and most recent statement filed) (If applicable)

**III. Notifications: (Attach copy of written notifications)**

Date: 2/11/13 Verbal  Written  Date: \_\_\_\_\_ Verbal  Written   
Date: \_\_\_\_\_ Verbal  Written  Date: \_\_\_\_\_ Verbal  Written

Please note: Two notifications must be sent before referring this matter to the Fair Political Practices Commission Enforcement Division for consideration for formal enforcement action.

If you have any questions, please call the Enforcement Division at (916) 322-5660. Return the completed form and attachments to: Fair Political Practices Commission, Enforcement Division, 428 J Street, Suite 620, Sacramento, CA 95814.

**Campaign Disclosure Statements  
Non-Filer Enforcement Referral**

In order to expedite the enforcement referral, please complete the information below.

RECEIVED  
FAIR POLITICAL  
PRACTICES COMMISSION  
14 APR 13 PM 1:55

**I. Filing Official**  
Contact Person: Danny Chavez City/County: Ventura  
Address: 800 South Victoria Avenue #L1200  
Telephone: (805) 654-2745 Fax: (805) 648-9200

**II. Non-Filer**  
Name: Monique Dollonne Office Sought: VUSD Board Memeber  
Address: 5552 Floyd St., Ventura, CA 93003  
Telephone: Bus: (805) 654-8774 Home: \_\_\_\_\_  
Date of Election: November 3, 2009 Incumbent  Non-Incumbent   
(If applicable) Date Due: 1/31/14  
Type of Statement: Semi-Annual  
Period Covering: 7/1/13-12/31/13 Number of Prior Filings: 5  
Number of Prior Late Filings: 2 (Attach copy of Form 410 and most recent statement filed) (If applicable)

**III. Notifications: (Attach copy of written notifications)**  
Date: 1/6/14 Verbal  Written  Date: 2/5/14 Verbal  Written   
Date: 2/20/14 Verbal  Written  Date: \_\_\_\_\_ Verbal  Written

Please note: Two notifications must be sent before referring this matter to the Fair Political Practices Commission Enforcement Division for consideration for formal enforcement action.

If you have any questions, please call the Enforcement Division at (916) 322-5660. Return the completed form and attachments to: Fair Political Practices Commission, Enforcement Division, 428 J Street, Suite 620, Sacramento, CA 95814.

**EXHIBIT A-5**

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**DEFAULT DECISION AND ORDER  
FPPC NO. 13/0311**





County of Ventura  
COUNTY CLERK AND RECORDER

MARK A. LUNN  
County Clerk and Recorder

July 11, 2011

Tom Rohrbecher  
Monique for School Board  
5552 Floyd St.  
Ventura, CA 93003

JAMES B. BECKER  
Assistant County Clerk and Recorder

TRACY D. SAUCEDO  
Assistant Registrar of Voters

DAVID G. VALENZUELA  
Manager, Clerk and Recorder Operations

JANE MCCARTHY  
Manager, Fiscal and Administrative Services

RE: 2011 Semi-Annual Campaign Disclosure Statement

Dear Tom Rohrbecher:

Government Code §84200 requires all elected officers, candidates, and committees to file semi-annual campaign statements. The semi-annual statement should begin on the day after the closing date of the last statement filed or January 1, 2011, if no previous statement has been filed, through June 30, 2011. If your current status remains as a candidate or officeholder then an original and one copy of the campaign statement should be filed with the County of Ventura Elections Division (or postmarked) no later than August 1, 2011. The July 31 deadline falls on a Sunday, therefore the deadline is extended to the next business day.

Campaign Disclosure Manuals and forms are available on the County Elections Division website: <http://recorder.countyofventura.org/elections.htm>, the Fair Political Practices Commission website: [www.fppc.ca.gov](http://www.fppc.ca.gov), or you may pick up a CD of these items from our office. Paper copies of the forms are also available at our office. If you have any questions or need forms, you may come by or call our office at (805) 654-2664.

If statements are not filed by the due date in accordance with Government Code §84200, you may be subject to civil and criminal penalties, as well as late filing penalties that accrue at the rate of \$10 per day, pursuant to Government Code §91013(a)(c).

Sincerely,

MARK A. LUNN, Clerk Recorder/Registrar of Voters

Daniel Chavez  
Deputy County Clerk

MAL/DC/gp



**County of Ventura  
COUNTY CLERK AND RECORDER**

**MARK A. LUNN**  
County Clerk and Recorder  
Registrar of Voters

January 5, 2012

**JAMES B. BECKER**  
Assistant County Clerk and Recorder

**TRACY D. SAUCEDO**  
Assistant Registrar of Voters

Tom Rohrbecher  
Monique for School Board  
5552 Floyd St.  
Ventura, CA 93003

**Elections Division**  
800 S. Victoria Avenue  
Ventura, CA 93009-1200  
Phone: (805) 654-2664  
Fax: (805) 648-9200

**RE: 2011 Semi-Annual Campaign Disclosure Statement**

Dear Tom Rohrbecher:

Government Code §84200 requires all elected officers, candidates, and committees to file semi-annual campaign statements. The semi-annual statement should begin on the day after the closing date of the last statement filed or January 1, 2011, if no previous statement has been filed, through December 31, 2011. If your current status remains as a candidate or officeholder then an original and one copy of the campaign statement should be filed with the County of Ventura Elections Division (or postmarked) no later than January 31, 2012.

Campaign Disclosure Manuals and forms are available on the County Elections Division website: <http://recorder.countyofventura.org/elections.htm>, the Fair Political Practices Commission website: [www.fppc.ca.gov](http://www.fppc.ca.gov), or you may pick up a CD of these items from our office. Paper copies of the forms are also available at our office. If you have any questions or need forms, you may come by or call our office at (805) 654-2664.

If statements are not filed by the due date in accordance with Government Code §84200, you may be subject to civil and criminal penalties, as well as late filing penalties that accrue at the rate of \$10 per day, pursuant to Government Code §91013(a)(c).

Sincerely,

MARK A. LUNN, Clerk Recorder/Registrar of Voters

Daniel Chavez  
Deputy County Clerk

MAL/DC/jq



County of Ventura  
COUNTY CLERK AND RECORDER

MARK A. LUNN  
County Clerk and Recorder  
Registrar of Voters

February 6, 2012

JAMES B. BECKER  
Assistant County Clerk and Recorder

TRACY D. SAUCEDO  
Assistant Registrar of Voters

Tom Rohrbecher, Treasurer  
Monique for School Board  
5552 Floyd St.  
Ventura, CA 93003

Elections Division  
800 S. Victoria Avenue  
Ventura, CA 93009-1200  
Phone: (805) 654-2664  
Fax: (805) 648-9200

**RE: Outstanding 2011 Semi-Annual Campaign Report**

Dear Tom Rohrbecher:

Upon review of our records, a copy of your semi-annual campaign disclosure statement (Form 460) for the filing period of July 1, 2011 through December 31, 2011, was not found. This report was due to be filed with our office by January 31, 2012. If this statement was previously filed, please forward a copy to our office. If no statement was filed, please complete the report and file it with our office at this time. The filing deadlines for campaign disclosure statements and reports are set out in Government Code Sections 84200-84206. There are no provisions in the Political Reform Act for extension of filing deadlines.

Government Code Section 91013 provides that any person who files a statement after its deadline shall be liable in the amount of \$10 per day, up to a maximum of \$100. Law prohibits the county from waiving any portion of the liability if the statement is not filed after you receive specific written notice of the filing requirements.

Please file your statement no later than 14 days from the date of this letter and include an explanation for your late filing. If you have any questions, please do not hesitate to call our office at (805) 654-2664.

Sincerely,

MARK A. LUNN, Clerk Recorder/Registrar of Voters

Daniel Chavez  
Deputy County Clerk

MAL/DC/jq



County of Ventura  
COUNTY CLERK AND RECORDER

MARK A. LUNN  
County Clerk and Recorder  
Registrar of Voters

July 9, 2012

JAMES B. BECKER  
Assistant County Clerk and Recorder

TRACY D. SAUCEDO  
Assistant Registrar of Voters

Tom Rohrbecher  
Monique for School Board  
5552 Floyd Street  
Ventura, CA 93003

Elections Division  
800 S. Victoria Avenue  
Ventura, CA 93009-1200  
Phone: (805) 654-2664  
Fax: (805) 648-9200

RE: 2012 Semi-Annual Campaign Disclosure Statement

Dear Tom Rohrbecher:

Government Code §84200 requires all elected officers, candidates, and committees to file semi-annual campaign statements. The semi-annual statement should begin on the day after the closing date of the last statement filed or January 1, 2012, if no previous statement has been filed, through June 30, 2012. If your current status remains as a candidate or officeholder then an original and one copy of the campaign statement should be filed with the County of Ventura Elections Division (or postmarked) no later than July 31, 2012.

Campaign Disclosure Manuals and forms are available on the County Elections Division website: <http://recorder.countyofventura.org/elections.htm>, the Fair Political Practices Commission website: [www.fppc.ca.gov](http://www.fppc.ca.gov), or you may pick up a CD of these items from our office. Paper copies of the forms are also available at our office. If you have any questions or need forms, you may come by or call our office at (805) 654-2664.

If statements are not filed by the due date in accordance with Government Code §84200, you may be subject to civil and criminal penalties, as well as late filing penalties that accrue at the rate of \$10 per day, pursuant to Government Code §91013(a)(c).

Sincerely,

MARK A. LUNN, Clerk Recorder/Registrar of Voters

Daniel Chavez  
Deputy County Clerk

MAL/DC/jq



**County of Ventura  
COUNTY CLERK AND RECORDER**

**MARK A. LUNN**  
County Clerk and Recorder  
Registrar of Voters

December 19, 2012

**JAMES B. BECKER**  
Assistant County Clerk and Recorder

**TRACY D. SAUCEDO**  
Assistant Registrar of Voters

Tom Rohrbecher  
Monique for School Board  
5552 Floyd Street  
Ventura, CA 93003

Elections Division  
800 S. Victoria Avenue  
Ventura, CA 93009-1200  
Phone: (805) 654-2664  
Fax: (805) 648-9200

**Re: Outstanding 2012 Semi-Annual Campaign Report**

Dear Tom Rohrbecher:

Upon review of our records, a copy of your semi-annual campaign disclosure statement (Form 460) for the filing period of January 1, 2012 through June 30, 2012, was not found. This report was due to be filed with our office by July 31, 2012. If this statement was previously filed, please forward a copy to our office. If no statement was filed, please complete the report and file it with our office at this time. The filing deadlines for campaign disclosure statements and reports are set out in Government Code Sections 84200-84206. There are no provisions in the Political Reform Act for extension of filing deadlines.

Government Code Section 91013 provides that any person who files a statement after its deadline shall be liable in the amount of \$10 per day, up to a maximum of \$100. Law prohibits the county from waiving any portion of the liability if the statement is not filed after you receive specific written notice of the filing requirements.

Please file your statement no later than 14 days from the date of this letter and include an explanation for your late filing. If you have any questions or need additional forms, please come to the Elections Division, call us at (805) 654-2664, or visit our web site at [venturavote.org](http://venturavote.org).

Sincerely,

**MARK A. LUNN, CERA**  
Clerk/Recorder/Registrar of Voters

Daniel Chavez  
Deputy County Clerk  
Enclosure  
MAL:DC:jq



**County of Ventura  
COUNTY CLERK AND RECORDER**

**MARK A. LUNN**  
County Clerk and Recorder  
Registrar of Voters

January 7, 2013

**JAMES B. BECKER**  
Assistant County Clerk and Recorder

**TRACY D. SAUCEDO**  
Assistant Registrar of Voters

Tom Rohrbecher, Treasurer  
Monique for School Board  
5552 Floyd Street  
Ventura, CA 93003

Elections Division  
800 S. Victoria Avenue  
Ventura, CA 93009-1200  
Phone: (805) 654-2664  
Fax: (805) 648-9200

**Re: 2012 Semi-Annual Campaign Disclosure Statement**

Dear Tom Rohrbecher:

Government Code §84200 requires all elected officers, candidates, and committees to file semi-annual campaign statements. The semi-annual statement must cover the period from the day following the closing date of your previous statement through December 31, 2012. If you have not previously filed a statement, your statement must cover the period from January 1, 2012, through December 31, 2012. The original campaign statement and one copy must be filed with this office (or postmarked) by January 31, 2013.

In accordance with Government Code §84200, if statements are not filed by the due date, you may be subject to civil and criminal penalties, as well as late filing penalties pursuant to Government Code §91013.

Campaign disclosure manuals and forms are available on the County Elections Division website: [www.venturavote.org](http://www.venturavote.org), or the Fair Political Practices Commission website: [www.fppc.ca.gov](http://www.fppc.ca.gov). You may also pick up a CD or paper copies of the forms from our office. If you have any questions, please come to the Elections Division or call us at (805) 654-2664.

Sincerely,

**MARK A. LUNN, CERA**  
Clerk/Recorder/Registrar of Voters

Daniel Chavez  
Deputy County Clerk  
MAL:DC:jq



**County of Ventura  
COUNTY CLERK AND RECORDER**

**MARK A. LUNN**  
County Clerk and Recorder  
Registrar of Voters

February 11, 2013

**JAMES B. BECKER**  
Assistant County Clerk and Recorder

**TRACY D. SAUCEDO**  
Assistant Registrar of Voters

Tom Rohrbecher, Treasurer  
Monique for School Board  
5552 Floyd Street  
Ventura, CA 93003

**Elections Division**  
800 S. Victoria Avenue  
Ventura, CA 93009-1200  
Phone: (805) 654-2664  
Fax: (805) 648-9200

**Re: Outstanding 2012 Semi-Annual Campaign Report (Form 460)**

Dear Tom Rohrbecher:

Upon review of our records, a copy of your semi-annual campaign disclosure statement (Form 460) for the filing period ending December 31, 2012, was not found. This report was due to be filed with our office by January 31, 2013. If this statement was previously filed, please forward a copy to our office. If no statement was filed, please complete the report and file it with our office at this time. The filing deadlines for campaign disclosure statements and reports are identified in Government Code Sections 84200-84206. There are no provisions in the Political Reform Act for extension of filing deadlines.

Government Code Section 91013 provides that any person who files a statement after its deadline shall be liable in the amount of \$10 per day, up to a maximum of \$100.

Please file your statement no later than 14 days from the date of this letter and include an explanation for your late filing. If you have any questions or need additional forms, please call the campaign finance section at (805) 654-2664, or visit our web site at [venturavote.org](http://venturavote.org).

Sincerely,

**MARK A. LUNN, CERA**  
Clerk/Recorder/Registrar of Voters

Daniel Chavez  
Deputy County Clerk

MAL:DC:jq



**County of Ventura  
COUNTY CLERK AND RECORDER**

**MARK A. LUNN**  
County Clerk and Recorder  
Registrar of Voters

July 8, 2013

**JAMES B. BECKER**  
Assistant County Clerk and Recorder

**TRACY D. SAUCEDO**  
Assistant Registrar of Voters

Tom Rohrbecher, Treasurer  
Monique for School Board  
5552 Floyd Street  
Ventura, CA 93003

Elections Division  
800 S. Victoria Avenue  
Ventura, CA 93009-1200  
Phone: (805) 654-2664  
Fax: (805) 648-9200  
[www.VenturaVote.org](http://www.VenturaVote.org)

**Re: 2013 Semi-Annual Campaign Disclosure Statement**

Dear Tom Rohrbecher:

Government Code §84200 requires all elected officers, candidates, and committees to file semi-annual campaign statements. The semi-annual statement must cover the period from the day following the closing date of your previous statement through June 30, 2013. If you have not previously filed a statement, your statement must cover the period from January 1, 2013, through June 30, 2013. The original campaign statement and one copy must be filed with this office (or postmarked) by July 31, 2013.

In accordance with Government Code §84200, if statements are not filed by the due date, you may be subject to civil and criminal penalties, as well as late filing penalties pursuant to Government Code §91013.

Campaign disclosure manuals and forms are available on the County Elections Division website: [www.venturavote.org](http://www.venturavote.org), or the Fair Political Practices Commission website: [www.fppc.ca.gov](http://www.fppc.ca.gov). You may also pick up a CD or paper copies of the forms from our office. If you have any questions, please call the campaign finance section at 654-2664. You may also contact the Fair Political Practices Commission at (866) 275-3772.

Sincerely,

**MARK A. LUNN, CERA**  
Clerk/Recorder/Registrar of Voters

Daniel Chavez  
Deputy County Clerk

Enclosure  
MAL:DC:jq





**County of Ventura  
COUNTY CLERK AND RECORDER**

**MARK A. LUNN**  
County Clerk and Recorder  
Registrar of Voters

**JAMES B. BECKER**  
Assistant County Clerk and Recorder

**TRACY D. SAUCEDO**  
Assistant Registrar of Voters

**Elections Division**  
800 S. Victoria Avenue  
Ventura, CA 93009-1200  
Phone: (805) 654-2664  
Fax: (805) 648-9200  
[www.VenturaVote.org](http://www.VenturaVote.org)

August 6, 2013

Tom Rohrbecher, Treasurer  
Monique for School Board  
5552 Floyd Street  
Ventura, CA 93003

**Re: Outstanding 2013 Semi-Annual Campaign Report (Form 460)**

Dear Tom Rohrbecher:

Upon review of our records, a copy of your Semi-Annual campaign disclosure statement (Form 460) for the filing period ending June 30, 2013, was not found. This report was due to be filed with our office by July 31, 2013. If this statement was previously filed, please forward a copy to our office. If no statement was filed, please complete the report and file it with our office at this time. The filing deadlines for campaign disclosure statements and reports are identified in Government Code Sections 84200-84206. There are no provisions in the Political Reform Act for extension of filing deadlines.

