

1 GALENA WEST  
Chief of Enforcement  
2 ANGELA J. BRERETON  
Senior Commission Counsel  
3 **FAIR POLITICAL PRACTICES COMMISSION**  
1102 Q Street, Suite 3000  
4 Sacramento, CA 95811  
Telephone: (916) 322-5771  
5 Email: abrereton@fppc.ca.gov

6 Attorneys for Complainant  
Enforcement Division of the Fair Political Practices Commission  
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8 **BEFORE THE FAIR POLITICAL PRACTICES COMMISSION**  
9 **STATE OF CALIFORNIA**  
10

11 In the Matter of ) FPPC No. 15/150  
12 )  
13 )  
14 VIOLETA ALVAREZ, RE-ELECT ) DEFAULT DECISION AND ORDER  
VIOLETA ALVAREZ FOR BELL CITY )  
15 COUNCIL 2015, and MARIO )  
ESTRADA, ) (Government Code Sections 11506 and 11520)  
16 Respondents. )  
17 )

18 Complainant, the Enforcement Division of the Fair Political Practices Commission, hereby  
19 submits this Default Decision and Order for consideration by the Fair Political Practices Commission at  
20 its next regularly scheduled meeting.

21 Pursuant to the California Administrative Procedure Act,<sup>1</sup> Respondents Violeta Alvarez  
22 (“Alvarez”), Re-Elect Violeta Alvarez for Bell City Council 2015 (“Committee”), and Mario Estrada  
23 (“Estrada”) have been served with all documents necessary to conduct an administrative hearing regarding  
24 the above-captioned matter, including the following:

- 25 1. An Order Finding Probable Cause;
- 26 2. An Accusation;
- 27

28 <sup>1</sup> The California Administrative Procedure Act, which governs administrative adjudications, is contained in sections 11370 through 11529 of the Government Code.

3. A Notice of Defense (Two Copies per Respondent);
4. A Statement to Respondent; and,
5. Copies of Sections 11506, 11507.5, 11507.6 and 11507.7 of the Government Code.

Government Code section 11506 provides that failure of a respondent to file a Notice of Defense within fifteen days after being served with an Accusation shall constitute a waiver of respondent's right to a hearing on the merits of the Accusation. The Statement to Respondent, served on Alvarez, the Committee, and Estrada, explicitly stated that a Notice of Defense must be filed in order to request a hearing. Alvarez, the Committee, and Estrada failed to file a Notice of Defense within fifteen days of being served with an Accusation. Government Code Section 11520 provides that, if the respondent fails to file a Notice of Defense, the Commission may take action, by way of a default, based upon the respondent's express admissions or upon other evidence, and that affidavits may be used as evidence without any notice to the respondent.

Alvarez, the Committee, and Estrada violated the Political Reform Act as described in Exhibit 1, which is attached hereto and incorporated by reference as though fully set forth herein. Exhibit 1 is a true and accurate summary of the law and evidence in this matter. This Default Decision and Order is submitted to the Commission to obtain a final disposition of this matter.

Dated: 26 Sept 18

  
Galena West, Chief of Enforcement  
Fair Political Practices Commission

**ORDER**

The Commission issues this Default Decision and Order and imposes an administrative penalty of \$5,500 upon Alvarez, the Committee, and Estrada, payable to the “General Fund of the State of California.”

IT IS SO ORDERED, effective upon execution below by the Chair of the Fair Political Practices Commission at Sacramento, California.

Dated: \_\_\_\_\_

\_\_\_\_\_  
Alice T. Germond, Chair  
Fair Political Practices Commission

## **EXHIBIT 1**

### **INTRODUCTION**

Respondent Violeta Alvarez (“Alvarez”) was elected to the Bell City Council in the March 8, 2011 election and served as a member-at-large, Vice Mayor, and Mayor of the City of Bell between 2011 and 2015. Alvarez unsuccessfully ran for re-election to Bell City Council in 2015 and 2017. This case relates to Alvarez’s 2015 re-election campaign. Respondent Re-Elect Violeta Alvarez for Bell City Council 2015 (the “Committee”) was Alvarez’s candidate-controlled committee. Respondent Mario Estrada (“Estrada”) was treasurer of the Committee.

The Political Reform Act (the “Act”)<sup>1</sup> requires committees to file pre-election and semi-annual campaign statements for certain reporting periods and by certain deadlines. In addition, the Act requires reporting of campaign contributions and expenditures, and mandates detailed record keeping. The Act also prohibits cash contributions and expenditures of \$100 or more.

This matter arose out of a sworn complaint submitted to the Fair Political Practices Commission’s (“Commission”) Enforcement Division. The complaint alleged that Alvarez, the Committee, and Estrada failed to file campaign statements related to the March, 3 2015 election.

Alvarez, the Committee, and Estrada, had a duty to file campaign statements, report all required campaign contributions and expenditures, and to keep detailed records of the campaign. Alvarez, the Committee, and Estrada failed to timely file campaign statements and report all required contributions and expenditures and failed to maintain the campaign records. Alvarez, the Committee, and Estrada also made and received prohibited cash expenditures and contributions of \$100 or more.

### **DEFAULT PROCEEDINGS UNDER THE ADMINISTRATIVE PROCEDURE ACT**

When the Commission determines that there is probable cause for believing that the Act has been violated, it may hold a hearing to determine if a violation has occurred.<sup>2</sup> Notice of the hearing, and the hearing itself, must be conducted in accordance with the Administrative Procedure Act (the “APA”).<sup>3</sup> A hearing to determine whether the Act has been violated is initiated by the filing of an accusation, which shall be a concise written statement of the charges, specifying the statutes and rules the respondent is alleged to have violated.<sup>4</sup>

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<sup>1</sup> The Political Reform Act is contained in Government Code Sections 81000 through 91014. All statutory references are to the Government Code, unless otherwise indicated. The regulations of the Fair Political Practices Commission (“Commission”) are contained in sections 18110 through 18997 of title 2 of the California Code of Regulations. All regulatory references are to that title unless otherwise indicated.

<sup>2</sup> Section 83116.

<sup>3</sup> The California Administrative Procedure Act, which governs administrative adjudications, is contained in sections 11370 through 11529 of the Government Code.

<sup>4</sup> Section 11503.

Included among the rights afforded a respondent under the APA is the right to file the Notice of Defense with the Commission within 15 days after service of the accusation, by which the respondent may (1) request a hearing, (2) object to the accusation on the ground that it does not state acts or omissions upon which the agency may proceed, (3) object to the form of the accusation on the ground that it is so indefinite or uncertain that the respondent cannot identify the transaction or prepare a defense, (4) admit the accusation in whole or in part, or (5) present new matter by way of a defense, or (6) object to the accusation on the ground that, under the circumstances, compliance with a Commission regulation would result in a material violation of another department's regulation affecting substantive rights.<sup>5</sup>

The APA provides that a respondent's failure to file a Notice of Defense within 15 days after service of an accusation constitutes a waiver of the respondent's right to a hearing.<sup>6</sup> Moreover, when a respondent fails to file a Notice of Defense, the Commission may take action based on the respondent's express admissions or upon other evidence, and affidavits may be used as evidence without any notice to the respondent.<sup>7</sup>

## PROCEDURAL REQUIREMENTS AND HISTORY

### A. Original Administrative Action for Failure to Timely File Campaign Statements

Documents supporting the procedural history are included in the attached Certification of Records ("Certification") filed herewith at Exhibit 1, A-1 through A-19, and incorporated herein by reference.

The Enforcement Division initiated an administrative action against Alvarez, the Committee, and Estrada by serving a Probable Cause Report (Certification, Exhibit A-1.) for failure to file pre-election and semi-annual campaign statements for reporting periods between January 1, 2014 through December 31, 2015. The Probable Cause Report was served on Alvarez, the Committee, and Estrada on May, 19 2016. (Certification, Exhibit A-2.)

Probable cause was found (Certification, Exhibit A-3), and an Accusation was personally served on Alvarez, the Committee, and Estrada on October 2, 2016. (Certification, Exhibit A-4.)

Alvarez, the Committee, and Estrada failed to file a Notice of Defense within the statutory time period, so their right to an administrative hearing on the October 2, 2016 Accusation was forfeited, and Alvarez, the Committee, and Estrada are currently in a default position regarding their failure to timely file the identified campaign statements.

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<sup>5</sup> Section 11506, subd. (a)(1)-(6).

<sup>6</sup> Section 11506, subd. (c).

<sup>7</sup> Section 11520, subd. (a).

**B. Initiation of the Current Administrative Action**

The service of the probable cause hearing notice, as required by Section 83115.5, upon the person alleged to have violated starts the administrative action.<sup>8</sup>

A finding of probable cause may not be made by the Commission unless the person alleged to have violated the Act is 1) notified of the violation by service of process or registered mail with return receipt requested; 2) provided with a summary of the evidence; and 3) informed of his or her right to be present in person and represented by counsel at any proceeding of the Commission held for the purpose of considering whether probable cause exists for believing the person violated the Act.<sup>9</sup> The required notice to the alleged violator is deemed made on the date of service; the date the registered mail receipt is signed; or if the registered mail receipt is not signed, the date returned by the post office.<sup>10</sup>

No administrative action pursuant to Chapter 3 of the Act alleging a violation of any of the provisions of Act may be commenced more than five years after the date on which the violation occurred.<sup>11</sup>

In accordance with Sections 83115.5 and 91000.5, the Enforcement Division initiated the administrative action against the Alvarez, the Committee, and Estrada in this matter by serving them with a Report in Support of a Finding of Probable Cause (the "Report") (Certification, Exhibit A-5) by certified mail, return receipt requested,<sup>12</sup> on November 29, 2017. (Certification, Exhibit A-6.) The administrative action commenced on November 29, 2017, the date Alvarez signed the certified mail receipt, and the five-year statute of limitations was effectively tolled on this date.

As required by Section 83115.5, the packet served on Alvarez, the Committee, and Estrada contained a cover letter and a memorandum describing probable cause proceedings, advising that Alvarez, the Committee, and Estrada had 21 days in which to request a probable cause conference and/or to file a written response to the Report. (Certification, Exhibit A-7.) Alvarez, the Committee, and Estrada neither requested a probable cause conference nor submitted a written response to the Report.

**C. Ex Parte Request for a Finding of Probable Cause**

Because Alvarez, the Committee, and Estrada failed to request a probable cause conference or submit a written response to the Report by the statutory deadline, the Enforcement Division submitted an Ex Parte Request for a Finding of Probable Cause and an Order that an Accusation Be Prepared and Served to the Hearing Officer of the Commission on January 26, 2018. (Certification, Exhibit A-8.)

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<sup>9</sup> Section 83115.5.

<sup>10</sup> Section 83115.5.

<sup>11</sup> Section 91000.5.

<sup>12</sup> Section 83115.5.

On January 31, 2018, Hearing Officer Sukhi Brar, Senior Commission Counsel, Legal Division, issued a Finding of Probable Cause and an Order to Prepare and Serve an Accusation on Alvarez, the Committee, and Estrada. (Certification, Exhibit A-9.)

**D. The Issuance and Service of the Accusation**

Under the Act, if the Hearing Officer makes a finding of probable cause, the Enforcement Division must prepare an accusation, pursuant to Section 11503 of the APA, and have it served on the persons who are the subject of the probable cause finding.<sup>13</sup>

Section 11503 states:

A hearing to determine whether a right, authority, license, or privilege should be revoked, suspended, limited, or conditioned shall be initiated by filing an accusation or District Statement of Reduction in Force. The accusation or District Statement of Reduction in Force shall be a written statement of charges that shall set forth in ordinary and concise language the acts or omissions with which the respondent is charged, to the end that the respondent will be able to prepare his or her defense. It shall specify the statutes and rules that the respondent is alleged to have violated, but shall not consist merely of charges phrased in the language of those statutes and rules. The accusation or District Statement of Reduction in Force shall be verified unless made by a public officer acting in his or her official capacity or by an employee of the agency before which the proceeding is to be held. The verification may be on information and belief.

Upon the filing of the accusation, the agency must 1) serve a copy thereof on the respondent as provided in Section 11505, subdivision (c); 2) include a post card or other form entitled Notice of Defense that, when signed by or on behalf of the respondent and returned to the agency, will acknowledge service of the accusation and constitute a notice of defense under Section 11506; 3) include (i) a statement that respondent may request a hearing by filing a notice of defense as provided in Section 11506 within 15 days after service upon the respondent of the accusation, and that failure to do so will constitute a waiver of the respondent's right to a hearing, and (ii) copies of Sections 11507.5, 11507.6, and 11507.7.<sup>14</sup> The APA also sets forth the language required in the accompanying statement to the respondent.<sup>15</sup>

The Accusation and accompanying information may be sent to the respondent by any means selected by the agency, but no order adversely affecting the rights of the respondent may be made by the agency in any case unless the respondent has been served personally or by registered mail as set forth in the APA.<sup>16</sup>

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<sup>13</sup> Regulation 18361.4, subd. (e).

<sup>14</sup> Section 11505, subd. (a).

<sup>15</sup> Section 11505, subd. (b).

<sup>16</sup> Section 11505, subd. (c).

On May 17, 2018, the Commission's Chief of Enforcement, Galena West, issued a First Amended Accusation against Alvarez, the Committee, and Estrada in this matter. (Certification, Exhibit A-10.) In accordance with Section 11505, the Accusation and accompanying information, consisting of a Statement to Respondent, two copies of a Notice of Defense Form for each respondent, copies of Government Code Sections 11506, 11507.5, 11507.6, and 11507.7, were personally served upon Alvarez, the Committee, and Estrada on June 2, 2018. (Certification, Exhibit A-11.)

Along with the Accusation, the Enforcement Division served Alvarez, the Committee, and Estrada with a "Statement to Respondent," which notified them that they could request a hearing on the merits and warned that, unless a Notice of Defense was filed within 15 days of service of the Accusation, they would be deemed to have waived the right to a hearing. (Certification, Exhibit A-12.) Alvarez, the Committee, and Estrada did not file a Notice of Defense within the statutory time period, which ended on June 17, 2018.

As a result, on September 26, 2018, the Enforcement Division sent a letter to Alvarez, the Committee, and Estrada advising that this matter would be submitted for a Default Decision and Order at the Commission's public meeting scheduled for October 18, 2018. (Certification, Exhibit A-19.) A copy of the Default Decision and Order, and this accompanying Exhibit 1 with attachments, was included with the letter.

## SUMMARY OF THE LAW

The Act and its regulations are amended from time to time. The violations in this case occurred in 2014 and 2015. For this reason, all legal references and discussions of law pertain to the Act's provisions as they existed at that time.

An express purpose of the Act is to ensure voters are fully informed and improper practices are inhibited by requiring all candidates, as well as the committees that support or oppose them, to disclose all contributions and expenditures made throughout a campaign.<sup>17</sup> In furtherance of this purpose, the Act requires candidate-controlled committees to file campaign statements at specific times, disclosing information regarding contributions received and expenditures made.<sup>18</sup>

Controlled committees must file semi-annual campaign statements each year no later than July 31 for the reporting period ending on June 30, and no later than January 31 of the following year for the reporting period ending on December 31.<sup>19</sup> When a filing deadline falls on a Saturday, Sunday, or official state holiday, the filing deadline is extended to the next business day.<sup>20</sup>

Controlled committees also must file two pre-election campaign statements before the election in which the candidate is listed on the ballot. For the March 3, 2015 election, the first pre-election statement was due on January 22, 2015 for the reporting period of January 1 through

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<sup>17</sup> Section 81002, subd. (a).

<sup>18</sup> Sections 84200 through 84225.

<sup>19</sup> Section 84200, subd. (a).

<sup>20</sup> Regulation 18116.



January 17, 2015,<sup>21</sup> and the second pre-election statement was due on February 19, 2015 for the reporting period of January 18 through February 14, 2015.<sup>22</sup>

No contribution of \$100 or more may be made or received in cash.<sup>23</sup> All contributions of \$100 or more must be made in the form of a written instrument containing the name of the contributor and the name of the payee, and drawn from the account of the contributor.<sup>24</sup>

The Act also prohibits making an expenditure of \$100 or more in cash.<sup>25</sup> The Act defines “expenditure” as a payment, forgiveness of a loan, payment of a loan by a third party, or an enforceable promise to make a payment, unless it is clear from the surrounding circumstances that it is not made for political purposes.<sup>26</sup>

A contribution is a payment made for political purposes.<sup>27</sup> Candidates and committees must provide information regarding the amounts and sources of campaign contributions on campaign statements. Specifically, the Act requires candidates and committees to disclose the total amount of contributions received during the period covered by the statement.<sup>28</sup> Candidates and committees must disclose the total amount of contributions received from persons who have given \$100 or more during the period covered by the statement.<sup>29</sup> For contributors of \$100 or more, candidates and committees must disclose the following: (1) the contributor’s full name; (2) the contributor’s street address; (3) the contributor’s occupation; (4) the name of the contributor’s employer, or if self-employed, the name of the contributor’s business; (5) the date and amount of each contribution received from the contributor during the reporting period; and (6) the cumulative amount of contributions received from the contributor.<sup>30</sup>

An expenditure is any payment, unless it is clear from the surrounding circumstances that it is not made for political purposes.<sup>31</sup> Candidates and committees must disclose the total amount of expenditures made during the period covered by the statement.<sup>32</sup> Candidates and committees must also disclose the total amount of expenditures made to persons who have received \$100 or more, and separately, the total amount of expenditures made to persons who have received less than \$100, during the period covered by the statement.<sup>33</sup> For expenditures of \$100 or more, candidates and committees must disclose the following: (1) the recipient’s full name; (2) the recipient’s street address; (3) the amount of each expenditure; (4) the description of the consideration for which each expenditure was made.<sup>34</sup>

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<sup>21</sup> Former Section 84200.7, subd. (b)(1).

<sup>22</sup> Former Section 84200.7, subd. (b)(2).

<sup>23</sup> Section 84300, subd. (a).

<sup>24</sup> Section 84300, subd. (c).

<sup>25</sup> Section 84300, subd. (b).

<sup>26</sup> Section 82025.

<sup>27</sup> Section 82015.

<sup>28</sup> Section 84211, subd. (a).

<sup>29</sup> Section 84211, subd. (c).

<sup>30</sup> Section 84211, subd. (f).

<sup>31</sup> Section 82025.

<sup>32</sup> Section 84211, subd. (b).

<sup>33</sup> Section 84211, subd. (i) and (j).

<sup>34</sup> Section 84211, subd. (k).

To ensure accurate campaign reporting, the Act imposes a mandatory duty on each candidate, treasurer, and elected officer to maintain detailed accounts, records, bills, and receipts necessary to prepare campaign statements, to establish that campaign statements were properly filed, and to comply with the campaign provisions.<sup>35</sup> This requirement includes a duty to maintain detailed information and original source documentation for all contributions and expenditures.<sup>36</sup>

### SUMMARY OF THE EVIDENCE

Alvarez was a successful candidate for the Bell City Council in the March 8, 2011 election and served as a member-at-large, Vice-Mayor, and Mayor of the City of Bell between 2011 and 2015. Alvarez unsuccessfully ran for re-election to the Bell City Council in 2015 and 2017. This case concerns activity related to Alvarez's re-election campaign in 2015.

The evidence in this case shows that the Committee qualified as a committee under the Act on or about May 27, 2014. The Committee had a designated bank account. Bank records show activity through July 1, 2015.

Alvarez, the Committee and Estrada, despite multiple notices from the local filing officer and Enforcement staff, failed to timely file campaign statements for six reporting periods in 2014 and 2015. A finding of probable cause and an Accusation was issued for these non-filed statements.

Between August 2016 and May 2017, Alvarez, the Committee, and Estrada filed and then amended eight semi-annual and pre-election campaign statements for the reporting periods between January 1, 2014 through December 31, 2016.

In August 2016 and January 2017, Alvarez, the Committee, and Estrada filed the required campaign statements for the reporting periods of January 1, 2014 through June 30, 2016. (Certification, Exhibit A-13.) The Respondents disclosed very little campaign activity on only one pre-election campaign statement and reported zero activity on other campaign statements.

The Enforcement Division then subpoenaed and received the Committee's campaign bank account records and discovered over \$9,000 in contributions and expenditures that required reporting. In May 2017, Alvarez, the Committee, and Estrada amended their campaign statements to show this activity. (Certification, Exhibit A-14.)

Alvarez, the Committee, and Estrada failed to timely disclose approximately \$9,235.24 in contributions received and \$9,899.24 in expenditures made related to the 2015 election. Alvarez, the Committee, and Estrada also failed to disclose name, address, occupation, and employer information for all contributors and name and address information for all payees. Alvarez admitted that she had no records to use to complete the amended campaign statements and therefore just included the limited information from the bank statements.

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<sup>35</sup> Section 84104.

<sup>36</sup> Regulation 18401.

A review of the Committee's bank records showed there were two cash deposits of \$100 or more into the Committee's campaign bank account. (Certification, Exhibit A-15.) The review of the Committee's bank records also showed sixteen cash withdrawals of \$100 or more from the Committee's bank account. (Certification, Exhibit A-16.) Alvarez stated she was unaware that accepting cash contributions of \$100 or more and making cash payments for campaign expenditures of \$100 or more was prohibited. Alvarez did not recall the source of the above-referenced contributions and what each individual cash withdrawal was spent on, but she was certain each withdrawal was used for campaign-related purposes.

The evidence shows that Alvarez, the Committee, and Estrada failed to maintain campaign records. In response to the Enforcement Division's subpoena, the Committee produced no records, and Alvarez returned a signed declaration stating that the Committee had provided all records in its possession. (Certification, Exhibit A-17.)

Alvarez explained that she kept the required records in a box in the residence she shared with Mario Estrada and lost that box during a move. However, Alvarez, the Committee, and Estrada provided the same address for the Committee and Estrada on the initial Form 410 filed in January 2014 and on campaign statements filed in August 2016, January 2017, and May 2017. This is also the same address where Alvarez, the Committee, and Estrada were personally served with the original Accusation served on October 2, 2016.

On January 12, 2017, the Committee filed a Form 410 termination statement with the Secretary of State's Office ("SOS") and terminated as of December 31, 2016. (Certification, Exhibit A-18.)

#### Summary of Contacts

Overall, Alvarez, the Committee, and Estrada, were contacted at least 46 times regarding their campaign statements and possible settlement, as follows:

- May 18, 2015: letters mailed (certified mail, return receipt) from Enforcement Division to Respondents requesting campaign records for activity during reporting periods for which campaign statements were not filed
- June 18, 2015: May 18, 2015 letters returned by USPS unclaimed
- June 22, 2015: letter mailed from Enforcement Division to Respondents requesting campaign records – no response received
- March 1, 2016: phone call (left message) and email from Enforcement Division that campaign statements not filed and requested immediate response
- March 8, 2016: phone call to Enforcement Division from Alvarez regarding filing campaign statements
- March 8, 2016: email from Enforcement Division requesting Respondents to file delinquent campaign statements – no response received
- March 17, 2016: phone call from Enforcement Division regarding the status of filing delinquent statements, left message

- March 22, 2016: phone call to Enforcement Division from Alvarez, will fax and mail forms
- May 19, 2016: Report in Support of Probable Cause for non-filer violations served on Alvarez, the Committee, and Estrada
- July 7, 2016: copy of Ex Parte Request for a Finding of Probable Cause and an Order that an Accusation Be Prepared and Served for non-filer violations mailed to Alvarez, the Committee, and Estrada
- July 26, 2016: phone call from Alvarez, attempting to file campaign statements, but she can't find her records
- October 2, 2016: Accusation for non-filer violations served upon Alvarez, the Committee, and Estrada
- December 5, 2016: phone call from Enforcement Division – Alvarez stated she hired an attorney and provided contact information
- December 29, 2016: phone call to Enforcement Division from Alvarez' attorney to discuss client's Default status, and will send copies of late-filed campaign statements
- January 5, 2017: email to Enforcement Division from Alvarez' attorney regarding late-filed campaign statements
- January 13, 2017: email to Enforcement Division from Alvarez' attorney regarding late-filed campaign statements
- January 20, 2017: phone call to Enforcement Division from Alvarez' attorney following up regarding campaign statements
- January 24, 2017: phone call from Enforcement Division to Alvarez' attorney – questions regarding late-filed campaign statements
- January 25, 2017: phone calls between Enforcement Division and Alvarez' attorney – questions regarding late-filed campaign statements
- March 1, 2017: phone calls and emails between Enforcement Division and Alvarez' attorney – questions regarding late-filed campaign statements and amending campaign statements
- March 23, 2017: phone call and emails between Enforcement Division and Alvarez' attorney regarding amending campaign statements
- April 13, 2017: emails between Enforcement Division and Alvarez' attorney regarding filing amending campaign statements
- May 2, 2017: phone calls and emails between Enforcement Division and Alvarez' attorney regarding campaign records
- May 5, 2017: emails between Enforcement Division and Alvarez' attorney regarding amending campaign statements
- May 16, 2017: emails between Enforcement Division and Alvarez' attorney regarding amending campaign statements
- May 17, 2017: emails between Enforcement Division and Alvarez' attorney regarding amending campaign statements
- May 22, 2017: emails between Enforcement Division and Alvarez' attorney regarding amending campaign statements
- May 23, 2017: emails between Enforcement Division and Alvarez' attorney regarding subpoenas for campaign records

- May 25, 2017: emails between Enforcement Division and Alvarez' attorney regarding amending campaign statements
- May 31, 2017: emails between Enforcement Division and Alvarez' attorney regarding amending campaign statements
- June 2, 2017: email to Enforcement Division from Alvarez' attorney regarding response to subpoena – attached Declaration from Alvarez re: no records
- June 6, 2017: email from Enforcement Division to Alvarez' attorney regarding Alvarez interview
- June 27, 2017: Enforcement Division interview of Alvarez
- August 29, 2017: email from Enforcement Division to Alvarez' attorney regarding settlement negotiations
- September 8, 2017: email to Enforcement Division from Alvarez' attorney regarding settlement negotiations
- September 14, 2017: phone call with Enforcement Division and Alvarez' attorney regarding settlement negotiations
- September 18, 2017: email from Enforcement Division to Alvarez' attorney regarding settlement negotiations
- September 27, 2017: email to Enforcement Division from Alvarez' attorney regarding settlement negotiations
- October 3, 2017: emails between Enforcement Division and Alvarez' attorney regarding settlement negotiations
- October 17, 2017: email from Enforcement Division to Alvarez' attorney regarding settlement negotiations
- November 29, 2017: Report in Support of Probable Cause served on Alvarez, the Committee, and Estrada
- January 25, 2018: phone call to Enforcement Division from Alvarez, Alvarez stated she received the PC Report and wanted to know if settlement was still possible
- January 25, 2018: letter from Enforcement Division regarding settlement negotiations and continuing the administrative process – no response received
- January 26, 2018: copy of Ex Parte Request for a Finding of Probable Cause and an Order that an Accusation Be Prepared and Served mailed to Alvarez, the Committee, and Estrada
- June 2, 2018: First Amended Accusation served upon Alvarez, the Committee, and Estrada
- Letter to Alvarez, the Committee, and Estrada dated July 27, 2018 informing them the default would appear on the agenda for the August 16, 2018 Commission meeting as a notice item
- Letter to Alvarez, the Committee, and Estrada dated September 18, 2018 informing them the default appeared on the agenda for the August 16, 2018 Commission meeting as a notice item, and would be presented at the October 18, 2018 meeting for Commission action
- *Notice of Intent to Enter Default Decision and Order* to Alvarez, the Committee, and Estrada dated September 26, 2018 to be presented at the October 18, 2018 meeting for Commission action

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## **VIOLATIONS**

Alvarez, the Committee, and Estrada committed three violations of the Act, as follows:

### COUNT 1

#### **Unreported and Incomplete Campaign Contributions and Expenditures**

Alvarez, the Committee, and Estrada failed to timely report all contributions and expenditures, and failed to disclose all required contributor and payee information, on the Committee's originally filed and amended campaign statement for the reporting periods of January 1, 2014 through December 31, 2015, violating Government Code Section 84211, subdivisions (a), (b), (c), (d), (f), (i), (j), and (k).

### COUNT 2

#### **Prohibited Cash Contributions and Expenditures**

Alvarez, the Committee, and Estrada received cash contributions of \$100 or more, and made case expenditures of \$100 or more, violating Government Code Section 84300, subdivisions (a) and (b).

### COUNT 3

#### **Failure to Maintain Campaign Records**

Alvarez, the Committee, and Estrada failed to maintain supporting records for contributions received and expenditures made, violating Government Code Section 84104.

## **CONCLUSION**

This matter consists of three counts of violating the Act, which carry a maximum administrative penalty total of \$15,000.<sup>37</sup>

In determining the appropriate penalty for a particular violation of the Act, the Enforcement Division considers the typical treatment of a violation in the overall statutory scheme of the Act, with an emphasis on serving the purposes and intent of the Act. Additionally, the Enforcement Division considers the facts and circumstances of the violation in the context of the following factors set forth in Regulation 18361.5, subdivision (d)(1) through (6): (1) The seriousness of the violations; 2) The presence or absence of any intention to conceal, deceive or mislead; 3) Whether the violation was deliberate, negligent, or inadvertent; 4) Whether the violator demonstrated good faith by consulting the Commission staff or any other governmental agency in a manner not constituting complete defense under Government Code Section 83114(b); 5) Whether the violation was isolated or part of a pattern and whether the violator has a prior record of violations of the

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<sup>37</sup> Section 83116, subd. (c).

Political Reform Act or similar laws; and 6) Whether the violator, upon learning of a reporting violation, voluntarily filed amendments to provide full disclosure.