Government Code Section 91013 provides that any person who files a statement after its deadline shall be liable in the amount of \$10 per day, up to a maximum of \$100.

Please file your statement no later than 10 days from the date of this letter and include an explanation for your late filing. If you have any questions or need additional forms, please call the campaign finance section at (805) 654-2664, or visit our web site at [venturavote.org](http://venturavote.org).

Sincerely,

**MARK A. LUNN, CERA**  
Clerk Recorder/Registrar of Voters

Daniel Chavez  
Deputy County Clerk

MAL:DC:jq



County of Ventura  
COUNTY CLERK AND RECORDER

MARK A. LUNN  
County Clerk and Recorder  
Registrar of Voters

JAMES B. BECKER  
Assistant County Clerk and Recorder

TRACY D. SAUCEDO  
Assistant Registrar of Voters

Elections Division  
800 S. Victoria Avenue  
Ventura, CA 93009-1200  
Phone: (805) 654-2664  
Fax: (805) 648-9200  
[www.VenturaVote.org](http://www.VenturaVote.org)

August 21, 2013

Tom Rohrbecher, Treasurer  
Monique for School Board  
5552 Floyd Street  
Ventura, CA 93003

Re: **Outstanding 2013 Semi-Annual Campaign Report (Form 460) – 2<sup>nd</sup> Notice**

Dear Tom Rohrbecher:

Upon review of our records, a copy of your Semi-Annual campaign disclosure statement (Form 460) for the filing period ending June 30, 2013, was not found. This report was due to be filed with our office by July 31, 2013. If this statement was previously filed, please forward a copy to our office. If no statement was filed, please complete the report and file it with our office at this time. The filing deadlines for campaign disclosure statements and reports are identified in Government Code Sections 84200-84206. There are no provisions in the Political Reform Act for extension of filing deadlines.

Government Code Section 91013 provides that any person who files a statement after its deadline shall be liable in the amount of \$10 per day, up to a maximum of \$100.

Please file your statement no later than 10 days from the date of this letter and include an explanation for your late filing. **Our office may refer this matter to the Fair Political Practices Commission's Enforcement Division if the statement is not filed.** If you have any questions or need additional forms, please call the campaign finance section at (805) 654-2664, or visit our web site at [venturavote.org](http://venturavote.org).

Sincerely,

MARK A. LUNN, CERA  
Clerk Recorder/Registrar of Voters

Daniel Chavez  
Deputy County Clerk

MAL:DC:jq



County of Ventura  
COUNTY CLERK AND RECORDER

MARK A. LUNN  
County Clerk and Recorder  
Registrar of Voters

JAMES B. BECKER  
Assistant County Clerk and Recorder

TRACY D. SAUCEDO  
Assistant Registrar of Voters

January 6, 2014

Tom Rohrbecher, Treasurer  
Monique for School Board  
5552 Floyd Street  
Ventura, CA 93003

Elections Division  
800 S. Victoria Avenue  
Ventura, CA 93009-1200  
Phone: (805) 654-2664  
Fax: (805) 648-9200  
[www.VenturaVote.org](http://www.VenturaVote.org)

Re: 2013 Semi-Annual Campaign Disclosure Statement

Dear Tom Rohrbecher:

Government Code §84200 requires all elected officers, candidates, and committees to file semi-annual campaign statements. The semi-annual statement must cover the period from the day following the closing date of your previous statement through December 31, 2013. If you have not previously filed a statement, your statement must cover the period from January 1, 2013, through December 31, 2013. The original campaign statement and one copy must be filed with this office (or postmarked) by January 31, 2014.

In accordance with Government Code §84200, if statements are not filed by the due date, you may be subject to civil and criminal penalties, as well as late filing penalties pursuant to Government Code §91013.

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Sincerely,

MARK A. LUNN, CERA  
Clerk Recorder/Registrar of Voters

Daniel Chavez  
Deputy County Clerk

Enclosure  
MAL:DC:jq



County of Ventura  
COUNTY CLERK AND RECORDER

MARK A. LUNN  
County Clerk and Recorder  
Registrar of Voters

JAMES B. BECKER  
Assistant County Clerk and Recorder

TRACY D. SAUCEDO  
Assistant Registrar of Voters

Elections Division  
800 S. Victoria Avenue  
Ventura, CA 93009-1200  
Phone: (805) 654-2664  
Fax: (805) 648-9200  
[www.VenturaVote.org](http://www.VenturaVote.org)

February 5, 2014

Tom Rohrbecher, Treasurer  
Monique for School Board  
5552 Floyd Street  
Ventura, CA 93003

**Re: Outstanding 2013 Semi-annual Campaign Report (Form 460)**

Dear Tom Rohrbecher:

Upon review of our records, a copy of your semi-annual campaign disclosure statement (Form 460) for the filing period ending December 31, 2013, was not found. This report was due to be filed with our office by January 31, 2014. If this statement was previously filed, please forward a copy to our office. If no statement was filed, please complete the report and file it with our office at this time. The filing deadlines for campaign disclosure statements and reports are identified in Government Code Sections 84200-84206. There are no provisions in the Political Reform Act for extension of filing deadlines.

Government Code Section 91013 provides that any person who files a statement after its deadline shall be liable in the amount of \$10 per day, up to a maximum of \$100.

Please file your statement immediately upon receipt of this letter and include an explanation for your late filing. If you have any questions or need additional forms, please call the Campaign Finance Section at (805) 654-2664, or visit our web site at [venturavote.org](http://venturavote.org).

Sincerely,

MARK A. LUNN, CERA  
Clerk Recorder/Registrar of Voters

Daniel Chavez  
Deputy County Clerk

MAL:DC:jq



County of Ventura  
COUNTY CLERK AND RECORDER

MARK A. LUNN  
County Clerk and Recorder  
Registrar of Voters

JAMES B. BECKER  
Assistant County Clerk and Recorder

TRACY D. SAUCEDO  
Assistant Registrar of Voters

Elections Division  
800 S. Victoria Avenue  
Ventura, CA 93009-1200  
Phone: (805) 654-2664  
Fax: (805) 648-9200  
[www.VenturaVote.org](http://www.VenturaVote.org)

February 20, 2014

Tom Rohrbecher, Treasurer  
Monique for School Board  
5552 Floyd Street  
Ventura, CA 93003

Re: **Outstanding 2013 Semi-annual Campaign Report (Form 460) – 2<sup>nd</sup> Notice**

Dear Tom Rohrbecher:

Upon review of our records, a copy of your Semi-annual campaign disclosure statement (Form 460) for the filing period ending December 31, 2013, was not found. This report was due to be filed with our office by January 31, 2014. If this statement was previously filed, please forward a copy to our office. If no statement was filed, please complete the report and file it with our office at this time. The filing deadlines for campaign disclosure statements and reports are identified in Government Code Sections 84200-84206. There are no provisions in the Political Reform Act for extension of filing deadlines.

Government Code Section 91013 provides that any person who files a statement after its deadline shall be liable in the amount of \$10 per day, up to a maximum of \$100.

Please file your statement immediately upon receipt of this letter and include an explanation for your late filing. **Our office may refer this matter to the Fair Political Practices Commission's Enforcement Division if the statement is not filed.** If you have any questions or need additional forms, please call the Campaign Finance Section at (805) 654-2664, or visit our web site at [venturavote.org](http://venturavote.org).

Sincerely,

MARK A. LUNN, CERA  
Clerk Recorder/Registrar of Voters

Daniel Chavez  
Deputy County Clerk

MAL:DC:jq

**EXHIBIT A-6**

---

**DEFAULT DECISION AND ORDER  
FPPC NO. 13/0311**

**FAIR POLITICAL PRACTICES COMMISSION, Enforcement Division**  
**Case Chronology**

Prepared by: Robert Binning

Case No.: 2013/0311 Case Name: Monique for School Board

<u>DATE</u>	<u>ACTIVITY</u>
05/22/13	REFERRAL RECEIVED.
06/07/13	ASSIGNED TO ROBERT BINNING.
06/10/13	LEFT VM.
06/24/13	SPOKE TO MONIQUE DOLLONNE (MD) WHO CLAIMED SHE HAD NO KNOWLEDGE OF WRONGDOING.
06/25/13	LEFT VM.
06/26/13	LEFT VM.
08/29/13	MD RETURNED CALL AND LEFT VM. NO ONE ANSWERED WHEN I CALLED BACK.
08/30/13	LEFT VM
09/05/13	TOM RETURNED CALL AND SAID MD WAS OUT OF TOWN UNTIL 09/25 BUT PROVIDED HER CELL NUMBER.
10/25/13	SENT TIER 1 STIP. FOLLOWED UP WITH PHONE CALL. LEFT VM.
11/20/13	SENT TIER 2 STIP.
	LEFT VM.

EXHIBIT A-7

---

DEFAULT DECISION AND ORDER  
FPPC NO. 13/0311





## FAIR POLITICAL PRACTICES COMMISSION

428 J Street • Suite 620 • Sacramento, CA 95814-2329  
(916) 322-5660 • Fax (916) 322-0886

Protected Settlement Communication  
Pursuant to Evidence Code Sections 1152 and 1154

September 5, 2013

Ms. Monique Dollonne  
5552 Floyd Street  
Ventura, CA 93003

**Streamlined Settlement:**      **FPPC No. 13/0311; Monique Dollonne**

Dear Ms. Monique Dollonne:

The Enforcement Division of the Fair Political Practices Commission (FPPC) received a Semi-Annual Campaign Disclosure Statement Non-Filer Enforcement Referral from the County Clerk for the County of Ventura for your failure to file your Semi-Annual Form 460 for the periods of January 1, 2011 through June 30, 2011 (due August 1, 2011), July 1, 2011 through December 31, 2011 (due January 31, 2012), January 1, 2012 through June 30, 2012 (due July 31, 2012), July 1, 2012 through December 31, 2012 (due January 31, 2013), and January 1, 2013 through June 30, 2013 (due July 31, 2013), in connection with your campaign for the Ventura Unified School District Board.

By failing to timely file your statement, you have violated the Political Reform Act (Act).<sup>1</sup> The FPPC may now commence an enforcement action against you, and obtain an order that you pay a monetary penalty to the State of California of up to \$5,000 per violation.

Before the FPPC commences an enforcement action, it encourages respondents to participate in a streamlined settlement program. Under this program, we can swiftly resolve this matter with your payment of \$200 per violation. *Please note that the penalty will gradually increase for every additional attempt to resolve this matter.* To take advantage of this program you must:

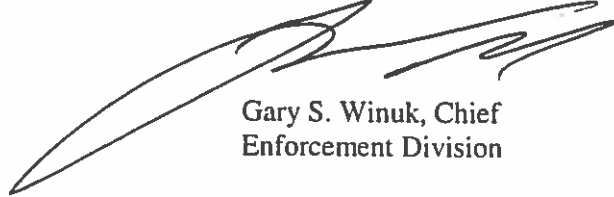
1. Complete a Form 460<sup>2</sup> for each missing period enumerated above and file with the County of Ventura, Office of the County Clerk;
2. Sign the enclosed Stipulation, Decision and Order (Stipulation); and
3. Return a file-stamped copy of the completed Form 460, the signed Stipulation, and a **cashier's check or money order**, not a personal check, made payable to the "State of California" in the amount of \$1,000 postmarked no later than **October 9, 2013**.

<sup>1</sup> The Political Reform Act is contained in Government Code Sections 81000 through 91014.

<sup>2</sup> Please find the **Form 460** at: <http://www.fppc.ca.gov/pdf/460int.pdf>.

For more information on the Form 460, visit our website at [www.fppc.ca.gov](http://www.fppc.ca.gov). If you need any guidance regarding your specific obligations, please call Robert Binning at (916) 322-7050, or preferably email him at [RBinning@fppc.ca.gov](mailto:RBinning@fppc.ca.gov).

Sincerely,

A handwritten signature in black ink, appearing to read "Gary S. Winuk", written over a horizontal line.

Gary S. Winuk, Chief  
Enforcement Division

**FAIR POLITICAL PRACTICES COMMISSION  
STIPULATION, DECISION AND ORDER  
Campaign Statement Violations  
(Streamlined Program)**

Complainant, the Fair Political Practices Commission, and Respondent(s) hereby agree that this stipulation will be presented to the Commission at its next regularly scheduled meeting, or as soon thereafter as the matter can be heard, as a final disposition of the reporting violation(s) described herein.

FPPC CASE NO. 13/0311

RESPONDENT(S): Monique Dollonne

State Candidate       Local Candidate       State GP Committee       Local GP Committee

GOVERNMENT CODE SECTION(S) VIOLATED:     84200     84200.5     84605

DESCRIPTION OF VIOLATION: Failure to file campaign statements in paper and/or electronic format (Form 460)

Count	Type of Statement	Reporting Period(s)	Date Due
1	Semi-Annual	1/1/2011-6/30/2011	8/1/2011
2	Semi-Annual	7/1/2011-12/31/2011	1/31/2012
3	Semi-Annual	1/1/2012-6/30/2012	7/31/2012
4	Semi-Annual	7/1/2012-12/31/2012	1/31/2013
5	Semi-Annual	1/1/2013-6/30/2013	7/31/2013

MONETARY PENALTY: \$1,000      NUMBER OF COUNTS: 5

**STATEMENT BY RESPONDENT(S):**

I acknowledge that the violation(s) of the Political Reform Act described above have occurred and voluntarily request that the Fair Political Practices Commission resolve this matter by imposition of the monetary penalty specified above. I acknowledge receipt of the *Statement of Respondent's Rights* and voluntarily waive any and all procedural rights to contest this matter in an administrative hearing. All outstanding reports, in connection with these violations, have now been filed. I have attached a **cashier's check or money order** made payable to the General Fund of the State of California in the amount of the penalty described above.

Dated: \_\_\_\_\_ X \_\_\_\_\_

Printed Name and Title: \_\_\_\_\_

**STATEMENT BY ENFORCEMENT CHIEF:**

I have reviewed the above stipulation and recommend its approval.

Dated: \_\_\_\_\_

GARY S. WINUK, ENFORCEMENT CHIEF

**ORDER OF THE COMMISSION:**

The foregoing stipulation has been adopted by a majority vote of the Fair Political Practices Commission as its final decision and order and is effective upon execution below by the Chair.

**IT IS SO ORDERED.**

Dated: \_\_\_\_\_

ANN RAVEL, CHAIR

**FAIR POLITICAL PRACTICES COMMISSION**  
**STATEMENT OF RESPONDENT'S RIGHTS**

The Complainant, the Enforcement Division of the Fair Political Practices Commission, and Respondent, both identified by name on the front of this document, hereby agree that this Stipulation, Decision and Order will be submitted for consideration by the Commission at its next regularly scheduled meeting.

The parties agree to enter into this Stipulation to resolve all factual and legal issues raised in this matter and to reach a final disposition without the necessity of holding an administrative hearing to determine the liability of Respondent.

Respondent understands and hereby knowingly and voluntarily waives any and all procedural rights under California Government Code sections 83115.5, 11500, *et seq.*, and 2 California Code of Regulations sections 18361.1 through 18361.9, including but not limited to the issuance and receipt of an accusation, and the right to appear personally and be represented by counsel at his or her own expense in any administrative hearing held in this matter, to confront and cross examine all witnesses testifying at the hearing, to subpoena witnesses to testify at the hearing, and to have an impartial administrative law judge present at the hearing to act as a hearing officer.

It is further stipulated and agreed that Respondent has violated the Political Reform Act as described herein.

Respondent agrees to the issuance of the Decision and Order and imposition by the Commission of a penalty in the amount specified on the Stipulation, and a cashier's check or money order in said amount, payable to the "General Fund of the State of California", has been submitted by Respondent to be held by the State of California until the Commission issues its Decision and Order.

The parties agree that in the event the Commission refuses to accept this Stipulation, it shall become null and void, and within fifteen (15) business days after the Commission meeting at which this Stipulation is rejected, payments tendered shall be reimbursed to Respondent. Respondent further stipulates and agrees that in the event the Commission rejects the Stipulation and a full evidentiary hearing before the Commission becomes necessary, neither a member of the Commission, nor the Executive Director, shall be disqualified because of prior consideration of this Stipulation.