In this case, Alvarez, the Committee and Estrada failed to disclose any campaign activity before the 2015 election, and then failed to accurately disclose the Committee's campaign activity when the campaign statements were filed between 344 and 740 days late. Alvarez, the Committee and Estrada also received prohibited cash contributions and made prohibited cash expenditures. This, in conjunction with their failure to maintain all required records, interfered with their ability to accurately disclose the Committee's campaign activity, made tracking and accounting for campaign funds difficult to impossible, and made it unclear whether Alvarez, the Committee and Estrada further violated the Act's requirements. Additionally, because many of the transactions were in cash, the full nature and extent of the campaign activity cannot be verified with the available records.

Alvarez, the Committee, and Estrada have no prior history of violating the Act. Alvarez had been a candidate before, and was generally aware that the Act required disclosure of the Committee's campaign activity. These violations are not reporting violations that can be remedied with amendments since no records were maintained. The violations in this matter, taken as a whole, make it difficult to track and account for campaign funds and to ensure compliance with the Act.

The Commission also considers previous cases that were approved by the Commission in determining penalties. In this matter, the following cases were used as guidelines:

Count 1

- *In the Matter of Roger Gaylord III and Roger Gaylord for Folsom City Council 2014*; FPPC No. 15/097. Respondents, a candidate and his controlled committee, failed to timely report \$4,131.28 in expenditures and \$390 in contributions on two different pre-election campaign statements. Further, Respondents under reported certain loans and under or over reported expenditures on the same pre-election statements. In February 2017, the Commission imposed a penalty of \$2,000 for this violation.

Counts 2-3

- *In the Matter of Patricia López, Patty López for Assembly 2014, and Carolina Perez*; FPPC Nos. 15/313 and 15/314. Patricia López was a successful candidate for Assembly District 39 in the June 3, 2014 Primary Election, and in the November 4, 2014 General Election. Patty López for Assembly 2014 was her candidate controlled committee. At all relevant times, Carolina Perez was the treasurer of the Committee. In 2014, López, Patty López for Assembly 2014, and Perez reported receiving contributions totaling \$13,242, and making expenditures totaling \$10,965. López, Patty López for Assembly 2014, and Perez:
  1. Received cash contributions of \$100 or more totaling approximately \$1,300, and made cash expenditures of \$100 or more, totaling approximately \$1,220, violating Government Code Section 84300, subdivisions (a) and (b) (1 count). In March 2016, the Commission imposed a penalty of \$1,500 for this violation.

2. Reported receiving contributions totaling \$13,242, and making expenditures totaling \$10,965. López, Patty López for Assembly 2014, and Perez failed to maintain supporting records for contributions and loans received and expenditures made, violating Government Code Section 84104 (1 count). In March 2016, the Commission imposed a penalty of \$1,000 for this violation.

As to Count 1, the public was harmed by Alvarez's, the Committee's and Estrada's failure to timely disclose important information related to the campaign, including the identity of contributors, the full amount of contributions received, and the full amount of expenditures made. That harm was aggravated by the fact that Alvarez, the Committee and Estrada made no disclosures before the 2015 election. *Gaylord* is analogous given that the financial activity went unreported during pre-election periods. But this case differs from *Gaylord* because many more reporting periods are at issue in this case, the amount unreported here is more than double the amount in *Gaylord*, there was some disclosure in *Gaylord* before the election, and the campaign statements at issue here lacked contributor and payee information. Therefore, a higher penalty in the amount of \$3,000 is recommend for Count 1.

Count 2 involves a slightly lower amount of unlawful cash contributions, but a more substantial amount of unlawful cash expenditures than *López*. In the current case, the known total of unlawful cash contributions exceeds \$700—compared to \$1,300 in *López*. But the known total of unlawful cash expenditures exceeds \$3,820 in the current case—compared to \$1,220 in *López*. Under these circumstances, a similar penalty in the amount of \$1,500 is recommended for Count 2.

Regarding Count 3, this case involves a similarly sized campaign who failed to maintain records to the *López* case. So a penalty of \$1,000 is recommended.

### **PROPOSED PENALTY**

After considering the factors of Regulation 18361.5 and the penalties imposed in prior cases, penalties of \$3,000 for Count 1; \$1,500 for Count 2; and \$1,000 for Count 3 are recommended, for a total penalty of \$5,500.





**DECLARATION OF CUSTODIAN OF RECORDS  
CALIFORNIA FAIR POLITICAL PRACTICES COMMISSION  
Enforcement Division**

**CERTIFICATION OF RECORDS**

The undersigned declares and certifies as follows:

1. I am employed as an Associate Governmental Program Analyst by the California Fair Political Practices Commission (Commission). My business address is: California Fair Political Practices Commission, 1102 Q St, Ste 3000, Sacramento, CA 95811.
2. I am a duly authorized custodian of the records maintained by the Commission in the Enforcement Division. As such, I am authorized to certify copies of those records as being true and correct copies of the original business records which are in the custody of the Commission.
3. I have reviewed documents maintained in *FPPC Case No. 15/150; Violeta Alvarez, Re-Elect Violeta Alvarez for City Council 2015, and Mario Estrada*, and have caused copies to be made of documents contained therein. I certify that the copies attached hereto are true and correct copies of the documents prepared in the normal course of business and which are contained in files maintained by the Commission. The attached documents are as follows:
  - EXHIBIT A-1: Report in Support of a Finding of Probable Cause, dated May 16, 2016, and a cover letter to the Respondents regarding the Report in Support of a Finding of Probable Cause, memorandum describing Probable Cause Proceedings, and applicable statutes and regulations, dated May 16, 2016
  - EXHIBIT A-2: Proof of Service for the Report in Support of a Finding of Probable Cause and applicable statutes and regulations, dated May 16, 2016, and accompanying certified mail receipts and USPS tracking
  - EXHIBIT A-3: Ex Parte Request for a Finding of Probable Cause and an Order that an Accusation Be Prepared and Served, dated July 7, 2016, and Finding of Probable Cause and Order to Prepare and Serve an Accusation, dated July 19, 2016

- EXHIBIT A-4: Accusation, dated September 16, 2016, and Proofs of Service on October 2, 2016, for Accusation and accompanying documents from process server, dated October 3, 2016
- EXHIBIT A-5: Report in Support of a Finding of Probable Cause, November 27, 2017
- EXHIBIT A-6: Proof of Service for the Report in Support of a Finding of Probable Cause and applicable statutes and regulations, dated November 27, 2017, and accompanying certified mail receipts
- EXHIBIT A-7: Cover letter to the Respondents regarding the Report in Support of a Finding of Probable Cause, memorandum describing Probable Cause Proceedings, and applicable statutes and regulations, dated November 27, 2017
- EXHIBIT A-8: Ex Parte Request for a Finding of Probable Cause and an Order that an Accusation Be Prepared and Served, dated January 26, 2018
- EXHIBIT A-9: Finding of Probable Cause and Order to Prepare and Serve an Accusation, dated January 31, 2018, and Proof of Service, dated January 31, 2018
- EXHIBIT A-10: First Amended Accusation, dated May 17, 2018
- EXHIBIT A-11: Proofs of Service on June 2, 2018, for Accusation and accompanying documents from process server, dated June 7, 2018
- EXHIBIT A-12: Statement to the Respondent, Notices of Defense, applicable statutes, and Proof of Service for Accusation and accompanying documents, dated May 21, 2018
- EXHIBIT A-13: Semi-annual and pre-election campaign statements for the reporting periods of January 1, 2014 through July 1, 2016, filed between August 2016 and January 2017
- EXHIBIT A-14: Amended semi-annual and pre-election campaign statements for the reporting periods of January 1, 2014 through June 30, 2016, filed May 30, 2017
- EXHIBIT A-15: Violeta Alvarez Campaign Bank Account Records for the period of November 1, 2014 to November 30, 2014; and February 1, 2015 to February 28, 2015
- EXHIBIT A-16: Violeta Alvarez Campaign Bank Account Records for the periods of May 27 to 31, 2014; June 1 to 30, 2014; July 1 to 31, 2014; August 1 to 31, 2014; November 1 to 30, 2014; December 1 to 31, 2014; and January 1 to 31, 2015
- EXHIBIT A-17: Declaration of Violeta Alvarez, dated June 2, 2017
- EXHIBIT A-18: Form 410 termination statement, filed January 12, 2017

EXHIBIT A-19: Notice of Intent to Enter into Default Decision and Order, dated September 26, 2018

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct. Executed on September 26, 2018, at Sacramento, California.

*dwjenska*

---

Dominika Wojenska  
Associate Governmental Program Analyst  
Enforcement Division  
Fair Political Practices Commission

# **EXHIBIT A-1**

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Default Decision and Order  
FPPC No. 15/150

1 GALENA WEST  
Chief of Enforcement  
2 ANGELA J. BRERETON  
Senior Commission Counsel  
3 **FAIR POLITICAL PRACTICES COMMISSION**  
428 J Street, Suite 620  
4 Sacramento, CA 95814  
Telephone: (916) 322-5771  
5 Facsimile: (916) 322-1932

6 Attorneys for Complainant  
Enforcement Division of the Fair Political Practices Commission  
7

8 **BEFORE THE FAIR POLITICAL PRACTICES COMMISSION**  
9 **STATE OF CALIFORNIA**

11 In the Matter of ) FPPC No. 15/150  
12 )  
13 )  
14 ) **REPORT IN SUPPORT OF A FINDING OF**  
VIOLETA ALVAREZ, RE-ELECT ) **PROBABLE CAUSE**  
15 VIOLETA ALVAREZ FOR BELL CITY )  
16 COUNCIL 2015, and MARIO )  
ESTRADA, )  
17 Respondents. ) Conference Date: TBA  
Conference Time: TBA  
Conference Location: Commission Offices  
428 J Street, Suite 620  
Sacramento, CA 95814

18 **INTRODUCTION**

19 Respondent Violeta Alvarez was an unsuccessful incumbent candidate for Bell City Council in  
20 the March 3, 2015 election. Respondent Re-Elect Violeta Alvarez for Bell City Council 2015 (the  
21 Committee) was Alvarez's candidate controlled committee. At all relevant times, Respondent Mario  
22 Estrada was treasurer of the Committee.

23 As a candidate, her controlled committee and the committee treasurer under the Political  
24 Reform Act (Act)<sup>1</sup>, Alvarez, the Committee and Estrada had a duty to file campaign statements and  
25

26 <sup>1</sup> The Political Reform Act is contained in Government Code §§ 81000 through 91014, and all statutory references  
27 are to this code. The regulations of the Fair Political Practices Commission are contained in §§ 18110 through 18997 of Title  
2 of the California Code of Regulations, and all regulatory references are to this source.

1 reports and disclose particular information. Alvarez, the Committee and Estrada failed to file five  
2 semi-annual and preelection campaign statements related to the March 3, 2015 election.

### 3 **SUMMARY OF THE LAW**

4 All legal references and discussions of law pertain to the Act's provisions as they existed at the  
5 time of the applicable violations.

#### 6 Jurisdiction

7 The Fair Political Practices Commission (the "Commission") has administrative jurisdiction to  
8 enforce the provisions of the Act.<sup>2</sup>

#### 9 Probable Cause Proceedings

10 Prior to the Enforcement Division commencing an administrative action, the General Counsel  
11 of the Commission or her designee (the "hearing officer"), must make a finding that there is probable  
12 cause to believe the respondent has violated the Act.<sup>3</sup> After a finding of probable cause, the  
13 Commission may hold a noticed hearing in accordance with the Administrative Procedure Act<sup>4</sup> to  
14 determine whether violations occurred, and levy an administrative penalty of up to \$5,000 for each  
15 violation.<sup>5</sup>

#### 16 Standard for Finding Probable Cause

17 To make a finding of probable cause, the hearing officer must be presented with sufficient  
18 evidence to lead a person of ordinary caution and prudence to believe, or entertain a strong suspicion,  
19 that a respondent committed or caused a violation.<sup>6</sup>

#### 20 Need for Liberal Construction and Vigorous Enforcement of the Political Reform Act

21 When enacting the Political Reform Act, the people of the state of California found and  
22 declared that previous laws regulating political practices suffered from inadequate enforcement by  
23 state and local authorities.<sup>7</sup> To that end, the Act must be liberally construed to achieve its purposes.<sup>8</sup>

24 <sup>2</sup> § 83116.

25 <sup>3</sup> § 83115.5, and Reg. 18361 and 18361.4.

26 <sup>4</sup> § 11500, et seq.

27 <sup>5</sup> § 83116, and Reg. 18361.4, subd. (e).

28 <sup>6</sup> Reg. 18361.4, subd. (e).

<sup>7</sup> § 81001, subd. (h).

<sup>8</sup> § 81003.

1           There are many purposes of the Act. One purpose is to ensure that receipts and expenditures in  
2 election campaigns are fully and truthfully disclosed, so that voters may be fully informed, and  
3 improper practices may be inhibited.<sup>9</sup> Another is to provide adequate enforcement mechanisms so that  
4 the Act will be “vigorously enforced.”<sup>10</sup>

5           Duty to File Campaign Statements

6           The Act requires candidates and their controlled committees to file campaign statements at  
7 specific times disclosing information regarding contributions received and expenditures made by the  
8 campaign committees.<sup>11</sup>

9           Duty to File Semi-Annual Campaign Statements

10           The Act requires all candidates and their controlled committees to file semi-annual campaign  
11 statements each year no later than July 31 for the period ending June 30, and no later than January 31  
12 for the period ending December 31.<sup>12</sup> When the deadline falls on a Saturday, Sunday or official state  
13 holiday, the filing deadline for such a statement or report is extended to the next regular business  
14 day.<sup>13</sup> All filing obligations continue until the recipient committee is terminated by filing a statement  
15 of termination with the Secretary of State and a copy with the local filing officer receiving the  
16 committee’s original campaign statements.<sup>14</sup>

17           Duty to File Preelection Campaign Statements

18           All candidates for offices being voted upon on a date other than the first Tuesday after the first  
19 Monday in June or November of an even-numbered year and their controlled committees must file  
20 preelection statements as follows: 1) For the period ending 45 days before the election, the statement  
21 must be filed no later than 40 days before the election; 2) For the period ending 17 days before the  
22 election, the statement must be filed no later than 12 days before the election.<sup>15</sup> All candidates being  
23

24           <sup>9</sup> § 81002, subd. (a).

25           <sup>10</sup> § 81002, subd. (f).

26           <sup>11</sup> See § 84200, *et seq.*

27           <sup>12</sup> § 84200, subd. (a).

28           <sup>13</sup> Reg. 18116.

<sup>14</sup> § 84214; Reg. 18404.

<sup>15</sup> §§ 84200.5, subd. (c), and 84200.8, subd. (a) and (b).

1 voted upon in the election in connection with which the statement is filed and their controlled  
2 committees must file the statement by guaranteed overnight delivery service or by personal delivery.<sup>16</sup>

3 For the March 3, 2015 election, the first preelection statement was due on  
4 January 22, 2015 for the reporting period of January 1 through January 17, 2015, and the second  
5 preelection statement was due on February 19, 2015 for the reporting period of January 18 through  
6 February 14, 2015.

7 Candidate and Treasurer Liability

8 Every committee must have a treasurer.<sup>17</sup> It is the duty of a committee's candidate and  
9 treasurer to ensure that the committee complies with all of the requirements of the Act concerning the  
10 receipt and expenditure of funds and the reporting of such funds.<sup>18</sup> A committee's candidate and  
11 treasurer may be held jointly and severally liable with the committee for any reporting violations.<sup>19</sup>

12 **SUMMARY OF THE EVIDENCE**

13 Alvarez served on the Bell City Council from 2011 through 2015. Alvarez, the Committee and  
14 Estrada filed an original statement of organization for the Committee on January 7, 2014. In the  
15 statement of organization, Alvarez, the Committee and Estrada stated that the Committee had not yet  
16 qualified as a committee under the Act, and they did not disclose any campaign bank account  
17 information. Alvarez filed a statement of intention to run for Bell City Council on December 3, 2014.

18 The Enforcement Division's investigation showed that Alvarez, the Committee and Estrada  
19 failed to timely file the following semi-annual and preelection campaign statements:

20

Reporting Period	Statement Type	Filing Deadline
01/01 – 06/30/2014	Semi-annual	07/31/2014
07/01 – 12/31/2014	Semi-annual	02/02/2015
01/01 – 01/17/2015	Preelection	01/22/2015
01/18 – 02/14/2015	Preelection	02/19/2015
02/15 – 06/30/2015	Semi-annual	07/31/2015
07/01 – 12/31/2015	Semi-Annual	02/01/2016

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26 <sup>16</sup> § 84200.8, subd. (b).

<sup>17</sup> § 84100.

<sup>18</sup> § 84100; Reg. 18427.

<sup>19</sup> §§ 83116.5 and 91006; Reg. 18316.6.



1 On or about February 10, 2015, the City Clerk of Bell sent a letter to Alvarez, the Committee  
2 and Estrada demanding they file campaign statements for the reporting periods ending June 30, 2014,  
3 December 31, 2014, and January 17, 2015. No response was received and the statements were not  
4 filed.

5 In or about April 2015, June 2015, and March 2016, Enforcement Division staff demanded  
6 Alvarez, the Committee and Estrada file all delinquent campaign statements.

7 On or about September 29, 2015, the City Clerk of Bell sent a letter to Alvarez, the Committee  
8 and Estrada demanding they file campaign statements for the reporting periods ending June 30, 2014,  
9 December 31, 2014, January 17, 2015, February 14, 2015 and June 30, 2015. No response was  
10 received and the statements were not filed.

11 To date, Alvarez, the Committee and Estrada have not filed any of the delinquent statements,  
12 and the Committee has not been terminated.

### 13 VIOLATIONS

#### 14 Count 1: Failure to Timely File Semi-annual Campaign Statements

15 Alvarez, the Committee and Estrada failed to timely file semi-annual campaign statements for  
16 the reporting period of January 1 through June 30, 2014, by the July 31, 2014 due date, violating  
17 Government Code Section 84200, subdivision (a).

#### 18 Count 2: Failure to Timely File Semi-annual Campaign Statements

19 Alvarez, the Committee and Estrada failed to timely file semi-annual campaign statements for  
20 the reporting period of July 1 through December 31, 2014, by the February 2, 2015 due date, violating  
21 Government Code Section 84200, subdivision (a).

#### 22 Count 3: Failure to Timely File Pre-election Campaign Statements

23 Alvarez, the Committee and Estrada failed to timely file preelection campaign statements for  
24 the reporting period of January 1 – January 17, 2015, by the January 22, 2015 due date, violating  
25 Government Code Sections 84200.5, subdivision (c), and 84200.8, subdivisions (a) and (b).

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1 Count 4: Failure to Timely File Pre-election Campaign Statements

2 Alvarez, the Committee and Estrada failed to timely file preelection campaign statements for  
3 the reporting period of January 18 – February 14, 2015, by the February 19, 2015 due date, violating  
4 Government Code Sections 84200.5, subdivision (c), and 84200.8, subdivisions (a) and (b).

5 Count 5: Failure to Timely File Semi-annual Campaign Statements

6 Alvarez, the Committee and Estrada failed to timely file a semi-annual campaign statement for  
7 the reporting period of February 15 through June 30, 2015, by the July 31, 2015 due date, violating  
8 Government Code Section 84200, subdivision (a).

9 Count 6: Failure to Timely File Semi-annual Campaign Statements

10 Alvarez, the Committee and Estrada failed to timely file a semi-annual campaign statement for  
11 the reporting period of July 1 through December 31, 2015, by the February 1, 2016 due date, violating  
12 Government Code Section 84200, subdivision (a).

13 **OTHER RELEVANT MATERIAL AND ARGUMENTS**

14 Alvarez, the Committee and Estrada failed to timely file six required campaign statements  
15 related to the March 3, 2015 election. Consequently, Alvarez, the Committee and Estrada failed to  
16 disclose any contributions received and expenditures made for the election, depriving the public of  
17 important information about Alvarez’s contributors and financial activities. Alvarez, the Committee  
18 and Estrada have ignored written notices from the filing officer and the Enforcement Division to file  
19 the delinquent campaign statements, and have failed to cooperate with Enforcement Division staff in  
20 this investigation.

21 **EXCULPATORY AND MITIGATING INFORMATION**

22 In mitigation, Alvarez, the Committee and Estrada have no prior history of violating the Act.  
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**CONCLUSION**

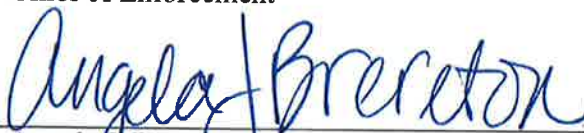
Probable cause exists to believe that Respondents Violeta Alvarez, Re-Elect Violeta Alvarez for Bell City Council 2015, and Mario Estrada committed six violations of the Act, as set forth above. The Enforcement Division respectfully requests an order finding probable cause pursuant to Section 83115.5 and Regulation 18361.4.

Dated: May 16, 2016

Respectfully Submitted,

**FAIR POLITICAL PRACTICES COMMISSION**

By: Galena West  
Chief of Enforcement



Angela J. Brereton  
Senior Commission Counsel  
Enforcement Division



FAIR POLITICAL PRACTICES COMMISSION

428 J Street • Suite 620 • Sacramento, CA 95814-2329  
(916) 322-5660 • Fax (916) 322-0886

May 16, 2016

**CERTIFIED MAIL, RETURN RECEIPT REQUESTED**

Violeta Alvarez, individually and o/b/o ✓  
Re-Elect Violeta Alvarez for  
Bell City Council 2015

Mario Estrada

[Redacted]  
[Redacted]

[Redacted]  
[Redacted]

**In the Matter of Violeta Alvarez, Re-Elect Violeta Alvarez for Bell City Council 2015, and Mario Estrada, FPPC Case No. 15/150**

Dear Ms. Alvarez and Re-Elect Violeta Alvarez for Bell City Council 2015, and Mr. Estrada:

The Enforcement Division of the Fair Political Practices Commission (the “Commission”) is proceeding with an administrative action against you for your failure to comply with recordkeeping provisions of the Political Reform Act (the “Act”), as described in our previous correspondence dated March 8, 2016. The enclosed Report in Support of a Finding of Probable Cause (the “Report”) contains a summary of the alleged violations and the relevant law and evidence.

You have the right to file a written response to the Report. That response may contain any information you think is relevant and that you wish to bring to the attention of the Commission’s General Counsel or her designee (the “Hearing Officer”). In your response, please indicate whether you would like the Hearing Officer to make a determination of probable cause based on the written materials alone (the Report and your response) or request a conference, during which you may orally present your case to the Hearing Officer. Probable cause conferences are held in our office which is located at 428 J Street, Ste. 620, Sacramento, CA 95814. You may appear at the conference in person or by telephone and you are entitled to be represented by counsel. *If you wish to submit a written response or request a probable cause conference, it must be filed with the Commission Assistant, Sheva Tabatabaiejad, at the address listed above within 21 days from the date of service of this letter.* You can reach Ms. Tabatabaiejad at (916) 327-8269.

Please note that probable cause conferences are not settlement conferences. The sole purpose of a probable cause conference is to determine whether there is probable cause to believe that the Act was violated. However, settlement discussions are encouraged by the Commission and may take place at any time except during a probable cause conference. ***If you are interested in reaching a settlement in this matter, please contact Angela Brereton at (916) 322-5771 or [abrereton@fppc.ca.gov](mailto:abrereton@fppc.ca.gov).***

Finally, you have the right to request discovery of the evidence in possession of, and relied upon by, the Enforcement Division. ***This request must also be filed with Ms. Tabatabaiejad within 21 days from the date of service of this letter.*** Should you request discovery, the Enforcement Division will provide the evidence by service of process or certified mail. From the date you are served with the evidence, you would have an additional 21 days to file a written response to the Report, just as described above.

***Should you take no action within 21 days from the date of service of this letter, your rights to respond and to request a conference are automatically waived and the Enforcement Division will independently pursue the issuance of an accusation.***

For your convenience, I have enclosed a fact sheet on probable cause proceedings and copies of the most relevant statutes and regulations.

Sincerely,



Angela J. Brereton  
Senior Commission Counsel  
Enforcement Division

Enclosures

## **PROBABLE CAUSE FACT SHEET**

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### **INTRODUCTION**

The Fair Political Practices Commission is required by law to determine whether probable cause exists to believe that the Political Reform Act (the "Act") was violated before a public administrative accusation may be issued.

The probable cause proceedings before the Fair Political Practices Commission are unique, and most respondents and their attorneys are unfamiliar with them. Therefore, we have prepared this summary to acquaint you with the process.

### **THE LAW**

Government Code sections 83115.5 and 83116 set forth the basic requirement that a finding of probable cause be made in a "private" proceeding before a public accusation is issued and a public hearing conducted in accordance with the Administrative Procedure Act.

The Commission has promulgated regulations further defining the probable cause procedure and delegating to the General Counsel (the "Hearing Officer" for purposes of these proceedings) the authority to preside over such proceedings and decide probable cause. A copy of these statutes and regulations are attached for your convenience.

In summary, the statutes and regulations entitle you to the following:

- a) A written probable cause report containing a summary of the law alleged to have been violated, and a summary of the evidence, including any exculpatory and mitigating information and any other relevant material and arguments;
- b) The opportunity to request discovery, respond in writing, and to request a probable cause conference within 21 days of service of the probable cause report;
- c) If the Commission met to consider whether a civil lawsuit should be filed in this matter, a copy of any staff memoranda submitted to the Commission and a transcript of staff discussions with the Commission at any such meeting; and
- d) If a timely request was made, a non-public conference with the General Counsel and the Enforcement Division staff to consider whether or not probable cause exists to believe the Act was violated.

### **THE PROCEDURE**

#### **Probable Cause Report**

Administrative enforcement proceedings are commenced with the service, by registered or certified mail or in person, of a probable cause report. The report will contain a summary of the law and the evidence, including any exculpatory and mitigating information of which the staff has knowledge and any other relevant material and arguments. It is filed with the Hearing Officer.

## **Discovery**

Within 21 calendar days following the service of the probable cause report, you may request discovery of the evidence in the possession of the Enforcement Division. This is not a right to full discovery of the Enforcement Division file, but to the evidence relied upon by the Division along with any exculpatory or mitigating evidence<sup>1</sup>.

This request must be sent by registered or certified mail to the Commission Assistant.

## **Response to Probable Cause Report**

Within 21 calendar days following the service of the probable cause report (or, if you timely requested discovery, within 21 calendar days from the service of the evidence) you may submit a response to the Report. By regulation, the written response may contain, "... a summary of evidence, legal arguments, and any mitigating or exculpatory information." (Cal. Code Regs., tit. 2, § 18361.4, subd. (c).)

You must file your response with the Commission Assistant and provide a copy, by service of process or registered or certified mail with return receipt requested, to all other proposed respondents listed in the probable cause report.

## **Staff Reply**

Within 10 calendar days following the date the response was filed with the Commission Assistant, Commission staff may submit any evidence or argument in rebuttal. You will be served with a copy of any such reply.

## **Probable Cause Conference**

Probable cause conferences are held at the offices of the Fair Political Practices Commission, which is located at 428 J Street, Ste. 620, Sacramento, CA 95814. You may appear at the conference in person or by telephone. The proceedings are not public unless all proposed respondents agree to open the conference to the public. Otherwise, the probable cause report, any written responses, and the probable cause conference itself are confidential.

Unless the probable cause conference is public, the only persons who may attend are the staff of the Commission, any proposed respondent and his or her attorney or representative, and, at the discretion of the Hearing Officer, witnesses.

The Hearing Officer may, but need not, permit testimony from witnesses. Probable cause conferences are less formal than court proceedings. The rules of evidence do not apply. The conferences will be recorded and a copy of the recording will be provided upon request.

Since it has the burden of proof, the Enforcement Division is permitted to open and close the conference presentations. The Hearing Officer may also hold the record open to receive additional evidence or arguments.

Probable cause conferences are not settlement conferences. The sole purpose of a probable cause conference is to determine whether or not there is probable cause to believe that the

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<sup>1</sup> But see Title 2, California Code of Regulations, Section 18362, which states that the Commission provides access to complaints, responses to complaints, and investigative files and information in accordance with the requirements of the Public Records Act. (Govt. Code § 6250, et seq.)

Political Reform Act was violated. Anyone who wishes to discuss settlement with the Enforcement Division may do so before or after the probable cause conference but not during the conference.

Pursuant to Title 2, California Code of Regulations, Section 18361.4, subdivision (e), the Hearing Officer will find probable cause "if the evidence is sufficient to lead a person of ordinary caution and prudence to believe or entertain a strong suspicion that a proposed respondent committed or caused a violation."

Ordinarily, probable cause determinations are made based upon the written probable cause report, any written response by the respondent, any written reply by the Enforcement Division, and the oral arguments presented at the conference. Timely written presentations are strongly recommended.

### **Probable Cause Order and Accusation**

Once the matter is submitted to the Hearing Officer, the probable cause decision will normally be made within ten days. If the Hearing Officer finds probable cause, he will issue a Finding of Probable Cause, which will be publicly announced at the next Commission Meeting. An accusation will be issued soon after the Finding of Probable Cause is publicly announced.

### **Continuances**

Every reasonable effort is made to accommodate the schedules of parties and counsel. However, once a date has been set it is assumed to be firm and will not be continued except upon the order of the Hearing Officer after a showing of good cause. Settlement negotiations will be considered good cause only if the Hearing Officer is presented with a fully executed settlement, or is convinced that settlement is imminent.

### **Settlements**

Settlement discussions may take place at any time except during the probable cause conference. In order to open settlement discussions, a proposed respondent or his or her counsel or representative should present a written offer to settle stating, where appropriate, the violations to be admitted, and the monetary penalty or other remedy to be tendered.

The Enforcement Division attorney assigned to the case will negotiate any potential settlement on behalf of the Fair Political Practices Commission, and will draft the language of the settlement agreement. The Hearing Officer will not directly participate in the negotiations, but will be represented by Enforcement Division attorneys. Staff attorneys will present settlement offers to the Hearing Officer for his/her approval.

### **CONCLUSION**

This fact sheet was intended to give you a brief summary of the probable cause process at the Fair Political Practices Commission. Such a summary cannot answer every question that might arise in such proceedings. Therefore, if you have any questions that are not addressed by this fact sheet or the copies of the law and regulations we have attached, feel free to contact the attorney whose name appears on the probable cause report.