**EXHIBIT A-8**

---

**DEFAULT DECISION AND ORDER**  
**FPPC NO. 13/0311**



## FAIR POLITICAL PRACTICES COMMISSION

428 J Street • Suite 620 • Sacramento, CA 95814-2329  
(916) 322-5660 • Fax (916) 322-0886

Protected Settlement Communication  
Pursuant to Evidence Code Sections 1152 and 1154

October 25, 2013

Ms. Monique Dollonne  
5552 Floyd Street  
Ventura, CA 93003

**Streamlined Settlement:**      **FPPC No. 13/0311, Monique Dollonne**

Dear Ms. Dollonne:

On September 5, 2013, we contacted you by letter advising that the Enforcement Division of the Fair Political Practices Commission ("FPPC") received a non-filer referral from the County Clerk for the County of Ventura for your failure to file your Semi-Annual Form 460 for the periods of January 1, 2011 through June 30, 2011 (due August 1, 2011), July 1, 2011 through December 31, 2011 (due January 31, 2012), January 1, 2012 through June 30, 2012 (due July 31, 2012), July 1, 2012 through December 31, 2012 (due January 31, 2013), and January 1, 2013 through June 30, 2013 (due July 31, 2013), in connection with your campaign for the Ventura Unified School District Board..

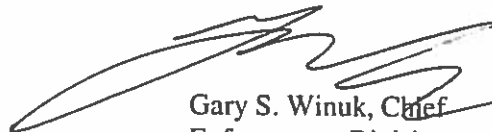
Our September 5, 2013 letter offered a stipulated settlement of \$1,000 provided you filed your Semi-Annual Form 460s and returned the signed stipulation by October 9, 2013. The deadline to take advantage of the stipulated settlement of \$1,000 has passed. As of this writing, the proposed settlement has increased to \$2,000. Be advised that we may commence enforcement action against you to obtain an order that you pay a monetary penalty to the State of California of up to \$5,000 for the violations.

Before commencing that enforcement action, you are again encouraged to participate in the Enforcement Division's streamline Semi-Annual Form 460 enforcement program wherein we can significantly reduce that fine if you comply with filing your late Form 460s and stipulate to a reduced fine of \$2,000. To take advantage of this offer you must:

1. Complete the Form 460s and any applicable schedules;
2. Sign the enclosed Stipulation, Decision and Order (Stipulation); and
3. Return the completed Form 460s, the signed Stipulation, and a **cashier's check or money order**, not a personal check, made payable to the "State of California" in the amount of \$2,000 postmarked no later than **November 15, 2013**.

For more information on the Form 460, visit our website at [www.fppc.ca.gov](http://www.fppc.ca.gov). If you need any guidance regarding your specific obligations, please email Robert Binning at [RBinning@fppc.ca.gov](mailto:RBinning@fppc.ca.gov) or call 916.322.7050.

Sincerely,



Gary S. Winuk, Chief  
Enforcement Division

**FAIR POLITICAL PRACTICES COMMISSION  
STIPULATION, DECISION AND ORDER  
Campaign Statement Violations  
(Streamlined Program)**

Complainant, the Fair Political Practices Commission, and Respondent(s) hereby agree that this stipulation will be presented to the Commission at its next regularly scheduled meeting, or as soon thereafter as the matter can be heard, as a final disposition of the reporting violation(s) described herein.

FPPC CASE NO. 13/0311

RESPONDENT(S): Monique Dollonne

State Candidate       Local Candidate       State GP Committee       Local GP Committee

GOVERNMENT CODE SECTION(S) VIOLATED:     84200     84200.5     84605

DESCRIPTION OF VIOLATION: Failure to file campaign statements in paper and/or electronic format (Form 460)

Count	Type of Statement	Reporting Period(s)	Date Due
1	Semi-Annual	1/1/2011-6/30/2011	8/1/2011
2	Semi-Annual	7/1/2011-12/31/2011	1/31/2012
3	Semi-Annual	1/1/2012-6/30/2012	7/31/2012
4	Semi-Annual	7/1/2012-12/31/2012	1/31/2013
5	Semi-Annual	1/1/2013-6/30/2013	7/31/2013

MONETARY PENALTY: \$2,000      NUMBER OF COUNTS: 5

**STATEMENT BY RESPONDENT(S):**

I acknowledge that the violation(s) of the Political Reform Act described above have occurred and voluntarily request that the Fair Political Practices Commission resolve this matter by imposition of the monetary penalty specified above. I acknowledge receipt of the *Statement of Respondent's Rights* and voluntarily waive any and all procedural rights to contest this matter in an administrative hearing. All outstanding reports, in connection with these violations, have now been filed. I have attached a **cashier's check or money order** made payable to the General Fund of the State of California in the amount of the penalty described above.

Dated: \_\_\_\_\_ X \_\_\_\_\_

Printed Name and Title: \_\_\_\_\_

**STATEMENT BY ENFORCEMENT CHIEF:**

I have reviewed the above stipulation and recommend its approval.

Dated: \_\_\_\_\_

GARY S. WINUK, ENFORCEMENT CHIEF

**ORDER OF THE COMMISSION:**

The foregoing stipulation has been adopted by a majority vote of the Fair Political Practices Commission as its final decision and order and is effective upon execution below by the Chair.

**IT IS SO ORDERED.**

Dated: \_\_\_\_\_

ANN RAVEL, CHAIR

**FAIR POLITICAL PRACTICES COMMISSION**  
**STATEMENT OF RESPONDENT'S RIGHTS**

The Complainant, the Enforcement Division of the Fair Political Practices Commission, and Respondent, both identified by name on the front of this document, hereby agree that this Stipulation, Decision and Order will be submitted for consideration by the Commission at its next regularly scheduled meeting.

The parties agree to enter into this Stipulation to resolve all factual and legal issues raised in this matter and to reach a final disposition without the necessity of holding an administrative hearing to determine the liability of Respondent.

Respondent understands and hereby knowingly and voluntarily waives any and all procedural rights under California Government Code sections 83115.5, 11500, *et seq.*, and 2 California Code of Regulations sections 18361.1 through 18361.9, including but not limited to the issuance and receipt of an accusation, and the right to appear personally and be represented by counsel at his or her own expense in any administrative hearing held in this matter, to confront and cross examine all witnesses testifying at the hearing, to subpoena witnesses to testify at the hearing, and to have an impartial administrative law judge present at the hearing to act as a hearing officer.

It is further stipulated and agreed that Respondent has violated the Political Reform Act as described herein.

Respondent agrees to the issuance of the Decision and Order and imposition by the Commission of a penalty in the amount specified on the Stipulation, and a cashier's check or money order in said amount, payable to the "General Fund of the State of California", has been submitted by Respondent to be held by the State of California until the Commission issues its Decision and Order.

The parties agree that in the event the Commission refuses to accept this Stipulation, it shall become null and void, and within fifteen (15) business days after the Commission meeting at which this Stipulation is rejected, payments tendered shall be reimbursed to Respondent. Respondent further stipulates and agrees that in the event the Commission rejects the Stipulation and a full evidentiary hearing before the Commission becomes necessary, neither a member of the Commission, nor the Executive Director, shall be disqualified because of prior consideration of this Stipulation.



EXHIBIT A-9

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DEFAULT DECISION AND ORDER  
FPPC NO. 13/0311

**FAIR POLITICAL PRACTICES COMMISSION, Enforcement Division**  
Case Chronology

Prepared by: Michael Battaglia

Case No.: 2013/0311 Case Name: Monique for School Board

<u>DATE</u>	<u>ACTIVITY</u>
04/01/14	Assigned to Michael Battaglia.
04/08/14	Called Respondent. Talked to Monique. Informed her of the pending increase in penalty. I said I would send an email regarding the forms to be filed. Sent email with list of forms to be filed.
04/10/14	Called Respondent. Left VM requesting call back. Respondent called back. She said she would get the forms done within a week. She will call back/email actual date account was closed. Said I would call back next week to follow up.
04/17/14	Called Respondent. Respondent unable to talk. Requested I call back on Tuesday.
04/22/14	Called Respondent to follow up with forms, date account was closed and info re: Tom. Her and Tom have been trying to find the statement. She said she is going into the bank tomorrow. I told her that if I didn't hear anything by Tuesday, I will proceed with the PC Report.
04/29/14	Called Respondent twice... no answer. Called Danny Chavez... no answer.
05/01/14	Called respondent. Left VM – following up on filing of statements, and account info. Requesting call back.
05/08/14	Called Respondent, left VM – following up on the account info and filing of statements. Requested a call back. Called FO Danny Chavez. He is going to email me the letters that they sent to Respondent for the missing statements.
05/20/14	Called Respondent. Left detailed VM. Currently, over \$2000 in penalties. If don't hear back, will proceed to next stage and penalties will likely increase. Called Treasurer. Left detailed VM. As above.
05/21/14	Tom Rohrbecher called back. Left a message.
05/22/14	Called Tom Rohrbecher. Talked with him. Outlined the problem. He said Monique got back on Sunday, she will call on Tuesday (Monday is a public holiday)... to sort this matter out.
05/27/14	Called respondent Monique (at 4:05pm). No answer. Left Voice mail. Re: As I haven't heard back from her, proceeding with the Probable Cause Report.
08/27/14	Called Resp. she said: <ul style="list-style-type: none"><li>- She had sent in correspondence and the bank statement I required to Kelli at the FPPC.</li><li>- She will re-email the correspondence and the bank statement to me.</li></ul> I told her that: <ul style="list-style-type: none"><li>- She had to September 3, if she wanted to fight/challenge the default.</li><li>- That she would still have to file the outstanding statements... termination (410) and semi-annuals (460).</li><li>- That there would be \$400 per violation if she wants to settle.</li><li>- Once I receive the bank statement, I will resend an offer to settle and she would need to sign that, send it in along with stamped copies of the filed forms.</li></ul>
08/29/14	Called Resp. told her we haven't received her letter. She said she would email it to me. I told her I'd call again on Tuesday with the update details in response to her email.
09/02/14	Called Resp. twice. (around 2:45pm) No answer. Message box full – I couldn't leave a message.
09/03/14	Called Resp. 3 times. No Answer, unable to leave voicemail. (2:25pm, 2:34pm, 4:15pm) Called home phone (2:40pm) ... left message expressing the urgency in contacting me. That I can now draft a default to put towards the commission and the penalty is minimum

**FAIR POLITICAL PRACTICES COMMISSION, Enforcement Division**  
**Case Chronology**

Prepared by: Michael Battaglia

Case No.: 2013/0311      Case Name: Monique for School Board

<u>DATE</u>	<u>ACTIVITY</u>
09/04/14	<p>\$6-14,000. Really emphasized them getting in contact with me. Want to settle this at a lesser penalty. Called Resp. No answer. Unable to leave voicemail. (9:50am). Called Resp. 12:17pm Left VM – telling to call me, urgent, minimum penalty of \$6,000-14,000. And briefly outlined procedure for stipulation.</p>
09/25/14	Called Resp. no answer. Unable to leave VM. (3:49pm)
09/26/14	Called Resp. Left VM. Requested call back.
09/29/14	Called Resp. Left VM. Requested call back.
09/29/14	Called Resp. Left VM. Requesting call back, mentioning filing two statements would go a long way to clearing the matter up. Also reminded that at the moment there is an accusation with a fine of \$4-16,000.
10/06/14	Called resp. told her what needed to be done in order fix the issue. Also said that I couldn't guarantee what the outcome will be, filing these forms will allow me to write up a re-evaluation.
10/13/14	Will send out an email with instructions on Wednesday and call to make sure she gets it. Called Resp. to follow up with receipt of email. She has received it, and she had no questions at the time. Told her to call me at any time if she does and that the sooner she got these forms in the better.
10/20/14	Called resp. let her know that statements have to be filed by Friday, otherwise case will be submitted for default decision.
10/22/14	Called Tom Rohrbecher. Left VM informing him of the filing deadline for the statements is this Friday. If not done by Friday, will be defaulted.
10/24/14	Called Resp. on both numbers provided in email. No answer left a VM with return phone number. Also sent email with contact numbers. Respondent called back. Spent approx. 90 min going through how to fill out the statements. Told Resp. that they needed to be filed today.
10/27/14	Called Filing Officer at around 12:30pm, to enquire whether Resp. had filed the outstanding forms. FO said no forms had been filed.

EXHIBIT A-10

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DEFAULT DECISION AND ORDER  
FPPC NO. 13/0311



FAIR POLITICAL PRACTICES COMMISSION

428 J Street • Suite 620 • Sacramento, CA 95814-2329

(916) 322-5660 • Fax (916) 322-0886

June 2, 2014

**CERTIFIED MAIL, RETURN RECEIPT REQUESTED**

Ms. Monique Dollonne (Candidate)  
5552 Floyd Street  
Ventura, CA 93003

Mr. Thomas Rohrbecher (Treasurer)  
5552 Floyd Street  
Ventura, CA 93003

**In the Matter of Monique Dollonne; Tom Rohrbecher; Monique for School Board  
FPPC No. 13/0311**

Dear Ms. Dollonne:

The Enforcement Division of the Fair Political Practices Commission (the "Commission") is proceeding with an administrative action against you for your failure to comply with the filing and disclosure provisions of the Political Reform Act (the "Act"), as described in our previous correspondence dated September 5, 2013 and October 25, 2013. The enclosed Report in Support of a Finding of Probable Cause (the "Report") contains a summary of the alleged violations and the relevant law and evidence. The report has been filed with the Commission's General Counsel (the "Hearing Officer") and is now being served upon you.

You have the right to file a written response to the Report. That response may contain any information you think is relevant and that you wish to bring to the attention of the Hearing Officer. In your response, please indicate whether you would like the Hearing Officer to make a determination of probable cause based on the written materials alone (the Report and your response) or request a conference, during which you may orally present your case to the Hearing Officer. Probable cause conferences are held in our office which is located at 428 J Street, Ste. 620, Sacramento, CA 95814. You may appear at the conference in person or by telephone and you are entitled to be represented by counsel. *If you wish to submit a written response or request a probable cause conference, it must be filed with the Commission Assistant, Kelli Breton, at the address listed above within 21 days from the date of service of this letter.* You can reach Ms. Breton at (916) 327-8269.

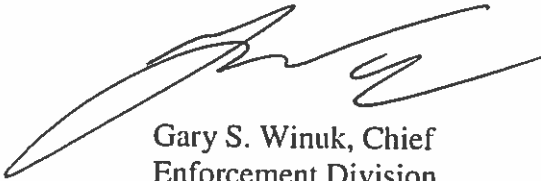
Please note: probable cause conferences are not settlement conferences. The sole purpose of a probable cause conference is to determine whether there is probable cause to believe that the Act was violated. However, settlement discussions are encouraged by the Commission and may take place at any time except during a probable cause conference. *If you are interested in reaching a settlement in this matter, please contact Tracey Frazier at (916) 327-2019 or [tfrazier@fppc.ca.gov](mailto:tfrazier@fppc.ca.gov).*

Finally, you have the right to request discovery of the evidence in possession of, and relied upon by, the Enforcement Division. *This request must also be filed with Ms. Breton within 21 days from the date of service of this letter.* Should you request discovery, the Enforcement Division will provide the evidence by service of process or certified mail. From the date you are served with the evidence, you would have an additional 21 days to file a written response to the Report, just as described above.

*Should you take no action within 21 days from the date of service of this letter, your rights to respond and to request a conference are automatically waived and the Enforcement Division will independently pursue the issuance of an accusation.*

For your convenience, I have enclosed a fact sheet on probable cause proceedings and copies of the most relevant statutes and regulations.

Sincerely,



Gary S. Winuk, Chief  
Enforcement Division

GSW:tf:mb

1 GARY S. WINUK  
2 Chief of Enforcement  
3 **FAIR POLITICAL PRACTICES COMMISSION**  
4 428 J Street, Suite 620  
5 Sacramento, CA 95814  
6 Telephone: (916) 322-5660  
7 Facsimile: (916) 322-1932

8 Attorney for Complainant

9  
10 **BEFORE THE FAIR POLITICAL PRACTICES COMMISSION**  
11 **STATE OF CALIFORNIA**

12 In the Matter of

13 MONIQUE DOLLONNE, MONIQUE FOR  
14 SCHOOL BOARD, AND THOMAS  
15 ROHRBECHER

16 Respondents.

) FPPC No. 13/0311

)

)

) **REPORT IN SUPPORT OF A FINDING OF  
PROBABLE CAUSE**

)

) Conference Date: TBA

) Conference Time: TBA

) Conference Location: Commission Offices  
428 J Street, Suite 800  
Sacramento, CA 95814

17 **INTRODUCTION**

18 Respondent Monique Dollonne ("Respondent") was an unsuccessful candidate for the Ventura  
19 Unified School District Board in the election held November 3, 2009. Respondent Monique for School  
20 Board (the "Committee") is the Respondent's controlled recipient committee. At all times relevant to  
21 this matter, Respondent Thomas Rohrbecher ("Respondent Treasurer") was the treasurer of  
22 Respondent Committee.

23 The Political Reform Act (the "Act")<sup>1</sup> requires candidates, their controlled committees, and the  
24 treasurers of those committees to file campaign statements at specific times disclosing information  
25 regarding contributions received and expenditures made by the committee. In this matter, Respondents

26 <sup>1</sup>The Political Reform Act is contained in Government Code sections 81000 through 91014. All statutory references  
27 are to the Government Code, unless otherwise indicated. The regulations of the Fair Political Practices Commission are  
28 contained in sections 18110 through 18997 of Title 2 of the California Code of Regulations. All regulatory references are to  
Title 2, Division 6 of the California Code of Regulations, unless otherwise indicated.