*Attachments: Relevant Sections of (1) California Government Code , and (2) Regulations of the Fair Political Practices Commission, Title 2, Division 6 of the California Code of Regulations.*



## CALIFORNIA GOVERNMENT CODE

### Probable Cause Statutes

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#### **§ 83115.5. Probable cause; violation of title; notice of violation; summary of evidence; notice of rights; private proceedings**

No finding of probable cause to believe this title has been violated shall be made by the commission unless, at least 21 days prior to the commission's consideration of the alleged violation, the person alleged to have violated this title is notified of the violation by service of process or registered mail with return receipt requested, provided with a summary of the evidence, and informed of his right to be present in person and represented by counsel at any proceeding of the commission held for the purpose of considering whether probable cause exists for believing the person violated this title. Notice to the alleged violator shall be deemed made on the date of service, the date the registered mail receipt is signed, or if the registered mail receipt is not signed, the date returned by the post office. A proceeding held for the purpose of considering probable cause shall be private unless the alleged violator files with the commission a written request that the proceeding be public.

#### **§ 83116. Violation of title; probable cause; hearing; order**

When the Commission determines there is probable cause for believing this title has been violated, it may hold a hearing to determine if a violation has occurred. Notice shall be given and the hearing conducted in accordance with the Administrative Procedure Act (Chapter 5 (commencing with Section 11500), Part 1, Division 3, Title 2, Government Code). The Commission shall have all the powers granted by that chapter. When the Commission determines on the basis of the hearing that a violation has occurred, it shall issue an order that may require the violator to do all or any of the following:

- (a) Cease and desist violation of this title.
- (b) File any reports, statements, or other documents or information required by this title.
- (c) Pay a monetary penalty of up to five thousand dollars (\$5,000) per violation to the General Fund of the state. When the Commission determines that no violation has occurred, it shall publish a declaration so stating.

**REGULATIONS OF THE FAIR POLITICAL PRACTICES COMMISSION  
TITLE 2, DIVISION 6 OF THE CALIFORNIA CODE OF REGULATIONS**

**Probable Cause Regulations**

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**§ 18361 (b). Delegation by the Executive Director Pertaining to Enforcement Proceedings and Authority to Hear Probable Cause Proceedings.**

Probable cause proceedings under Regulation 18361.4 shall be heard by the General Counsel or an attorney from the Legal Division. The General Counsel may delegate the authority to hear probable cause proceedings, in writing, to an administrative law judge.

**§ 18361.4. Probable Cause Proceedings**

(a) Probable Cause Report. If the Chief of the Enforcement Division decides to commence probable cause proceedings pursuant to Sections 83115.5 and 83116, he or she shall direct the Enforcement Division staff to prepare a written report, hereafter referred to as "the probable cause report." The probable cause report shall contain a summary of the law and evidence gathered in connection with the investigation, including any exculpatory and mitigating information of which the staff has knowledge and any other relevant material and arguments. The evidence recited in the probable cause report may include hearsay, including declarations of investigators or others relating the statements of witnesses or concerning the examination of physical evidence.

(b) No probable cause hearing will take place until at least 21 calendar days after the Enforcement Division staff provides the following, by service of process or registered or certified mail with return receipt requested, to all proposed respondents:

- (1) A copy of the probable cause report;
- (2) Notification that the proposed respondents have the right to respond in writing to the probable cause report and to request a probable cause conference at which the proposed respondent may be present in person and represented by counsel, and;
- (3) If the Commission met in executive session on this matter pursuant to Regulation 18361.2, a copy of any staff memoranda submitted to the Commission at that time along with the recording of any discussion between the Commission and the staff at the executive session as required in subdivision (b) of Regulation 18361.2.

(c) Response to Probable Cause Report.

- (1) Each proposed respondent may submit a written response to the probable cause report. The response may contain a summary of evidence, legal arguments, and any mitigating or exculpatory information. A proposed respondent who submits a response must file it with the Commission Assistant who will forward the response to the General Counsel or an attorney in the Legal Division (the "hearing officer") and provide a copy, by service of process or registered or certified mail with return receipt requested, to all other proposed respondents listed in the probable cause report not later than 21 days following service of the probable cause report.
- (2) Within 21 calendar days following the service of the probable cause report, a proposed

respondent may request discovery of evidence in the possession of the Enforcement Division. This request must be sent by registered or certified mail to the Commission Assistant. Upon receipt of the request, the Enforcement Division shall provide discovery of evidence relied upon by the Enforcement Division sufficient to lead a person of ordinary caution and prudence to believe or entertain a strong suspicion that a proposed respondent committed or caused a violation, along with any exculpatory or mitigating evidence. This is not a right to full discovery of the Enforcement Division file. The Enforcement Division shall provide access to documents for copying by the Respondent, or upon agreement among the parties, the Enforcement Division will provide copies of the requested documents upon payment of a fee for direct costs of duplication. The Enforcement Division shall provide such evidence by service of process or registered or certified mail with return receipt requested to all respondents, with a copy to the Commission Assistant. A respondent may submit a written response to the probable cause report described in subsection (1) no later than 21 calendar days after service of discovery.

- (3) The Commission staff may submit any evidence or argument in rebuttal to the response. When the Commission staff submits evidence or argument in rebuttal to the response, it shall provide a copy, by service of process or registered or certified mail with return receipt requested, to all proposed respondents listed in the probable cause report not later than 10 calendar days following the date the response was filed with the Commission Assistant. The hearing officer may extend the time limitations in this section for good cause. At any time prior to a determination of probable cause, the hearing officer may allow additional material to be submitted as part of the initial response or rebuttal.

(d) Probable Cause Conference. Any proposed respondent may request a probable cause conference. The request shall be served upon the Commission Assistant and all other proposed respondents not later than 21 days after service of the probable cause report unless the hearing officer extends the time for good cause. The Commission Assistant shall fix a time for the probable cause conference and the hearing officer shall conduct the conference informally. The conference shall be closed to the public unless a proposed respondent requests and all other proposed respondents agree to a public conference. If the conference is not public, only members of the Commission staff, any proposed respondent and his or her legal counsel or representative shall have the right to be present and participate. The hearing officer may allow witnesses to attend and participate in part or all of the probable cause conference. In making this determination, the hearing officer shall consider the relevancy of the witness' proposed testimony, whether the witness has a substantial interest in the proceedings, and whether fairness requires that the witness be allowed to participate. Representatives of any civil or criminal prosecutor with jurisdiction may attend the conference at the discretion of the hearing officer if they agree to respect the confidential nature of the proceedings. If the conference is not open to the public and none of the parties and the presiding officer object, the conference may be conducted in whole or in part by telephone. The probable cause conference shall be recorded. The hearing officer may determine whether there is probable cause based solely on the probable cause report, any responses or rebuttals filed and any arguments presented at the probable cause conference by the interested parties. If the hearing officer requires additional information before determining whether there is probable cause, he or she may permit any party to submit additional evidence at the probable cause conference.

(e) Finding of Probable Cause. The hearing officer may find there is probable cause to believe a violation has occurred if the evidence is sufficient to lead a person of ordinary caution and prudence to believe or entertain a strong suspicion that a proposed respondent committed or caused a violation. A finding of probable cause by the hearing officer does not constitute a finding that a violation has actually occurred. The hearing officer shall not make a finding of probable cause if he or she is presented with clear and convincing evidence that, at a time prior to the alleged violation, the violator consulted with the staff of the Commission in good faith, disclosed truthfully all the material facts, and committed the acts complained of either in reliance on the advice of the staff or because of the staff's failure to provide advice. If the hearing officer makes a finding of probable cause, the Enforcement Division shall prepare an Accusation pursuant to Section 11503 and have it served upon the person or persons who are subjects of the probable cause finding. The hearing officer shall publicly announce the finding of probable cause. The announcement shall contain a summary of the allegations and a cautionary statement that the respondent is presumed to be innocent of any violation of the Act unless a violation is proved in a subsequent proceeding. The Chief of the Enforcement Division shall be responsible for the presentation of the case in support of the Accusation at an administrative hearing held pursuant to Section 83116.

#### **§ 18362. Access to Complaint Files**

(a) Access to complaints, responses thereto, and investigative files and information shall be granted in accordance with the requirements of the Public Records Act (Government Code Section 6250, et seq.).

(b) When release of material is requested pursuant to subdivision (a), the Executive Director, or his or her designee, shall review the material prior to its release or prior to a claim of exemption to determine that the requirements of the Public Records Act have been satisfied.

(c) Any person requesting copies of material pursuant to subdivision (a) shall reimburse the Commission \$0.10 per page for each page copied or supply copying equipment and make copies in the offices of the Commission. Documents may not be removed from the offices of the Commission. If the request is for copies totaling ten pages or less, the copies shall be provided without charge for copying since the administrative costs do not warrant collection of \$1.00 or less. If the request is for copies totaling more than ten pages, reimbursements of copying costs shall include the cost for the first ten pages. Charges imposed pursuant to this subdivision are for the purpose of recovering the cost of copying.

(d) Requests for access and copies pursuant to subdivision (a) shall be made in writing and shall specifically identify the documents sought.

#### **§ 18361.2. Memorandum Respecting Civil Litigation.**

(a) If the Executive Director concludes civil litigation should be initiated, he or she shall submit to the Commission a written memorandum, which shall be first reviewed by the General Counsel, or an attorney from the Legal Division, summarizing the facts and the applicable law of the case and recommending the initiation of a lawsuit. The memorandum shall include all exculpatory and mitigating information known to the staff.

(b) The Commission shall review the memorandum at an executive session. The General Counsel, or an attorney from the Legal Division, and the Commission Assistant shall be in attendance. No other member of the staff may be present unless the Commission meets with a member of the staff for that person to answer questions. The Commission may not resume its deliberations until the person is no longer present. Any communication between the Commission and the person during the executive session shall be recorded. After review of the memorandum, the Commission may direct the Executive Director to do any of the following:

- (1) Initiate civil litigation.
- (2) Decide whether probable cause proceedings should be commenced pursuant to 2 Cal. Code of Regulations Section 18361.4.
- (3) Return the matter to the staff for further investigation.
- (4) Take no further action on the matter or take any other action it deems appropriate.

(c) If the Commission decides to initiate civil litigation, the Commission may then permit other members of the staff to attend the executive session.

(d) If the Executive Director deems it necessary, he or she may call a special meeting of the Commission to review a staff memorandum recommending the initiation of civil litigation.

(e) It is the intent of the Commission in adopting this section to preserve for the members of the Commission the authority to decide whether alleged violations should be adjudicated in administrative hearings or in civil litigation, while at the same time avoiding the possibility that discussions with members of the staff might cause members of the Commission to prejudge a case that might be heard by the Commission under Government Code Section 83116.

# **EXHIBIT A-2**

---

Default Decision and Order  
FPPC No. 15/150

**PROOF OF SERVICE**

At the time of service, I was over 18 years of age and not a party to this action. My business address is Fair Political Practices Commission, 428 J Street, Suite 620, Sacramento, California 95814. On May 16, 2016, I served the following document(s):

1. Letter dated May 16, 2016 from Angela J. Brereton;
2. FPPC Case No. 15/150: Report in Support of a Finding of Probable Cause;
3. Fact Sheet regarding Probable Cause Proceedings with selected Sections of the California Government Code and selected Regulations of the Fair Political Practices Commission regarding Probable Cause Proceedings for the Fair Political Practices Commission.

By United States Postal Service. I enclosed the document(s) in a sealed envelope or package addressed to the person(s) at the addresses listed below and placed the envelope or package for collection and mailing by certified mail, return receipt requested, following my company's ordinary business practices. I am readily familiar with this business' practice for collection and processing correspondence for mailing with the United States Postal Service. On the same day that correspondence is placed for collection and mailing, it is deposited in the ordinary course of business with the United States Postal Service, in a sealed envelope with postage fully prepaid.

I am a resident or employed in the county where the mailing occurred. The envelope or package was placed in the mail in Sacramento County, California.

**SERVICE LIST**

Certified Mail, Return Receipt Requested

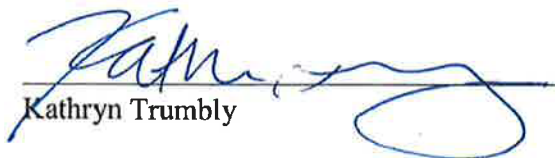
Violeta Alvarez, individually and o/b/o  
Re-Elect Violeta Alvarez for  
Bell City Council 2015

Mario Estrada

██████████  
██████████

██████████  
██████████

I declare under penalty of perjury under the laws of the State of California that the above is true and correct. Executed on May 16, 2016.

  
Kathryn Trumbly

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Return Receipt  
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Restrictor  
 (Endorsement Q)

Violeta Alvarez, individually and  
 o/b/o Re-Elect Violeta Alvarez for Bell City Council  
 2015

Sir,  
 Street, No.  
 or PO Box  
 City, State, Zip

PS Form 3800, August 2009 See Reverse for Instructions

7012 3460 0000 2695 6605

**SENDER: COMPLETE THIS SECTION**

- Complete items 1, 2, and 3.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece.

Violeta Alvarez, individually and  
 o/b/o Re-Elect Violeta Alvarez for Bell City Council  
 2015

[Redacted Address]



Article Number (Transfer from another label)  
 7012 3460 0000 2695 6605

**COMPLETE THIS SECTION ON DELIVERY**

A. Signature  Agent  
 Addressee

B. Received for (Printed Name) C. Date of Delivery

different from item 1?  Yes  
 every address below:  No

3. Service Type

<input type="checkbox"/> Adult Signature	<input type="checkbox"/> Priority Mail Express®
<input type="checkbox"/> Adult Signature Restricted Delivery	<input type="checkbox"/> Registered Mail™
<input checked="" type="checkbox"/> Certified Mail®	<input type="checkbox"/> Registered Mail Restricted Delivery
<input type="checkbox"/> Certified Mail Restricted Delivery	<input checked="" type="checkbox"/> Return Receipt for Merchandise
<input type="checkbox"/> Collect on Delivery	<input type="checkbox"/> Signature Confirmation™
<input type="checkbox"/> Collect on Delivery Restricted Delivery	<input type="checkbox"/> Signature Confirmation Restricted Delivery
<input type="checkbox"/> Insured Mail Restricted Delivery (over \$500)	





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## Available Actions

**Postal Product:**

**Features:**  
Certified Mail™

DATE & TIME	STATUS OF ITEM	LOCATION
May 19, 2016 , 12:05 pm	<b>Delivered, Left with Individual</b>	[REDACTED]

Your item was delivered to an individual at the address at 12:05 pm on May 19, 2016 in BELL GARDENS, CA 90201

May 17, 2016 , 4:33 pm	Arrived at USPS Facility	LOS ANGELES, CA 90052
May 16, 2016 , 11:19 pm	Departed USPS Facility	WEST SACRAMENTO, CA 95799
May 16, 2016 , 8:43 pm	Arrived at USPS Facility	WEST SACRAMENTO, CA 95799

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Restrict (Endorsement)	
Total	

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Street, Apt. No., or PO Box No. \_\_\_\_\_

City, State, ZIP+4 \_\_\_\_\_

PS Form 3800, August 2006 See Reverse for Instructions

Mario Estrada

**SENDER: COMPLETE THIS SECTION**

- Complete items 1, 2, and 3.
- Print your name and address on the reverse so that we can return the card to you.

\_\_\_\_\_ mailpiece,

Mario Estrada

\_\_\_\_\_

\_\_\_\_\_

**COMPLETE THIS SECTION ON DELIVERY**

A. Signature  Agent  Addressee

**X** \_\_\_\_\_

B. Received by (Printed Name) \_\_\_\_\_ C. Date of Delivery \_\_\_\_\_

D. Is delivery address different from item 1?  Yes  No  
 If YES, enter delivery address below: \_\_\_\_\_



9590 9403 0234 5146 5236 20

2. Article Number (Transfer from service label)  
 7012 3460 0000 2695 6612

**3. Service Type**

<input type="checkbox"/> Adult Signature	<input type="checkbox"/> Priority Mail Express®
<input type="checkbox"/> Adult Signature Restricted Delivery	<input type="checkbox"/> Registered Mail™
<input checked="" type="checkbox"/> Certified Mail®	<input type="checkbox"/> Registered Mail Restricted Delivery
<input type="checkbox"/> Certified Mail Restricted Delivery	<input checked="" type="checkbox"/> Return Receipt for Merchandise
<input type="checkbox"/> Collect on Delivery	<input type="checkbox"/> Signature Confirmation™
<input type="checkbox"/> Collect on Delivery Restricted Delivery	<input type="checkbox"/> Signature Confirmation Restricted Delivery
<input type="checkbox"/> Insured Mail	
<input type="checkbox"/> Insured Mail Restricted Delivery (over \$500)	



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**Features:**  
Certified Mail™

DATE & TIME	STATUS OF ITEM	LOCATION
May 19, 2016 , 12:05 pm	<b>Delivered, Left with Individual</b>	[REDACTED]
Your item was delivered to an individual at the address at 12:05 pm on May 19, 2016 in BELL GARDENS, CA 90201		
May 17, 2016 , 4:42 pm	Arrived at USPS Facility	LOS ANGELES, CA 90052
May 16, 2016 , 11:19 pm	Departed USPS Facility	WEST SACRAMENTO, CA 95799
May 16, 2016 , 8:51 pm	Arrived at USPS Facility	WEST SACRAMENTO, CA 95799

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- National Postal Museum
- Resources for Developers

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- No FEAR Act EEO Data

# **EXHIBIT A-3**

---

Default Decision and Order  
FPPC No. 15/150

1 GALENA WEST  
Chief of Enforcement  
2 ANGELA J. BRERETON  
Senior Commission Counsel  
3 **FAIR POLITICAL PRACTICES COMMISSION**  
428 J Street, Suite 620  
4 Sacramento, CA 95814  
Telephone: (916) 322-5771  
5 Facsimile: (916) 322-1932

6 Attorneys for Complainant  
Enforcement Division of the Fair Political Practices Commission  
7

8  
9 BEFORE THE FAIR POLITICAL PRACTICES COMMISSION  
10 STATE OF CALIFORNIA

11 In the Matter of ) FPPC No. 15/150  
12 )  
13 ) **EX PARTE REQUEST FOR A FINDING OF**  
VIOLETA ALVAREZ, RE-ELECT ) **PROBABLE CAUSE AND AN ORDER THAT**  
14 VIOLETA ALVAREZ FOR BELL CITY ) **AN ACCUSATION BE PREPARED AND**  
COUNCIL 2015, and MARIO ) **SERVED**  
15 ESTRADA, )  
16 ) Gov. Code § 83115.5  
Respondents. )

17 **TO HEARING OFFICER OF THE FAIR POLITICAL PRACTICES COMMISSION:**

18 Pursuant to Section 83115.5 of the Political Reform Act (the "Act")<sup>1</sup> and Regulation 18361.4,  
19 Respondents Violeta Alvarez, Re-Elect Violeta Alvarez for Bell City Council 2015 (the Committee) and  
20 Mario Estrada, were served with a copy of a Report in Support of a Finding of Probable Cause (the Report)  
21 in the above-entitled matter. The Report, attached as "Exhibit A," was part of a packet of materials,  
22 including a cover letter and a memorandum describing Probable Cause Proceedings, which was personally  
23 served on Alvarez, the Committee and Estrada by certified mail on May 19, 2016. The proof of service  
24 for the Report is attached as "Exhibit B."

25  
26  
27  
28 <sup>1</sup> The Political Reform Act is contained in Government Code §§ 81000 through 91014, and all statutory references are to this code. The regulations of the Fair Political Practices Commission are contained in §§ 18110 through 18997 of Title 2 of the California Code of Regulations, and all regulatory references are to this source.

1 In the cover letter dated May 16, 2016, and the attached materials, Alvarez, the Committee and  
2 Estrada were advised that they could respond in writing to the Report, and orally present the case to the  
3 Hearing Officer at a probable cause conference to be held in Sacramento. Alvarez, the Committee and  
4 Estrada were further advised that in order to have a probable cause conference, they needed to make a  
5 written request for one, on or before 21 days of the date they received the Report. Additionally, Alvarez,  
6 the Committee and Estrada were advised that if they did not request a probable cause conference, such a  
7 conference would not be held, and probable cause would be determined based solely on the Report and  
8 any written response that Alvarez, the Committee and Estrada submitted within 21 days of the date  
9 Alvarez, the Committee and Estrada were served with the Report. Alvarez, the Committee and Estrada  
10 did not submit a written response, nor did they request a probable cause conference.

11 WHEREFORE, based on the attached Report, the Enforcement Division requests a finding by the  
12 Hearing Officer that probable cause exists to believe that Alvarez, the Committee and Estrada committed  
13 six violations of the Act, stated as follows:

14 Count 1: Failure to Timely File Semi-annual Campaign Statements

15 Alvarez, the Committee and Estrada failed to timely file semi-annual campaign statements for the  
16 reporting period of January 1 through June 30, 2014, by the July 31, 2014 due date, violating Government  
17 Code Section 84200, subdivision (a).

18 Count 2: Failure to Timely File Semi-annual Campaign Statements

19 Alvarez, the Committee and Estrada failed to timely file semi-annual campaign statements for the  
20 reporting period of July 1 through December 31, 2014, by the February 2, 2015 due date, violating  
21 Government Code Section 84200, subdivision (a).

22 Count 3: Failure to Timely File Pre-election Campaign Statements

23 Alvarez, the Committee and Estrada failed to timely file preelection campaign statements for the  
24 reporting period of January 1 – January 17, 2015, by the January 22, 2015 due date, violating Government  
25 Code Sections 84200.5, subdivision (c), and 84200.8, subdivisions (a) and (b).

26  
27  
28 ///

1 Count 4: Failure to Timely File Pre-election Campaign Statements

2 Alvarez, the Committee and Estrada failed to timely file preelection campaign statements for the  
3 reporting period of January 18 – February 14, 2015, by the February 19, 2015 due date, violating  
4 Government Code Sections 84200.5, subdivision (c), and 84200.8, subdivisions (a) and (b).

5 Count 5: Failure to Timely File Semi-annual Campaign Statements

6 Alvarez, the Committee and Estrada failed to timely file a semi-annual campaign statement for  
7 the reporting period of February 15 through June 30, 2015, by the July 31, 2015 due date, violating  
8 Government Code Section 84200, subdivision (a).

9 Count 6: Failure to Timely File Semi-annual Campaign Statements

10 Alvarez, the Committee and Estrada failed to timely file a semi-annual campaign statement for the  
11 reporting period of July 1 through December 31, 2015, by the February 1, 2016 due date, violating  
12 Government Code Section 84200, subdivision (a).

13 Additionally, after finding probable cause exists, the Enforcement Division requests an Order by  
14 the Hearing Officer that an Accusation be prepared against Alvarez, the Committee and Estrada, and  
15 immediately served upon them.<sup>2</sup>

16 A copy of this Request was mailed via U.S. Mail to Alvarez, the Committee and Estrada on  
17 July 7, 2016, at their last known addresses, as follows:

18 Violeta Alvarez, individually and o/b/o  
19 Re-Elect Violeta Alvarez for  
20 Bell City Council 2015  
21 6834 Otis Avenue  
22 Bell, CA 90201

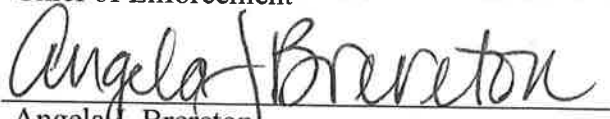
Mario Estrada  
6834 Otis Avenue  
Bell, CA 90201

23 Dated: July 7, 2016

Respectfully Submitted,

**FAIR POLITICAL PRACTICES COMMISSION**

By: Galena West  
Chief of Enforcement



Angela J. Brereton  
Senior Commission Counsel

28 <sup>2</sup> Section 11503.

1 BEFORE THE FAIR POLITICAL PRACTICES COMMISSION  
2 STATE OF CALIFORNIA

3  
4 In the Matter of ) FPPC No. 15/150  
5 )  
6 VIOLETA ALVAREZ, RE-ELECT )  
7 VIOLETA ALVAREZ FOR BELL CITY ) FINDING OF PROBABLE CAUSE AND  
8 COUNCIL 2015, and MARIO ESTRADA, ) ORDER TO PREPARE AND SERVE AN  
9 ) ACCUSATION  
10 ) Gov. Code § 83115.5  
11 Respondents. )  
12 )

13 By means of an Ex Parte Request for an Order Finding Probable Cause and an Order that an  
14 Accusation be Prepared and Served, dated July 06, 2016, the Enforcement Division submitted the above-  
15 entitled matter to the Hearing Officer for a determination of Probable Cause. As set forth in the Ex Parte  
16 Request, the Enforcement Division served a Report in Support of a Finding of Probable Cause (the Report)  
17 to Respondents Violeta Alvarez, Re-Elect Violeta Alvarez for Bell City Council 2015 (the Committee)  
18 and Mario Estrada, concerning this matter on May 19, 2016. Service was made by certified mail.  
19 Accompanying the Report was a packet of materials that informed Alvarez, the Committee and Estrada  
20 of their right to file a written response to the Report within 21 days following service of the Report, and  
21 to request a probable cause conference. During the 21 days that followed service of the Report, Alvarez,  
22 the Committee and Estrada did not file a response to the Report or request a probable cause conference.  
23 Pursuant to California Code of Regulations Title 2, Section 18361.4, determination of probable cause may  
24 be made solely on papers submitted when the respondent does not request that a probable cause conference  
25 be held.<sup>1</sup>

26  
27 In making a probable cause determination, it is the duty of the Hearing Officer of the Fair Political  
28 Practices Commission to determine whether probable cause exists for believing that a respondent has  
violated the Political Reform Act as alleged by the Enforcement Division in the probable cause report  
served on the respondent.

<sup>1</sup> The Political Reform Act is contained in Government Code §§ 81000 through 91014, and all statutory references are to this code. The regulations of the Fair Political Practices Commission are contained in §§ 18110 through 18997 of Title 2 of the California Code of Regulations, and all regulatory references are to this source.



1 Probable cause to believe a violation has occurred can be found to exist when “the evidence is  
2 sufficient to lead a person of ordinary caution and prudence to believe or entertain a strong suspicion that  
3 the proposed respondent(s) committed or caused a violation.”<sup>2</sup>

4 The Probable Cause Report served on Alvarez, the Committee and Estrada and the subsequent Ex  
5 Parte Request for an Order Finding Probable Cause and an Order that an Accusation be Prepared and  
6 Served in this matter allege six violations of the Political Reform Act were committed, as follows:

7 Count 1: Failure to Timely File Semi-annual Campaign Statements

8 Alvarez, the Committee and Estrada failed to timely file semi-annual campaign statements for the  
9 reporting period of January 1 through June 30, 2014, by the July 31, 2014 due date, violating Government  
10 Code Section 84200, subdivision (a).

11 Count 2: Failure to Timely File Semi-annual Campaign Statements

12 Alvarez, the Committee and Estrada failed to timely file semi-annual campaign statements for the  
13 reporting period of July 1 through December 31, 2014, by the February 2, 2015 due date, violating  
14 Government Code Section 84200, subdivision (a).

15 Count 3: Failure to Timely File Pre-election Campaign Statements

16 Alvarez, the Committee and Estrada failed to timely file preelection campaign statements for the  
17 reporting period of January 1 – January 17, 2015, by the January 22, 2015 due date, violating Government  
18 Code Sections 84200.5, subdivision (c), and 84200.8, subdivisions (a) and (b).

19 Count 4: Failure to Timely File Pre-election Campaign Statements

20 Alvarez, the Committee and Estrada failed to timely file preelection campaign statements for the  
21 reporting period of January 18 – February 14, 2015, by the February 19, 2015 due date, violating  
22 Government Code Sections 84200.5, subdivision (c), and 84200.8, subdivisions (a) and (b).

23 Count 5: Failure to Timely File Semi-annual Campaign Statements

24 Alvarez, the Committee and Estrada failed to timely file a semi-annual campaign statement for  
25 the reporting period of February 15 through June 30, 2015, by the July 31, 2015 due date, violating  
26 Government Code Section 84200, subdivision (a).

27 ///

28 \_\_\_\_\_  
<sup>2</sup> Reg. 18361.4, subd. (e).

1 Count 6: Failure to Timely File Semi-annual Campaign Statements

2 Alvarez, the Committee and Estrada failed to timely file a semi-annual campaign statement for the  
3 reporting period of July 1 through December 31, 2015, by the February 1, 2016 due date, violating  
4 Government Code Section 84200, subdivision (a).

5 Based on the Ex Parte Request for a Finding of Probable Cause and an Order that an Accusation  
6 be Prepared and Served given to me, I find that notice has been given to Alvarez, the Committee and  
7 Estrada.<sup>3</sup> I further find, based on the Report in Support of a Finding of Probable Cause and the Ex Parte  
8 Request for a Finding of Probable Cause and an Order that an Accusation be Prepared and Served, that  
9 there is probable cause to believe Alvarez, the Committee and Estrada violated the Political Reform Act  
10 as alleged in Counts 1 through 6, above.

11 I therefore direct that the Enforcement Division issue an Accusation against Alvarez, the  
12 Committee and Estrada in accordance with this Finding.

13 IT IS SO ORDERED.

14 Dated: 7-19-16.

  
15 Jack Woodside, Hearing Officer  
16 Fair Political Practices Commission  
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26  
27  
28

<sup>3</sup> § 83115.5 and Reg. 18361.4, subd. (b).

## **EXHIBIT A-4**

---

Default Decision and Order  
FPPC No. 15/150

1 GALENA WEST  
Chief of Enforcement  
2 ANGELA J. BRERETON  
Senior Commission Counsel  
3 **FAIR POLITICAL PRACTICES COMMISSION**  
428 J Street, Suite 620  
4 Sacramento, CA 95814  
Telephone: (916) 322-5771  
5 Facsimile: (916) 322-1932

6 Attorneys for Complainant  
Enforcement Division of the Fair Political Practices Commission  
7

8  
9 BEFORE THE FAIR POLITICAL PRACTICES COMMISSION  
10 STATE OF CALIFORNIA

11 In the Matter of ) FPPC No. 15/150  
12 )  
13 VIOLETA ALVAREZ, RE-ELECT ) **ACCUSATION**  
14 VIOLETA ALVAREZ FOR BELL CITY )  
COUNCIL 2015, and MARIO )  
15 ESTRADA, ) (Gov. Code §11503)  
16 )  
17 Respondents. )

18 Complainant, the Enforcement Division of the Fair Political Practices Commission, after a finding  
19 of probable cause pursuant to Government Code Section 83115.5, alleges the following:

20 **JURISDICTION**

21 1. Complainant is the Enforcement Division of the Fair Political Practices Commission and  
22 makes this Accusation pursuant to the Political Reform Act (the "Act"),<sup>1</sup> in its official capacity and in the  
23 public interest.<sup>2</sup>

24  
25 ///

26  
27 <sup>1</sup> The Political Reform Act is contained in Government Code §§ 81000 through 91014, and all statutory references are  
to this code. The regulations of the Fair Political Practices Commission are contained in §§ 18110 through 18997 of  
28 Title 2 of the California Code of Regulations, and all regulatory references are to this source.

<sup>2</sup> §§ 83111, 83116, and 91000.5; Reg. 18361 and 18361.4, subd. (e).

1           2.     In 1974, California voters found and declared that previous laws regulating political  
2 practices had suffered from inadequate enforcement, and they intended that the Act be vigorously  
3 enforced.<sup>3</sup> To that end, the Act must be liberally construed to achieve its purposes.<sup>4</sup>

4           3.     The Act is intended to ensure that receipts and expenditures in election campaigns are fully  
5 and truthfully disclosed, so that voters may be fully informed, and improper practices may be inhibited.<sup>5</sup>

#### 6                               **RESPONDENTS**

7           4.     Respondent Violeta Alvarez was an unsuccessful incumbent candidate for Bell City  
8 Council in the March 3, 2015 election.

9           5.     Respondent Re-Elect Violeta Alvarez for Bell City Council 2015 (the Committee) was  
10 Alvarez's candidate controlled committee.

11          6.     Respondent Mario Estrada was treasurer of the Committee.

12          7.     The actions of Alvarez, the Committee and Estrada – failing to timely file campaign  
13 statements – are in violation of the law and public policies of the State of California.

#### 14                               **APPLICABLE LAW**

15          8.     All applicable law in this Accusation is the law as it existed during 2014, 2015 and 2016,  
16 the relevant time for the alleged violations.

#### 17                               **Duty to File Campaign Statements**

18          9.     The Act requires candidates and their controlled committees to file campaign statements at  
19 specific times disclosing information regarding contributions received and expenditures made by the  
20 campaign committees.<sup>6</sup>

#### 21                               **Duty to File Semi-Annual Campaign Statements**

22          10.    The Act requires all candidates and their controlled committees to file semi-annual  
23 campaign statements each year no later than July 31 for the period ending June 30, and no later than  
24 January 31 for the period ending December 31.<sup>7</sup> When the deadline falls on a Saturday, Sunday or official  
25 state holiday, the filing deadline for such a statement or report is extended to the next regular business

26                         <sup>3</sup> §§ 81001, subd. (h), and 81002, subd. (f).

27                         <sup>4</sup> § 81003.

28                         <sup>5</sup> § 81002, subd. (a).

<sup>6</sup> See § 84200, *et seq.*

<sup>7</sup> § 84200, subd. (a).

1 day.<sup>8</sup> All filing obligations continue until the recipient committee is terminated by filing a statement of  
2 termination with the Secretary of State and a copy with the local filing officer receiving the committee's  
3 original campaign statements.<sup>9</sup>

4 Duty to File Preelection Campaign Statements

5 11. All candidates for offices being voted upon on a date other than the first Tuesday after the  
6 first Monday in June or November of an even-numbered year and their controlled committees must file  
7 preelection statements as follows: 1) For the period ending 45 days before the election, the statement must  
8 be filed no later than 40 days before the election; 2) For the period ending 17 days before the election, the  
9 statement must be filed no later than 12 days before the election.<sup>10</sup> All candidates being voted upon in the  
10 election in connection with which the statement is filed and their controlled committees must file the  
11 statement by guaranteed overnight delivery service or by personal delivery.<sup>11</sup>

12 12. For the March 3, 2015 election, the first preelection statement was due on  
13 January 22, 2015 for the reporting period of January 1 through January 17, 2015, and the second  
14 preelection statement was due on February 19, 2015 for the reporting period of January 18 through  
15 February 14, 2015.

16 Candidate and Treasurer Liability

17 13. Every committee must have a treasurer.<sup>12</sup> It is the duty of a committee's candidate and  
18 treasurer to ensure that the committee complies with all of the requirements of the Act concerning the  
19 receipt and expenditure of funds and the reporting of such funds.<sup>13</sup> A committee's candidate and treasurer  
20 may be held jointly and severally liable with the committee for any reporting violations.<sup>14</sup>

21 **GENERAL FACTS**

22 14. Complainant incorporates paragraphs 4 – 6 of this Accusation, as though completely set  
23 forth herein.

24 ///

25 <sup>8</sup> Reg. 18116.

26 <sup>9</sup> § 84214; Reg. 18404.

27 <sup>10</sup> §§ 84200.5, subd. (c), and 84200.8, subd. (a) and (b).

28 <sup>11</sup> § 84200.8, subd. (b).

<sup>12</sup> § 84100.

<sup>13</sup> § 84100; Reg. 18427.

<sup>14</sup> §§ 83116.5 and 91006; Reg. 18316.6.

1 15. Alvarez served on the Bell City Council from 2011 through 2015. Alvarez, the Committee  
2 and Estrada filed an original statement of organization for the Committee on January 7, 2014. In the  
3 statement of organization, Alvarez, the Committee and Estrada stated that the Committee had not yet  
4 qualified as a committee under the Act, and they did not disclose any campaign bank account information.  
5 Alvarez filed a statement of intention to run for Bell City Council on December 3, 2014.

6 16. The Enforcement Division's investigation showed that Alvarez, the Committee and  
7 Estrada failed to timely file the following semi-annual and preelection campaign statements:

Reporting Period	Statement Type	Filing Deadline
01/01 – 06/30/2014	Semi-annual	07/31/2014
07/01 – 12/31/2014	Semi-annual	02/02/2015
01/01 – 01/17/2015	Preelection	01/22/2015
01/18 – 02/14/2015	Preelection	02/19/2015
02/15 – 06/30/2015	Semi-annual	07/31/2015
07/01 – 12/31/2015	Semi-Annual	02/01/2016

8  
9  
10  
11  
12  
13 17. On or about February 10, 2015, the City Clerk of Bell sent a letter to Alvarez, the  
14 Committee and Estrada demanding they file campaign statements for the reporting periods ending June  
15 30, 2014, December 31, 2014, and January 17, 2015. No response was received and the statements were  
16 not filed.

17 18. In or about April 2015, June 2015, and March 2016, Enforcement Division staff demanded  
18 Alvarez, the Committee and Estrada file all delinquent campaign statements.

19 19. On or about September 29, 2015, the City Clerk of Bell sent a letter to Alvarez, the  
20 Committee and Estrada demanding they file campaign statements for the reporting periods ending June  
21 30, 2014, December 31, 2014, January 17, 2015, February 14, 2015 and June 30, 2015. No response was  
22 received and the statements were not filed.

23 20. To date, Alvarez, the Committee and Estrada have not filed any of the delinquent  
24 statements, and the Committee has not been terminated.

### 25 **PROCEDURAL HISTORY**

26 21. The Enforcement Division initiated the administrative action against Alvarez, the  
27 Committee and Estrada in this matter by serving them with a packet containing a cover letter, a Report in  
28 Support of a Finding of Probable Cause (Report), a fact sheet regarding probable cause proceedings,

1 selected sections of the California Government Code regarding probable cause proceedings for the Fair  
2 Political Practices Commission, and selected regulations of the Fair Political Practices Commission  
3 regarding probable cause proceedings.<sup>15</sup>

4 22. Alvarez, the Committee and Estrada were served on May 19, 2016 by certified mail, return  
5 receipt requested.<sup>16</sup> So the administrative action commenced on May 19, 2016, the date the certified mail  
6 receipt was signed, and the five year statute of limitations was effectively tolled on that date.

7 23. The information contained in the packet advised Alvarez, the Committee and Estrada that  
8 they had 21 days in which to request a probable cause conference and/or to file a written response to the  
9 Report.

10 24. Alvarez, the Committee and Estrada did not submit a written response, nor did they request  
11 a probable cause conference.

12 25. The Hearing Officer issued a Finding of Probable Cause and Order to Prepare and Serve  
13 an Accusation, which was served on July 20, 2016, finding that probable cause exists to believe Alvarez,  
14 the Committee and Estrada violated the Act as stated in the Report.<sup>17</sup>

#### 15 VIOLATIONS

16 26. Complainant incorporates paragraphs 1 – 25 of this Accusation, as though completely set  
17 forth herein.

18 27. Alvarez, the Committee and Estrada committed six violations of the Act, as follows:

#### 19 Count 1: Failure to Timely File Semi-annual Campaign Statements

20 28. Alvarez, the Committee and Estrada failed to timely file semi-annual campaign statements  
21 for the reporting period of January 1 through June 30, 2014, by the July 31, 2014 due date, violating  
22 Government Code Section 84200, subdivision (a).

#### 23 Count 2: Failure to Timely File Semi-annual Campaign Statements

24 29. Alvarez, the Committee and Estrada failed to timely file semi-annual campaign statements  
25 for the reporting period of July 1 through December 31, 2014, by the February 2, 2015 due date, violating  
26 Government Code Section 84200, subdivision (a).

27 <sup>15</sup> §§ 83115.5 and 91000.5. See attached Exhibit A.

28 <sup>16</sup> §§ 8311(Mailing by Certified Mail) and 83115.5. See attached Exhibit B.

<sup>17</sup> See attached Exhibit C.



1 Count 3: Failure to Timely File Pre-election Campaign Statements

2 30. Alvarez, the Committee and Estrada failed to timely file preelection campaign statements  
3 for the reporting period of January 1 – January 17, 2015, by the January 22, 2015 due date, violating  
4 Government Code Sections 84200.5, subdivision (c), and 84200.8, subdivisions (a) and (b).

5 Count 4: Failure to Timely File Pre-election Campaign Statements

6 31. Alvarez, the Committee and Estrada failed to timely file preelection campaign statements  
7 for the reporting period of January 18 – February 14, 2015, by the February 19, 2015 due date, violating  
8 Government Code Sections 84200.5, subdivision (c), and 84200.8, subdivisions (a) and (b).

9 Count 5: Failure to Timely File Semi-annual Campaign Statements

10 32. Alvarez, the Committee and Estrada failed to timely file a semi-annual campaign statement  
11 for the reporting period of February 15 through June 30, 2015, by the July 31, 2015 due date, violating  
12 Government Code Section 84200, subdivision (a).

13 Count 6: Failure to Timely File Semi-annual Campaign Statements

14 33. Alvarez, the Committee and Estrada failed to timely file a semi-annual campaign statement  
15 for the reporting period of July 1 through December 31, 2015, by the February 1, 2016 due date, violating  
16 Government Code Section 84200, subdivision (a).

17 **EXCULPATORY AND MITIGATING INFORMATION**

18 34. Complainant incorporates paragraphs 1 – 33 of this Accusation, as though completely set  
19 forth herein.

20 35. Alvarez, the Committee and Estrada have no prior history of violating the Act.

21 **AGGRAVATING FACTORS AND OTHER RELEVANT MATERIALS**

22 36. Complainant incorporates paragraphs 1 – 35 of this Accusation, as though completely set  
23 forth herein.

24 37. Alvarez, the Committee and Estrada failed to timely file six required campaign statements  
25 related to the March 3, 2015 election. Consequently, Alvarez, the Committee and Estrada failed to disclose  
26 any contributions received and expenditures made for the election, depriving the public of important  
27 information about Alvarez's contributors and financial activities. Alvarez, the Committee and Estrada  
28

1 have ignored written notices from the filing officer and the Enforcement Division to file the delinquent  
2 campaign statements, and have failed to cooperate with Enforcement Division staff in this investigation.

3 **PRAYER**

4 WHEREFORE, Complainant prays as follows:

5 38. That the Fair Political Practices Commission hold a hearing pursuant to Government Code  
6 Section 83116 and Title 2, California Code of Regulations, Section 18361.5, and at such hearing find that  
7 Alvarez, the Committee and Estrada violated the Act as alleged herein;

8 39. That the Commission, pursuant to Government Code Section 83116, subdivision (c), order  
9 Alvarez, the Committee and Estrada to pay a monetary penalty of at least Two Thousand Five Hundred  
10 Dollars (\$2,500) and at most Five Thousand Dollars (\$5,000) per count for the violations of the Political  
11 Reform Act alleged herein in **Counts 1 – 6**;

12 40. That the Commission, pursuant to Title 2, California Code of Regulations,  
13 Section 18361.5, subdivision (d), consider the following factors in framing a proposed order following a  
14 finding of a violation pursuant to Government Code Section 83116: (1) the seriousness of the violation;  
15 (2) the presence or absence of any intention to conceal, deceive or mislead; (3) whether the violation was  
16 deliberate, negligent or inadvertent; (4) whether the violator demonstrated good faith by consulting the  
17 Commission staff or any other government agency in a manner not constituting a complete defense under  
18 Government Code Section 83114(b); (5) whether the violation was isolated or part of a pattern and whether  
19 the violator has a prior record of violations of the Political Reform Act or similar laws; and (6) whether  
20 the violator, upon learning of a reporting violation, voluntarily filed amendments to provide full  
21 disclosure.

22 41. That the Commission grant such other and further relief as it deems just and proper.

23  
24 Dated: 16 Sept 16

  
\_\_\_\_\_  
Galena West  
Chief of Enforcement  
Fair Political Practices Commission

<i>Attorney or Party without Attorney:</i> <b>FAIR POLITICAL PRACTICES COMMISSION</b> 428 J STREET SUITE 620 SACRAMENTO, CA 95814 Telephone No: 916-322-5660      FAX No: 916-322-0886		<i>For Court Use Only</i>			
Attorney for:		Ref. No. or File No.:			
Insert name of Court, and Judicial District and Branch Court: <b>Before The Fair Political Practices Commission State Of California</b>					
MATTER OF; VIOLETA ALVAREZ, ET AL					
<b>PROOF OF SERVICE</b>		Hearing Date:	Time:	Dept/Div:	Case Number: 15/150

1. At the time of service I was at least 18 years of age and not a party to this action.
2. I served copies of the STATEMENT TO RESPONDENT; ACCUSATION; PROBABLE CAUSE FACT SHEET; PROBATE CAUSE REGULATIONS; FINDING OF PROBABLE CAUSE AND ORDER TO PREPARE AND SERVE AN ACCUSATION; NOTICE OF DEFENSE; CALIOFRNIA GOVERNMENT CODE SEC. 11506 THROUGH 11508
3. a. Party served: **VIOLETA ALVAREZ**
4. Address where the party was served: [REDACTED]
5. I served the party:
  - a. by personal service. I personally delivered the documents listed in item 2 to the party or person authorized to receive service of process for the party (1) on: Sun., Oct. 02, 2016 (2) at: 4:38PM

**7. Person Who Served Papers:**

a. GARY HANSEN C.C.P.S.



**HANSEN'S GUARANTEED PROCESS CO.**  
 8504 FIRESTONE BOULEVARD #197  
 DOWNEY, CA 90241  
 (562)803-6400, FAX (562)803-6456  
 gary@hansensguaranteed.com  
 www.hansensguaranteed.com



d. *The Fee for Service* was: \$19.90  
Recoverable Cost Per CCP 1033.5(a)(4)(B)

e. I am: (3) registered California process server

- (i) Owner
- (ii) Registration No.: 2988
- (iii) County: Los Angeles
- (iv) Expiration Date: Wed, Sep. 12, 2018

8. I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date: Mon, Oct. 03, 2016

Attorney or Party without Attorney: <b>FAIR POLITICAL PRACTICES COMMISSION</b> 428 J STREET SUITE 620 SACRAMENTO, CA 95814 Telephone No: 916-322-5660      FAX No: 916-322-0886				<i>For Court Use Only</i>	
Attorney for:			Ref. No. or File No.:		
Insert name of Court, and Judicial District and Branch Court: <b>Before The Fair Political Practices Commission State Of California</b>					
MATTER OF; <b>VIOLETA ALVAREZ, ET AL</b>					
<b>PROOF OF SERVICE</b>		Hearing Date:	Time:	Dept/Div:	Case Number: 15/150

1. At the time of service I was at least 18 years of age and not a party to this action.
2. I served copies of the STATEMENT TO RESPONDENT; ACCUSATION; PROBABLE CAUSE FACT SHEET; PROBATE CAUSE REGULATIONS; FINDING OF PROBABLE CAUSE AND ORDER TO PREPARE AND SERVE AN ACCUSATION; NOTICE OF DEFENSE; CALIOFRNIA GOVERNMENT CODE SEC. 11506 THROUGH 11508
3. a. Party served: VIOLETA ALVAREZ, RE-ELECT VIOLETA ALVAREZ FOR BELL CITY COUNCIL 2015
4. Address where the party was served: [REDACTED]
5. I served the party:
  - a. by **personal service**. I personally delivered the documents listed in item 2 to the party or person authorized to receive service of process for the party (1) on: Sun., Oct. 02, 2016 (2) at: 4:38PM

**7. Person Who Served Papers:**

a. GARY HANSEN C.C.P.S.



**HANSEN'S GUARANTEED PROCESS CO.**  
 8504 FIRESTONE BOULEVARD #197  
 DOWNEY, CA 90241  
 (562)803-6400, FAX (562)803-6456  
 gary@hansenguaranteed.com  
 www.hansenguaranteed.com



Recoverable Cost Per CCP 1033.5(a)(4)(B)

d. **The Fee for Service** was: \$64.90

e. I am: (3) registered California process server

- (i) Owner
- (ii) Registration No.: 2988
- (iii) County: Los Angeles
- (iv) Expiration Date: Wed, Sep. 12, 2018

8. I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date: Mon, Oct. 03, 2016

Attorney or Party without Attorney: <b>FAIR POLITICAL PRACTICES COMMISSION</b> 428 J STREET SUITE 620 SACRAMENTO, CA 95814 Telephone No: 916-322-5660      FAX No: 916-322-0886				<i>For Court Use Only</i>	
Attorney for:			Ref. No. or File No.:		
Insert name of Court, and Judicial District and Branch Court: <b>Before The Fair Political Practices Commission State Of California</b>					
MATTER OF; <b>VIOLETA ALVAREZ, ET AL</b>					
<b>PROOF OF SERVICE</b>		Hearing Date:	Time:	Dept/Div:	Case Number: 15/150

1. At the time of service I was at least 18 years of age and not a party to this action.
2. I served copies of the Statement To Respondent; Accusation; Probable Cause Fact Sheet; Probate Cause Regulations; Finding Of Probable Cause And Order To Prepare And Serve An Accusation; Notice Of Defense; California Government Code Sec. 11506 Through 11508
3. a. Party served: **MARIO ESTRADA**  
 b. Person served: **VIOLETA ALVAREZ-WIFE**
4. Address where the party was served: [REDACTED]
5. I served the party:
  - b. by substituted service. On: Sun., Oct. 02, 2016 at: 4:38PM by leaving the copies with or in the presence of: **VIOLETA ALVAREZ-WIFE**
    - (2) (Home) Competent Member of the Household over 18. I informed him or her of the general nature of the papers.
    - (4) I thereafter mailed (by first-class, postage prepaid) copies of the documents to the person to be served at the place where the copies were left (Code Civ. Proc., 415.20). I mailed the document on: Mon., Oct. 03, 2016 from: DOWNEY, CA

**7. Person Who Served Papers:**

a. GARY HANSEN C.C.P.S.

Recoverable Cost Per CCP 1033.5(a)(4)(B)

d. *The Fee for Service* was: \$22.31



**HANSEN'S GUARANTEED PROCESS CO.**  
 8504 FIRESTONE BOULEVARD #197  
 DOWNEY, CA 90241  
 (562)803-6400, FAX (562)803-6456  
 gary@hansensguaranteed.com  
 www.hansensguaranteed.com



e. I am: (3) registered California process server

- (i) Owner
- (ii) Registration No.: 2988
- (iii) County: Los Angeles
- (iv) Expiration Date: Wed, Sep. 12, 2018

8. I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date: Mon, Oct. 03, 2016

# **EXHIBIT A-5**

---

Default Decision and Order  
FPPC No. 15/150

1 GALENA WEST  
Chief of Enforcement  
2 ANGELA J. BRERETON  
Senior Commission Counsel  
3 **FAIR POLITICAL PRACTICES COMMISSION**  
1102 Q Street, Suite 3000  
4 Sacramento, CA 95811  
Telephone: (916) 322-5771  
5 Email: [abreron@fppc.ca.gov](mailto:abreron@fppc.ca.gov)

6 Attorneys for Complainant  
Enforcement Division of the Fair Political Practices Commission  
7

8  
9 BEFORE THE FAIR POLITICAL PRACTICES COMMISSION  
10 STATE OF CALIFORNIA

11 In the Matter of ) FPPC No. 15/150  
12 )  
13 ) **REPORT IN SUPPORT OF A FINDING OF**  
14 ) **PROBABLE CAUSE**  
15 )  
16 ) Conference Date: TBA  
17 ) Conference Time: TBA  
18 ) Conference Location: Commission Offices  
19 ) 1102 Q Street, Suite 3000  
20 ) Sacramento, CA 95811  
21 )  
22 Respondents. )

23 **INTRODUCTION**

24 Respondent Violeta Alvarez was an unsuccessful incumbent candidate for the Bell City Council  
25 in the March 3, 2015 election. Respondent Re-Elect Violeta Alvarez for City Council 2015  
26 (“Committee”) was Alvarez’s controlled committee for the 2015 election. At all relevant times,  
27 Respondent Mario Estrada was the Committee’s treasurer.

28 The Political Reform Act (“Act”)<sup>1</sup> requires candidates and committees to disclose all  
contributions received and expenditures made on timely filed campaign statements as well as specific

<sup>1</sup> The Political Reform Act is contained in Government Code Sections 81000 through 91014, and all statutory references are to this code. The regulations of the Fair Political Practices Commission are contained in Sections 18110 through 18997 of Title 2 of the California Code of Regulations, and all regulatory references are to this source.

1 information about any contribution or expenditure of \$100 or more. The Act prohibits candidates and  
2 committees from accepting cash contributions and making cash expenditures of \$100 or more. The Act  
3 also requires candidates and committees to maintain campaign records regarding all campaign  
4 contributions and expenditures.

5 Respondents violated the Act by failing to disclose all contributions and expenditures on  
6 campaign statements, failing to disclose all required information regarding contributors and payees,  
7 accepting cash contributions and making cash expenditures of \$100 or more, and failing to maintain  
8 complete campaign records.

### 9 SUMMARY OF THE LAW

10 All legal references and discussions of law pertain to the Act's provisions as they existed in 2015.

#### 11 Jurisdiction

12 The Fair Political Practices Commission (the "Commission") has administrative jurisdiction to  
13 enforce the provisions of the Act.<sup>2</sup>

#### 14 Probable Cause Proceedings

15 Prior to the Enforcement Division commencing an administrative action, the General Counsel of  
16 the Commission or his designee (the "hearing officer"), must make a finding that there is probable cause  
17 to believe the respondent has violated the Act.<sup>3</sup> After a finding of probable cause, the Commission may  
18 hold a noticed hearing in accordance with the Administrative Procedure Act<sup>4</sup> to determine whether  
19 violations occurred, and levy an administrative penalty of up to \$5,000 for each violation.<sup>5</sup>

#### 20 Standard for Finding Probable Cause

21 To make a finding of probable cause, the hearing officer must be presented with sufficient  
22 evidence to lead a person of ordinary caution and prudence to believe, or entertain a strong suspicion,  
23 that a respondent committed or caused a violation.<sup>6</sup>

24 <sup>2</sup> Section 83116.

25 <sup>3</sup> Section 83115.5 and Regulations 18361 and 18361.4.

26 <sup>4</sup> Section 11500, et seq.

27 <sup>5</sup> Section 83116 and Regulation 18361.4, subd. (e).

28 <sup>6</sup> Section 18361.4, subd. (e).



1 Need for Liberal Construction and Vigorous Enforcement of the Political Reform Act

2 When enacting the Political Reform Act, the people of the state of California found and declared  
3 previous laws regulating political practices suffered from inadequate enforcement by state and local  
4 authorities.<sup>7</sup> To that end, the Act must be liberally construed to achieve its purposes.<sup>8</sup>

5 A central purpose of the Act is to ensure voters are fully informed and improper practices are  
6 inhibited by requiring committees to disclose all contributions and expenditures made throughout a  
7 campaign.<sup>9</sup> Another is to provide adequate enforcement mechanisms so that the Act will be “vigorously  
8 enforced.”<sup>10</sup>

9 Definition of Controlled Committee

10 A “candidate” includes, in relevant part, an individual who is listed on the ballot for election to  
11 any elective office.<sup>11</sup> A “committee” includes any person or combination of persons who receives  
12 contributions totaling \$1,000 or more in a calendar year,<sup>12</sup> commonly known as a “recipient committee.”  
13 A recipient committee which is controlled directly or indirectly by a candidate, or which acts jointly with  
14 a candidate in connection with the making of expenditures, is a “controlled committee.”<sup>13</sup> A candidate  
15 controls a committee if he or she, his or her agent, or any other committee he or she controls has a  
16 significant influence on the actions or decisions of the committee.<sup>14</sup>

17 Prohibited Cash Contributions of \$100 or More

18 No contribution of \$100 or more may be made or received in cash.<sup>15</sup> All contributions of \$100 or  
19 more must be made in the form of a written instrument containing the name of the contributor and the  
20 name of the payee, and drawn from the account of the contributor.<sup>16</sup>

21  
22 <sup>7</sup> Section 81001, subd. (h).

<sup>8</sup> Section 81003.

23 <sup>9</sup> Section 81002, subd. (a)

<sup>10</sup> Section 81002, subd. (f).

24 <sup>11</sup> Section 82007.

<sup>12</sup> Section 82013, subd. (a).

25 <sup>13</sup> Section 82016, subd. (a).

<sup>14</sup> Section 82016, subd. (a).

26 <sup>15</sup> Section 84300, subd. (a).

<sup>16</sup> Section 84300, subd. (c).

1 Prohibited Cash Expenditures of \$100 or More

2 The Act prohibits making an expenditure of \$100 or more in cash.<sup>17</sup> The Act defines  
3 “expenditure” as a payment, forgiveness of a loan, payment of a loan by a third party, or an enforceable  
4 promise to make a payment, unless it is clear from the surrounding circumstances that it is not made for  
5 political purposes.<sup>18</sup>

6 Required Reporting of Campaign Contributions

7 A contribution is a payment made for political purposes.<sup>19</sup> Candidates and committees must  
8 provide information regarding the amounts and sources of campaign contributions on campaign  
9 statements. Specifically, the Act requires candidates and committees to disclose the total amount of  
10 contributions received during the period covered by the statement.<sup>20</sup> Candidates and committees must  
11 disclose the total amount of contributions received from persons who have given \$100 or more during  
12 the period covered by the statement.<sup>21</sup> For contributors of \$100 or more, candidates and committees must  
13 disclose the following: (1) the contributor’s full name; (2) the contributor’s street address; (3) the  
14 contributor’s occupation; (4) the name of the contributor’s employer, or if self-employed, the name of  
15 the contributor’s business; (5) the date and amount of each contribution received from the contributor  
16 during the reporting period; and (6) the cumulative amount of contributions received from the  
17 contributor.<sup>22</sup>

18 Required Reporting of Campaign Expenditures

19 An expenditure is any payment, unless it is clear from the surrounding circumstances that it is not  
20 made for political purposes.<sup>23</sup> Candidates and committees must disclose the total amount of expenditures  
21 made during the period covered by the statement.<sup>24</sup> Candidates and committees must also disclose the  
22

23 <sup>17</sup> Section 84300, subd. (b).

<sup>18</sup> Section 82025.

24 <sup>19</sup> Section 82015.

<sup>20</sup> Section 84211, subd. (a).

25 <sup>21</sup> Section 84211, subd. (c).

<sup>22</sup> Section 84211, subd. (f).

26 <sup>23</sup> Section 82025.

<sup>24</sup> Section 84211, subd. (b).

1 total amount of expenditures made to persons who have received \$100 or more, and separately, the total  
2 amount of expenditures made to persons who have received less than \$100, during the period covered by  
3 the statement.<sup>25</sup> For expenditures of \$100 or more, candidates and committees must disclose the  
4 following: (1) the recipient's full name; (2) the recipient's street address; (3) the amount of each  
5 expenditure; (4) the description of the consideration for which each expenditure was made.<sup>26</sup>

#### 6 Duty to Maintain Campaign Records

7 To ensure accurate campaign reporting, the Act imposes a mandatory duty on each candidate,  
8 treasurer, and elected officer to maintain detailed accounts, records, bills, and receipts necessary to  
9 prepare campaign statements, to establish that campaign statements were properly filed, and to comply  
10 with the campaign provisions.<sup>27</sup> This requirement includes a duty to maintain detailed information and  
11 original source documentation for all contributions and expenditures.<sup>28</sup>

#### 12 Joint and Several Liability of Candidate, Committee, and Treasurer

13 Every committee must have a treasurer.<sup>29</sup> It is the duty of a committee's treasurer to ensure that  
14 the committee complies with all of the requirements of the Act concerning the receipt and expenditure of  
15 funds and the reporting of such funds.<sup>30</sup> A committee's treasurer may be held jointly and severally liable  
16 with the candidate and the committee for violations committed by the committee.<sup>31</sup>

### 17 **SUMMARY OF THE EVIDENCE**

18 Violeta Alvarez was a successful candidate for the Bell City Council in the March 8, 2011 election  
19 and served as a member-at-large, Vice-Mayor, and Mayor of the City of Bell between 2011 and 2015.  
20 Alvarez unsuccessfully ran for re-election to the Bell City Council in 2015 and 2017. This case concerns  
21 activity related to Alvarez's re-election campaign in 2015.