1 failed to file six semi-annual campaign statements with the County of Ventura, covering the reporting  
2 periods from January 1, 2011 through December 31, 2013.

3 This Report in Support of a Finding of Probable Cause therefore alleges that Respondents  
4 violated the Act as follows:

5 **COUNT 1:** Respondents Monique Dollonne, Monique for School Board and Thomas  
6 Rohrbecher failed to file a Semi-Annual Statement (Form 460) for the January  
7 1, 2011 through June 30, 2011, reporting period, by the August 1, 2011<sup>2</sup>, due  
8 date in violation of section 84200, subdivision (a).

9 **COUNT 2:** Respondents Monique Dollonne, Monique for School Board and Thomas  
10 Rohrbecher failed to file a Semi-Annual Statement (Form 460) for the July 1,  
11 2011 through December 31, 2011, reporting period, by the January 31, 2012,  
12 due date in violation of section 84200, subdivision (a).

13 **COUNT 3:** Respondents Monique Dollonne, Monique for School Board and Thomas  
14 Rohrbecher failed to file a Semi-Annual Statement (Form 460) for the January  
15 1, 2012 through June 30, 2012, reporting period, by the July 31, 2012, due date  
16 in violation of section 84200, subdivision (a).

17 **COUNT 4:** Respondents Monique Dollonne, Monique for School Board and Thomas  
18 Rohrbecher failed to file a Semi-Annual Statement (Form 460) for the July 1,  
19 2012 through December 31, 2012, reporting period, by the January 31, 2013,  
20 due date in violation of section 84200, subdivision (a).

21 **COUNT 5:** Respondents Monique Dollonne, Monique for School Board and Thomas  
22 Rohrbecher failed to file a Semi-Annual Statement (Form 460) for the January  
23 1, 2013 through June 30, 2013, reporting period, by the July 31, 2013, due date  
24 in violation of section 84200, subdivision (a).

25 **COUNT 6:** Respondents Monique Dollonne, Monique for School Board and Thomas  
26 Rohrbecher failed to file a Semi-Annual Statement (Form 460) for the July 1,  
27 2013 through December 31, 2013, reporting period, by the January 31, 2014,  
28 due date in violation of section 84200, subdivision (a).

2 Regulation 18116 provides that when a filing deadline falls on a Saturday, Sunday, or official holiday, the filing deadline is extended to the next business day. July 31, 2011, was a Sunday.



1 **SUMMARY OF THE LAW**

2 **Jurisdiction**

3 Section 83116 provides the Fair Political Practices Commission (the "Commission") with  
4 administrative jurisdiction to enforce the provisions of the Act.

5 **Probable Cause Proceedings**

6 Prior to bringing an enforcement action, the General Counsel of the Commission or his  
7 designee (the "hearing officer"), must make a finding that there is probable cause to believe the  
8 Respondent has violated the Act. (Section 83115.5, and Regulations 18361 and 18361.4, subd. (e).)  
9 After a finding of probable cause, the Commission may hold a hearing<sup>3</sup> to determine whether  
10 violations occurred, and levy an administrative penalty of up to \$5,000 for each violation. (Section  
11 83116.)

12 **Standard for Finding Probable Cause**

13 A probable cause determination is governed by Sections 83115.5 and 83116, and Regulation  
14 18361.4. For the hearing officer to make a finding of probable cause, it is only necessary that he be  
15 presented with sufficient evidence to lead a person of ordinary caution and prudence to believe, or  
16 entertain a strong suspicion, that a respondent committed or caused a violation. (Regulation 18361.4,  
17 subd. (e).)

18 **Duty to File Semi-Annual Campaign Statements**

19 At the core of the Act's campaign reporting system is the requirement set forth in section  
20 84200, subdivision (a), that a committee file semi-annual campaign statements each year no later than  
21 July 31 for the period ending June 30, and no later than January 31 of the next year for the period  
22 ending December 31. A committee continues to have filing obligations until it files a Statement of  
23 Termination with the Secretary of State and its local filing officer. (Section 84214, Regulation 18404).  
24 As of January 1, 2014, the committee Monique for School Board had not been terminated and remains  
25 active.

26 **Treasurer Liability**

27 <sup>3</sup>A noticed hearing is to be held in accordance with the provisions of the Administrative Procedure Act, commencing  
28 with Government Code section 11500.

1 Section 81004, subdivision (b), Section 84100, and Regulation 18427, subdivision (a), require  
2 a committee's treasurer to ensure that the committee complies with the requirements of the Act  
3 concerning the receipt and expenditure of funds, and the reporting of such funds. A committee's  
4 treasurer may be held jointly liable, along with the committee, for any reporting violations committed  
5 by the committee.

### 7 SUMMARY OF THE EVIDENCE

8 Respondent Monique Dollonne was an unsuccessful candidate for the Ventura Unified School  
9 District Board in the election held November 3, 2009. Respondent Monique for School Board is the  
10 Respondent's controlled recipient committee. At all times relevant to this matter, Respondent Thomas  
11 Rohrbecher was the treasurer of Respondent Committee.

12 This matter arose out of a referral from the Ventura County Clerk. As a candidate for county  
13 office, Respondents were required to file all campaign statements with the County of Ventura.  
14 (Section 84215, subd.(c).) According to the Ventura County Clerk, as of April 3, 2014, Respondents  
15 have not filed the following required semi-annual campaign statements:

17 Statement Type	18 Reporting Period	19 Filing 20 Deadline	21 Filing Officer Notification
22 Semi-Annual	23 01/01/11 – 06/30/11	24 08/01/11 <sup>4</sup>	25 07/11/11
26 Semi-Annual	27 07/01/11 – 12/31/11	28 01/31/12	01/05/12, 02/06/12
Semi-Annual	01/01/12 – 06/30/12	07/31/12	07/09/12, 12/19/12
Semi-Annual	07/01/12 – 12/31/12	01/31/13	01/07/13, 02/11/13
Semi-Annual	01/01/13 – 06/30/13	07/31/13	07/08/13, 08/06/13, 08/21/13
Semi-Annual	07/01/13 – 12/31/13	01/31/14	01/06/14, 02/05/14, 02/20/14

4 Regulation 18116 provides that when a filing deadline falls on a Saturday, Sunday, or official holiday, the filing deadline is extended to the next business day. July 31, 2011, was a Sunday.

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COUNTS 1-6

**Failure to File Semi-Annual Statement**

Respondents had a duty to file semi-annual statements for periods January 1, 2011 through June 30, 2011 (due August 1, 2011), July 1, 2011 through December 31, 2011 (due January 31, 2012), January 1, 2012 through June 30, 2012 (due July 31, 2012), July 1, 2012 through December 31, 2012 (due January 1, 2013), January 1, 2013 through June 30, 2013 (due July 31, 2013), July 1, 2013 through December 31, 2013 (due January 31, 2014). The evidence shows that Respondents have not, to date, filed these statements. By failing to file semi-annual campaign statements, Respondents violated Government Code Section 84200, subdivision (a). Each failure to file constitutes one count.

**OTHER RELEVANT MATERIAL AND ARGUMENTS**

Respondents failed to act upon 13 written communications by the Filing Officer of Ventura County. Respondents were given the opportunity to participate in Enforcement’s streamline stipulation program. Respondents failed to respond to Enforcement’s Tier One Stipulation sent on September 5, 2013, and to Enforcement’s Tier Two Stipulation sent on October 25, 2013.

Since June 7, 2013, 18 phone calls have been made by Enforcement attempting to facilitate settlement. Out of the 18 calls made, four were answered, 12 voicemails were left and respondent replied to four voicemail messages.

On April 8, 2014, an email was sent by Enforcement to Respondent Dollonne that identified the outstanding statements and provided information on how to find and complete the appropriate forms.

On April 10, 2014 Respondent Dollonne indicated she would have the outstanding statements filed within the week.

On April 22, 2014, Respondent indicated that she and Respondent Treasurer were attempting to locate the appropriate bank statement in order to file the outstanding statements. Respondent said she was going to go to the bank on the following day (April 23, 2014) to procure a copy of the account’s last statement.

1  
2 **MITIGATIVE OR EXCULPATORY INFORMATION**

3 Respondents have no prior history of violating the Act.

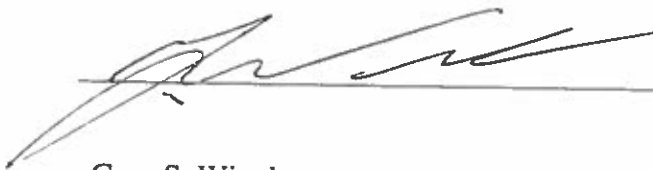
4 As of last statement filed, the Committee account was at \$4,100.19; however, Respondent  
5 alleges the Committee bank account was closed with a zero balance.  
6

7 **CONCLUSION**

8 Probable cause exists to believe that Respondents committed six violations of the Act as set  
9 forth above. An Accusation should therefore be issued in accordance with the procedures described in  
10 Regulation 18361.4, subdivision (e), charging Respondent with violating the Act.  
11

12 Dated: June 2, 2014

Respectfully submitted,

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17 Gary S. Winuk  
18 Chief of Enforcement  
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## **PROBABLE CAUSE FACT SHEET**

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### **INTRODUCTION**

The Fair Political Practices Commission is required by law to determine whether probable cause exists to believe that the Political Reform Act (the "Act") was violated before a public administrative accusation may be issued.

The probable cause proceedings before the Fair Political Practices Commission are unique, and most respondents and their attorneys are unfamiliar with them. Therefore, we have prepared this summary to acquaint you with the process.

### **THE LAW**

Government Code sections 83115.5 and 83116 set forth the basic requirement that a finding of probable cause be made in a "private" proceeding before a public accusation is issued and a public hearing conducted in accordance with the Administrative Procedure Act.

The Commission has promulgated regulations further defining the probable cause procedure and delegating to the General Counsel (the "Hearing Officer" for purposes of these proceedings) the authority to preside over such proceedings and decide probable cause. A copy of these statutes and regulations are attached for your convenience.

In summary, the statutes and regulations entitle you to the following:

- a) A written probable cause report containing a summary of the law alleged to have been violated, and a summary of the evidence, including any exculpatory and mitigating information and any other relevant material and arguments;
- b) The opportunity to request discovery, respond in writing, and to request a probable cause conference within 21 days of service of the probable cause report;
- c) If the Commission met to consider whether a civil lawsuit should be filed in this matter, a copy of any staff memoranda submitted to the Commission and a transcript of staff discussions with the Commission at any such meeting; and
- d) If a timely request was made, a non-public conference with the General Counsel and the Enforcement Division staff to consider whether or not probable cause exists to believe the Act was violated.

### **THE PROCEDURE**

#### **Probable Cause Report**

Administrative enforcement proceedings are commenced with the service, by registered or certified mail or in person, of a probable cause report. The report will contain a summary of the law and the evidence, including any exculpatory and mitigating information of which the staff has knowledge and any other relevant material and arguments. It is filed with the Hearing Officer.

## **Discovery**

Within 21 calendar days following the service of the probable cause report, you may request discovery of the evidence in the possession of the Enforcement Division. This is not a right to full discovery of the Enforcement Division file, but to the evidence relied upon by the Division along with any exculpatory or mitigating evidence<sup>1</sup>.

This request must be sent by registered or certified mail to the Commission Assistant.

## **Response to Probable Cause Report**

Within 21 calendar days following the service of the probable cause report (or, if you timely requested discovery, within 21 calendar days from the service of the evidence) you may submit a response to the Report. By regulation, the written response may contain, "... a summary of evidence, legal arguments, and any mitigating or exculpatory information." (Cal. Code Regs., tit. 2, § 18361.4, subd. (c).)

You must file your response with the Commission Assistant and provide a copy, by service of process or registered or certified mail with return receipt requested, to all other proposed respondents listed in the probable cause report.

## **Staff Reply**

Within 10 calendar days following the date the response was filed with the Commission Assistant, Commission staff may submit any evidence or argument in rebuttal. You will be served with a copy of any such reply.

## **Probable Cause Conference**

Probable cause conferences are held at the offices of the Fair Political Practices Commission, which is located at 428 J Street, Ste. 620, Sacramento, CA 95814. You may appear at the conference in person or by telephone. The proceedings are not public unless all proposed respondents agree to open the conference to the public. Otherwise, the probable cause report, any written responses, and the probable cause conference itself are confidential.

Unless the probable cause conference is public, the only persons who may attend are the staff of the Commission, any proposed respondent and his or her attorney or representative, and, at the discretion of the Hearing Officer, witnesses.

The Hearing Officer may, but need not, permit testimony from witnesses. Probable cause conferences are less formal than court proceedings. The rules of evidence do not apply. The conferences will be recorded and a copy of the recording will be provided upon request.

Since it has the burden of proof, the Enforcement Division is permitted to open and close the conference presentations. The Hearing Officer may also hold the record open to receive additional evidence or arguments.

Probable cause conferences are not settlement conferences. The sole purpose of a probable cause conference is to determine whether or not there is probable cause to believe that the

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<sup>1</sup> But see Title 2, California Code of Regulations, Section 18362, which states that the Commission provides access to complaints, responses to complaints, and investigative files and information in accordance with the requirements of the Public Records Act. (Govt. Code § 6250, et seq.)

Political Reform Act was violated. Anyone who wishes to discuss settlement with the Enforcement Division may do so before or after the probable cause conference but not during the conference.

Pursuant to Title 2, California Code of Regulations, Section 18361.4, subdivision (e), the Hearing Officer will find probable cause “if the evidence is sufficient to lead a person of ordinary caution and prudence to believe or entertain a strong suspicion that a proposed respondent committed or caused a violation.”

Ordinarily, probable cause determinations are made based upon the written probable cause report, any written response by the respondent, any written reply by the Enforcement Division, and the oral arguments presented at the conference. Timely written presentations are strongly recommended.

### **Probable Cause Order and Accusation**

Once the matter is submitted to the Hearing Officer, the probable cause decision will normally be made within ten days. If the Hearing Officer finds probable cause, he will issue a Finding of Probable Cause, which will be publicly announced at the next Commission Meeting. An accusation will be issued soon after the Finding of Probable Cause is publicly announced.

### **Continuances**

Every reasonable effort is made to accommodate the schedules of parties and counsel. However, once a date has been set it is assumed to be firm and will not be continued except upon the order of the Hearing Officer after a showing of good cause. Settlement negotiations will be considered good cause only if the Hearing Officer is presented with a fully executed settlement, or is convinced that settlement is imminent.

### **Settlements**

Settlement discussions may take place at any time except during the probable cause conference. In order to open settlement discussions, a proposed respondent or his or her counsel or representative should present a written offer to settle stating, where appropriate, the violations to be admitted, and the monetary penalty or other remedy to be tendered.

The Enforcement Division attorney assigned to the case will negotiate any potential settlement on behalf of the Fair Political Practices Commission, and will draft the language of the settlement agreement. The Hearing Officer will not directly participate in the negotiations, but will be represented by Enforcement Division attorneys. Staff attorneys will present settlement offers to the Hearing Officer for his/her approval.

### **CONCLUSION**

This fact sheet was intended to give you a brief summary of the probable cause process at the Fair Political Practices Commission. Such a summary cannot answer every question that might arise in such proceedings. Therefore, if you have any questions that are not addressed by this fact sheet or the copies of the law and regulations we have attached, feel free to contact the attorney whose name appears on the probable cause report.

*Attachments: Relevant Sections of (1) California Government Code , and (2) Regulations of the Fair Political Practices Commission, Title 2, Division 6 of the California Code of Regulations.*

## CALIFORNIA GOVERNMENT CODE

### Probable Cause Statutes

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#### **§ 83115.5. Probable cause; violation of title; notice of violation; summary of evidence; notice of rights; private proceedings**

No finding of probable cause to believe this title has been violated shall be made by the commission unless, at least 21 days prior to the commission's consideration of the alleged violation, the person alleged to have violated this title is notified of the violation by service of process or registered mail with return receipt requested, provided with a summary of the evidence, and informed of his right to be present in person and represented by counsel at any proceeding of the commission held for the purpose of considering whether probable cause exists for believing the person violated this title. Notice to the alleged violator shall be deemed made on the date of service, the date the registered mail receipt is signed, or if the registered mail receipt is not signed, the date returned by the post office. A proceeding held for the purpose of considering probable cause shall be private unless the alleged violator files with the commission a written request that the proceeding be public.

#### **§ 83116. Violation of title; probable cause; hearing; order**

When the Commission determines there is probable cause for believing this title has been violated, it may hold a hearing to determine if a violation has occurred. Notice shall be given and the hearing conducted in accordance with the Administrative Procedure Act (Chapter 5 (commencing with Section 11500), Part 1, Division 3, Title 2, Government Code). The Commission shall have all the powers granted by that chapter. When the Commission determines on the basis of the hearing that a violation has occurred, it shall issue an order that may require the violator to do all or any of the following:

- (a) Cease and desist violation of this title.
- (b) File any reports, statements, or other documents or information required by this title.
- (c) Pay a monetary penalty of up to five thousand dollars (\$5,000) per violation to the General Fund of the state. When the Commission determines that no violation has occurred, it shall publish a declaration so stating.



**REGULATIONS OF THE FAIR POLITICAL PRACTICES COMMISSION  
TITLE 2, DIVISION 6 OF THE CALIFORNIA CODE OF REGULATIONS**

**Probable Cause Regulations**

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**§ 18361 (b). Delegation by the Executive Director Pertaining to Enforcement Proceedings and Authority to Hear Probable Cause Proceedings.**

Probable cause proceedings under Regulation 18361.4 shall be heard by the General Counsel or an attorney from the Legal Division. The General Counsel may delegate the authority to hear probable cause proceedings, in writing, to an administrative law judge.

**§ 18361.4. Probable Cause Proceedings**

(a) Probable Cause Report. If the Chief of the Enforcement Division decides to commence probable cause proceedings pursuant to Sections 83115.5 and 83116, he or she shall direct the Enforcement Division staff to prepare a written report, hereafter referred to as “the probable cause report.” The probable cause report shall contain a summary of the law and evidence gathered in connection with the investigation, including any exculpatory and mitigating information of which the staff has knowledge and any other relevant material and arguments. The evidence recited in the probable cause report may include hearsay, including declarations of investigators or others relating the statements of witnesses or concerning the examination of physical evidence.

(b) No probable cause hearing will take place until at least 21 calendar days after the Enforcement Division staff provides the following, by service of process or registered or certified mail with return receipt requested, to all proposed respondents:

- (1) A copy of the probable cause report;
- (2) Notification that the proposed respondents have the right to respond in writing to the probable cause report and to request a probable cause conference at which the proposed respondent may be present in person and represented by counsel, and;
- (3) If the Commission met in executive session on this matter pursuant to Regulation 18361.2, a copy of any staff memoranda submitted to the Commission at that time along with the recording of any discussion between the Commission and the staff at the executive session as required in subdivision (b) of Regulation 18361.2.

(c) Response to Probable Cause Report.

- (1) Each proposed respondent may submit a written response to the probable cause report. The response may contain a summary of evidence, legal arguments, and any mitigating or exculpatory information. A proposed respondent who submits a response must file it with the Commission Assistant who will forward the response to the General Counsel or an attorney in the Legal Division (the “hearing officer”) and provide a copy, by service of process or registered or certified mail with return receipt requested, to all other proposed respondents listed in the probable cause report not later than 21 days following service of the probable cause report.
- (2) Within 21 calendar days following the service of the probable cause report, a proposed

respondent may request discovery of evidence in the possession of the Enforcement Division. This request must be sent by registered or certified mail to the Commission Assistant. Upon receipt of the request, the Enforcement Division shall provide discovery of evidence relied upon by the Enforcement Division sufficient to lead a person of ordinary caution and prudence to believe or entertain a strong suspicion that a proposed respondent committed or caused a violation, along with any exculpatory or mitigating evidence. This is not a right to full discovery of the Enforcement Division file. The Enforcement Division shall provide access to documents for copying by the Respondent, or upon agreement among the parties, the Enforcement Division will provide copies of the requested documents upon payment of a fee for direct costs of duplication. The Enforcement Division shall provide such evidence by service of process or registered or certified mail with return receipt requested to all respondents, with a copy to the Commission Assistant. A respondent may submit a written response to the probable cause report described in subsection (1) no later than 21 calendar days after service of discovery.

- (3) The Commission staff may submit any evidence or argument in rebuttal to the response. When the Commission staff submits evidence or argument in rebuttal to the response, it shall provide a copy, by service of process or registered or certified mail with return receipt requested, to all proposed respondents listed in the probable cause report not later than 10 calendar days following the date the response was filed with the Commission Assistant. The hearing officer may extend the time limitations in this section for good cause. At any time prior to a determination of probable cause, the hearing officer may allow additional material to be submitted as part of the initial response or rebuttal.

(d) Probable Cause Conference. Any proposed respondent may request a probable cause conference. The request shall be served upon the Commission Assistant and all other proposed respondents not later than 21 days after service of the probable cause report unless the hearing officer extends the time for good cause. The Commission Assistant shall fix a time for the probable cause conference and the hearing officer shall conduct the conference informally. The conference shall be closed to the public unless a proposed respondent requests and all other proposed respondents agree to a public conference. If the conference is not public, only members of the Commission staff, any proposed respondent and his or her legal counsel or representative shall have the right to be present and participate. The hearing officer may allow witnesses to attend and participate in part or all of the probable cause conference. In making this determination, the hearing officer shall consider the relevancy of the witness' proposed testimony, whether the witness has a substantial interest in the proceedings, and whether fairness requires that the witness be allowed to participate. Representatives of any civil or criminal prosecutor with jurisdiction may attend the conference at the discretion of the hearing officer if they agree to respect the confidential nature of the proceedings. If the conference is not open to the public and none of the parties and the presiding officer object, the conference may be conducted in whole or in part by telephone. The probable cause conference shall be recorded. The hearing officer may determine whether there is probable cause based solely on the probable cause report, any responses or rebuttals filed and any arguments presented at the probable cause conference by the interested parties. If the hearing officer requires additional information before determining whether there is probable cause, he or she may permit any party to submit additional evidence at the probable cause conference.

(e) **Finding of Probable Cause.** The hearing officer may find there is probable cause to believe a violation has occurred if the evidence is sufficient to lead a person of ordinary caution and prudence to believe or entertain a strong suspicion that a proposed respondent committed or caused a violation. A finding of probable cause by the hearing officer does not constitute a finding that a violation has actually occurred. The hearing officer shall not make a finding of probable cause if he or she is presented with clear and convincing evidence that, at a time prior to the alleged violation, the violator consulted with the staff of the Commission in good faith, disclosed truthfully all the material facts, and committed the acts complained of either in reliance on the advice of the staff or because of the staff's failure to provide advice. If the hearing officer makes a finding of probable cause, the Enforcement Division shall prepare an Accusation pursuant to Section 11503 and have it served upon the person or persons who are subjects of the probable cause finding. The hearing officer shall publicly announce the finding of probable cause. The announcement shall contain a summary of the allegations and a cautionary statement that the respondent is presumed to be innocent of any violation of the Act unless a violation is proved in a subsequent proceeding. The Chief of the Enforcement Division shall be responsible for the presentation of the case in support of the Accusation at an administrative hearing held pursuant to Section 83116.

#### **§ 18362. Access to Complaint Files**

(a) Access to complaints, responses thereto, and investigative files and information shall be granted in accordance with the requirements of the Public Records Act (Government Code Section 6250, et seq.).

(b) When release of material is requested pursuant to subdivision (a), the Executive Director, or his or her designee, shall review the material prior to its release or prior to a claim of exemption to determine that the requirements of the Public Records Act have been satisfied.

(c) Any person requesting copies of material pursuant to subdivision (a) shall reimburse the Commission \$0.10 per page for each page copied or supply copying equipment and make copies in the offices of the Commission. Documents may not be removed from the offices of the Commission. If the request is for copies totaling ten pages or less, the copies shall be provided without charge for copying since the administrative costs do not warrant collection of \$1.00 or less. If the request is for copies totaling more than ten pages, reimbursements of copying costs shall include the cost for the first ten pages. Charges imposed pursuant to this subdivision are for the purpose of recovering the cost of copying.

(d) Requests for access and copies pursuant to subdivision (a) shall be made in writing and shall specifically identify the documents sought.

#### **§ 18361.2. Memorandum Respecting Civil Litigation.**

(a) If the Executive Director concludes civil litigation should be initiated, he or she shall submit to the Commission a written memorandum, which shall be first reviewed by the General Counsel, or an attorney from the Legal Division, summarizing the facts and the applicable law of the case and recommending the initiation of a lawsuit. The memorandum shall include all exculpatory and mitigating information known to the staff.

(b) The Commission shall review the memorandum at an executive session. The General Counsel, or an attorney from the Legal Division, and the Commission Assistant shall be in attendance. No other member of the staff may be present unless the Commission meets with a member of the staff for that person to answer questions. The Commission may not resume its deliberations until the person is no longer present. Any communication between the Commission and the person during the executive session shall be recorded. After review of the memorandum, the Commission may direct the Executive Director to do any of the following:

- (1) Initiate civil litigation.
- (2) Decide whether probable cause proceedings should be commenced pursuant to 2 Cal. Code of Regulations Section 18361.4.
- (3) Return the matter to the staff for further investigation.
- (4) Take no further action on the matter or take any other action it deems appropriate.

(c) If the Commission decides to initiate civil litigation, the Commission may then permit other members of the staff to attend the executive session.

(d) If the Executive Director deems it necessary, he or she may call a special meeting of the Commission to review a staff memorandum recommending the initiation of civil litigation.

(e) It is the intent of the Commission in adopting this section to preserve for the members of the Commission the authority to decide whether alleged violations should be adjudicated in administrative hearings or in civil litigation, while at the same time avoiding the possibility that discussions with members of the staff might cause members of the Commission to prejudge a case that might be heard by the Commission under Government Code Section 83116.

EXHIBIT A-11

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DEFAULT DECISION AND ORDER  
FPPC NO. 13/0311

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Return Receipt Fee <small>(Endorsement Required)</small>		
Restricted Delivery Fee <small>(Endorsement Required)</small>		
<b>Total Postage &amp; Fees</b>	<b>\$</b>	

Sent To  
 Street, Apt. No.,  
 or PO Box No.      **Thomas Rohrbecher**  
 5552 Floyd Street  
 City, State, ZIP+4      **Ventura, CA 93003**

PS Form 3800, Ju

7006 0810 0000 5120 9676

SENDER: COMPLETE THIS SECTION	COMPLETE THIS SECTION ON DELIVERY
<ul style="list-style-type: none"> <li>■ Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.</li> <li>■ Print your name and address on the reverse so that we can return the card to you.</li> <li>■ Attach this card to the back of the mailpiece, or on the front if space permits.</li> </ul> <p>1. Article Addressed to:</p> <p style="margin-left: 20px;"><b>Thomas Rohrbecher</b>  <b>5552 Floyd Street</b>  <b>Ventura, CA 93003</b></p> <p>2. Article Number  <small>(Transfer from service label)</small></p>	<p>A. Signature  <input checked="" type="checkbox"/> Agent  <input checked="" type="checkbox"/> Addressee</p> <p>B. Received by (Printed Name)      C. Date of Delivery</p> <p style="text-align: right; font-weight: bold; font-size: 1.2em;">JUN 06 2014</p> <p>D. Is delivery address different from item 1? <input checked="" type="checkbox"/> Yes      If YES, enter delivery address below:      <input type="checkbox"/> No</p> <p>3. Service Type  <input type="checkbox"/> Certified Mail      <input type="checkbox"/> Express Mail  <input type="checkbox"/> Registered      <input type="checkbox"/> Return Receipt for Merchandise  <input type="checkbox"/> Insured Mail      <input type="checkbox"/> C.O.D.</p> <p>4. Restricted Delivery? (Extra Fee)      <input type="checkbox"/> Yes</p>
<p>7006 0810 0000 5120 9676</p>	
<p>PS Form 3811, February 2004      Domestic Return Receipt      102595-02-M-1540</p>	

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Return Receipt Fee (Endorsement Required)		
Restricted Delivery Fee (Endorsement Required)		
Total Postage & Fees	\$	

Sent To  
 Street, Apt. No. or PO Box No. Monique Dollonne  
 City, State, ZIP 5552 Floyd Street  
 Ventura, CA 93003

PS Form 3800

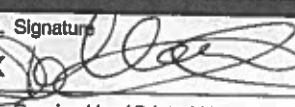
SENDER: COMPLETE THIS SECTION	COMPLETE THIS SECTION ON DELIVERY
<ul style="list-style-type: none"> <li>■ Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.</li> <li>■ Print your name and address on the reverse so that we can return the card to you.</li> <li>■ Attach this card to the back of the mailpiece, or on the front if space permits.</li> </ul>	<p>A. Signature  <input type="checkbox"/> Agent  <input checked="" type="checkbox"/> Addressee</p> <p>B. Received by (Printed Name) _____ C. Date of Delivery JUN 06 2014</p> <p>D. Is delivery address different from item 1? <input type="checkbox"/> Yes          If YES, enter delivery address below: <input type="checkbox"/> No</p>
<p>1. Article Addressed to:</p> <p>Monique Dollonne            5552 Floyd Street            Ventura, CA 93003</p>	<p>3. Service Type</p> <p><input type="checkbox"/> Certified Mail    <input type="checkbox"/> Express Mail  <input type="checkbox"/> Registered        <input type="checkbox"/> Return Receipt for Merchandise  <input type="checkbox"/> Insured Mail       <input type="checkbox"/> C.O.D.</p>
<p>2. Article Number          (Transfer from service label)</p> <p>7006 0810 0000 5120 9652</p>	<p>4. Restricted Delivery? (Extra Fee) <input type="checkbox"/> Yes</p>
<p>PS Form 3811, February 2004      Domestic Return Receipt      102595-02-M-1540</p>	

EXHIBIT A-12

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DEFAULT DECISION AND ORDER  
FPPC NO. 13/0311



1 GARY S. WINUK  
Chief of Enforcement  
Commission Counsel  
2 **FAIR POLITICAL PRACTICES COMMISSION**  
428 J Street, Suite 620  
3 Sacramento, CA 95814  
Telephone: (916) 322-5660  
4 Facsimile: (916) 322-1932

5 Attorney for Complainant  
6  
7

8 **BEFORE THE FAIR POLITICAL PRACTICES COMMISSION**  
9 **STATE OF CALIFORNIA**

10 In the Matter of ) FPPC No. 13/0311  
11 )  
12 ) EX PARTE REQUEST FOR A FINDING OF  
MONIQUE DOLLONNE, MONIQUE FOR ) PROBABLE CAUSE AND AN ORDER  
13 SCHOOL BOARD, AND THOMAS ) THAT AN ACCUSATION BE PREPARED  
ROHRBECHER, ) AND SERVED  
14 )  
Respondents. ) Gov. Code § 83115.5  
15 )

16  
17 **TO ZACKERY P. MORAZZINI, GENERAL COUNSEL OF THE FAIR POLITICAL**  
18 **PRACTICES COMMISSION:**

19 Pursuant to Section 83115.5 of the Political Reform Act (the "Act")<sup>1</sup> and Regulation 18361.4,  
20 Respondents Monique Dollonne, Monique for School Board and Thomas Rohrbecher were served with  
21 a copy of a Report in Support of a Finding of Probable Cause (the "Report") in the above-entitled  
22 matter. The Report (attached as "Exhibit A"), was part of a packet of materials, including a cover letter  
23 and a memorandum describing Probable Cause Proceedings, which was sent to Respondents by the  
24 Enforcement Division on June 3, 2014, by certified mail, with a return receipt requested. (The proof of  
25 service for the Report is attached as "Exhibit B".)

26 <sup>1</sup> The Political Reform Act is contained in Government Code Sections 81000 through 91014. All statutory  
27 references are to the Government Code, unless otherwise indicated. The regulations of the Fair Political Practices  
Commission are contained in Sections 18110 through 18997 of Title 2 of the California Code of Regulations. All  
regulatory references are to Title 2, Division 6 of the California Code of Regulations, unless otherwise indicated.

1 The United States Postal Service delivered the Report and attached materials to Respondents on  
2 June 6, 2014. (A copy of the confirmation page is attached as "Exhibit C".) On June 9, 2014, the  
3 Enforcement Division received the original return receipts indicating that Respondents received the  
4 Report and attached materials. (A copy of the return receipt is attached as "Exhibit D".)

5 In the cover letter and attached materials, Respondents were advised that they could respond in  
6 writing to the Report, and orally present their case to the General Counsel at a probable cause  
7 conference to be held in Sacramento. Respondents were further advised that in order to have a probable  
8 cause conference, they needed to make a written request for one, on or before 21 days from the date they  
9 received the Report. Additionally, Respondents were advised that if they did not request a probable  
10 cause conference, such a conference would not be held, and probable cause would be determined based  
11 solely on the Report and any written response that Respondents submitted within 21 days of the date  
12 Respondents were served with the Report. Respondents did not submit a written response, nor did they  
13 request a probable cause conference.

14 WHEREFORE, based on the attached Report, the Enforcement Division requests a finding by  
15 the General Counsel that probable cause exists to believe that Respondents Monique Dollonne, Monique  
16 for School Board and Thomas Rohrbecher committed six violations of the Act. Additionally, after  
17 finding probable cause exists, the Enforcement Division requests an Order by the General Counsel that  
18 an Accusation be prepared against Respondents, pursuant to Section 11503, and served upon them  
19 forthwith.