22  
23 <sup>25</sup> Section 84211, subd. (i) and (j).

24 <sup>26</sup> Section 84211, subd (k).

25 <sup>27</sup> Section 84104.

26 <sup>28</sup> Reg. 18401.

27 <sup>29</sup> Section 84100.

28 <sup>30</sup> Section 84104 and Reg. 18427, subd. (a).

<sup>31</sup> Sections 83116.5 and 91006.

1 The evidence in this case shows that the Committee qualified as a committee under the Act on or  
2 about May 27, 2014. The Committee had a designated bank account. Bank records show activity through  
3 July 1, 2015.

4 On March 3, 2015, the Enforcement Division received an initial complaint alleging that Alvarez,  
5 the Committee, and Estrada failed to file campaign statements related to the March 3, 2015 election. The  
6 Enforcement Division identified that the Respondents had failed to file campaign statements since the  
7 Committee's formation in 2014.

8 Alvarez, the Committee, and Estrada did not respond to any Enforcement Division inquiries.  
9 Because of their unresponsiveness, a Probable Cause Report for the pre-election and semi-annual  
10 campaign statements for the reporting periods of January 1, 2014 through December 31, 2015 was served  
11 on Alvarez, the Committee, and Estrada on May 19, 2016. Probable cause was found, and an Accusation  
12 was personally served on Alvarez, the Committee, and Estrada on October 2, 2016. Since the  
13 Respondents failed to file a Notice of Defense within the statutory time period, their right to an  
14 administrative hearing on this matter was forfeited, and Alvarez, the Committee, and Estrada are in a  
15 default position regarding their failure to file campaign statements.

16 After the Accusation was served, Alvarez, the Committee, and Estrada retained counsel and  
17 contacted the Enforcement Division in December 2016. On January 12, 2017, the Committee filed a  
18 Form 410 termination statement with the Secretary of State's Office ("SOS") and terminated as of  
19 December 31, 2016.

20 Between August 2016 and May 2017, Alvarez, the Committee, and Estrada filed and then  
21 amended the following campaign statements:

Form	Period	Due Date	Original Filing Date	No. of Days Late	Amended Filing Date
460 (Semi-annual)	1/1/2014 – 6/30/2014	7/31/2014	8/9/2016	740	5/30/2017
460 (Semi-annual)	7/1/2014 – 12/31/2014	2/2/2015	8/9/2016	554	5/30/2017
460 (Pre-election)	1/1/2015 – 1/17/2015	1/22/2015	8/16/2016	572	5/30/2017
460 (Pre-election)	1/18/2015 – 2/14/2015	2/19/2015	8/16/2016	544	5/30/2017
460 (Semi-annual)	2/15/2015 – 6/30/2015	7/31/2015	8/9/2016	375	5/30/2017
460 (Semi-annual)	7/1/2015 – 12/31/2015	2/1/2016	1/10/2017	344	5/30/2017
460 (Semi-annual)	1/1/2016 – 6/30/2016	8/1/2016	8/16/2016	15	n/a

Form	Period	Due Date	Original Filing Date	No. of Days Late	Amended Filing Date
460 (Semi-annual)	7/1/2016 – 12/31/2016	1/31/2017	5/30/2017	119	n/a

Unreported and Incomplete Campaign Contributions and Expenditures

In August 2016 and January 2017, Alvarez, the Committee, and Estrada filed the required campaign statements for the reporting periods of January 1, 2014 through June 30, 2016. The Respondents disclosed very little campaign activity on only one pre-election campaign statement and reported zero activity on other campaign statements. The Enforcement Division then subpoenaed and received the Committee's campaign bank account records and discovered over \$9,000 in contributions and expenditures that required reporting. In May 2017, Alvarez, the Committee, and Estrada amended their campaign statements to show this activity. The table below compares the amounts reported on the original statements and the amended statements.

Reporting Period	Original Contributions Reported	Amended Contributions Reported	Unreported Contributions	Original Expenditures Reported	Amended Expenditures Reported	Unreported Expenditures
1/1/2014 – 6/30/2014	0	\$2,750.00	\$2,750.00	0	\$1,745.75	\$1,745.75
7/1/2014 – 12/31/2014	0	\$3,949.00	\$3,949.00	0	\$3,675.92	\$3,675.92
1/1/2015 – 1/17/2015	0	\$250.00	\$250.00	0	\$38.39	\$38.39
1/18/2015 – 2/14/2015	\$700.00	\$1,314.00	\$614.00	\$400.00	\$2,248.04	\$1,848.04
2/15/2015 – 6/30/2015	0	\$1,489.00	\$1,489.00	0	\$2,591.14	\$2,591.14
7/1/2015 – 12/31/2015	0	\$183.24	\$183.24	0	0	0
1/1/2016 – 6/30/2016	0	n/a	0	0	n/a	0
7/2/2016 – 12/31/2016	0	n/a	0	0	n/a	0
	\$700.00	\$9,935.24	<b>\$9,235.24</b>	\$400.00	\$10,299.24	<b>\$9,899.24</b>

Alvarez, the Committee, and Estrada failed to timely disclose approximately \$9,235.24 in contributions received and \$9,899.24 in expenditures made related to the 2015 election. Alvarez, the Committee, and Estrada also failed to disclose name, address, occupation, and employer information for all contributors and name and address information for all payees. Alvarez admitted that she had no records

1 to use to complete the amended campaign statements and therefore just included the limited information  
2 from the bank statements.

3 Prohibited Cash Contributions and Expenditures

4 A review of the Committee's bank records showed the following cash deposits of \$100 or more  
5 into the Committee's campaign bank account:

Date	Description	Amount
11/10/2014	Deposit of currency – no denominations listed	\$400.00
02/23/2015	Deposit of currency – no denominations listed	\$300.00
<b>Total Cash Deposits</b>		<b>\$700.00</b>

8 A review of the Committee's bank records also showed the following cash withdrawals of \$100  
9 or more from the Committee's bank account:

Date	Description	Amount
05/30/2014	ATM withdrawal Bell	\$440.00
06/23/2014	ATM withdrawal Bell	\$400.00
06/24/2014	ATM withdrawal Slauson	\$300.00
06/26/2014	Teller withdrawal	\$480.00
07/09/2014	Teller withdrawal	\$320.00
07/22/2014	ATM withdrawal South Gate	\$100.00
08/20/2014	ATM withdrawal Bell	\$300.00
08/25/2014	ATM withdrawal Bell	\$100.00
11/25/2014	ATM withdrawal Bell	\$200.00
12/05/2014	ATM withdrawal Bell	\$100.00
12/08/2014	ATM withdrawal Bell	\$120.00
12/10/2014	ATM withdrawal Bell	\$100.00
12/29/2014	ATM withdrawal Bell	\$160.00
12/30/2014	ATM withdrawal Bell	\$100.00
01/20/2015	ATM withdrawal Bell	\$400.00
01/23/2015	ATM withdrawal Bell	\$200.00
<b>Total Cash Withdrawals</b>		<b>\$3,820.00</b>

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20 Alvarez stated she was unaware that accepting cash contributions of \$100 or more and making  
21 cash payments for campaign expenditures of \$100 or more was prohibited. Alvarez did not recall the  
22 source of the above-referenced contributions and what each individual cash withdrawal was spent on, but  
23 she was certain each withdrawal was used for campaign-related purposes.

24 Recordkeeping

25 The evidence shows that Alvarez, the Committee, and Estrada failed to maintain campaign  
26 records. In response to the Enforcement Division's subpoena, the Committee produced no records, and  
27

1 Alvarez returned a signed declaration stating that the Committee had provided all records in its  
2 possession.

3 Alvarez explained that she kept the required records in a box in the residence she shared with  
4 Mario Estrada and lost that box during a move. But Alvarez, the Committee, and Estrada provided that  
5 same address for the Committee and Estrada on the initial Form 410 filed in January 2014 and on  
6 campaign statements filed in August 2016, January 2017, and May 2017. This is also the same address  
7 where Alvarez, the Committee, and Estrada were personally served with the Accusation in October 2016.

### 8 VIOLATIONS

#### 9 Count 1: Unreported and Incomplete Campaign Contributions and Expenditures

10 Alvarez, the Committee, and Estrada failed to timely report all contributions and expenditures,  
11 and failed to disclose all required contributor and payee information, on the Committee's originally filed  
12 and amended campaign statements for the reporting periods of January 1, 2014 through December 31,  
13 2015, violating Government Code Section 84211, subdivisions (a), (b), (c), (d), (f), (i), (j), and (k).

#### 14 Count 2: Prohibited Cash Contributions and Expenditures

15 Alvarez, the Committee, and Estrada received cash contributions of \$100 or more, and made cash  
16 expenditures of \$100 or more, violating Government Code Section 84300, subdivisions (a) and (b).

#### 17 Count 3: Recordkeeping

18 Alvarez, the Committee, and Estrada failed to maintain supporting records for contributions  
19 received and expenditures made, violating Government Code Section 84104.

### 20 EXCULPATORY OR MITIGATING INFORMATION

21 Alvarez, the Committee, and Estrada have no prior history of violating the Act, and Alvarez was  
22 an unsuccessful candidate in the 2015 election.

### 23 OTHER RELEVANT MATERIAL

24 In this case, Alvarez, the Committee, and Estrada failed to disclose any campaign activity before  
25 the 2015 election, and then failed to accurately disclose the Committee's campaign activity when the  
26 campaign statements were filed between 344 and 740 days late. Alvarez, the Committee, and Estrada

1 also received prohibited cash contributions and made prohibited cash expenditures. The evidence shows  
2 a pattern of accepting and using cash over a period of approximately 15 months. This, in conjunction  
3 with their failure to maintain all required records, interfered with their ability to accurately disclose the  
4 Committee's campaign activity, made tracking and accounting for campaign funds difficult to  
5 impossible, and made it unclear whether Alvarez, the Committee, and Estrada further violated the Act's  
6 requirements. Though the campaign was relatively small, the full nature and extent of the campaign  
7 activity cannot be verified because of the violations committed. And the evidence suggests that the  
8 violations were negligent – Alvarez had been a candidate before, and was generally aware that the Act  
9 required disclosure of the Committee's campaign activity.

10         Additionally, the Committee's campaign bank account records showed that on January 22, 2015,  
11 Alvarez spent \$228.67 using a debit card linked to her campaign account on an airline ticket issued by  
12 Interjet Airlines. Interjet is a Mexico-based discount carrier that provides limited service to the American  
13 southwest and whose primary hubs are in Mexico. During her interview with the Enforcement Division,  
14 Alvarez initially stated she had no memory of the flight. Later in the interview, she recalled that the flight  
15 left from Tijuana, Baja California, and arrived in Mexico City, Mexico. Alvarez explained the political  
16 purpose of the trip by stating that campaign signs and banners made in the United States were too  
17 expensive so she needed to travel to Mexico City to see if she could obtain the campaign advertising  
18 materials for less. She could not get a quote over the phone because she did not have the ability to tell  
19 someone what she was looking for. Records also show that two days before the trip, Alvarez withdrew  
20 \$400 in cash from the campaign bank account, an amount that is large relative to the amounts being  
21 withdrawn in the months before and after (see table above).

22         Alvarez, the Committee, and Estrada have no records substantiating the nature of this expenditure  
23 (i.e. quotes or invoices from Mexican sign vendors) or of the \$400 cash withdrawal made shortly before  
24 the trip took place. Further, the Committee did not report any expenditures to sign vendors on the  
25 amended Form 460s filed in May 2017.



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**CONCLUSION**

Probable cause exists to believe that Alvarez, the Committee, and Estrada violated the Act as described above. The Enforcement Division respectfully requests an order finding probable cause pursuant to Section 83115.5 and Regulation 18361.4.

Dated: 11.27.2017

Respectfully Submitted,

**FAIR POLITICAL PRACTICES COMMISSION**  
Galena West  
Enforcement Chief

*Angela J. Brereton*  
By: Angela J. Brereton  
Senior Commission Counsel  
Enforcement Division

# **EXHIBIT A-6**

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Default Decision and Order  
FPPC No. 15/150

**PROOF OF SERVICE**

At the time of service, I was over 18 years of age and not a party to this action. My business address is Fair Political Practices Commission, 1102 Q Street, Suite 3000, Sacramento, CA 95811. On November 27, 2017, I served the following document(s):

1. Letter dated November 27, 2017, from Angela J. Brereton;
2. FPPC No. 15/150 Report in Support of a Finding of Probable Cause;
3. Probable Cause Fact Sheet
4. Selected Sections of the California Government Code regarding Probable Cause Proceedings for the Fair Political Practices Commission; and
5. Selected Regulations of the Fair Political Practices Commission regarding Probable Cause Proceedings

By Personal Delivery. I personally delivered the document(s) listed above to the person(s) at the address(es) as shown on the service list below.

By United States Postal Service. I enclosed the document(s) in a sealed envelope or package addressed to the person(s) at the addresses listed below and placed the envelope or package for collection and mailing by certified mail, return receipt requested, following my company's ordinary business practices. I am readily familiar with this business' practice for collection and processing correspondence for mailing with the United States Postal Service. On the same day that correspondence is placed for collection and mailing, it is deposited in the ordinary course of business with the United States Postal Service, in a sealed envelope with postage fully prepaid.

I am a resident or employed in the county where the mailing occurred. The envelope or package was placed in the mail in Sacramento County, California.

**SERVICE LIST**

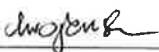
Certified Mail, Return Receipt Requested

Violeta Alvarez  
[REDACTED]  
[REDACTED]

Re-Elect Violeta Alvarez for City Council 2015  
[REDACTED]  
[REDACTED]

Mario Estrada  
[REDACTED]  
[REDACTED]

I declare under penalty of perjury under the laws of the State of California that the above is true and correct. Executed on November 27, 2017.

  
\_\_\_\_\_  
Dominika Wojenska  
Staff Services Analyst

7010 1870 0000 1626 1536

U.S. Postal Service<sup>TM</sup>  
**CERTIFIED MAIL<sup>TM</sup> RECEIPT**  
 (Domestic Mail Only; No Insurance Coverage Provided)  
 For delivery information visit our website at [www.usps.com](http://www.usps.com)

**OFFICIAL USE**

Postage	\$	Postmark Here
Certified Fee		
Return Receipt Fee (Endorsement Required)		
Restricted Delivery Fee (Endorsement Required)		
Total Postage & Fees	\$	

Sent To  
*Re-Elect Violeta Alvarez for City Council 2015*

Street, Apt. No.,  
 or PO Box No. [REDACTED]

City, State, ZIP+4 [REDACTED]

PS Form 3800, August 2006 See Reverse for Instructions

7010 1870 0000 1626 1529

U.S. Postal Service<sup>TM</sup>  
**CERTIFIED MAIL<sup>TM</sup> RECEIPT**  
 (Domestic Mail Only; No Insurance Coverage Provided)  
 For delivery information visit our website at [www.usps.com](http://www.usps.com)

**OFFICIAL USE**

Postage	\$	Postmark Here
Certified Fee		
Return Receipt Fee (Endorsement Required)		
Restricted Delivery Fee (Endorsement Required)		
Total Postage & Fees	\$	

Sent To  
*Mario Estrada*

Street, Apt. No.,  
 or PO Box No. [REDACTED]

City, State, ZIP+4 [REDACTED]

PS Form 3800, August 2006 See Reverse for Instructions

7008 2810 0000 1790 9765

U.S. Postal Service<sup>TM</sup>  
**CERTIFIED MAIL<sup>TM</sup> RECEIPT**  
 (Domestic Mail Only; No Insurance Coverage Provided)  
 For delivery information visit our website at [www.usps.com](http://www.usps.com)

**OFFICIAL USE**

Postage	\$	Postmark Here
Certified Fee		
Return Receipt Fee (Endorsement Required)		
Restricted Delivery Fee (Endorsement Required)		
Total Postage & Fees	\$	

Sent To  
*Violeta Alvarez*

Street, Apt. No.,  
 or PO Box No. [REDACTED]

City, State, ZIP+4 [REDACTED]

PS Form 3800, August 2006 See Reverse for Instructions

**SENDER: COMPLETE THIS SECTION**

- Complete items 1, 2, and 3.
- Print your name and address on the reverse so that we can return the card to you.

Re-Elect Violeta Alvarez for City Council 2015

[Redacted]

[Redacted]



9590 9402 2865 7069 6458 22

2. Article Number (Transfer from service label)

7010 1870 0000 1626 1536

PS Form 3811, July 2015 PSN 7530-02-000-9053

**COMPLETE THIS SECTION ON DELIVERY**

- A. Signature  
 *Violeta Alvarez*  Agent  Addressee
- B. Received by (Printed Name) C. Date of Delivery  
 VIOLETA ALVAREZ 4/29/17
- D. Is delivery address different from item 1?  Yes  
 If YES, enter delivery address below:  No

3. Service Type
- Adult Signature
  - Adult Signature Restricted Delivery
  - Certified Mail®
  - Certified Mail Restricted Delivery
  - Collect on Delivery
  - Collect on Delivery Restricted Delivery
  - Insured Mail
  - Insured Mail Restricted Delivery (over \$500)
  - Priority Mail Express®
  - Registered Mail™
  - Registered Mail Restricted Delivery
  - Return Receipt for Merchandise
  - Signature Confirmation™
  - Signature Confirmation Restricted Delivery

Domestic Return Receipt

**SENDER: COMPLETE THIS SECTION**

- Complete items 1, 2, and 3.
- Print your name and address on the reverse so that we can return the card to you.

1. At or Mario Estrada, [Redacted] ace,

[Redacted]

[Redacted]



9590 9402 2865 7069 6458 39

2. Article Number (Transfer from service label)

7010 1870 0000 1626 1529

PS Form 3811, July 2015 PSN 7530-02-000-9053

**COMPLETE THIS SECTION ON DELIVERY**

- A. Signature  
 *Violeta Alvarez*  Agent  Addressee
- B. Received by (Printed Name) C. Date of Delivery  
 VIOLETA ALVAREZ 4/29/17
- D. Is delivery address different from item 1?  Yes  
 If YES, enter delivery address below:  No

3. Service Type
- Adult Signature
  - Adult Signature Restricted Delivery
  - Certified Mail®
  - Certified Mail Restricted Delivery
  - Collect on Delivery
  - Collect on Delivery Restricted Delivery
  - Insured Mail
  - Insured Mail Restricted Delivery (over \$500)
  - Priority Mail Express®
  - Registered Mail™
  - Registered Mail Restricted Delivery
  - Return Receipt for Merchandise
  - Signature Confirmation™
  - Signature Confirmation Restricted Delivery

Domestic Return Receipt

**SENDER: COMPLETE THIS SECTION**

- Complete Items 1, 2, and 3.
- Print your name and address on the reverse so that we can return the card to you.

■ At  
oi Violeta Alvarez lece,  
1. At  
[Redacted]  
[Redacted]



9590 9402 2865 7069 6458 46

2. Article Number (Transfer from service label)

7008 2810 0000 1790 9765

**COMPLETE THIS SECTION ON DELIVERY**

A. Signature  
**X** *Violeta Alvarez*  Agent  
 Addressee

B. Received by (Printed Name) C. Date of Delivery  
*VIOLETA ALVAREZ* *4/29/12*

D. Is delivery address different from item 1?  Yes  
If YES, enter delivery address below:  No

3. Service Type

- |  |   |
|--|---|
| <input type="checkbox"/> Adult Signature                                   | <input type="checkbox"/> Priority Mail Express®                     |
| <input type="checkbox"/> Adult Signature Restricted Delivery               | <input type="checkbox"/> Registered Mail™                           |
| <input checked="" type="checkbox"/> Certified Mail®                        | <input type="checkbox"/> Registered Mail Restricted Delivery        |
| <input type="checkbox"/> Certified Mail Restricted Delivery                | <input checked="" type="checkbox"/> Return Receipt for Merchandise  |
| <input type="checkbox"/> Collect on Delivery                               | <input type="checkbox"/> Signature Confirmation™                    |
| <input type="checkbox"/> Collect on Delivery Restricted Delivery           | <input type="checkbox"/> Signature Confirmation Restricted Delivery |
| <input type="checkbox"/> Insured Mail (Additional charges apply for \$500) |   |

# **EXHIBIT A-7**

---

Default Decision and Order  
FPPC No. 15/150



## FAIR POLITICAL PRACTICES COMMISSION

1102 Q Street • Suite 3000 • Sacramento, CA 95811

November 27, 2017

### **CERTIFIED MAIL, RETURN RECEIPT REQUESTED**

Violeta Alvarez  
6834 Otis Avenue  
Bell, CA 90201

Re-Elect Violeta Alvarez for City Council 2015  
6834 Otis Avenue  
Bell, CA 90201

Mario Estrada  
6834 Otis Avenue  
Bell, CA 90201

### **In the Matter of VIOLETA ALVAREZ, RE-ELECT VIOLETA ALVAREZ FOR CITY COUNCIL 2015, and MARIO ESTRADA; FPPC No. 15/150**

Dear Ms. Alvarez, Re-Elect Violeta Alvarez for City Council 2015, and Mr. Estrada:

The Enforcement Division of the Fair Political Practices Commission (the "Commission") is proceeding with an administrative action against you for your failure to comply with the filing and disclosure provisions of the Political Reform Act (the "Act"). The enclosed Report in Support of a Finding of Probable Cause (the "Report") contains a summary of the alleged violations and the relevant law and evidence.

You have the right to file a written response to the Report. That response may contain any information you think is relevant and that you wish to bring to the attention of the Hearing Officer. In your response, please indicate whether you would like the Hearing Officer to make a determination of probable cause based on the written materials alone (the Report and your response) or request a conference, during which you may orally present your case to the Hearing Officer. Probable cause conferences are held in our office, which is located at 1102 Q Street, Suite 3000, Sacramento, CA 95811. You may appear at the conference in person or by telephone and you are entitled to be represented by counsel. *If you wish to submit a written response or request a probable cause conference, it must be filed with the Commission Assistant at the address listed above within 21 days from the date of service of this letter.* You can reach the Commission Assistant at (916) 327-8269.

Please note that probable cause conferences are not settlement conferences. The sole purpose of a probable cause conference is to determine whether there is probable cause to believe that the Act was violated. However, settlement discussions are encouraged by the Commission and may take



place at any time except during a probable cause conference. ***If you are interested in reaching a settlement in this matter, please contact me at (916) 322-5771 or abrereton@fppc.ca.gov.***

Finally, you have the right to request discovery of the evidence in possession of, and relied upon by, the Enforcement Division. ***This request must also be filed with the Commission Assistant within 21 days from the date of service of this letter.*** Should you request discovery, the Enforcement Division will provide the evidence by service of process or certified mail. From the date you are served with the evidence, you would have an additional 21 days to file a written response to the Report, just as described above.

***Should you take no action within 21 days from the date of service of this letter, your rights to respond and to request a conference are automatically waived and the Enforcement Division will independently pursue the issuance of an accusation.***

For your convenience, I have enclosed a fact sheet on probable cause proceedings and copies of the most relevant statutes and regulations.

Sincerely,



Angela J. Brereton  
Senior Commission Counsel  
Enforcement Division

Enclosures

## **PROBABLE CAUSE FACT SHEET**

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### **INTRODUCTION**

The Fair Political Practices Commission is required by law to determine whether probable cause exists to believe that the Political Reform Act (the "Act") was violated before a public administrative accusation may be issued.

The probable cause proceedings before the Fair Political Practices Commission are unique, and most respondents and their attorneys are unfamiliar with them. Therefore, we have prepared this summary to acquaint you with the process.

### **THE LAW**

Government Code sections 83115.5 and 83116 set forth the basic requirement that a finding of probable cause be made in a "private" proceeding before a public accusation is issued and a public hearing conducted in accordance with the Administrative Procedure Act.

The Commission has promulgated regulations further defining the probable cause procedure and delegating to the General Counsel (the "Hearing Officer" for purposes of these proceedings) the authority to preside over such proceedings and decide probable cause. A copy of these statutes and regulations are attached for your convenience.

In summary, the statutes and regulations entitle you to the following:

- a) A written probable cause report containing a summary of the law alleged to have been violated, and a summary of the evidence, including any exculpatory and mitigating information and any other relevant material and arguments;
- b) The opportunity to request discovery, respond in writing, and to request a probable cause conference within 21 days of service of the probable cause report;
- c) If the Commission met to consider whether a civil lawsuit should be filed in this matter, a copy of any staff memoranda submitted to the Commission and a transcript of staff discussions with the Commission at any such meeting; and
- d) If a timely request was made, a non-public conference with the General Counsel and the Enforcement Division staff to consider whether or not probable cause exists to believe the Act was violated.

### **THE PROCEDURE**

#### **Probable Cause Report**

Administrative enforcement proceedings are commenced with the service, by registered or certified mail or in person, of a probable cause report. The report will contain a summary of the law and the evidence, including any exculpatory and mitigating information of which the staff has knowledge and any other relevant material and arguments. It is filed with the Hearing Officer.

## **Discovery**

Within 21 calendar days following the service of the probable cause report, you may request discovery of the evidence in the possession of the Enforcement Division. This is not a right to full discovery of the Enforcement Division file, but to the evidence relied upon by the Division along with any exculpatory or mitigating evidence<sup>1</sup>.

This request must be sent by registered or certified mail to the Commission Assistant.

## **Response to Probable Cause Report**

Within 21 calendar days following the service of the probable cause report (or, if you timely requested discovery, within 21 calendar days from the service of the evidence) you may submit a response to the Report. By regulation, the written response may contain, "... a summary of evidence, legal arguments, and any mitigating or exculpatory information." (Cal. Code Regs., tit. 2, § 18361.4, subd. (c).)

You must file your response with the Commission Assistant and provide a copy, by service of process or registered or certified mail with return receipt requested, to all other proposed respondents listed in the probable cause report.

## **Staff Reply**

Within 10 calendar days following the date the response was filed with the Commission Assistant, Commission staff may submit any evidence or argument in rebuttal. You will be served with a copy of any such reply.

## **Probable Cause Conference**

Probable cause conferences are held at the offices of the Fair Political Practices Commission, which is located at 1102 Q Street, Suite 3000, Sacramento, CA 95811. You may appear at the conference in person or by telephone. The proceedings are not public unless all proposed respondents agree to open the conference to the public. Otherwise, the probable cause report, any written responses, and the probable cause conference itself are confidential.

Unless the probable cause conference is public, the only persons who may attend are the staff of the Commission, any proposed respondent and his or her attorney or representative, and, at the discretion of the Hearing Officer, witnesses.

The Hearing Officer may, but need not, permit testimony from witnesses. Probable cause conferences are less formal than court proceedings. The rules of evidence do not apply. The conferences will be recorded and a copy of the recording will be provided upon request.

Since it has the burden of proof, the Enforcement Division is permitted to open and close the conference presentations. The Hearing Officer may also hold the record open to receive additional evidence or arguments.

Probable cause conferences are not settlement conferences. The sole purpose of a probable cause conference is to determine whether or not there is probable cause to believe that the

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<sup>1</sup> But see Title 2, California Code of Regulations, Section 18362, which states that the Commission provides access to complaints, responses to complaints, and investigative files and information in accordance with the requirements of the Public Records Act. (Govt. Code § 6250, et seq.)

Political Reform Act was violated. Anyone who wishes to discuss settlement with the Enforcement Division may do so before or after the probable cause conference but not during the conference.

Pursuant to Title 2, California Code of Regulations, Section 18361.4, subdivision (e), the Hearing Officer will find probable cause "if the evidence is sufficient to lead a person of ordinary caution and prudence to believe or entertain a strong suspicion that a proposed respondent committed or caused a violation."

Ordinarily, probable cause determinations are made based upon the written probable cause report, any written response by the respondent, any written reply by the Enforcement Division, and the oral arguments presented at the conference. Timely written presentations are strongly recommended.

### **Probable Cause Order and Accusation**

Once the matter is submitted to the Hearing Officer, the probable cause decision will normally be made within ten days. If the Hearing Officer finds probable cause, he will issue a Finding of Probable Cause, which will be publicly announced at the next Commission Meeting. An accusation will be issued soon after the Finding of Probable Cause is publicly announced.

### **Continuances**

Every reasonable effort is made to accommodate the schedules of parties and counsel. However, once a date has been set it is assumed to be firm and will not be continued except upon the order of the Hearing Officer after a showing of good cause. Settlement negotiations will be considered good cause only if the Hearing Officer is presented with a fully executed settlement, or is convinced that settlement is imminent.

### **Settlements**

Settlement discussions may take place at any time except during the probable cause conference. In order to open settlement discussions, a proposed respondent or his or her counsel or representative should present a written offer to settle stating, where appropriate, the violations to be admitted, and the monetary penalty or other remedy to be tendered.

The Enforcement Division attorney assigned to the case will negotiate any potential settlement on behalf of the Fair Political Practices Commission, and will draft the language of the settlement agreement. The Hearing Officer will not directly participate in the negotiations, but will be represented by Enforcement Division attorneys. Staff attorneys will present settlement offers to the Hearing Officer for his/her approval.

### **CONCLUSION**

This fact sheet was intended to give you a brief summary of the probable cause process at the Fair Political Practices Commission. Such a summary cannot answer every question that might arise in such proceedings. Therefore, if you have any questions that are not addressed by this fact sheet or the copies of the law and regulations we have attached, feel free to contact the attorney whose name appears on the probable cause report.

*Attachments: Relevant Sections of (1) California Government Code, and (2) Regulations of the Fair Political Practices Commission, Title 2, Division 6 of the California Code of Regulations.*

## CALIFORNIA GOVERNMENT CODE

### Probable Cause Statutes

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#### **§ 83115.5. Probable cause; violation of title; notice of violation; summary of evidence; notice of rights; private proceedings**

No finding of probable cause to believe this title has been violated shall be made by the commission unless, at least 21 days prior to the commission's consideration of the alleged violation, the person alleged to have violated this title is notified of the violation by service of process or registered mail with return receipt requested, provided with a summary of the evidence, and informed of his right to be present in person and represented by counsel at any proceeding of the commission held for the purpose of considering whether probable cause exists for believing the person violated this title. Notice to the alleged violator shall be deemed made on the date of service, the date the registered mail receipt is signed, or if the registered mail receipt is not signed, the date returned by the post office. A proceeding held for the purpose of considering probable cause shall be private unless the alleged violator files with the commission a written request that the proceeding be public.

#### **§ 83116. Violation of title; probable cause; hearing; order**

When the Commission determines there is probable cause for believing this title has been violated, it may hold a hearing to determine if a violation has occurred. Notice shall be given and the hearing conducted in accordance with the Administrative Procedure Act (Chapter 5 (commencing with Section 11500), Part 1, Division 3, Title 2, Government Code). The Commission shall have all the powers granted by that chapter. When the Commission determines on the basis of the hearing that a violation has occurred, it shall issue an order that may require the violator to do all or any of the following:

- (a) Cease and desist violation of this title.
- (b) File any reports, statements, or other documents or information required by this title.
- (c) Pay a monetary penalty of up to five thousand dollars (\$5,000) per violation to the General Fund of the state. When the Commission determines that no violation has occurred, it shall publish a declaration so stating.

**REGULATIONS OF THE FAIR POLITICAL PRACTICES COMMISSION  
TITLE 2, DIVISION 6 OF THE CALIFORNIA CODE OF REGULATIONS**

**Probable Cause Regulations**

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**§ 18361 (b). Delegation by the Executive Director Pertaining to Enforcement Proceedings and Authority to Hear Probable Cause Proceedings.**

Probable cause proceedings under Regulation 18361.4 shall be heard by the General Counsel or an attorney from the Legal Division. The General Counsel may delegate the authority to hear probable cause proceedings, in writing, to an administrative law judge.

**§ 18361.4. Probable Cause Proceedings**

(a) Probable Cause Report. If the Chief of the Enforcement Division decides to commence probable cause proceedings pursuant to Sections 83115.5 and 83116, he or she shall direct the Enforcement Division staff to prepare a written report, hereafter referred to as "the probable cause report." The probable cause report shall contain a summary of the law and evidence gathered in connection with the investigation, including any exculpatory and mitigating information of which the staff has knowledge and any other relevant material and arguments. The evidence recited in the probable cause report may include hearsay, including declarations of investigators or others relating the statements of witnesses or concerning the examination of physical evidence.

(b) No probable cause hearing will take place until at least 21 calendar days after the Enforcement Division staff provides the following, by service of process or registered or certified mail with return receipt requested, to all proposed respondents:

- (1) A copy of the probable cause report;
- (2) Notification that the proposed respondents have the right to respond in writing to the probable cause report and to request a probable cause conference at which the proposed respondent may be present in person and represented by counsel, and;
- (3) If the Commission met in executive session on this matter pursuant to Regulation 18361.2, a copy of any staff memoranda submitted to the Commission at that time along with the recording of any discussion between the Commission and the staff at the executive session as required in subdivision (b) of Regulation 18361.2.

(c) Response to Probable Cause Report.

- (1) Each proposed respondent may submit a written response to the probable cause report. The response may contain a summary of evidence, legal arguments, and any mitigating or exculpatory information. A proposed respondent who submits a response must file it with the Commission Assistant who will forward the response to the General Counsel or an attorney in the Legal Division (the "hearing officer") and provide a copy, by service of process or registered or certified mail with return receipt requested, to all other proposed respondents listed in the probable cause report not later than 21 days following service of the probable cause report.
- (2) Within 21 calendar days following the service of the probable cause report, a proposed

respondent may request discovery of evidence in the possession of the Enforcement Division. This request must be sent by registered or certified mail to the Commission Assistant. Upon receipt of the request, the Enforcement Division shall provide discovery of evidence relied upon by the Enforcement Division sufficient to lead a person of ordinary caution and prudence to believe or entertain a strong suspicion that a proposed respondent committed or caused a violation, along with any exculpatory or mitigating evidence. This is not a right to full discovery of the Enforcement Division file. The Enforcement Division shall provide access to documents for copying by the Respondent, or upon agreement among the parties, the Enforcement Division will provide copies of the requested documents upon payment of a fee for direct costs of duplication. The Enforcement Division shall provide such evidence by service of process or registered or certified mail with return receipt requested to all respondents, with a copy to the Commission Assistant. A respondent may submit a written response to the probable cause report described in subsection (1) no later than 21 calendar days after service of discovery.

- (3) The Commission staff may submit any evidence or argument in rebuttal to the response. When the Commission staff submits evidence or argument in rebuttal to the response, it shall provide a copy, by service of process or registered or certified mail with return receipt requested, to all proposed respondents listed in the probable cause report not later than 10 calendar days following the date the response was filed with the Commission Assistant. The hearing officer may extend the time limitations in this section for good cause. At any time prior to a determination of probable cause, the hearing officer may allow additional material to be submitted as part of the initial response or rebuttal.

(d) Probable Cause Conference. Any proposed respondent may request a probable cause conference. The request shall be served upon the Commission Assistant and all other proposed respondents not later than 21 days after service of the probable cause report unless the hearing officer extends the time for good cause. The Commission Assistant shall fix a time for the probable cause conference and the hearing officer shall conduct the conference informally. The conference shall be closed to the public unless a proposed respondent requests and all other proposed respondents agree to a public conference. If the conference is not public, only members of the Commission staff, any proposed respondent and his or her legal counsel or representative shall have the right to be present and participate. The hearing officer may allow witnesses to attend and participate in part or all of the probable cause conference. In making this determination, the hearing officer shall consider the relevancy of the witness' proposed testimony, whether the witness has a substantial interest in the proceedings, and whether fairness requires that the witness be allowed to participate. Representatives of any civil or criminal prosecutor with jurisdiction may attend the conference at the discretion of the hearing officer if they agree to respect the confidential nature of the proceedings. If the conference is not open to the public and none of the parties and the presiding officer object, the conference may be conducted in whole or in part by telephone. The probable cause conference shall be recorded. The hearing officer may determine whether there is probable cause based solely on the probable cause report, any responses or rebuttals filed and any arguments presented at the probable cause conference by the interested parties. If the hearing officer requires additional information before determining whether there is probable cause, he or she may permit any party to submit additional evidence at the probable cause conference.

(e) Finding of Probable Cause. The hearing officer may find there is probable cause to believe a violation has occurred if the evidence is sufficient to lead a person of ordinary caution and prudence to believe or entertain a strong suspicion that a proposed respondent committed or caused a violation. A finding of probable cause by the hearing officer does not constitute a finding that a violation has actually occurred. The hearing officer shall not make a finding of probable cause if he or she is presented with clear and convincing evidence that, at a time prior to the alleged violation, the violator consulted with the staff of the Commission in good faith, disclosed truthfully all the material facts, and committed the acts complained of either in reliance on the advice of the staff or because of the staff's failure to provide advice. If the hearing officer makes a finding of probable cause, the Enforcement Division shall prepare an Accusation pursuant to Section 11503 and have it served upon the person or persons who are subjects of the probable cause finding. The hearing officer shall publicly announce the finding of probable cause. The announcement shall contain a summary of the allegations and a cautionary statement that the respondent is presumed to be innocent of any violation of the Act unless a violation is proved in a subsequent proceeding. The Chief of the Enforcement Division shall be responsible for the presentation of the case in support of the Accusation at an administrative hearing held pursuant to Section 83116.

**§ 18362. Access to Complaint Files**

- (a) Access to complaints, responses thereto, and investigative files and information shall be granted in accordance with the requirements of the Public Records Act (Government Code Section 6250, et seq.).
- (b) When release of material is requested pursuant to subdivision (a), the Executive Director, or his or her designee, shall review the material prior to its release or prior to a claim of exemption to determine that the requirements of the Public Records Act have been satisfied.
- (c) Any person requesting copies of material pursuant to subdivision (a) shall reimburse the Commission \$0.10 per page for each page copied or supply copying equipment and make copies in the offices of the Commission. Documents may not be removed from the offices of the Commission. If the request is for copies totaling ten pages or less, the copies shall be provided without charge for copying since the administrative costs do not warrant collection of \$1.00 or less. If the request is for copies totaling more than ten pages, reimbursements of copying costs shall include the cost for the first ten pages. Charges imposed pursuant to this subdivision are for the purpose of recovering the cost of copying.
- (d) Requests for access and copies pursuant to subdivision (a) shall be made in writing and shall specifically identify the documents sought.

**§ 18361.2. Memorandum Respecting Civil Litigation.**

- (a) If the Executive Director concludes civil litigation should be initiated, he or she shall submit to the Commission a written memorandum, which shall be first reviewed by the General Counsel, or an attorney from the Legal Division, summarizing the facts and the applicable law of the case and recommending the initiation of a lawsuit. The memorandum shall include all exculpatory and mitigating information known to the staff.



(b) The Commission shall review the memorandum at an executive session. The General Counsel, or an attorney from the Legal Division, and the Commission Assistant shall be in attendance. No other member of the staff may be present unless the Commission meets with a member of the staff for that person to answer questions. The Commission may not resume its deliberations until the person is no longer present. Any communication between the Commission and the person during the executive session shall be recorded. After review of the memorandum, the Commission may direct the Executive Director to do any of the following:

- (1) Initiate civil litigation.
- (2) Decide whether probable cause proceedings should be commenced pursuant to 2 Cal. Code of Regulations Section 18361.4.
- (3) Return the matter to the staff for further investigation.
- (4) Take no further action on the matter or take any other action it deems appropriate.

(c) If the Commission decides to initiate civil litigation, the Commission may then permit other members of the staff to attend the executive session.

(d) If the Executive Director deems it necessary, he or she may call a special meeting of the Commission to review a staff memorandum recommending the initiation of civil litigation.

(e) It is the intent of the Commission in adopting this section to preserve for the members of the Commission the authority to decide whether alleged violations should be adjudicated in administrative hearings or in civil litigation, while at the same time avoiding the possibility that discussions with members of the staff might cause members of the Commission to prejudge a case that might be heard by the Commission under Government Code Section 83116.

# **EXHIBIT A-8**

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Default Decision and Order  
FPPC No. 15/150

1 GALENA WEST  
Chief of Enforcement  
2 ANGELA J. BRERETON  
Senior Commission Counsel  
3 **FAIR POLITICAL PRACTICES COMMISSION**  
1102 Q Street, Suite 3000  
4 Sacramento, CA 95811  
Telephone: (916) 322-5771  
5 Email: [abrereton@fppc.ca.gov](mailto:abrereton@fppc.ca.gov)

6 Attorneys for Complainant

7  
8 **BEFORE THE FAIR POLITICAL PRACTICES COMMISSION**  
9 **STATE OF CALIFORNIA**

10 In the Matter of ) FPPC No. 15/150  
11 )  
12 VIOLETA ALVAREZ, RE-ELECT ) EX PARTE REQUEST FOR A FINDING OF  
VIOLETA ALVAREZ FOR CITY ) PROBABLE CAUSE AND AN ORDER THAT  
13 COUNCIL 2015, and MARIO ) AN ACCUSATION BE PREPARED AND  
ESTRADA, ) SERVED  
14 )  
15 Respondents. ) Gov. Code § 83115.5

16 **TO THE HEARING OFFICER OF THE FAIR POLITICAL PRACTICES COMMISSION:**

17 Pursuant to Section 83115.5 of the Political Reform Act (the "Act")<sup>1</sup> and Regulation 18361.4,  
18 respondents Violeta Alvarez ("Alvarez"), Re-Elect Violeta Alvarez for City Council 2015 ("Committee"),  
19 and Mario Estrada ("Estrada") were served with a copy of a report in support of a finding of probable  
20 cause ("Report") in the above-entitled matter.<sup>2</sup> The Report, attached as "Exhibit A," was part of a packet  
21 of materials, including a cover letter and a memorandum describing probable cause proceedings, which  
22 was sent to Alvarez, the Committee, and Estrada on November 27, 2017, by certified mail, with a return  
23 receipt requested, and received by Alvarez, the Committee, and Estrada on November 29, 2017. A copy  
24 of the proof of service and return receipts is attached as "Exhibit B."

25  
26 <sup>1</sup> The Political Reform Act is contained in Government Code §§ 81000 through 91014, and all statutory references are  
27 to this code. The regulations of the Fair Political Practices Commission are contained in §§ 18110 through 18997 of Title 2 of  
the California Code of Regulations, and all regulatory references are to this source.

28 <sup>2</sup> Gov. Code § 83115.5; Cal. Code Reg., tit. 2, § 18361.4.

1 In the cover letter dated November 27, 2017, and the attached materials, attached as "Exhibit C,"  
2 Alvarez, the Committee, and Estrada were advised that they could respond in writing to the Report and  
3 orally present the case to the Hearing Officer at a probable cause conference to be held in Sacramento.  
4 Alvarez, the Committee, and Estrada were further advised that in order to have a probable cause  
5 conference, they needed to make a written request for one on or before 21 days of the date they received  
6 the Report. Additionally, Alvarez, the Committee, and Estrada were advised that if they did not request a  
7 probable cause conference, such a conference would not be held and probable cause would be determined  
8 based solely on the Report and any written response that they submitted within 21 days of the date they  
9 were served with the Report. To date, Alvarez, the Committee, and Estrada have not submitted a written  
10 response or requested a probable cause conference.

11 WHEREFORE, based on the attached Report, the Enforcement Division requests a finding by the  
12 Hearing Officer that probable cause exists to believe that Alvarez, the Committee, and Estrada committed  
13 violations of the Act, stated as follows:

14 Count 1: Alvarez, the Committee, and Estrada failed to timely report all contributions and  
15 expenditures, and failed to disclose all required contributor and payee information, on the  
16 Committee's originally filed and amended campaign statements for the reporting periods  
17 of January 1, 2014 through December 31, 2015, in violation of Section 84211, subdivisions  
18 (a), (b), (c), (d), (f), (i), (j), and (k).

19 Count 2: Alvarez, the Committee, and Estrada received cash contributions of \$100 or more, and  
20 made cash expenditures of \$100 or more, in violation of Section 84300, subdivisions (a)  
21 and (b).

22 Count 3: Alvarez, the Committee, and Estrada failed to maintain supporting records for  
23 contributions received and expenditures made, in violation of Section 84104.

24 Additionally, after finding probable cause exists, the Enforcement Division requests an order by  
25 the Hearing Officer that an accusation be prepared against Alvarez, the Committee, and Estrada and served  
26 upon them.<sup>3</sup>

27 A copy of this Request was mailed via U.S. Mail to Alvarez, the Committee, and Estrada on  
28 January 26, 2018, at the last known address, as follows:

<sup>3</sup> Gov. Code § 11503.

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Violeta Alvarez  
[REDACTED]

Re-Elect Violeta Alvarez for City Council 2015  
[REDACTED]

Mario Estrada  
[REDACTED]

Dated: January 26, 2018

Respectfully Submitted,

**FAIR POLITICAL PRACTICES COMMISSION**  
Galena West  
Chief of Enforcement



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By: Angela J. Brereton  
Senior Commission Counsel  
Enforcement Division

# **EXHIBIT A-9**

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Default Decision and Order  
FPPC No. 15/150

1 BEFORE THE FAIR POLITICAL PRACTICES COMMISSION

2 STATE OF CALIFORNIA

3  
4 In the Matter of ) FPPC No. 15/150  
5 )  
6 VIOLETA ALVAREZ, RE-ELECT ) FINDING OF PROBABLE CAUSE AND AN  
7 VIOLETA ALVAREZ FOR CITY ) ORDER TO PREPARE AND SERVE AN  
8 COUNCIL 2015, and MARIO ESTRADA, ) ACCUSATION  
9 Respondents. ) Gov. Code § 83115.5  
10 )

11 By means of an Ex Parte Request for a Finding of Probable Cause and an Order that an Accusation  
12 be Prepared and Served, the Enforcement Division submitted the above-entitled matter to the Hearing  
13 Officer for a determination of Probable Cause. As set forth in the Ex Parte Request for a Finding of  
14 Probable Cause and an Order that an Accusation be Prepared and Served (“Ex Parte Request”), the  
15 Enforcement Division served a Report in Support of a Finding of Probable Cause (“PC Report”) on  
16 respondents Violeta Alvarez (“Alvarez”), Re-Elect Violeta Alvarez for City Council 2015 (“Committee”),  
17 and Mario Estrada (“Estrada”) concerning this matter on November 29, 2017, by certified mail, return  
18 receipt requested. Accompanying the PC Report was a packet of materials that informed Alvarez, the  
19 Committee, and Estrada of their right to file a written response to the PC Report and to request a probable  
20 cause conference within 21 days following service of the PC Report. During the 21 days that followed  
21 service of the PC Report, Alvarez, the Committee, and Estrada did not file a response to the PC Report or  
22 request a probable cause conference. Pursuant to California Code of Regulations title 2, section 18361.4,  
23 determination of probable cause may be made solely on papers submitted when the respondent does not  
24 request a probable cause conference.<sup>1</sup>

25 In making a probable cause determination, it is the duty of the Hearing Officer of the Fair Political  
26 Practices Commission to determine whether probable cause exists to believe that a respondent violated

27 <sup>1</sup> The Political Reform Act is contained in Government Code §§ 81000 through 91014. The regulations of the Fair  
28 Political Practices Commission are contained in §§ 18110 through 18997 of Title 2 of the California Code of Regulations.

1 the Political Reform Act as alleged by the Enforcement Division in the PC Report served on the  
2 respondent.

3 Probable cause to believe a violation has occurred can be found to exist when "the evidence is  
4 sufficient to lead a person of ordinary caution and prudence to believe or entertain a strong suspicion that  
5 the proposed respondent(s) committed or caused a violation."<sup>2</sup>

6 The PC Report served on Alvarez, the Committee, and Estrada and the subsequent Ex Parte  
7 Request in this matter alleges violations of the Political Reform Act were committed, as follows:

8 Count 1: Alvarez, the Committee, and Estrada failed to timely report all contributions and  
9 expenditures, and failed to disclose all required contributor and payee information, on the  
10 Committee's originally filed and amended campaign statements for the reporting periods  
of January 1, 2014 through December 31, 2015, in violation of Section 84211, subdivisions  
(a), (b), (c), (d), (f), (i), (j), and (k).

11 Count 2: Alvarez, the Committee, and Estrada received cash contributions of \$100 or more, and  
12 made cash expenditures of \$100 or more, in violation of Section 84300, subdivisions (a)  
and (b).

13 Count 3: Alvarez, the Committee, and Estrada failed to maintain supporting records for  
14 contributions received and expenditures made, in violation of Section 84104.

15 Based on the Ex Parte Request given to me, I find that notice has been given to Alvarez, the  
16 Committee, and Estrada.<sup>3</sup> I further find, based on the PC Report and the Ex Parte Request, that there is  
17 probable cause to believe Alvarez, the Committee, and Estrada violated the Political Reform Act as alleged  
18 in Counts 1 through 3, as identified above.

19 I therefore direct that the Enforcement Division issue an accusation against Alvarez, the  
20 Committee, and Estrada in accordance with this finding.

21 IT IS SO ORDERED.

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23 Dated: 11/31/18

  
\_\_\_\_\_

24  
25 Sukhi Brar, Hearing Officer  
Fair Political Practices Commission

26  
27 <sup>2</sup> Cal. Code Reg., tit. 2, § 18361.4, subd. (e).  
<sup>3</sup> Government Code § 83115.5; Cal. Code Reg., tit. 2, § 18361.4, subd. (b).




**Fair Political Practices Commission  
MEMORANDUM**

**To:** Sulhi Patel, Commission Counsel, Legal Division  
**From:** Jack Woodside, General Counsel  
**Subject:** Delegation of Authority to Conduct Probable Cause Hearing

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I hereby delegate to you, for the reasons specified at 2 CCR Section 18361 (b), my full authority to conduct a Probable Cause Conference under 2 CCR Section 18361.4 in the matter of *Violeta Alvarez, Re-Elect Violeta Alvarez for City Council 2015, and Mario Estrada FPPC No. 15/150* including full authority to make a final determination in that proceeding.

Dated 1-26-18

  
\_\_\_\_\_  
Jack Woodside  
General Counsel

*WA*

*2/1/18 \$2  
2/1/18 \$1  
2/1/18 \$23  
1/26/18*

**FPPC No. 15/150, In the matter of Violeta Alvarez, Re-Elect Violeta Alvarez for City Council 2015,  
and Mario Estrada**

**PROOF OF SERVICE**

At the time of service, I was over 18 years of age and not a party to this action. My business address is Fair Political Practices Commission, 1102 Q Street, Suite 3000, Sacramento, CA 95811. On the date below, I served the following document:

**FINDING OF PROBABLE CAUSE AND ORDER TO PREPARE AND SERVE AN ACCUSATION**

**MANNER OF SERVICE**

**(U.S. Mail)** By causing a true copy thereof to be served on the parties in this action through the U.S. Mail and addressed as listed below. I am familiar with the procedure of the Fair Political Practices Commission for collection and processing of correspondence for mailing with the United States Postal Service, and the fact that the correspondence would be deposited with the United States Postal Service that same day in the ordinary course of business.

**SERVICE LIST**

Violeta Alvarez  
Re-Elect Violeta Alvarez for City Council 2015  
[REDACTED]  
[REDACTED]

Mario Estrada  
[REDACTED]  
[REDACTED]

**(By Personal Service) On Wednesday, January 31, 2018, at approximately 12:00 p.m., I personally served:**

Angela Brereton, Senior Commission Counsel, at 1102 Q Street, Suite 3000, Sacramento, CA 95811.

I declare under penalty of perjury under the laws of the State of California that the above is true and correct and that this document is executed at Sacramento, California, on January 31, 2018.

  
Sasha Linker

# **EXHIBIT A-10**

---

Default Decision and Order  
FPPC No. 15/150

1 GALENA WEST  
Chief of Enforcement  
2 ANGELA J. BRERETON  
Senior Commission Counsel  
3 **FAIR POLITICAL PRACTICES COMMISSION**  
1102 Q Street, Suite 3000  
4 Sacramento, CA 95811  
Telephone: (916) 322-5771  
5 Email: [abrereeton@fppc.ca.gov](mailto:abrereeton@fppc.ca.gov)

6 Attorneys for Complainant  
Enforcement Division of the Fair Political Practices Commission  
7

8  
9 **BEFORE THE FAIR POLITICAL PRACTICES COMMISSION**  
10 **STATE OF CALIFORNIA**

11 In the Matter of ) FPPC No. 15/150  
12 )  
13 )  
14 ) **FIRST AMENDED ACCUSATION**  
15 )  
16 ) (Gov. Code §11503)  
17 )  
18 )  
19 ) Respondents. )

20 Complainant, the Enforcement Division of the Fair Political Practices Commission, after a finding  
21 of probable cause pursuant to Government Code Section 83115.5, alleges the following. In accordance  
22 with Government Code section 11507 and Title 1, California Code of Regulations section 1014, subd. (a),  
23 changes from the original Accusation have been highlighted.

24 **JURISDICTION**

25 1. Complainant is the Enforcement Division of the Fair Political Practices Commission and  
26 makes this Accusation pursuant to the Political Reform Act (the "Act"),<sup>1</sup> in its official capacity and in the  
27 public interest.<sup>2</sup>

28 <sup>1</sup> The Political Reform Act is contained in Government Code §§ 81000 through 91014, and all statutory references are to this code. The regulations of the Fair Political Practices Commission are contained in §§ 18110 through 18997 of Title 2 of the California Code of Regulations, and all regulatory references are to this source.

<sup>2</sup> §§ 83111, 83116, and 91000.5; Reg. 18361 and 18361.4, subd. (e).

1 2. In 1974, California voters found and declared that previous laws regulating political  
2 practices had suffered from inadequate enforcement, and they intended that the Act be vigorously  
3 enforced.<sup>3</sup> To that end, the Act must be liberally construed to achieve its purposes.<sup>4</sup>

4 3. The Act is intended to ensure that receipts and expenditures in election campaigns are fully  
5 and truthfully disclosed, so that voters may be fully informed, and improper practices may be inhibited.<sup>5</sup>

6 **RESPONDENTS**

7 4. Respondent Violeta Alvarez was an unsuccessful incumbent candidate for Bell City  
8 Council in the March 3, 2015 election.

9 5. Respondent Re-Elect Violeta Alvarez for Bell City Council 2015 (the Committee) was  
10 Alvarez's candidate controlled committee.

11 6. Respondent Mario Estrada was treasurer of the Committee.

12 7. The actions of Alvarez, the Committee and Estrada – failing to disclose all contributions  
13 and expenditures on campaign statements, failing to disclose all required information regarding  
14 contributors and payees, accepting cash contributions and making cash expenditures of \$100 or more, and  
15 failing to maintain complete campaign records – are in violation of the law and public policies of the State  
16 of California.

17 **APPLICABLE LAW**

18 8. All applicable law in this First Amended Accusation is the law as it existed during the  
19 relevant time for the alleged violations.

20 **Need for Liberal Construction and Vigorous Enforcement of the Political Reform Act**

21 9. When enacting the Political Reform Act, the people of the state of California found and  
22 declared previous laws regulating political practices suffered from inadequate enforcement by state and  
23 local authorities.<sup>6</sup> To that end, the Act must be liberally construed to achieve its purposes.<sup>7</sup>

24 10. A central purpose of the Act is to ensure voters are fully informed and improper practices  
25 are inhibited by requiring committees to disclose all contributions and expenditures made throughout a

26 <sup>3</sup> §§ 81001, subd. (h), and 81002, subd. (f).

27 <sup>4</sup> § 81003.

<sup>5</sup> § 81002, subd. (a).

28 <sup>6</sup> Section 81001, subd. (h).

<sup>7</sup> Section 81003.

1 campaign.<sup>8</sup> Another is to provide adequate enforcement mechanisms so that the Act will be “vigórously  
2 enforced.”<sup>9</sup>

### 3 Definition of Controlled Committee

4 11. A “candidate” includes, in relevant part, an individual who is listed on the ballot for  
5 election to any elective office.<sup>10</sup> A “committee” includes any person or combination of persons who  
6 receives contributions totaling \$1,000 or more in a calendar year,<sup>11</sup> commonly known as a “recipient  
7 committee.” A recipient committee which is controlled directly or indirectly by a candidate, or which acts  
8 jointly with a candidate in connection with the making of expenditures, is a “controlled committee.”<sup>12</sup> A  
9 candidate controls a committee if he or she, his or her agent, or any other committee he or she controls  
10 has a significant influence on the actions or decisions of the committee.<sup>13</sup>

### 11 Duty to File Campaign Statements

12 12. The Act requires candidates and their controlled committees to file campaign statements at  
13 specific times disclosing information regarding contributions received and expenditures made by the  
14 campaign committees.<sup>14</sup>

### 15 Duty to File Semi-Annual Campaign Statements

16 13. The Act requires all candidates and their controlled committees to file semi-annual  
17 campaign statements each year no later than July 31 for the period ending June 30, and no later than  
18 January 31 for the period ending December 31.<sup>15</sup> When the deadline falls on a Saturday, Sunday or official  
19 state holiday, the filing deadline for such a statement or report is extended to the next regular business  
20 day.<sup>16</sup> All filing obligations continue until the recipient committee is terminated by filing a statement of  
21 termination with the Secretary of State and a copy with the local filing officer receiving the committee’s  
22 original campaign statements.<sup>17</sup>

23  
24 <sup>8</sup> Section 81002, subd. (a)

<sup>9</sup> Section 81002, subd. (f).

<sup>10</sup> Section 82007.

<sup>11</sup> Section 82013, subd. (a).

<sup>12</sup> Section 82016, subd. (a).

<sup>13</sup> Section 82016, subd. (a).

<sup>14</sup> See § 84200, *et seq.*

<sup>15</sup> § 84200, subd. (a).

<sup>16</sup> Reg. 18116.

<sup>17</sup> § 84214; Reg. 18404.

1 Duty to File Preelection Campaign Statements

2 14. All candidates for offices being voted upon on a date other than the first Tuesday after the  
3 first Monday in June or November of an even-numbered year and their controlled committees must file  
4 preelection statements as follows: 1) For the period ending 45 days before the election, the statement must  
5 be filed no later than 40 days before the election; 2) For the period ending 17 days before the election, the  
6 statement must be filed no later than 12 days before the election.<sup>18</sup> All candidates being voted upon in the  
7 election in connection with which the statement is filed and their controlled committees must file the  
8 statement by guaranteed overnight delivery service or by personal delivery.<sup>19</sup>

9 15. For the March 3, 2015 election, the first preelection statement was due on  
10 January 22, 2015 for the reporting period of January 1 through January 17, 2015, and the second  
11 preelection statement was due on February 19, 2015 for the reporting period of January 18 through  
12 February 14, 2015.

13 Prohibited Cash Contributions of \$100 or More

14 16. No contribution of \$100 or more may be made or received in cash.<sup>20</sup> All contributions of  
15 \$100 or more must be made in the form of a written instrument containing the name of the contributor and  
16 the name of the payee, and drawn from the account of the contributor.<sup>21</sup>

17 Prohibited Cash Expenditures of \$100 or More

18 17. The Act prohibits making an expenditure of \$100 or more in cash.<sup>22</sup> The Act defines  
19 "expenditure" as a payment, forgiveness of a loan, payment of a loan by a third party, or an enforceable  
20 promise to make a payment, unless it is clear from the surrounding circumstances that it is not made for  
21 political purposes.<sup>23</sup>

22 Required Reporting of Campaign Contributions

23 18. A contribution is a payment made for political purposes.<sup>24</sup> Candidates and committees must  
24 provide information regarding the amounts and sources of campaign contributions on campaign

25 <sup>18</sup> §§ 84200.5, subd. (c), and 84200.8, subd. (a) and (b).