20  
21 Dated: July 10, 2014

Respectfully Submitted,

**FAIR POLITICAL PRACTICES COMMISSION**

22  
23 By: Gary S. Winuk  
24 Chief of Enforcement

25  
26   
Commission Counsel

EXHIBIT A-13

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DEFAULT DECISION AND ORDER

FPPC NO. 13/0311

1 BEFORE THE FAIR POLITICAL PRACTICES COMMISSION  
2 STATE OF CALIFORNIA

3  
4 In the Matter of ) FPPC No. 13/0311  
5 )  
6 MONIQUE DOLLONNE, MONIQUE FOR ) FINDING OF PROBABLE CAUSE AND  
7 SCHOOL BOARD, THOMAS ) ORDER TO PREPARE AND SERVE AN  
8 ROHRBECHER, ) ACCUSATION  
9 )  
10 Respondents. ) Gov. Code § 83115.5  
11 )

12 By means of an Ex Parte Request for an Order Finding Probable Cause, dated  
13 July 10, 2014, the Enforcement Division submitted the above-entitled matter to the General Counsel for  
14 a determination of Probable Cause. As set forth in the Ex Parte Request, the Enforcement Division sent  
15 a Report in Support of a Finding of Probable Cause (the "Report") to Respondents Monique Dollonne,  
16 Monique for School Board and Thomas Rohrbecher concerning this matter on June 3, 2014. Service  
17 was made by Certified Mail, Return Receipt Requested. Accompanying the Report was a packet of  
18 materials that informed Respondents of their right to file a written response to the Report within 21 days  
19 following service of the Report, and to request a probable cause conference. During the 21 days that  
20 followed service of the Report, Respondents did not file a response to the Report or request a probable  
21 cause conference. Pursuant to California Code of Regulations Title 2, Section 18361.4,<sup>1</sup> a determination  
22 of probable cause may be made solely on papers submitted when the respondent does not request that a  
23 probable cause conference be held.

24 In making a probable cause determination, it is the duty of the General Counsel of the Fair  
25 Political Practices Commission to determine whether probable cause exists for believing that a

26 <sup>1</sup> The Political Reform Act is contained in Government Code Sections 81000 through 91014. All statutory  
27 references are to the Government Code, unless otherwise indicated. The regulations of the Fair Political Practices  
28 Commission are contained in Sections 18110 through 18997 of Title 2 of the California Code of Regulations. All  
regulatory references are to Title 2, Division 6 of the California Code of Regulations, unless otherwise indicated.

1 respondent has violated the Political Reform Act as alleged by the Enforcement Division in the probable  
2 cause report served on the respondent.

3 Probable cause to believe a violation has occurred can be found to exist when "the evidence is  
4 sufficient to lead a person of ordinary caution and prudence to believe or entertain a strong suspicion  
5 that the proposed respondent(s) committed or caused a violation." (Regulation 18361.4,  
6 subd. (e).)

7 The Probable Cause Report served on Respondents and the subsequent Ex Parte Request for an  
8 Order Finding Probable Cause in this matter allege six violations of the Political Reform Act were  
9 committed, as follows:

10 COUNT 1: Respondents failed to file a Semi-Annual Statement (Form 460) for the January 1, 2011  
11 through June 30, 2011, reporting period, by the August 1, 2011<sup>2</sup>, due date in violation of Government  
12 Code Section 84200, subdivision (a).

13 COUNT 2: Respondents failed to file a Semi-Annual Statement (Form 460) for the July 1, 2011  
14 through December 31, 2011, reporting period, by the January 31, 2012, due date in violation of  
15 Government Code Section 84200, subdivision (a).

16 COUNT 3: Respondents failed to file a Semi-Annual Statement (Form 460) for the January 1, 2012  
17 through June 30, 2012, reporting period, by the July 31, 2012, due date in violation of Government Code  
18 Section 84200, subdivision (a).

19 COUNT 4: Respondents failed to file a Semi-Annual Statement (Form 460) for the July 1, 2012  
20 through December 31, 2012, reporting period, by the January 31, 2013, due date in violation of  
21 Government Code Section 84200, subdivision (a).

22 COUNT 5: Respondents failed to file a Semi-Annual Statement (Form 460) for the January 1, 2013  
23 through June 30, 2013, reporting period, by the July 31, 2013, due date in violation of Government Code  
24 Section 84200, subdivision (a).

25 \_\_\_\_\_  
26 <sup>2</sup> Regulation 18116 provides that when a filing deadline falls on a Saturday, Sunday, or official holiday, the filing deadline is  
27 extended to the next business day. July 31, 2011, was a Sunday.

1 COUNT 6: Respondents failed to file a Semi-Annual Statement (Form 460) for the July 1, 2013  
2 through December 31, 2013, reporting period, by the January 31, 2014, due date in violation of  
3 Government Code Section 84200, subdivision (a).

4  
5 Based on the Ex Parte Request for a Finding of Probable Cause given to me, I find that notice  
6 has been given to Respondents Monique Dollonne, Monique for School Board and Thomas Rohrbecher,  
7 as provided by Government Code Section 83115.5 and Regulation 18361.4, subdivision (b). I further  
8 find, based on the Report in Support of a Finding of Probable Cause and the Ex Parte Request for a  
9 Finding of Probable Cause, that there is probable cause to believe Respondents Monique Dollonne,  
10 Monique for School Board and Thomas Rohrbecher violated the Political Reform Act as alleged in  
11 Counts One through Six, as identified above.

12 I therefore direct that the Enforcement Division issue an Accusation against Respondents in  
13 accordance with this Finding.

14 IT IS SO ORDERED.

15 Dated: 7-23-14

16   
17 Zackery P. Morazzini  
18 General Counsel

**FPPC No. 13/0311, In the matter of Monique Dollonne, Monique for School Board, and Thomas Rohrbecher**

**PROOF OF SERVICE**

At the time of service, I was over 18 years of age and not a party to this action. My business address is Fair Political Practices Commission, 428 J Street, Suite 620, Sacramento, CA 95814. On the date below, I served the following document:

**FINDING OF PROBABLE CAUSE AND ORDER TO PREPARE AND SERVE AN ACCUSATION**

**MANNER OF SERVICE**

**(U.S. Mail)** By causing a true copy thereof to be served on the parties in this action through the U.S. Mail and addressed as listed below. I am familiar with the procedure of the Fair Political Practices Commission for collection and processing of correspondence for mailing with the United States Postal Service, and the fact that the correspondence would be deposited with the United States Postal Service that same day in the ordinary course of business.

**SERVICE LIST**

Ms. Monique Dollonne  
5552 Floyd Street  
Ventura, CA 93003

Thomas Rohrbecher  
5552 Floyd Street  
Ventura, CA 93003

**(By Personal Service)** On Wednesday, July 23, 2014, at approximately 4:00 p.m., I personally served:

Gary Winuk, Chief of Enforcement, at 428 J Street, Suite 700, Sacramento, CA 95814.

I declare under penalty of perjury under the laws of the State of California that the above is true and correct and that this document is executed at Sacramento, California, on July 23, 2014.

  
John Kim

EXHIBIT A-14

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DEFAULT DECISION AND ORDER  
FPPC NO. 13/0311





**FAIR POLITICAL PRACTICES COMMISSION**  
428 J Street • Suite 620 • Sacramento, CA 95814-2329  
(916) 322-5660 • Fax (916) 322-0886

August 7, 2014

Ms. Monique Dollonne  
5552 Floyd Street  
Ventura, CA 93003

Mr. Thomas Rohrbecher  
5552 Floyd Street  
Ventura, CA 93003

**Re: In the Matter of Monique Dollonne; Monique for School Board; Thomas Rohrbecher**

**FPPC No. 13/0311**

Dear Respondent(s):

Enclosed please find the following documents:

- Statement to Respondent;
- Accusation against Monique Dollonne, Monique for School Board, Thomas Rohrbecher;
- Notice of Defense (2 Copies);
- California Government Code Sections 11506 through 11508.

Please contact Michael Battaglia at (916) 323-2689, if you have any questions or concerns regarding this matter.

Sincerely,

A handwritten signature in black ink, appearing to read "Gary S. Winuk".

Gary S. Winuk, Chief  
Enforcement Division

Enclosures



## FAIR POLITICAL PRACTICES COMMISSION

428 J Street • Suite 620 • Sacramento, CA 95814-2329

(916) 322-5660 • Fax (916) 322-0886

### STATEMENT TO RESPONDENT

[Government Code Section 11505, subdivision (b)]

**MONIQUE DOLLONNE, MONIQUE DOLLONNE FOR SCHOOL BOARD, AND  
THOMAS ROHRBECHER**

***FPPC Case No. 13/0311***

Enclosed is an Accusation, which was filed with the Fair Political Practices Commission (the "FPPC") and which is hereby served upon you, along with two copies of a Notice of Defense and Government Code Sections 11506 through 11508.

Unless a written request for a hearing signed by you or on your behalf is delivered or mailed to the FPPC within 15 days after the Accusation was served on you, the FPPC may proceed upon the Accusation without a hearing. The request for a hearing may be made by delivering or mailing the enclosed form entitled Notice of Defense, or by delivering or mailing a notice of defense as provided by Section 11506 of the Government Code to the Commission Assistant at the FPPC.

You may, but need not, be represented by counsel at any or all stages of these proceedings.

If you desire a list of the names and addresses of witnesses against you, or an opportunity to inspect and copy the items mentioned in Section 11507.6 of the Government Code that are in the possession, custody, or control of this agency, or if you wish to discuss the possibility of resolving this matter without a formal hearing, you may contact Gary S. Winuk, Chief of the Enforcement Division at the FPPC.

The hearing may be postponed for good cause. If you have good cause, you are obliged to notify the FPPC or, if an administrative law judge has been assigned to the hearing, the Office of Administrative Hearings, within 10 working days after you discover the good cause. Failure to give notice within 10 days will deprive you of a postponement.

After a hearing, the FPPC will consider the following factors in determining whether to assess a penalty (Title 2, California Code of Regulations, Section 18361.5, subdivision (d).):

1. The seriousness of the violation;
2. The presence or absence of any intention to conceal, deceive, or mislead;
3. Whether the violation was deliberate, negligent, or inadvertent;
4. Whether the violator demonstrated good faith by consulting Commission staff or any other government agency in a manner not constituting a complete defense under Government Code Section 83114, subdivision (b);
5. Whether the violation was isolated or part of a pattern;
6. Whether the violator has a prior record of violations of the Political Reform Act or similar laws; and
7. Whether the violator, upon learning of a reporting violation, voluntarily filed amendments to provide full disclosure.

1 GARY S. WINUK  
Chief of Enforcement  
Commission Counsel  
2 **FAIR POLITICAL PRACTICES COMMISSION**  
428 J Street, Suite 620  
3 Sacramento, CA 95814  
Telephone: (916) 322-5660  
4 Facsimile: (916) 322-1932

5 Attorney for Complainant

6 **BEFORE THE FAIR POLITICAL PRACTICES COMMISSION**

7 **STATE OF CALIFORNIA**

8  
9 In the Matter of

10  
11 MONIQUE DOLLONNE, MONIQUE FOR  
12 SCHOOL BOARD, AND THOMAS  
ROHRBECHER,

13 Respondents.

)  
) FPPC No. 13/0311  
)  
) ACCUSATION  
) (Gov. Code §11503)  
)  
)  
)  
)  
)

14  
15 Complainant, the Fair Political Practices Commission, after a finding of probable cause made  
16 pursuant to Government Code Section 83115.5, hereby alleges the following:

17 **JURISDICTION**

18 1. Complainant is the Chief of Enforcement of the Fair Political Practices Commission (the  
19 "Commission") and makes this Accusation in its official capacity and in the public interest.

20 2. The authority to bring this action is derived from Title 2, California Code of Regulations,  
21 Sections 18361 and 18361.4, subdivision (e), and the statutory law of the State of California, specifically  
22 including, but not limited to, Government Code Sections 83111, 83116, and 91000.5, which assign to  
23 the Commission the duty to administer, implement, and enforce the provisions of the Political Reform  
24 Act, found at Government Code Sections 81000 through 91014.

1 3. When enacting the Political Reform Act (the "Act"),<sup>1</sup> California voters specifically found  
2 and declared, as stated in Sections 81001, subdivision (h), and 81002, subdivision (f), that previous laws  
3 regulating political practices had suffered from inadequate enforcement, and it was their purpose to  
4 ensure that the Act be vigorously enforced.

5 4. To that end, Section 81003 requires that the Act be liberally construed to achieve its  
6 purposes.

7 5. One of the stated purposes of the Act, as set forth in Section 81002, subdivision (a), is to  
8 ensure that the contributions and expenditures affecting election campaigns are fully and truthfully  
9 disclosed to the public, so that voters may be better informed, and improper practices may be inhibited.

10 6. In furtherance of this purpose, the Act establishes a comprehensive campaign reporting  
11 system.

12 **RESPONDENT**

13 7. Respondent Monique Dollonne ("Respondent Dollonne") was, at all times relevant to this  
14 accusation, a candidate for the Ventura Unified School District Board in the election held November 3,  
15 2009.

16 8. Respondent Monique for School Board ("Respondent Committee") was at all relevant  
17 times Respondent Dollonne's candidate controlled recipient committee.

18 9. Respondent Thomas Rohrbecher ("Respondent Rohrbecher") was at all relevant times the  
19 treasurer of the Respondent Committee.

20 10. The actions of the Respondents – failing to timely file six semi-annual campaign  
21 statements, as hereinafter stated – are in violation of the law and public policies of the State of  
22 California.

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25 <sup>1</sup>The Political Reform Act is contained in Government Code Sections 81000 through 91014. All statutory references are  
26 to the Government Code, unless otherwise indicated. The regulations of the Fair Political Practices Commission are  
27 contained in Sections 18110 through 18997 of Title 2 of the California Code of Regulations. All regulatory references are to  
28 Title 2, Division 6 of the California Code of Regulations, unless otherwise indicated.

**APPLICABLE LAW**

11. All applicable law referenced herein is the law as it existed during the relevant time for the violations alleged in this Accusation, namely January 1, 2011, through December 31, 2013.

**A. Definitions**

12. "Campaign Statement" is defined in Government Code Section 82006 as "an itemized report which is prepared on a form prescribed by the Commission and which provides the information required by Chapter 4 of this title."

13. "Candidate" is defined in Government Code Section 82007 as "an individual who is listed on the ballot or who has qualified to have write-in votes on his or her behalf counted by election officials, for nominations for or election to any elective office, or who receives a contribution or makes an expenditure or gives his or her consent for any other person to receive a contribution or make an expenditure with a view of bringing about his or her nomination or election to any office..."

14. "Committee" is defined in Government Code Section 82013, subdivision (a), as "any person who receives contributions totaling \$1,000 or more per year."

**B. Duty to File Semi-Annual Campaign Statements**

15. Section 84200, subdivision (a), requires a committee to file semi-annual campaign statements each year no later than July 31 for the period ending June 30, and no later than January 31 of the next year for the period ending December 31. A committee continues to have filing obligations until it files a Statement of Termination with the Secretary of State. (Section 84214, Regulation 18404.)<sup>2</sup>

**C. Liability of Committee Treasure**

16. As provided in Section 84100, every committee shall have a treasurer. Under Section 84100 and Regulation 18427, subdivision (a), it is the duty of a committee's treasurer to ensure that the committee complies with all of the requirements of the Act concerning the receipt and expenditure of funds and the reporting of such funds. Under Sections 83116.5 and 91006, a committee's treasurer may

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<sup>2</sup>Under regulation 18116, if the last day of the month falls on a Saturday, Sunday or official holiday, the filing deadline is extended to the next regular business day.

1 be held jointly and severely liable, along with the committee, for any reporting violations committed by  
2 the committee.

3 **D. Factors to be Considered by the Commission**

4 17. In framing a proposed order following a finding of a violation pursuant to Section 83116,  
5 the Commission and the administrative law judge shall consider all the surrounding circumstances  
6 including but not limited to: (1) The seriousness of the violation; (2) The presence or absence of any  
7 intention to conceal, deceive or mislead; (3) Whether the violation was deliberate, negligent or  
8 inadvertent; (4) Whether the violator demonstrated good faith by consulting the Commission staff or  
9 any other government agency in a manner not constituting a complete defense under Section 83114(b);  
10 (5) Whether the violation was isolated or part of a pattern and whether the violator has a prior record of  
11 violations of the Political Reform Act or similar laws; and (6) Whether the violator, upon learning of a  
12 reporting violation, voluntarily filed amendments to provide full disclosure. (Regulation 18361.5,  
13 subd. (d).)

14 **GENERAL FACTS**

15 18. Complainant incorporates paragraphs 1 – 17 of this Accusation, as though completely set  
16 forth herein.

17 19. The matter arose out of a referral from the Ventura County Clerk and Recorder's Office.  
18 Respondent Dollonne was an unsuccessful candidate for the Ventura Unified School District Board in  
19 the election held November 3, 2009.

20 20. On or about July 11, 2011, the Ventura County Clerk and Recorder's Office sent a  
21 reminder letter to Respondent Rohrbecher, reminding him the semi-annual campaign statement covering  
22 January 1, 2011 through June 30, 2011, was due August 1, 2011.<sup>3</sup>

23 21. On or about January 5, 2012, and February 6, 2012, the Ventura County Clerk and  
24 Recorder's Office sent notification letters to Respondent Rohrbecher, reminding him the semi-annual  
25 campaign statement covering July 1, 2011, through December 31, 2011, was due January 31, 2012.

26 <sup>3</sup>Under regulation 18116, if the last day of the month falls on a Saturday, Sunday or official holiday, the filing deadline is  
27 extended to the next regular business day.

1           22.    On or about July 9, 2012, and December 19, 2012, the Ventura County Clerk and  
2 Recorder's Office sent notification letters to Respondent Rohrbecher, reminding him the semi-annual  
3 campaign statement covering January 1, 2012, through June 30, 2012, was due July 31, 2012.

4           23.    On or about January 7, 2013, and February 11, 2013, the Ventura County Clerk and  
5 Recorder's Office sent notification letters to Respondent Rohrbecher, reminding him the semi-annual  
6 campaign statement covering July 1, 2012, through December 31, 2012, was due January 31, 2013.

7           24.    On or about July 8, 2013, August 6, 2013, and August 21, 2013, the Ventura County  
8 Clerk and Recorder's Office sent notification letters to Respondent Rohrbecher, reminding him the  
9 semi-annual campaign statement covering January 1, 2013, through June 30, 2013, was due July 31,  
10 2013.

11          25.    On or about January 6, 2014, February 5, 2014, and February 20, 2014, the Ventura  
12 County Clerk and Recorder's Office sent notification letters to Respondent Rohrbecher, reminding him  
13 the semi-annual campaign statement covering July 1, 2013, through December 31, 2013, was due  
14 January 31, 2014.

15          26.    On or about September 5, 2013, the Enforcement Division sent a letter to Respondent  
16 Dollonne advising that her semi-annual campaign statements were past due. Additionally, the letter  
17 offered Respondent a stipulated settlement of \$200 per violation provided she file her missing campaign  
18 statements and return the signed stipulation by October 9, 2013.

19          27.    On or about October 25, 2013, the Enforcement Division sent a second letter to  
20 Respondent Dollonne advising her that her semi-annual campaign statements remained past due. The  
21 stipulated offer in this letter was increased to \$400 per violation and Respondent's had until November  
22 15, 2013, to return the stipulated agreement.

23          28.    On or about June 3, 2014, the Enforcement Division sent out a Report in Support of a  
24 Finding of Probable Cause to both Respondents. The report, cover letter and a memorandum describing  
25 Probable Cause Proceedings were served on the Respondents by registered mail on June 6, 2014.

1 29. Respondents have yet to file the outstanding statements and have not accepted any of the  
2 settlement offers.

3 30. By failing to timely file semi-annual campaign statements for the periods January 1,  
4 2011, through December 31, 2013, Respondents violated Section 84200 of the Government Code.

5 **COUNTS 1-6**

6 **Failure to timely file Semi-Annual Campaign Statements**

7 31. Complainant incorporates paragraphs 1 – 30 of this Accusation, as though completely set  
8 forth herein.

9 32. Respondents had a duty to file semi-annual statements for periods January 1, 2011  
10 through June 30, 2011 (due August 1, 2011)<sup>4</sup>; July 1, 2011 through December 31, 2011 (due January  
11 31, 2012); January 1, 2012 through June 30, 2012 (due July 31, 2012); July 1, 2012 through December  
12 31, 2012 (due January 1, 2013); January 1, 2013 through June 30, 2013 (due July 31, 2013); July 1,  
13 2013 through December 31, 2013 (due January 31, 2014). The evidence shows that Respondents have  
14 not, to date, filed these statements.

15 33. By failing to file semi-annual campaign statements, Respondents violated Government  
16 Code Section 84200, subdivision (a). Each failure to file constitutes one count.

17 **MITIGATING OR EXCULPATORY FACTORS**

18 34. Complainant incorporates paragraphs 1 – 33 of this Accusation, as though completely set  
19 forth herein.

20 35. Respondents have no prior history of violating the Act.

21 36. As of last statement filed, the Committee account was at \$4,100.19; however, Respondent  
22 Dollonne alleges the Committee bank account was closed with a zero balance.

23  
24  
25  
26 

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<sup>4</sup>Under regulation 18116, if the last day of the month falls on a Saturday, Sunday or official holiday, the filing deadline is  
27 extended to the next regular business day.



**AGGRAVATING FACTORS AND OTHER RELEVANT MATERIALS**

1  
2       37.    Complainant incorporates paragraphs 1 – 36 of this Accusation, as though completely set  
3 forth herein.

4       38.    Failure to timely file semi-annual campaign statements deprives the public of important  
5 information regarding campaign contributions and expenditures.

6       39.    The Enforcement Division offered the Respondents multiple opportunities to settle,  
7 which they ultimately passed over. Since June 7, 2013, 18 phone calls were made by the Enforcement  
8 Division attempting to facilitate settlement. Out of the 18 calls made, four were answered, 12 voicemails  
9 were left and Respondents replied to four voicemails.

10       40.    On April 8, 2014, an email was sent by the Enforcement Division to Respondent  
11 Dollonne that identified the outstanding statements and provided information on how to find and  
12 complete the appropriate forms.

13       41.    On multiple occasions, Respondent Dollonne indicated she would provide the  
14 Enforcement Division with bank account details for the Respondent Committee and file the outstanding  
15 statements. However, despite all of the above communication, no statements have been filed, nor have  
16 any of the Respondent Committee's bank account details been received by the Enforcement Division.

**PRAYER**

17  
18 WHEREFORE, Complainant prays as follows:

19       42.    That the Fair Political Practices Commission hold a hearing pursuant to Government  
20 Code Section 83116 and Title 2, California Code of Regulations, Section 18361.5, and at such hearing  
21 find that Respondents Monique Dollonne, Monique for School Board, and Thomas Rohrbecher violated  
22 the Political Reform Act as alleged herein;

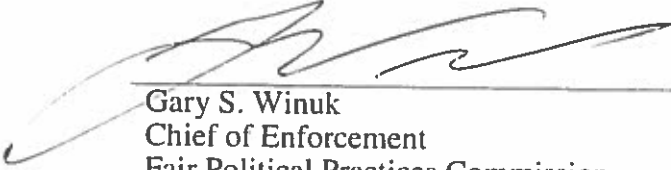
23       43.    That the Commission, pursuant to Government Code Section 83116, subdivision (c),  
24 order Respondents to pay a monetary penalty of at least Six Thousand Dollars (\$6,000) and not more  
25 than Thirty Thousand Dollars (\$30,000) for the violations of the Political Reform Act alleged herein in  
26 **Counts 1-6;**

1           44. That the Commission, pursuant to Title 2, California Code of Regulations,  
2 Section 18361.5, subdivision (d), consider the following factors in framing a proposed order following a  
3 finding of a violation pursuant to Government Code Section 83116: (1) the seriousness of the violation;  
4 (2) the presence or absence of any intention to conceal, deceive or mislead; (3) whether the violation  
5 was deliberate, negligent or inadvertent; (4) whether the violator demonstrated good faith by consulting  
6 the Commission staff or any other government agency in a manner not constituting a complete defense  
7 under Government Code Section 83114(b); (5) whether the violation was isolated or part of a pattern  
8 and whether the violator has a prior record of violations of the Political Reform Act or similar laws; and  
9 (6) whether the violator, upon learning of a reporting violation, voluntarily filed amendments to provide  
10 full disclosure.

11           45. That the Commission grant such other and further relief as it deems just and proper.  
12

13  
14 Dated:

8/7/14

  
\_\_\_\_\_  
Gary S. Winuk  
Chief of Enforcement  
Fair Political Practices Commission



*Before the Fair Political Practices Commission*

---

**State of California**

In the Matter of

MONIQUE DOLLONNE, MONIQUE  
FOR SCHOOL BOARD, THOMAS  
ROHRBECHER

) NOTICE OF DEFENSE  
) (Pursuant to Gov. Code § 11506)  
)  
) FPPC Case No. 13/0311  
)  
)  
)

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Respondents.

MONIQUE DOLLONNE, MONIQUE FOR SCHOOL BOARD, THOMAS ROHRBECHER, Respondents named in the above entitled proceeding, hereby acknowledge receipt of the Accusation, a copy of the Statement to Respondent, a copy of Government Code Sections 11506 through 11508, and two copies of a *NOTICE OF DEFENSE*.

Pursuant to Government Code Section 11506, subdivision (a), you may file this *NOTICE OF DEFENSE* requesting a hearing on the grounds listed below. Failure to file this *NOTICE OF DEFENSE* shall constitute a waiver of your right to a hearing. If you waive your right to a hearing, you may file a statement of mitigation by separate letter that will be considered by the Commission in assessing any penalties for the violations alleged in the Accusation.

If you wish to file a *NOTICE OF DEFENSE*, please check **all** applicable grounds for the *NOTICE OF DEFENSE*, complete the remainder of the form, and mail to the Commission within fifteen (15) days of receipt of the Accusation.

**GROUNDS FOR NOTICE OF DEFENSE**

- 1) I request a hearing;
- 2) I object to the Accusation upon the ground that it does not state acts or omissions upon which the agency may proceed;
- 3) I object to the form of the Accusation on the ground that it is so indefinite or uncertain that I cannot identify the transaction that is the subject of the Accusation or prepare my defense;
- 4) I admit the Accusation in whole or in part (check box "a" or "b");
  - a) I admit the Accusation in whole.
  - b) I admit the Accusation in part as indicated below:

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- 5) I wish to present new matter by way of defense;
- 6) I object to the accusation upon the ground that, under the circumstances, compliance with the requirements of a regulation of the Fair Political Practices Commission would result in a material violation of another regulation enacted by another department affecting substantive rights.

Dated: \_\_\_\_\_

\_\_\_\_\_  
[Respondent]

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Mailing Address

\_\_\_\_\_  
City, State, Zip



*Before the Fair Political Practices Commission*

---

**State of California**

In the Matter of

MONIQUE DOLLONNE, MONIQUE  
FOR SCHOOL BOARD, THOMAS  
ROHRBECHER

) NOTICE OF DEFENSE  
) (Pursuant to Gov. Code § 11506)  
)  
) FPPC Case No. 13/0311  
)  
)  
)  
)

---

Respondents.

MONIQUE DOLLONNE, MONIQUE FOR SCHOOL BOARD, THOMAS ROHRBECHER, Respondents named in the above entitled proceeding, hereby acknowledge receipt of the Accusation, a copy of the Statement to Respondent, a copy of Government Code Sections 11506 through 11508, and two copies of a *NOTICE OF DEFENSE*.

Pursuant to Government Code Section 11506, subdivision (a), you may file this *NOTICE OF DEFENSE* requesting a hearing on the grounds listed below. Failure to file this *NOTICE OF DEFENSE* shall constitute a waiver of your right to a hearing. If you waive your right to a hearing, you may file a statement of mitigation by separate letter that will be considered by the Commission in assessing any penalties for the violations alleged in the Accusation.

If you wish to file a *NOTICE OF DEFENSE*, please check **all** applicable grounds for the *NOTICE OF DEFENSE*, complete the remainder of the form, and mail to the Commission within fifteen (15) days of receipt of the Accusation.

**GROUNDS FOR NOTICE OF DEFENSE**

- 1) I request a hearing;
- 2) I object to the Accusation upon the ground that it does not state acts or omissions upon which the agency may proceed;
- 3) I object to the form of the Accusation on the ground that it is so indefinite or uncertain that I cannot identify the transaction that is the subject of the Accusation or prepare my defense;
- 4) I admit the Accusation in whole or in part (check box "a" or "b");
  - a) I admit the Accusation in whole.
  - b) I admit the Accusation in part as indicated below:

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- 5) I wish to present new matter by way of defense;
- 6) I object to the accusation upon the ground that, under the circumstances, compliance with the requirements of a regulation of the Fair Political Practices Commission would result in a material violation of another regulation enacted by another department affecting substantive rights.

Dated: \_\_\_\_\_

\_\_\_\_\_  
[Respondent]

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Mailing Address

\_\_\_\_\_  
City, State, Zip

## **California Government Code §§ 11506 through 11508**

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### **§ 11506. Filing of notice of defense; Contents; Right to hearing on the merits**

(a) Within 15 days after service of the accusation the respondent may file with the agency a notice of defense in which the respondent may:

(1) Request a hearing.

(2) Object to the accusation upon the ground that it does not state acts or omissions upon which the agency may proceed.

(3) Object to the form of the accusation on the ground that it is so indefinite or uncertain that the respondent cannot identify the transaction or prepare a defense.

(4) Admit the accusation in whole or in part.

(5) Present new matter by way of defense.

(6) Object to the accusation upon the ground that, under the circumstances, compliance with the requirements of a regulation would result in a material violation of another regulation enacted by another department affecting substantive rights.

(b) Within the time specified respondent may file one or more notices of defense upon any or all of these grounds but all of these notices shall be filed within that period unless the agency in its discretion authorizes the filing of a later notice.

(c) The respondent shall be entitled to a hearing on the merits if the respondent files a notice of defense, and the notice shall be deemed a specific denial of all parts of the accusation not expressly admitted. Failure to file a notice of defense shall constitute a waiver of respondent's right to a hearing, but the agency in its discretion may nevertheless grant a hearing. Unless objection is taken as provided in paragraph (3) of subdivision (a), all objections to the form of the accusation shall be deemed waived.

(d) The notice of defense shall be in writing signed by or on behalf of the respondent and shall state the respondent's mailing address. It need not be verified or follow any particular form.

(e) As used in this section, "file," "files," "filed," or "filing" means "delivered or mailed" to the agency as provided in Section 11505.

### **§ 11507. Amended or supplemental accusation; Objections**

At any time before the matter is submitted for decision the agency may file or permit the filing of an amended or supplemental accusation. All parties shall be notified thereof. If the amended or supplemental accusation presents new charges the agency shall afford respondent a reasonable opportunity to prepare his defense thereto, but he shall not be entitled to file a further pleading unless the agency in its discretion so orders. Any new charges shall be deemed controverted, and any objections to the amended or supplemental accusation may be made orally and shall be noted in the record.

### **§ 11507.3. Consolidated proceedings; Separate hearings**

(a) When proceedings that involve a common question of law or fact are pending, the administrative law judge on the judge's own motion or on motion of a party may order a joint hearing of any or all the matters at issue in the proceedings. The administrative law judge may order all the proceedings consolidated and may make orders concerning the procedure that may tend to avoid unnecessary costs or delay.

(b) The administrative law judge on the judge's own motion or on motion of a party, in furtherance of convenience or to avoid prejudice or when separate hearings will be conducive to expedition and economy, may order a separate hearing of any issue, including an issue raised in the notice of defense, or of any number of issues.

### **§ 11507.5. Exclusivity of discovery provisions**

The provisions of Section 11507.6 provide the exclusive right to and method of discovery as to any proceeding governed by this chapter.

### **§ 11507.6. Request for discovery**

After initiation of a proceeding in which a respondent or other party is entitled to a hearing on the merits, a party, upon written request made to another party, prior to the hearing and within 30 days after service by the agency of the initial pleading or within 15 days after the service of an additional pleading, is entitled to (1) obtain the names and addresses of witnesses to the extent known to the other party, including, but not limited to, those intended to be called to testify at the hearing, and (2) inspect and make a copy of any of the following in the possession or custody or under the control of the other party:

(a) A statement of a person, other than the respondent, named in the initial administrative pleading, or in any additional pleading, when it is claimed that the act or omission of the respondent as to this person is the basis for the administrative proceeding;

(b) A statement pertaining to the subject matter of the proceeding made by any party to another party or person;

(c) Statements of witnesses then proposed to be called by the party and of other persons having personal knowledge of the acts, omissions or events which are the basis for the proceeding, not included in (a) or (b) above;

(d) All writings, including, but not limited to, reports of mental, physical and blood examinations and things which the party then proposes to offer in evidence;

(e) Any other writing or thing which is relevant and which would be admissible in evidence;

(f) Investigative reports made by or on behalf of the agency or other party pertaining to the subject matter of the proceeding, to the extent that these reports (1) contain the names and addresses of witnesses or of persons having personal knowledge of the acts, omissions or events which are the basis for the proceeding, or (2) reflect matters perceived by the investigator in the course of his or her investigation, or (3) contain or include by attachment any statement or writing described in (a) to (e), inclusive, or summary thereof.

For the purpose of this section, "statements" include written statements by the person signed or otherwise authenticated by him or her, stenographic, mechanical, electrical or other recordings, or transcripts thereof, of oral statements by the person, and written reports or summaries of these oral statements. Nothing in this section shall authorize the inspection or copying of any writing or thing which is privileged from disclosure by law or otherwise made confidential or protected as the attorney's work product.



### **§ 11507.7. Motion to compel discovery; Order**

(a) Any party claiming the party's request for discovery pursuant to Section 11507.6 has not been complied with may serve and file with the administrative law judge a motion to compel discovery, naming as respondent the party refusing or failing to comply with Section 11507.6. The motion shall state facts showing the respondent party failed or refused to comply with Section 11507.6, a description of the matters sought to be discovered, the reason or reasons why the matter is discoverable under that section, that a reasonable and good faith attempt to contact the respondent for an informal resolution of the issue has been made, and the ground or grounds of respondent's refusal so far as known to the moving party.