26 <sup>19</sup> § 84200.8, subd. (b).

27 <sup>20</sup> Section 84300, subd. (a).

27 <sup>21</sup> Section 84300, subd. (c).

27 <sup>22</sup> Section 84300, subd. (b).

28 <sup>23</sup> Section 82025.

28 <sup>24</sup> Section 82015.

1 statements. Specifically, the Act requires candidates and committees to disclose the total amount of  
2 contributions received during the period covered by the statement.<sup>25</sup> Candidates and committees must  
3 disclose the total amount of contributions received from persons who have given \$100 or more during the  
4 period covered by the statement.<sup>26</sup> For contributors of \$100 or more, candidates and committees must  
5 disclose the following: (1) the contributor's full name; (2) the contributor's street address; (3) the  
6 contributor's occupation; (4) the name of the contributor's employer, or if self-employed, the name of the  
7 contributor's business; (5) the date and amount of each contribution received from the contributor during  
8 the reporting period; and (6) the cumulative amount of contributions received from the contributor.<sup>27</sup>

#### 9 Required Reporting of Campaign Expenditures

10 19. An expenditure is any payment, unless it is clear from the surrounding circumstances that  
11 it is not made for political purposes.<sup>28</sup> Candidates and committees must disclose the total amount of  
12 expenditures made during the period covered by the statement.<sup>29</sup> Candidates and committees must also  
13 disclose the total amount of expenditures made to persons who have received \$100 or more, and  
14 separately, the total amount of expenditures made to persons who have received less than \$100, during  
15 the period covered by the statement.<sup>30</sup> For expenditures of \$100 or more, candidates and committees must  
16 disclose the following: (1) the recipient's full name; (2) the recipient's street address; (3) the amount of  
17 each expenditure; (4) the description of the consideration for which each expenditure was made.<sup>31</sup>

#### 18 Duty to Maintain Campaign Records

19 20. To ensure accurate campaign reporting, the Act imposes a mandatory duty on each  
20 candidate, treasurer, and elected officer to maintain detailed accounts, records, bills, and receipts  
21 necessary to prepare campaign statements, to establish that campaign statements were properly filed, and  
22 to comply with the campaign provisions.<sup>32</sup> This requirement includes a duty to maintain detailed  
23 information and original source documentation for all contributions and expenditures.<sup>33</sup>

24 <sup>25</sup> Section 84211, subd. (a).

25 <sup>26</sup> Section 84211, subd. (c).

26 <sup>27</sup> Section 84211, subd. (f).

27 <sup>28</sup> Section 82025.

28 <sup>29</sup> Section 84211, subd. (b).

<sup>30</sup> Section 84211, subd. (i) and (j).

<sup>31</sup> Section 84211, subd (k).

<sup>32</sup> Section 84104.

<sup>33</sup> Reg. 18401.



1 Joint and Several Liability of Candidate, Committee, and Treasurer

2 21. Every committee must have a treasurer.<sup>34</sup> It is the duty of a committee's treasurer to ensure  
3 that the committee complies with all of the requirements of the Act concerning the receipt and expenditure  
4 of funds and the reporting of such funds.<sup>35</sup> A committee's treasurer may be held jointly and severally  
5 liable with the candidate and the committee for violations committed by the committee.<sup>36</sup>

6 PROCEDURAL HISTORY

7 Procedural History – Original Accusation for Failure to Timely File Campaign Statements

8 22. On March 3, 2015, the Enforcement Division received an initial complaint alleging that  
9 Alvarez, the Committee, and Estrada failed to file campaign statements related to the March 3, 2015  
10 election. The Enforcement Division identified that Alvarez, the Committee, and Estrada had failed to  
11 timely file campaign statements since the Committee's formation in 2014.

12 23. Alvarez, the Committee, and Estrada did not respond to any Enforcement Division  
13 inquiries. Because of their unresponsiveness, a Probable Cause Report for the preelection and semi-annual  
14 campaign statements for reporting periods between January 1, 2014 through December 31, 2015 was  
15 served on Alvarez, the Committee, and Estrada on May 19, 2016.<sup>37</sup>

16 24. Probable cause was found,<sup>38</sup> and an Accusation was personally served on Alvarez, the  
17 Committee, and Estrada on October 2, 2016.<sup>39</sup>

18 25. Alvarez, the Committee, and Estrada failed to file a Notice of Defense within the statutory  
19 time period, so their right to an administrative hearing on the October 2, 2016 Accusation was forfeited,  
20 and Alvarez, the Committee, and Estrada are currently in a default position regarding their failure to timely  
21 file the identified campaign statements.

22  
23  
24 ///

25  
26 <sup>34</sup> Section 84100.

27 <sup>35</sup> Section 84104 and Reg. 18427, subd. (a).

28 <sup>36</sup> Sections 83116.5 and 91006.

<sup>37</sup> §§ 83115.5 and 91000.5. See attached Exhibit A.

<sup>38</sup> See attached Exhibit B.

<sup>39</sup> See attached Exhibit C.

1 Current Procedural History

2 26. Two months after service of the October 2, 2016 Accusation, in December 2016, Alvarez,  
3 the Committee, and Estrada retained counsel and contacted the Enforcement Division regarding their  
4 failure to timely file campaign statements.

5 27. The evidence shows that between August 2016 and May 2017, Alvarez, the Committee,  
6 and Estrada filed and then amended the following campaign statements:

7

Form	Period	Due Date	Original Filing Date	No. of Days Late	Amended Filing Date
460 (Semi-annual)	1/1/2014 – 6/30/2014	7/31/2014	8/9/2016	740	5/30/2017
460 (Semi-annual)	7/1/2014 – 12/31/2014	2/2/2015	8/9/2016	554	5/30/2017
460 (Pre-election)	1/1/2015 – 1/17/2015	1/22/2015	8/16/2016	572	5/30/2017
460 (Pre-election)	1/18/2015 – 2/14/2015	2/19/2015	8/16/2016	544	5/30/2017
460 (Semi-annual)	2/15/2015 – 6/30/2015	7/31/2015	8/9/2016	375	5/30/2017
460 (Semi-annual)	7/1/2015 – 12/31/2015	2/1/2016	1/10/2017	344	5/30/2017
460 (Semi-annual)	1/1/2016 – 6/30/2016	8/1/2016	8/16/2016	15	n/a
460 (Semi-annual)	7/1/2016 – 12/31/2016	1/31/2017	5/30/2017	119	n/a

8  
9  
10  
11  
12

13 28. The Enforcement Division investigation regarding the filed and amended campaign  
14 statements and other campaign records revealed campaign disclosure and recordkeeping violations of the  
15 Act as identified in this First Amended Accusation.

16 29. The Enforcement Division initiated the administrative action on the campaign disclosure  
17 and recordkeeping violations against Alvarez, the Committee and Estrada by serving them with a packet  
18 containing a cover letter, a Report in Support of a Finding of Probable Cause (Report), a fact sheet  
19 regarding probable cause proceedings, selected sections of the California Government Code regarding  
20 probable cause proceedings for the Fair Political Practices Commission, and selected regulations of the  
21 Fair Political Practices Commission regarding probable cause proceedings.<sup>40</sup>

22 30. Alvarez, the Committee and Estrada were served on November 29, 2017 by certified mail,  
23 return receipt requested.<sup>41</sup> So the administrative action on the campaign disclosure and recordkeeping  
24 violations commenced on November 29, 2017, the date the certified mail receipt was signed, and the five-  
25 year statute of limitations was effectively tolled on that date.

26 ///

27

28 <sup>40</sup> §§ 83115.5 and 91000.5. See attached Exhibit D.

<sup>41</sup> §§ 8311(Mailing by Certified Mail) and 83115.5. See attached Exhibit E.

1 31. The information contained in the packet advised Alvarez, the Committee and Estrada that  
2 they had 21 days in which to request a probable cause conference and/or to file a written response to the  
3 Report.

4 32. Alvarez, the Committee and Estrada did not submit a written response, nor did they request  
5 a probable cause conference.

6 33. The Hearing Officer issued a Finding of Probable Cause and Order to Prepare and Serve  
7 an Accusation, which was served on January 31, 2018, finding that probable cause exists to believe  
8 Alvarez, the Committee and Estrada violated the Act as stated in the Report served on  
9 November 29, 2017.<sup>42</sup>

10 **GENERAL FACTS**

11 34. Complainant incorporates paragraphs 1 -- 33 of this First Amended Accusation, as though  
12 completely set forth herein.

13 **Background Information**

14 35. Violeta Alvarez was a successful candidate for the Bell City Council in the March 8, 2011  
15 election and served as a member-at-large, Vice-Mayor, and Mayor of the City of Bell between 2011 and  
16 2015. Alvarez unsuccessfully ran for re-election to the Bell City Council in 2015 and 2017. This case  
17 concerns activity related to Alvarez's re-election campaign in 2015.

18 36. The evidence in this case shows that the Committee qualified as a committee under the Act  
19 on or about May 27, 2014. The Committee had a designated bank account. Bank records show activity  
20 through July 1, 2015.

21 **Unreported and Incomplete Campaign Contributions and Expenditures**

22 37. Alvarez, the Committee and Estrada, despite multiple notices from the local filing officer  
23 and Enforcement staff, failed to timely file campaign statements for six reporting periods in 2014 and  
24 2015. A finding of probable cause and an Accusation was issued for these non-filed statements.

25  
26  
27 ///

28 <sup>42</sup> See attached Exhibit F.

38. Between August 2016 and May 2017, Alvarez, the Committee, and Estrada filed and then amended the following campaign statements:

Form	Period	Due Date	Original Filing Date	No. of Days Late	Amended Filing Date
460 (Semi-annual)	1/1/2014 – 6/30/2014	7/31/2014	8/9/2016	740	5/30/2017
460 (Semi-annual)	7/1/2014 – 12/31/2014	2/2/2015	8/9/2016	554	5/30/2017
460 (Pre-election)	1/1/2015 – 1/17/2015	1/22/2015	8/16/2016	572	5/30/2017
460 (Pre-election)	1/18/2015 – 2/14/2015	2/19/2015	8/16/2016	544	5/30/2017
460 (Semi-annual)	2/15/2015 – 6/30/2015	7/31/2015	8/9/2016	375	5/30/2017
460 (Semi-annual)	7/1/2015 – 12/31/2015	2/1/2016	1/10/2017	344	5/30/2017
460 (Semi-annual)	1/1/2016 – 6/30/2016	8/1/2016	8/16/2016	15	n/a
460 (Semi-annual)	7/1/2016 – 12/31/2016	1/31/2017	5/30/2017	119	n/a

39. In August 2016 and January 2017, Alvarez, the Committee, and Estrada filed the required campaign statements for the reporting periods of January 1, 2014 through June 30, 2016. The Respondents disclosed very little campaign activity on only one pre-election campaign statement and reported zero activity on other campaign statements.

40. The Enforcement Division then subpoenaed and received the Committee's campaign bank account records and discovered over \$9,000 in contributions and expenditures that required reporting. In May 2017, Alvarez, the Committee, and Estrada amended their campaign statements to show this activity. The table below compares the amounts reported on the original statements and the amended statements.

Reporting Period	Original Contributions Reported	Amended Contributions Reported	Unreported Contributions	Original Expenditures Reported	Amended Expenditures Reported	Unreported Expenditures
1/1/2014 – 6/30/2014	0	\$2,750.00	\$2,750.00	0	\$1,745.75	\$1,745.75
7/1/2014 – 12/31/2014	0	\$3,949.00	\$3,949.00	0	\$3,675.92	\$3,675.92
1/1/2015 – 1/17/2015	0	\$250.00	\$250.00	0	\$38.39	\$38.39
1/18/2015 – 2/14/2015	\$700.00	\$1,314.00	\$614.00	\$400.00	\$2,248.04	\$1,848.04
2/15/2015 – 6/30/2015	0	\$1,489.00	\$1,489.00	0	\$2,591.14	\$2,591.14
7/1/2015 – 12/31/2015	0	\$183.24	\$183.24	0	0	0
1/1/2016 – 6/30/2016	0	n/a	0	0	n/a	0
7/2/2016 – 12/31/2016	0	n/a	0	0	n/a	0
	\$700.00	\$9,935.24	\$9,235.24	\$400.00	\$10,299.24	\$9,899.24

1 41. Alvarez, the Committee, and Estrada failed to timely disclose approximately \$9,235.24 in  
2 contributions received and \$9,899.24 in expenditures made related to the 2015 election. Alvarez, the  
3 Committee, and Estrada also failed to disclose name, address, occupation, and employer information for  
4 all contributors and name and address information for all payees. Alvarez admitted that she had no records  
5 to use to complete the amended campaign statements and therefore just included the limited information  
6 from the bank statements.

7 Prohibited Cash Contributions and Expenditures

8 42. A review of the Committee's bank records showed the following cash deposits of \$100 or  
9 more into the Committee's campaign bank account:

Date	Description	Amount
11/10/2014	Deposit of currency – no denominations listed	\$400.00
02/23/2015	Deposit of currency – no denominations listed	\$300.00
<b>Total Cash Deposits</b>		<b>\$700.00</b>

13 43. A review of the Committee's bank records also showed the following cash withdrawals of  
14 \$100 or more from the Committee's bank account:

Date	Description	Amount
05/30/2014	ATM withdrawal Bell	\$440.00
06/23/2014	ATM withdrawal Bell	\$400.00
06/24/2014	ATM withdrawal Slauson	\$300.00
06/26/2014	Teller withdrawal	\$480.00
07/09/2014	Teller withdrawal	\$320.00
07/22/2014	ATM withdrawal South Gate	\$100.00
08/20/2014	ATM withdrawal Bell	\$300.00
08/25/2014	ATM withdrawal Bell	\$100.00
11/25/2014	ATM withdrawal Bell	\$200.00
12/05/2014	ATM withdrawal Bell	\$100.00
12/08/2014	ATM withdrawal Bell	\$120.00
12/10/2014	ATM withdrawal Bell	\$100.00
12/29/2014	ATM withdrawal Bell	\$160.00
12/30/2014	ATM withdrawal Bell	\$100.00
01/20/2015	ATM withdrawal Bell	\$400.00
01/23/2015	ATM withdrawal Bell	\$200.00
<b>Total Cash Withdrawals</b>		<b>\$3,820.00</b>

25 44. Alvarez stated she was unaware that accepting cash contributions of \$100 or more and  
26 making cash payments for campaign expenditures of \$100 or more was prohibited. Alvarez did not recall  
27 the source of the above-referenced contributions and what each individual cash withdrawal was spent on,  
28 but she was certain each withdrawal was used for campaign-related purposes.

1 Recordkeeping

2 45. The evidence shows that Alvarez, the Committee, and Estrada failed to maintain campaign  
3 records. In response to the Enforcement Division's subpoena, the Committee produced no records, and  
4 Alvarez returned a signed declaration stating that the Committee had provided all records in its possession.

5 46. Alvarez explained that she kept the required records in a box in the residence she shared  
6 with Mario Estrada and lost that box during a move. However, Alvarez, the Committee, and Estrada  
7 provided the same address for the Committee and Estrada on the initial Form 410 filed in January 2014  
8 and on campaign statements filed in August 2016, January 2017, and May 2017. This is also the same  
9 address where Alvarez, the Committee, and Estrada were personally served with the original Accusation  
10 served on October 2, 2016.

11 47. On January 12, 2017, the Committee filed a Form 410 termination statement with the  
12 Secretary of State's Office ("SOS") and terminated as of December 31, 2016.

13 VIOLATIONS

14 48. Complainant incorporates paragraphs 1 -- 47 of this First Amended Accusation, as though  
15 completely set forth herein.

16 49. Because Alvarez, the Committee and Estrada eventually filed all of the delinquent  
17 campaign statements, the Enforcement Division will not pursue the non-filing counts for which Alvarez,  
18 the Committee and Estrada were in default from the original Accusation.

19 50. Alvarez, the Committee and Estrada committed three violations of the Act, as follows:<sup>43</sup>

20 Count 1: Unreported and Incomplete Campaign Contributions and Expenditures

21 51. Alvarez, the Committee, and Estrada failed to timely report all contributions and  
22 expenditures, and failed to disclose all required contributor and payee information, on the Committee's  
23 originally filed and amended campaign statements for the reporting periods of January 1, 2014 through  
24 December 31, 2015, violating Government Code Section 84211, subdivisions (a), (b), (c), (d), (f), (i), (j),  
25 and (k).

26  
27 ///

28 <sup>43</sup> Counts 1 – 6 are contained in the Accusation served on October 2, 2016.

1 Count 2: Prohibited Cash Contributions and Expenditures

2 52. Alvarez, the Committee, and Estrada received cash contributions of \$100 or more, and  
3 made cash expenditures of \$100 or more, violating Government Code Section 84300, subdivisions (a) and  
4 (b).

5 Count 3: Recordkeeping

6 53. Alvarez, the Committee, and Estrada failed to maintain supporting records for  
7 contributions received and expenditures made, violating Government Code Section 84104.

8 EXCULPATORY AND MITIGATING INFORMATION

9 54. Complainant incorporates paragraphs 1 – 52 of this First Amended Accusation, as though  
10 completely set forth herein.

11 55. Alvarez, the Committee and Estrada have no prior history of violating the Act, and  
12 Alvarez was an unsuccessful candidate in the 2015 election.

13 AGGRAVATING FACTORS AND OTHER RELEVANT MATERIALS

14 56. Complainant incorporates paragraphs 1 – 55 of this First Amended Accusation, as though  
15 completely set forth herein.

16 57. Because Alvarez, the Committee and Estrada failed to timely file numerous campaign  
17 statements, Alvarez, the Committee and Estrada failed to disclose any contributions received and  
18 expenditures made before the March 3, 2015 election, depriving the public of important information about  
19 Alvarez's contributors and financial activities. When the statements were eventually filed late, Alvarez,  
20 the Committee, and Estrada failed to accurately disclose nearly all of the Committee's campaign activity.  
21 Alvarez, the Committee, and Estrada also received prohibited cash contributions and made prohibited cash  
22 expenditures. The evidence shows a pattern of accepting and using cash over a period of approximately  
23 15 months. This, in conjunction with their failure to maintain all required records, interfered with their  
24 ability to accurately disclose the Committee's campaign activity, made tracking and accounting for  
25 campaign funds difficult to impossible, and made it unclear whether Alvarez, the Committee, and Estrada  
26 further violated the Act's requirements. Though the campaign was relatively small, the full nature and  
27 extent of the campaign activity cannot be verified because of the violations committed. And the evidence  
28

1 suggests that the violations were negligent -- Alvarez had been a candidate before, and was generally aware  
2 that the Act required disclosure of the Committee's campaign activity.

3 58. Also in aggravation, the Committee's campaign bank account records show that on  
4 January 22, 2015, Alvarez spent \$228.67 using a debit card linked to her campaign account on an airline  
5 ticket issued by Interjet Airlines. Interjet is a Mexico-based discount carrier that provides limited service  
6 to the American southwest and whose primary hubs are in Mexico. During her interview with the  
7 Enforcement Division, Alvarez initially stated she had no memory of the flight. Later in the interview, she  
8 recalled that the flight left from Tijuana, Baja California, and arrived in Mexico City, Mexico. Alvarez  
9 explained the political purpose of the trip by stating that campaign signs and banners made in the United  
10 States were too expensive so she needed to travel to Mexico City to see if she could obtain the campaign  
11 advertising materials for less. She could not get a quote over the phone because she did not have the ability  
12 to tell someone what she was looking for. Records also show that two days before the trip, Alvarez  
13 withdrew \$400 in cash from the campaign bank account, an amount that is large relative to the amounts  
14 being withdrawn in the months before and after.

15 59. Alvarez, the Committee, and Estrada have no records substantiating the nature of this  
16 expenditure (i.e. quotes or invoices from Mexican sign vendors) or of the \$400 cash withdrawal made  
17 shortly before the trip took place. Further, the Committee did not report any expenditures to sign vendors  
18 on the amended Form 460s filed in May 2017.

19 PRAYER

20 WHEREFORE, Complainant prays as follows:

21 60. That the Fair Political Practices Commission hold a hearing pursuant to Government Code  
22 Section 83116 and Title 2, California Code of Regulations, Section 18361.5, and at such hearing find that  
23 Alvarez, the Committee and Estrada violated the Act as alleged herein;

24 61. That the Commission, pursuant to Government Code Section 83116, subdivision (c), order  
25 Alvarez, the Committee and Estrada to pay a monetary penalty of up to \$5,000 for each of the violations  
26 of the Political Reform Act alleged in **Counts 1 – 3.**

27 62. That the Commission, pursuant to Title 2, California Code of Regulations,  
28 Section 18361.5, subdivision (d), consider the following factors in framing a proposed order following a




1 finding of a violation pursuant to Government Code Section 83116: (1) the seriousness of the violation;  
2 (2) the presence or absence of any intention to conceal, deceive or mislead; (3) whether the violation was  
3 deliberate, negligent or inadvertent; (4) whether the violator demonstrated good faith by consulting the  
4 Commission staff or any other government agency in a manner not constituting a complete defense under  
5 Government Code Section 83114(b); (5) whether the violation was isolated or part of a pattern and whether  
6 the violator has a prior record of violations of the Political Reform Act or similar laws; and (6) whether  
7 the violator, upon learning of a reporting violation, voluntarily filed amendments to provide full  
8 disclosure.

9 63. That the Commission grant such other and further relief as it deems just and proper.

10  
11 Dated:

17 May 18

  
\_\_\_\_\_  
Galena West  
Chief of Enforcement  
Fair Political Practices Commission

# **EXHIBIT A-11**

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Default Decision and Order  
FPPC No. 15/150

<i>Attorney or Party without Attorney:</i> <b>FAIR POLITICAL PRACTICES COMMISSION</b> 1102 Q STREET #3000 SACRAMENTO, CA 95811 Telephone No: 916-322-5660      FAX No: 916-322-0886			<i>For Court Use Only</i>		
Attorney for:			Ref. No. or File No.:		
Insert name of Court, and Judicial District and Branch Court: <b>Before The Fair Political Practices Commission State Of California</b>					
: MATTER OF; : VIOLETA ALVAREZ, ET AL					
<b>PROOF OF SERVICE</b>		Hearing Date:	Time:	Dept/Div:	Case Number: 15/150

1. At the time of service I was at least 18 years of age and not a party to this action.
2. I served copies of the STATEMENT TO RESPONDENT; FIRST AMENDED ACCUSATION; NOTICE OF DEFENSE (TWO COPIES); SELECTED SECTIONS OF THE CALIFORNIA GOVERNMENT CODE, ADMINISTRATIVE PROCEDURE ACT
3. a. Party served: VIOLETA ALVAREZ
4. Address where the party was served: [REDACTED]
5. I served the party:
  - a. by **personal service**. I personally delivered the documents listed in item 2 to the party or person authorized to receive service of process for the party (1) on: Sat., Jun. 02, 2018 (2) at: 8:26AM

**7. Person Who Served Papers:**

a. CRUZ GONZALEZ

Recoverable Cost Per CCP 1033.5(a)(4)(B)

d. **The Fee for Service was:** \$25.00



**HANSEN'S GUARANTEED PROCESS CO.**  
 8504 FIRESTONE BOULEVARD #197  
 DOWNEY, CA 90241  
 (562)803-6400, FAX (562)803-6456  
 gary@hansensguaranteed.com  
 www.hansensguaranteed.com



e. I am: (3) registered California process server

- (i) Independent Contractor
- (ii) Registration No.: 3415
- (iii) County: Los Angeles
- (iv) Expiration Date: Sun, Jul. 15, 2018

8. I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date: Thu, Jun. 07, 2018

<i>Attorney or Party without Attorney:</i> <b>FAIR POLITICAL PRACTICES COMMISSION</b> 1102 Q STREET #3000 SACRAMENTO, CA 95811 Telephone No: 916-322-5660      FAX No: 916-322-0886				<i>For Court Use Only</i>
<i>Attorney for:</i>				
<i>Insert name of Court, and Judicial District and Branch Court:</i> <b>Before The Fair Political Practices Commission State Of California</b>				
MATTER OF; VIOLETA ALVAREZ, ET AL				
<b>PROOF OF SERVICE</b>	<i>Hearing Date:</i>	<i>Time:</i>	<i>Dept/Div:</i>	<i>Case Number:</i> 15/150

1. At the time of service I was at least 18 years of age and not a party to this action.
2. I served copies of the STATEMENT TO RESPONDENT; FIRST AMENDED ACCUSATION; NOTICE OF DEFENSE (TWO COPIES); SELECTED SECTIONS OF THE CALIFORNIA GOVERNMENT CODE, ADMINISTRATIVE PROCEDURE ACT
3. a. Party served: RE-ELECT VIOLETA ALVAREZ FOR BELL CITY COUNCIL 2015  
 b. Person served: VIOLETA ALVAREZ-AUTHORIZED TO ACCEPT
4. Address where the party was served: [REDACTED]
5. I served the party:
  - a. by **personal service**. I personally delivered the documents listed in item 2 to the party or person authorized to receive service of process for the party (1) on: Sat., Jun. 02, 2018 (2) at: 8:26AM

7. **Person Who Served Papers:**

a. CRUZ GONZALEZ

- Recoverable Cost Per CCP 1033.5(a)(4)(B)
- d. **The Fee for Service** was: \$25.00
  - e. I am: (3) registered California process server
    - (i) Independent Contractor
    - (ii) Registration No.: 3415
    - (iii) County: Los Angeles
    - (iv) Expiration Date: Sun, Jul. 15, 2018



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 gary@hansensguaranteed.com  
 www.hansensguaranteed.com



8. I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.  
 Date: Thu, Jun. 07, 2018

*[Handwritten Signature]*

<i>Attorney, or Party without Attorney:</i> <b>FAIR POLITICAL PRACTICES COMMISSION</b> 1102 Q STREET #3000 SACRAMENTO, CA 95811 Telephone No: 916-322-5660      FAX No: 916-322-0886				<i>For Court Use Only</i>	
<i>Attorney for:</i>				<i>Ref. No. or File No.:</i>	
<i>Insert name of Court, and Judicial District and Branch Court:</i> <b>Before The Fair Political Practices Commission State Of California</b>					
: MATTER OF; : VIOLETA ALVAREZ, ET AL					
<b>PROOF OF SERVICE</b>		<i>Hearing Date:</i>	<i>Time:</i>	<i>Dept/Div:</i>	<i>Case Number:</i> 15/150

1. At the time of service I was at least 18 years of age and not a party to this action.
2. I served copies of the Statement To Respondent; First Amended Accusation; Notice Of Defense (Two Copies); Selected Sections Of The California Government Code, Administrative Procedure Act

3. a. Party served: MARIO ESTRADA  
 b. Person served: VIOLETA ALVAREZ-CO-OCCUPANT

4. Address where the party was served: [REDACTED]

5. I served the party:  
 b. by substituted service. On: Sat., Jun. 02, 2018 at: 8:26AM by leaving the copies with or in the presence of:  
 VIOLETA ALVAREZ-CO-OCCUPANT  
 (2) (Home) Competent Member of the Household over 18. I informed him or her of the general nature of the papers.  
 (4) I thereafter mailed (by first-class, postage prepaid) copies of the documents to the person to be served at the place where the copies were left (Code Civ. Proc., 415.20). I mailed the document on: Wed., Jun. 06, 2018 from: DOWNEY, CA

7. Person Who Served Papers:

a. CRUZ GONZALEZ

Recoverable Cost Per CCP 1033.5(a)(4)(B)

d. The Fee for Service was: \$65.00



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 DOWNEY, CA 90241  
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 gary@hansensguaranteed.com  
 www.hansensguaranteed.com



e. I am: (3) registered California process server  
 (i) Independent Contractor  
 (ii) Registration No.: 3415  
 (iii) County: Los Angeles  
 (iv) Expiration Date: Sun, Jul. 15, 2018

8. I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date: Thu, Jun. 07, 2018

<i>Attorney, or Party without Attorney:</i> FAIR POLITICAL PRACTICES COMMISSION 1102 Q STREET #3000 SACRAMENTO, CA 95811  Telephone No: 916-322-5660      FAX: No: 916-322-0886				<i>For Court Use Only</i>	
<i>Attorney for:</i>				Ref. No or File No.:	
<i>Insert name of Court, and Judicial District and Branch Court:</i> Before The Fair Political Practices Commission State Of California					
MATTER OF; VIOLETA ALVAREZ, ET AL					
<b>AFFIDAVIT OF REASONABLE DILIGENCE</b>		<i>Hearing Date:</i>	<i>Time:</i>	<i>Dept/Div:</i>	<i>Case Number:</i> 15/150

1. I, CRUZ GONZALEZ, and any employee or independent contractors retained by HANSEN'S GUARANTEED PROCESS CO. are and were on the dates mentioned herein over the age of eighteen years and not a party to this action. Personal service was attempted on Defendant MARIO ESTRADA as follows:

2. *Documents:* STATEMENT TO RESPONDENT; FIRST AMENDED ACCUSATION; NOTICE OF DEFENSE (TWO COPIES); SELECTED SECTIONS OF THE CALIFORNIA GOVERNMENT CODE, ADMINISTRATIVE PROCEDURE ACT.