(b) The motion shall be served upon respondent party and filed within 15 days after the respondent party first evidenced failure or refusal to comply with Section 11507.6 or within 30 days after request was made and the party has failed to reply to the request, or within another time provided by stipulation, whichever period is longer.

(c) The hearing on the motion to compel discovery shall be held within 15 days after the motion is made, or a later time that the administrative law judge may on the judge's own motion for good cause determine. The respondent party shall have the right to serve and file a written answer or other response to the motion before or at the time of the hearing.

(d) Where the matter sought to be discovered is under the custody or control of the respondent party and the respondent party asserts that the matter is not a discoverable matter under the provisions of Section 11507.6, or is privileged against disclosure under those provisions, the administrative law judge may order lodged with it matters provided in subdivision (b) of Section 915 of the Evidence Code and examine the matters in accordance with its provisions.

(e) The administrative law judge shall decide the case on the matters examined in camera, the papers filed by the parties, and such oral argument and additional evidence as the administrative law judge may allow.

(f) Unless otherwise stipulated by the parties, the administrative law judge shall no later than 15 days after the hearing make its order denying or granting the motion. The order shall be in writing setting forth the matters the moving party is entitled to discover under Section 11507.6. A copy of the order shall forthwith be served by mail by the administrative law judge upon the parties. Where the order grants the motion in whole or in part, the order shall not become effective until 10 days after the date the order is served. Where the order denies relief to the moving party, the order shall be effective on the date it is served.

### **§ 11508. Time and place of hearing**

(a) The agency shall consult the office, and subject to the availability of its staff, shall determine the time and place of the hearing. The hearing shall be held at a hearing facility maintained by the office in Sacramento, Oakland, Los Angeles, or San Diego and shall be held at the facility that is closest to the location where the transaction occurred or the respondent resides.

(b) Notwithstanding subdivision (a), the hearing may be held at either of the following places:

(1) A place selected by the agency that is closer to the location where the transaction occurred or the respondent resides.

(2) A place within the state selected by agreement of the parties.

(c) The respondent may move for, and the administrative law judge has discretion to grant or deny, a change in the place of the hearing. A motion for a change in the place of the hearing shall be made within 10 days after service of the notice of hearing on the respondent.

Unless good cause is identified in writing by the administrative law judge, hearings shall be held in a facility maintained by the office.

<b>FAIR POLITICAL PRACTICES COMMISSION, ENFORCEMENT DIVISION</b> 428 J ST. #620 SACRAMENTO, CA 95814 Attorney For: TELEPHONE NO. (916) 322-5660 FAX NO. (Optional) (916) 322-0886 E-MAIL ADDRESS (Optional): tfrazier@fppc.ca.gov					FOR COURT USE ONLY
<b>FAIR POLITICAL PRACTICES COMMISSION</b> STREET ADDRESS: 428 J STREET, SUITE 620 MAILING ADDRESS: CITY AND ZIP CODE: SACRAMENTO, CA 95814 BRANCH NAME: STATE OF CALIFORNIA					
PLAINTIFF (name each): <b>In The Matter of Monique Dollone; Monique for School Board</b>					<b>Thomas Rohrbecher</b>
DEFENDANT (name each):					CASE NUMBER: <b>13/0311</b>
<b>PROOF OF SERVICE</b>	HEARING DATE	DAY	TIME	DEPT	Ref No. or File No.

AT THE TIME OF SERVICE I WAS AT LEAST 18 YEARS OF AGE AND NOT A PARTY TO THIS ACTION, AND I SERVED COPIES OF THE:

**Letter Dated August 7, 2014; Statement of Respondent; Accusation; Notice of Defense (Blank)**

PARTY SERVED: **MONIQUE DOLLONE**

DATE & TIME OF DELIVERY: **08/19/2014**  
**03:50 pm**

ADDRESS, CITY, AND STATE: **5552 FLOYD STREET**  
**VENTURA, CA 93003**

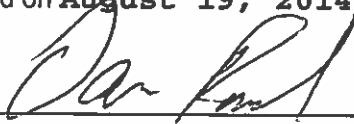
MANNER OF SERVICE:

Personal Service - By personally delivering copies.

Fee for Service: **50.00**

County: **VENTURA**  
 Registration No.: **631**  
**Rezac Meyer Attorney Service**  
**P.O. Box 7299**  
**Ventura, CA 93006**  
**(805) 658-8454**  
**www.rezacmeyer.com**

I declare under penalty of perjury under the laws of the The State of California that the foregoing information contained in the return of service and statement of service fees is true and correct and that this declaration was executed on **August 19, 2014**.

Signature:   
**DARREN RANCK**

**PROOF OF SERVICE**

<b>FAIR POLITICAL PRACTICES COMMISSION, ENFORCEMENT DIVISION</b> 428 J ST. #620 SACRAMENTO, CA 95814 Attorney For: TELEPHONE NO. (916) 322-5660      FAX NO. (Optional). (916) 322-0886 E-MAIL ADDRESS (Optional) tfrazier@fppc.ca.gov					FOR COURT USE ONLY
<b>FAIR POLITICAL PRACTICES COMMISSION</b> STREET ADDRESS 428 J STREET, SUITE 620 MAILING ADDRESS: CITY AND ZIP CODE SACRAMENTO, CA 95814 BRANCH NAME STATE OF CALIFORNIA					
PLAINTIFF (name each): <b>In The Matter of Monique Dollone; Monique for School Board</b>					<b>Thomas Rohrbecher</b>
DEFENDANT (name each):					CASE NUMBER: <b>13/0311</b>
<b>PROOF OF SERVICE</b>	HEARING DATE:	DAY:	TIME:	DEPT.:	Ref No. or File No.:

AT THE TIME OF SERVICE I WAS AT LEAST 18 YEARS OF AGE AND NOT A PARTY TO THIS ACTION, AND I SERVED COPIES OF THE:

**Letter Dated August 7, 2014; Statement of Respondent; Accusation; Notice of Defense (Blank)**

PARTY SERVED: **THOMAS ROHRBECHER**

BY LEAVING WITH: **MONIQUE DOLLONE - COMPETENT MEMBER OF HOUSEHOLD**

DATE & TIME OF DELIVERY: **08/19/2014**  
**03:50 pm**

ADDRESS, CITY, AND STATE: **5552 FLOYD STREET**  
**VENTURA, CA 93003**

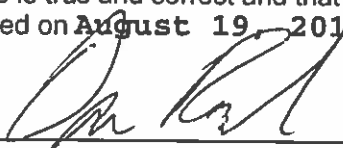
**MANNER OF SERVICE:**

Substituted Service - By leaving the copies with or in the presence of MONIQUE DOLLONE a competent member of the household (at least 18 years of age) at the dwelling house or usual place of abode of the person served. I informed him/her of the general nature of the papers.

A declaration of diligence is attached.

Fee for Service: **30.00**  
 County: **VENTURA**  
 Registration No.: **631**  
**Rezac Meyer Attorney Service**  
**P.O. Box 7299**  
**Ventura, CA 93006**  
**(805) 658-8454**  
**www.rezacmeyer.com**

I declare under penalty of perjury under the laws of the The State of California that the foregoing information contained in the return of service and statement of service fees is true and correct and that this declaration was executed on **August 19, 2014**.

Signature:   
**DARREN RANCK**

ATTORNEY OR PARTY WITHOUT ATTORNEY (Name, State, County, City, Zip, Telephone Number, and address)		FOR COURT USE ONLY
<b>FAIR POLITICAL PRACTICES COMMISSION, ENFORCEMENT DIVISION</b> <b>428 J ST. , SUITE #620, SACRAMENTO, CA 95814</b>  TELEPHONE NO (916) 322-5660      FAX NO (Optional): (916) 322-0886 E-MAIL ADDRESS (Optional): tfrazier@fpcc.ca.gov ATTORNEY FOR (Name) :		
<b>FAIR POLITICAL PRACTICES COMMISSION</b>  STREET ADDRESS: 428 J STREET, SUITE 620 MAILING ADDRESS: CITY AND ZIP CODE: SACRAMENTO, CA 95814 BRANCH NAME: STATE OF CALIFORNIA		
PLAINTIFF/PETITIONER: In The Matter of Monique Dollone; Monique for School Board; Thomas Rohrbecher DEFENDANT/RESPONDENT:		CASE NUMBER:  <b>13/0311</b>
<b>DECLARATION OF DILIGENCE</b>		Ref. No. or File No.:

I received the within process on August 13, 2014 and that after due and diligent effort I have been able to serve said person. The following itemization of the dates and times of attempts details the efforts required to effect personal service. Additional costs for diligence are recoverable under CCP §1033.5 (a)(4)(B).

Servee: **THOMAS ROHRBECHER**

Documents: **Letter Dated August 7, 2014; Statement of Respondent; Accusation; Notice of Defense (Blank)**

As enumerated below:

**08/15/2014 -- 07:10 pm**

5552 FLOYD STREET, VENTURA, CA 93003  
 NO ANSWER (RESIDENCE);NO ACTIVITY.

**08/16/2014 -- 01:55 pm**

5552 FLOYD STREET, VENTURA, CA 93003  
 NO ANSWER (RESIDENCE);NO ACTIVITY.

**08/18/2014 -- 07:25 pm**

5552 FLOYD STREET, VENTURA, CA 93003  
 NO ANSWER (RESIDENCE);NO ACTIVITY.

**08/19/2014 -- 03:50 pm**

5552 FLOYD STREET, VENTURA, CA 93003  
 SUBJECT NOT HOME PER MONIQUE DOLLONE;SUBSTITUTED SERVICE. RECIPIENT INSTRUCTED TO DELIVER DOCUMENTS TO THOMAS ROHRBECHER.

Fee for Service: \$ 30.00

County: **VENTURA**

Registration No.: **631**

**Rezac Meyer Attorney Service**

**P.O. Box 7299**

**Ventura, CA 93006**

**(805) 658-8454**

**www.rezacmeyer.com**

I declare under penalty of perjury under the laws of the State of California that the foregoing information contained in the return of service and statement of service fees is true and correct and that this declaration was executed on **August 19, 2014.**

Signature: \_\_\_\_\_

**DARREN RANCK**

**DECLARATION OF DILIGENCE**

EXHIBIT A-15

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DEFAULT DECISION AND ORDER  
FPPC NO. 13/0311

**From:** Monique Dollonne [<mailto:iscs@sbcglobal.net>]

**Sent:** Thursday, September 04, 2014 8:18 PM

**To:** John Kim

**Subject:** Fw: Monique Dollonne correspondence

On Thursday, September 4, 2014 8:14 PM, Monique Dollonne <[iscs@sbcglobal.net](mailto:iscs@sbcglobal.net)> wrote:

Dear Mr. Kim,

Can you please confirm if you have received or were able to locate the correspondence I had sent to Ms. Kelli Bretton towards the end of July?

Thanks so much.

Best Regards,

Monique Dollonne

**From:** John Kim  
**Sent:** Friday, September 05, 2014 10:57 AM  
**To:** Monique Dollonne  
**Cc:** Michael Battaglia; Kelli Breton  
**Subject:** RE: Monique Dollonne correspondence

Ms. Dollonne,

Unfortunately I never received the correspondence you are referring to.

I checked with Mrs. Breton, she also never received any correspondence from you.

I am also uncertain as to why you e-mailed me a .pdf of your bank statement. Would you clarify what that was regarding?

Thanks,

John Kim  
Commission Assistant  
Fair Political Practices Commission  
(916)327-8269

**From:** Monique Dollonne [mailto:iscs@sbcglobal.net]  
**Sent:** Friday, September 05, 2014 1:54 PM  
**To:** John Kim  
**Cc:** Michael Battaglia; Kelli Breton  
**Subject:** Re: Monique Dollonne correspondence

Hi John,

Thank you for replying. The bank statement was requested by Mr. Battaglia during our previous conversations and was to be sent as an addition to my correspondence and reply.

I will therefore recopy my correspondence for submission since I don't have access to a scanner at this moment.

Thanks so much for replying and I apologize for taking time to send you an email. I had misspelled your email address originally.

Thanks again.

Monique



EXHIBIT A-16

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DEFAULT DECISION AND ORDER  
FPPC NO. 13/0311



## FAIR POLITICAL PRACTICES COMMISSION

428 J Street • Suite 620 • Sacramento, CA 95814-2329  
(916) 322-5660 • Fax (916) 322-0886

November 5, 2014

Monique Dollonne  
5552 Floyd Street  
Ventura, CA 93003

Thomas Rohrbecher  
5552 Floyd Street  
Ventura, CA 93003

### **NOTICE OF INTENT TO ENTER DEFAULT DECISION AND ORDER**

**Re: In the Matter of Monique Dollonne  
FPPC No. 13/0311**

Dear Ms. Dollonne:

This letter is to inform you that the Enforcement Division of the Fair Political Practices Commission ("Commission") is pursuing a default decision against you for your failure to file Semi-Annual Campaign Statements for the periods January 1, 2011 through December 31, 2013, in violation of the Political Reform Act.

The Commission previously served you with a Report in Support of a Finding of Probable Cause, which advised you of your right to request a probable cause conference or to submit a written response within 21 days from service of the Report. Because you did not invoke either of these rights, the Enforcement Division proceeded with an Ex Parte Request for a Finding of Probable Cause to the Commission's Hearing Officer. The Hearing Officer found probable cause that you committed six violations of the Act's campaign disclosure provisions and instructed the Enforcement Division to draft an Accusation for these violations.

The Accusation was personally served on you on August 19, 2014. Under the Administrative Procedure Act, you were entitled to file a Notice of Defense within 15 days from service of the Accusation. A Notice of Defense provides you with an opportunity to request a hearing, object to the Accusation, or provide an affirmative defense; failure to file a Notice of Defense constitutes a waiver of these rights. You failed to file a Notice of Defense and, as such, you are in a default position.

Enclosed please find a copy of the Default Decision and Order, and its accompanying Exhibit and attachments, for the above-referenced matter. The Commission will consider these papers at its public meeting on **November 20, 2014**.

You may, but are not required to, provide the Commission with a written response. If you wish to respond, your response must be received by the Commission Assistant, John Kim, no later than November 15, 2014. You can reach Mr. Kim at the above address, and on (916) 327-8269.

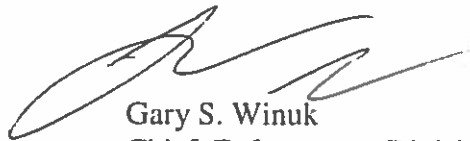
At its public meeting on **November 20, 2014**, the Commission will decide whether to approve or reject the Default as it is written, approve the Default with revisions, or remove your case from the agenda for further discussion. The Commission is authorized to issue an order requiring you to pay a monetary penalty of up to **\$5,000** for each violation.

Following the issuance of a default order, we will commence legal proceedings to collect this penalty, which may include converting the Commission's order to a court judgment. Please be advised that administrative penalties for violations of the Act cannot be discharged in bankruptcy proceedings.

This letter is your **last opportunity** to resolve this matter informally before the default proceedings are commenced. If we do not reach a resolution, the enclosed documents will be placed on the Commission's agenda for the **November 20, 2014**, meeting.

Should you have any questions about your case or would like to discuss settlement options, please contact Tracey Frazier at (916) 327-2019 or [tfrazier@fppc.ca.gov](mailto:tfrazier@fppc.ca.gov).

Sincerely,



Gary S. Winuk  
Chief, Enforcement Division

Enclosures

GSW:tf:mb

EXHIBIT A-17

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DEFAULT DECISION AND ORDER  
FPPC NO. 13/0311

0250 PFP  
ED-1

# Your Bank of America Business Checking Statement

Statement Period:  
February 26 through March 26, 2010

Account Number: 02508-66451

At Your Service  
Call: 805.650.2280

Written Inquiries  
Bank of America  
Buenaventura Banking Ctr  
PO Box 37176  
San Francisco, CA 94137-0176

MONIQUE DOLLONE FOR SCHOOL BOARD  
5552 FLOYD ST  
VENTURA CA 93003-9098

Our free Online Banking service allows you to check balances, track account activity, pay bills and more. With Online Banking you can also view up to 18 months of this statement online. Enroll at [www.bankofamerica.com/smallbusiness](http://www.bankofamerica.com/smallbusiness).

## Summary of Your Business Checking Account

Beginning Balance on 02/26/10	\$84.23	Number of checks paid	1
Total Checks, Withdrawals, Transfers, Account Fees	- 84.23	Number of electronic checks paid	0
Ending Balance	\$0.00	Number of 24 Hour Customer Service Calls Self-Service	0
		Assisted	0

## Important Information About Your Account

Your account has been closed.

Use your Bank of America Small Business Check Card to avoid the monthly maintenance fee on your business checking account. Contact a Bank of America associate to learn more.

## Account Activity

Date Posted	Description	Reference #	Debits	Credits	Daily Balance
03/26	Check		\$ 84.23		\$ .00