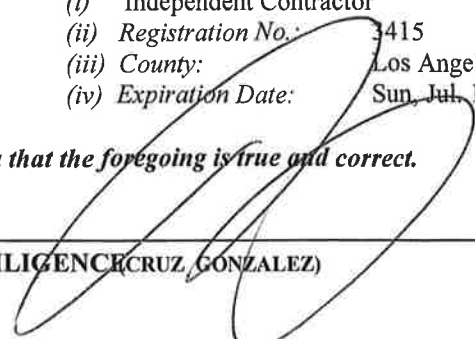
Day	Date	Time	Location	Results
Thu	05/31/18	8:00am	Home	NO ANSWER Attempt made by: CRUZ GONZALEZ. Attempt at: 6834 OTIS AVENUE BELL CA 90201.
Fri	06/01/18	5:20pm	Home	NO ANSWER Attempt made by: CRUZ GONZALEZ. Attempt at: 6834 OTIS AVENUE BELL CA 90201.
Sat	06/02/18	8:26am	Home	Substituted Service on: MARIO ESTRADA Home 6834 OTIS AVENUE BELL, CA 90201 by Serving: VIOLETA ALVAREZ-CO-OCCUPANT Competent Member of the Household over 18. Served by: CRUZ GONZALEZ
Wed	06/06/18			Mailed copy of Documents to: MARIO ESTRADA

3. *Person Executing*  
 a. CRUZ GONZALEZ  
 b. HANSEN'S GUARANTEED PROCESS CO.  
 8504 FIRESTONE BOULEVARD #197  
 DOWNEY, CA 90241  
 c. (562)803-6400, FAX (562)803-6456

Recoverable Costs Per CCP 1033.5(a)(4)(B)  
**d. The Fee for service was: \$65.00**  
 e. *I am:* (3) registered California process server  
 (i) Independent Contractor  
 (ii) *Registration No.:* 3415  
 (iii) *County:* Los Angeles  
 (iv) *Expiration Date:* Sun, Jul. 15, 2018

4. *I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.*  
 Date: Thu, Jun. 07, 2018

AFFIDAVIT OF REASONABLE DILIGENCE (CRUZ, GONZALEZ)



# **EXHIBIT A-12**

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Default Decision and Order  
FPPC No. 15/150



## FAIR POLITICAL PRACTICES COMMISSION

1102 Q Street • Suite 3000 • Sacramento, CA 95811

### STATEMENT TO RESPONDENT

[Government Code Section 11505, subdivision (b)]

***Violeta Alvarez, Re-Elect Violeta Alvarez For Bell City Council 2015, and Mario Estrada  
FPPC Case No. 15/150***

Enclosed is a First Amended Accusation, which was filed with the Fair Political Practices Commission (the "FPPC") and which is hereby served upon you, along with two copies of a Notice of Defense and Government Code Sections 11506 through 11508.

Unless a written request for a hearing signed by you or on your behalf is delivered or mailed to the FPPC within 15 days after the First Amended Accusation was served on you, the FPPC may proceed upon the First Amended Accusation without a hearing. The request for a hearing may be made by delivering or mailing the enclosed form entitled Notice of Defense, or by delivering or mailing a notice of defense as provided by Section 11506 of the Government Code to the Commission Assistant at the FPPC.

You may, but need not, be represented by counsel at any or all stages of these proceedings.

If you desire a list of the names and addresses of witnesses against you, or an opportunity to inspect and copy the items mentioned in Section 11507.6 of the Government Code that are in the possession, custody, or control of this agency, or if you wish to discuss the possibility of resolving this matter without a formal hearing, you may contact Angela J. Brereton, Senior Commission Counsel, FPPC Enforcement Division.

The hearing may be postponed for good cause. If you have good cause, you are obliged to notify the FPPC or, if an administrative law judge has been assigned to the hearing, the Office of Administrative Hearings, within 10 working days after you discover the good cause. Failure to give notice within 10 days will deprive you of a postponement.

After a hearing, the FPPC will consider the following factors in determining whether to assess a penalty (Title 2, California Code of Regulations, Section 18361.5, subdivision (d).):

1. The seriousness of the violation;
2. The presence or absence of any intention to conceal, deceive, or mislead;
3. Whether the violation was deliberate, negligent, or inadvertent;
4. Whether the violator demonstrated good faith by consulting Commission staff or any other government agency in a manner not constituting a complete defense under Government Code Section 83114, subdivision (b);
5. Whether the violation was isolated or part of a pattern;
6. Whether the violator has a prior record of violations of the Political Reform Act or similar laws; and
7. Whether the violator, upon learning of a reporting violation, voluntarily filed amendments to provide full disclosure.





*Before the Fair Political Practices Commission*

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**State of California**

In the Matter of )  
 )  
 )  
 VIOLETA ALVAREZ, RE-ELECT ) **NOTICE OF DEFENSE**  
 VIOLETA ALVAREZ FOR BELL CITY ) (Pursuant to Gov. Code § 11506)  
 COUNCIL 2015, and MARIO )  
 ESTRADA, ) FPPC Case No. 15/150  
 )  
 )  
 )  
 )  
 Respondents. )

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VIOLETA ALVAREZ, a Respondent named in the above entitled proceeding, hereby acknowledges receipt of the **First Amended Accusation**, a copy of the Statement to Respondent, a copy of Government Code Sections 11506 through 11508, and two copies of a *NOTICE OF DEFENSE*.

Pursuant to Government Code Section 11506, subdivision (a), you may file this *NOTICE OF DEFENSE* requesting a hearing on the grounds listed below. Failure to file this *NOTICE OF DEFENSE* shall constitute a waiver of your right to a hearing. If you waive your right to a hearing, you may file a statement of mitigation by separate letter that will be considered by the Commission in assessing any penalties for the violations alleged in the First Amended Accusation.

If you wish to file a *NOTICE OF DEFENSE*, please check **all** applicable grounds for the *NOTICE OF DEFENSE*, complete the remainder of the form, and mail to the Commission within fifteen (15) days of receipt of the First Amended Accusation.

**GROUNDNS FOR NOTICE OF DEFENSE**

- 1) I request a hearing;
- 2) I object to the First Amended Accusation upon the ground that it does not state acts or omissions upon which the agency may proceed;
- 3) I object to the form of the First Amended Accusation on the ground that it is so indefinite or uncertain that I cannot identify the transaction that is the subject of the First Amended Accusation or prepare my defense;
- 4) I admit the First Amended Accusation in whole or in part (check box "a" or "b");
  - a) I admit the First Amended Accusation in whole.
  - b) I admit the First Amended Accusation in part as indicated below:

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- 5) I wish to present new matter by way of defense;
- 6) I object to the First Amended Accusation upon the ground that, under the circumstances, compliance with the requirements of a regulation of the Fair Political Practices Commission would result in a material violation of another regulation enacted by another department affecting substantive rights.

Dated: \_\_\_\_\_

\_\_\_\_\_  
Respondent Signature

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Mailing Address

\_\_\_\_\_  
City, State, Zip



*Before the Fair Political Practices Commission*

**State of California**

In the Matter of )  
)  
)  
VIOLETA ALVAREZ, RE-ELECT ) **NOTICE OF DEFENSE**  
VIOLETA ALVAREZ FOR BELL CITY ) (Pursuant to Gov. Code § 11506)  
COUNCIL 2015, and MARIO )  
ESTRADA, ) FPPC Case No. 15/150  
)  
)  
)  
)  
Respondents. )

VIOLETA ALVAREZ, a Respondent named in the above entitled proceeding, hereby acknowledges receipt of the **First Amended Accusation**, a copy of the Statement to Respondent, a copy of Government Code Sections 11506 through 11508, and two copies of a *NOTICE OF DEFENSE*.

Pursuant to Government Code Section 11506, subdivision (a), you may file this *NOTICE OF DEFENSE* requesting a hearing on the grounds listed below. Failure to file this *NOTICE OF DEFENSE* shall constitute a waiver of your right to a hearing. If you waive your right to a hearing, you may file a statement of mitigation by separate letter that will be considered by the Commission in assessing any penalties for the violations alleged in the First Amended Accusation.

If you wish to file a *NOTICE OF DEFENSE*, please check **all** applicable grounds for the *NOTICE OF DEFENSE*, complete the remainder of the form, and mail to the Commission within fifteen (15) days of receipt of the First Amended Accusation.

**GROUNDS FOR NOTICE OF DEFENSE**

- 1) I request a hearing;
- 2) I object to the First Amended Accusation upon the ground that it does not state acts or omissions upon which the agency may proceed;
- 3) I object to the form of the First Amended Accusation on the ground that it is so indefinite or uncertain that I cannot identify the transaction that is the subject of the First Amended Accusation or prepare my defense;
- 4) I admit the First Amended Accusation in whole or in part (check box "a" or "b");
  - a) I admit the First Amended Accusation in whole.
  - b) I admit the First Amended Accusation in part as indicated below:

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- 5) I wish to present new matter by way of defense;
- 6) I object to the First Amended Accusation upon the ground that, under the circumstances, compliance with the requirements of a regulation of the Fair Political Practices Commission would result in a material violation of another regulation enacted by another department affecting substantive rights.

Dated: \_\_\_\_\_

\_\_\_\_\_  
Respondent Signature

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Mailing Address

\_\_\_\_\_  
City, State, Zip



*Before the Fair Political Practices Commission*

**State of California**

In the Matter of )  
)  
)  
VIOLETA ALVAREZ, RE-ELECT ) **NOTICE OF DEFENSE**  
VIOLETA ALVAREZ FOR BELL CITY ) (Pursuant to Gov. Code § 11506)  
COUNCIL 2015, and MARIO )  
ESTRADA, ) FPPC Case No. 15/150  
)  
)  
)  
)  
)  
Respondents. )

MARIO ESTRADA, a Respondent named in the above entitled proceeding, hereby acknowledges receipt of the **First Amended Accusation**, a copy of the Statement to Respondent, a copy of Government Code Sections 11506 through 11508, and two copies of a *NOTICE OF DEFENSE*.

Pursuant to Government Code Section 11506, subdivision (a), you may file this *NOTICE OF DEFENSE* requesting a hearing on the grounds listed below. Failure to file this *NOTICE OF DEFENSE* shall constitute a waiver of your right to a hearing. If you waive your right to a hearing, you may file a statement of mitigation by separate letter that will be considered by the Commission in assessing any penalties for the violations alleged in the First Amended Accusation.

If you wish to file a *NOTICE OF DEFENSE*, please check **all** applicable grounds for the *NOTICE OF DEFENSE*, complete the remainder of the form, and mail to the Commission within fifteen (15) days of receipt of the First Amended Accusation.

**GROUNDS FOR NOTICE OF DEFENSE**

- 1) I request a hearing;
- 2) I object to the First Amended Accusation upon the ground that it does not state acts or omissions upon which the agency may proceed;
- 3) I object to the form of the First Amended Accusation on the ground that it is so indefinite or uncertain that I cannot identify the transaction that is the subject of the First Amended Accusation or prepare my defense;
- 4) I admit the First Amended Accusation in whole or in part (check box "a" or "b");
  - a) I admit the First Amended Accusation in whole.
  - b) I admit the First Amended Accusation in part as indicated below:  
  

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- 5) I wish to present new matter by way of defense;
- 6) I object to the First Amended Accusation upon the ground that, under the circumstances, compliance with the requirements of a regulation of the Fair Political Practices Commission would result in a material violation of another regulation enacted by another department affecting substantive rights.

Dated: \_\_\_\_\_

\_\_\_\_\_  
Respondent Signature

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Mailing Address

\_\_\_\_\_  
City, State, Zip



*Before the Fair Political Practices Commission*

---

**State of California**

In the Matter of )  
)  
)  
VIOLETA ALVAREZ, RE-ELECT ) **NOTICE OF DEFENSE**  
VIOLETA ALVAREZ FOR BELL CITY ) (Pursuant to Gov. Code § 11506)  
COUNCIL 2015, and MARIO )  
ESTRADA, ) FPPC Case No. 15/150  
)  
)  
)  
)  
Respondents. )

---

MARIO ESTRADA, a Respondent named in the above entitled proceeding, hereby acknowledges receipt of the **First Amended Accusation**, a copy of the Statement to Respondent, a copy of Government Code Sections 11506 through 11508, and two copies of a *NOTICE OF DEFENSE*.

Pursuant to Government Code Section 11506, subdivision (a), you may file this *NOTICE OF DEFENSE* requesting a hearing on the grounds listed below. Failure to file this *NOTICE OF DEFENSE* shall constitute a waiver of your right to a hearing. If you waive your right to a hearing, you may file a statement of mitigation by separate letter that will be considered by the Commission in assessing any penalties for the violations alleged in the First Amended Accusation.

If you wish to file a *NOTICE OF DEFENSE*, please check **all** applicable grounds for the *NOTICE OF DEFENSE*, complete the remainder of the form, and mail to the Commission within fifteen (15) days of receipt of the First Amended Accusation.

**GROUND FOR NOTICE OF DEFENSE**

- 1) I request a hearing;
- 2) I object to the First Amended Accusation upon the ground that it does not state acts or omissions upon which the agency may proceed;
- 3) I object to the form of the First Amended Accusation on the ground that it is so indefinite or uncertain that I cannot identify the transaction that is the subject of the First Amended Accusation or prepare my defense;
- 4) I admit the First Amended Accusation in whole or in part (check box "a" or "b");
  - a) I admit the First Amended Accusation in whole.
  - b) I admit the First Amended Accusation in part as indicated below:

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- 5) I wish to present new matter by way of defense;
- 6) I object to the First Amended Accusation upon the ground that, under the circumstances, compliance with the requirements of a regulation of the Fair Political Practices Commission would result in a material violation of another regulation enacted by another department affecting substantive rights.

Dated: \_\_\_\_\_

\_\_\_\_\_  
Respondent Signature

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Mailing Address

\_\_\_\_\_  
City, State, Zip



## **California Government Code sections 11506 through 11508**

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### **§ 11506. Filing of notice of defense or notice of participation; Contents; Right to hearing on the merits**

(a) Within 15 days after service of the accusation or District Statement of Reduction in Force the respondent may file with the agency a notice of defense, or, as applicable, notice of participation, in which the respondent may:

- (1) Request a hearing.
- (2) Object to the accusation or District Statement of Reduction in Force upon the ground that it does not state acts or omissions upon which the agency may proceed.
- (3) Object to the form of the accusation or District Statement of Reduction in Force on the ground that it is so indefinite or uncertain that the respondent cannot identify the transaction or prepare a defense.
- (4) Admit the accusation or District Statement of Reduction in Force in whole or in part.
- (5) Present new matter by way of defense.
- (6) Object to the accusation or District Statement of Reduction in Force upon the ground that, under the circumstances, compliance with the requirements of a regulation would result in a material violation of another regulation enacted by another department affecting substantive rights.

(b) Within the time specified the respondent may file one or more notices of defense, or, as applicable, notices of participation, upon any or all of these grounds but all of these notices shall be filed within that period unless the agency in its discretion authorizes the filing of a later notice.

(c) The respondent shall be entitled to a hearing on the merits if the respondent files a notice of defense or notice of participation, and the notice shall be deemed a specific denial of all parts of the accusation or District Statement of Reduction in Force not expressly admitted. Failure to file a notice of defense or notice of participation shall constitute a waiver of respondent's right to a hearing, but the agency in its discretion may nevertheless grant a hearing. Unless objection is taken as provided in paragraph (3) of subdivision (a), all objections to the form of the accusation or District Statement of Reduction in Force shall be deemed waived.

(d) The notice of defense or notice of participation shall be in writing signed by or on behalf of the respondent and shall state the respondent's mailing address. It need not be verified or follow any particular form.

(e) As used in this section, "file," "files," "filed," or "filing" means "delivered or mailed" to the agency as provided in Section 11505.

**HISTORY:** Added Stats 1945 ch 867 § 1. Amended Stats 1963 ch 931 § 1; Stats 1982 ch 606 § 1; Stats 1986 ch 951 § 20; Stats 1995 ch 938 § 29 (SB 523), operative July 1, 1997; Stats 2013 ch 90 § 5 (SB 546), effective January 1, 2014.

### **§ 11507. Amended or supplemental accusation or District Statement of Reduction in Force; Objections**

At any time before the matter is submitted for decision, the agency may file, or permit the filing of, an amended or supplemental accusation or District Statement of Reduction in Force. All parties shall be notified of the filing. If the amended or supplemental accusation or District Statement of Reduction in Force presents new charges, the agency shall afford the respondent a reasonable opportunity to prepare his or her defense to the new charges, but he or she shall not be entitled to file a further pleading unless the agency in its discretion so orders. Any new charges shall be deemed controverted, and any objections to the amended or supplemental accusation or District Statement of Reduction in Force may be made orally and shall be noted in the record.

**HISTORY:** Added Stats 1945 ch 867 § 1. Amended Stats 2013 ch 90 § 6 (SB 546), effective January 1, 2014; Stats 2014 ch 71 § 69 (SB 1304), effective January 1, 2015.

#### **§ 11507.3. Consolidated proceedings; Separate hearings**

(a) When proceedings that involve a common question of law or fact are pending, the administrative law judge on the judge's own motion or on motion of a party may order a joint hearing of any or all the matters at issue in the proceedings. The administrative law judge may order all the proceedings consolidated and may make orders concerning the procedure that may tend to avoid unnecessary costs or delay.

(b) The administrative law judge on the judge's own motion or on motion of a party, in furtherance of convenience or to avoid prejudice or when separate hearings will be conducive to expedition and economy, may order a separate hearing of any issue, including an issue raised in the notice of defense or notice of participation, or of any number of issues.

**HISTORY:** Added Stats 1995 ch 938 § 30 (SB 523), operative July 1, 1997. Amended Stats 2013 ch 90 § 7 (SB 546), effective January 1, 2014.

///

### **§ 11507.5. Exclusivity of discovery provisions**

The provisions of Section 11507.6 provide the exclusive right to and method of discovery as to any proceeding governed by this chapter.

**HISTORY:** Added Stats 1968 ch 808 § 3.

### **§ 11507.6. Request for discovery**

After initiation of a proceeding in which a respondent or other party is entitled to a hearing on the merits, a party, upon written request made to another party, prior to the hearing and within 30 days after service by the agency of the initial pleading or within 15 days after the service of an additional pleading, is entitled to (1) obtain the names and addresses of witnesses to the extent known to the other party, including, but not limited to, those intended to be called to testify at the hearing, and (2) inspect and make a copy of any of the following in the possession or custody or under the control of the other party:

(a) A statement of a person, other than the respondent, named in the initial administrative pleading, or in any additional pleading, when it is claimed that the act or omission of the respondent as to this person is the basis for the administrative proceeding;

(b) A statement pertaining to the subject matter of the proceeding made by any party to another party or person;

(c) Statements of witnesses then proposed to be called by the party and of other persons having personal knowledge of the acts, omissions or events which are the basis for the proceeding, not included in (a) or (b) above;

(d) All writings, including, but not limited to, reports of mental, physical and blood examinations and things which the party then proposes to offer in evidence;

(e) Any other writing or thing which is relevant and which would be admissible in evidence;

(f) Investigative reports made by or on behalf of the agency or other party pertaining to the subject matter of the proceeding, to the extent that these reports (1) contain the names and addresses of witnesses or of persons having personal knowledge of the acts, omissions or events which are the basis for the proceeding, or (2) reflect matters perceived by the investigator in the course of his or her investigation, or (3) contain or include by attachment any statement or writing described in (a) to (e), inclusive, or summary thereof.

For the purpose of this section, "statements" include written statements by the person signed or otherwise authenticated by him or her, stenographic, mechanical, electrical or other recordings, or transcripts thereof, of oral statements by the person, and written reports or summaries of these oral statements.

Nothing in this section shall authorize the inspection or copying of any writing or thing which is privileged from disclosure by law or otherwise made confidential or protected as the attorney's work product.

**HISTORY:** Added Stats 1968 ch 808 § 4. Amended Stats 1985 ch 1328 § 5; Stats 1995 ch 938 § 31 (SB 523), operative July 1, 1997.

**§ 11507.7. Motion to compel discovery; Order**

(a) Any party claiming the party's request for discovery pursuant to Section 11507.6 has not been complied with may serve and file with the administrative law judge a motion to compel discovery, naming as respondent the party refusing or failing to comply with Section 11507.6. The motion shall state facts showing the respondent party failed or refused to comply with Section 11507.6, a description of the matters sought to be discovered, the reason or reasons why the matter is discoverable under that section, that a reasonable and good faith attempt to contact the respondent for an informal resolution of the issue has been made, and the ground or grounds of respondent's refusal so far as known to the moving party.

(b) The motion shall be served upon respondent party and filed within 15 days after the respondent party first evidenced failure or refusal to comply with Section 11507.6 or within 30 days after request was made and the party has failed to reply to the request, or within another time provided by stipulation, whichever period is longer.

(c) The hearing on the motion to compel discovery shall be held within 15 days after the motion is made, or a later time that the administrative law judge may on the judge's own motion for good cause determine. The respondent party shall have the right to serve and file a written answer or other response to the motion before or at the time of the hearing.

(d) Where the matter sought to be discovered is under the custody or control of the respondent party and the respondent party asserts that the matter is not a discoverable matter under the provisions of Section 11507.6, or is privileged against disclosure under those provisions, the administrative law judge may order lodged with it matters provided in subdivision (b) of *Section 915 of the Evidence Code* and examine the matters in accordance with its provisions.

(e) The administrative law judge shall decide the case on the matters examined in camera, the papers filed by the parties, and such oral argument and additional evidence as the administrative law judge may allow.

(f) Unless otherwise stipulated by the parties, the administrative law judge shall no later than 15 days after the hearing make its order denying or granting the motion. The order shall be in writing setting forth the matters the moving party is entitled to discover under Section 11507.6. A copy of the order shall forthwith be served by mail by the administrative law judge upon the parties. Where the order grants the motion in whole or in part, the order shall not become

effective until 10 days after the date the order is served. Where the order denies relief to the moving party, the order shall be effective on the date it is served.

**HISTORY:** Added Stats 1968 ch 808 § 5. Amended Stats 1971 ch 1303 § 8; Stats 1980 ch 548 § 2; Stats 1995 ch 938 § 32 (SB 523), operative July 1, 1997.

**§ 11508. Time and place of hearing**

(a) The agency shall consult the office, and subject to the availability of its staff, shall determine the time and place of the hearing. The hearing shall be held at a hearing facility maintained by the office in Sacramento, Oakland, Los Angeles, or San Diego and shall be held at the facility that is closest to the location where the transaction occurred or the respondent resides.

(b) Notwithstanding subdivision (a), the hearing may be held at either of the following places:

(1) A place selected by the agency that is closer to the location where the transaction occurred or the respondent resides.

(2) A place within the state selected by agreement of the parties.

(c) The respondent may move for, and the administrative law judge has discretion to grant or deny, a change in the place of the hearing. A motion for a change in the place of the hearing shall be made within 10 days after service of the notice of hearing on the respondent.

Unless good cause is identified in writing by the administrative law judge, hearings shall be held in a facility maintained by the office.

**HISTORY:** Added Stats 1945 ch 867 § 1. Amended Stats 1963 ch 710 § 1; Stats 1967 ch 17 § 39; Stats 1987 ch 50 § 1; Stats 1995 ch 938 § 33 (SB 523), operative July 1, 1997; Stats 2005 ch 674 § 22 (SB 231), effective January 1, 2006.

**PROOF OF SERVICE**

At the time of service, I was over 18 years of age and not a party to this action. My business address is Fair Political Practices Commission, 1102 Q Street, Suite 3000, Sacramento, California 95811. On May 23, 2018, I served the following document(s):

1. Statement to Respondent;
2. FPPC Case No. 15/150: First Amended Accusation;
3. Notice of Defense (Two Copies);
4. Selected Sections of the California Government Code, Administrative Procedure Act.

**By Personal Delivery.** I personally delivered the document(s) listed above to the person(s) at the address(es) as shown on the service list below.

**By personal service.** At 1:05 a.m./p.m.;

I personally delivered the document(s) listed above to the person(s) at the address(es) as shown on the service list below.

By providing the document(s) listed above with instructions for registered process server to personally deliver the envelope(s) to the person(s) at the address(es) set forth on the service list below. **The signed proof of service by the registered process server will be attached as soon as it is available.**

I am a resident or employed in the county where the mailing occurred. The envelope or package was placed in the mail in Sacramento County, California.

**SERVICE LIST**

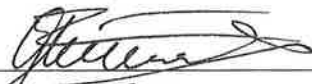
Personal Service

Violeta Alvarez  
[REDACTED]  
[REDACTED]

Mario Estrada  
[REDACTED]  
[REDACTED]

Violeta Alvarez, o/b/o  
Re-Elect Violeta Alvarez for  
Bell City Council 2015  
[REDACTED]  
[REDACTED]

I declare under penalty of perjury under the laws of the State of California that the above is true and correct. Executed on May 21, 2018.

  
\_\_\_\_\_  
Suzanna Gevorkyan

# **EXHIBIT A-13**

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Default Decision and Order  
FPPC No. 15/150

**Recipient Committee  
Campaign Statement  
Cover Page**

Date Stamp  
AUG 09 2015

Page 1 of 3  
For Official Use Only

Date of election if applicable:  
(Month, Day, Year)  
03/05/15

Statement covers period  
from 1/1/14  
through 6/30/14

SEE INSTRUCTIONS ON REVERSE

**1. Type of Recipient Committee:** All Committees - Complete Parts 1, 2, 3, and 4.

- Officeholder, Candidate Controlled Committee
- State Candidate Election Committee
- Recall (Also Complete Part 5)
- General Purpose Committee
- Sponsored
- Small Contributor Committee
- Political Party/Central Committee
- Primarily Formed Ballot Measure Committee
- Controlled
- Sponsored (Also Complete Part 6)
- Primarily Formed Candidate/Officeholder Committee (Also Complete Part 7)

**2. Type of Statement:**

- Pre-election Statement
- Semi-annual Statement
- Termination Statement (Also file a Form 410 Termination)
- Amendment (Explain below)
- Quarterly Statement
- Special Odd-Year Report

**3. Committee Information**

COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE)

Re-Elect Violeta Alvarez For Bell City Council 2015  
 STREET ADDRESS (NO P.O. BOX)  
6034 OTIS AVE  
 CITY Bell STATE CA ZIP CODE 90201 AREA CODE/PHONE 323 812 6841  
 MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BOX

I.D. NUMBER 1362674

**Treasurer(s)**

NAME OF TREASURER Violeta Alvarez  
 MAILING ADDRESS 6034 OTIS AVE CITY Bell STATE CA ZIP CODE 90201 AREA CODE/PHONE 323 812 6841  
 NAME OF ASSISTANT TREASURER, IF ANY  
 MAILING ADDRESS

CITY STATE ZIP CODE AREA CODE/PHONE

OPTIONAL: FAX / E-MAIL ADDRESS

**4. Verification**

I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 8/9/2016 Date  
 Executed on 8/9/2016 Date  
 Executed on \_\_\_\_\_ Date  
 Executed on \_\_\_\_\_ Date

By [Signature] Signature of Treasurer or Assistant Treasurer  
 By [Signature] Signature of Controlling Officerholder, Candidate, State Measure Proponent or Responsible Officer of Sponsor  
 By \_\_\_\_\_ Signature of Controlling Officerholder, Candidate, State Measure Proponent  
 By \_\_\_\_\_ Signature of Controlling Officerholder, Candidate, State Measure Proponent



**Recipient Committee  
Campaign Statement  
Cover Page --- Part 2**

**5. Officeholder or Candidate Controlled Committee**

NAME OF OFFICEHOLDER OR CANDIDATE  
YOLETA ALVAREZ

OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)  
Bell City Council

RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET) CITY STATE ZIP  
6340 TTS AVE Bell Ca 90201

**Related Committees Not Included in this Statement:** List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or make expenditures on behalf of your candidacy.

COMMITTEE NAME	I.D. NUMBER
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)
CITY	STATE ZIP CODE AREA CODE/PHONE
COMMITTEE NAME	I.D. NUMBER
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)
CITY	STATE ZIP CODE AREA CODE/PHONE

**6. Primarily Formed Ballot Measure Committee**

NAME OF BALLOT MEASURE

BALLOT NO. OR LETTER	JURISDICTION	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
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Identify the controlling officeholder, candidate, or state measure proponent, if any.

NAME OF OFFICEHOLDER, CANDIDATE, OR PROPONENT

OFFICE SOUGHT OR HELD

DISTRICT NO. IF ANY

**7. Primarily Formed Candidate/Officeholder Committee** List names of officeholder(s) or candidate(s) for which this committee is primarily formed.

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE

Attach continuation sheets if necessary

# Campaign Disclosure Statement Summary Page

Amounts may be rounded to whole dollars.

SUMMARY PAGE

Statement covers period  
from 1/1/14 through 4/30/14

CALIFORNIA FORM 460

Page 3 of 3  
I.D. NUMBER

SEE INSTRUCTIONS ON REVERSE  
NAME OF FILER Xioleta Alvarez for Bell city Council 2011

## Contributions Received

	Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B CALENDAR YEAR TOTAL TO DATE
1. Monetary Contributions.....	Schedule A, Line 3 \$	\$
2. Loans Received.....	Schedule B, Line 3	
3. SUBTOTAL CASH CONTRIBUTIONS.....	Add Lines 1 + 2 \$	\$
4. Nonmonetary Contributions.....	Schedule C, Line 3	
5. TOTAL CONTRIBUTIONS RECEIVED.....	Add Lines 3 + 4 \$	\$

## Expenditures Made

6. Payments Made.....	Schedule E, Line 4 \$	\$
7. Loans Made.....	Schedule H, Line 3	
8. SUBTOTAL CASH PAYMENTS.....	Add Lines 6 + 7 \$	\$
9. Accrued Expenses (Unpaid Bills).....	Schedule F, Line 3	
10. Nonmonetary Adjustment.....	Schedule C, Line 3	
11. TOTAL EXPENDITURES MADE.....	Add Lines 8 + 9 + 10 \$	\$

## Current Cash Statement

12. Beginning Cash Balance.....	Previous Summary Page, Line 16 \$	\$
13. Cash Receipts.....	Column A, Line 3 above	
14. Miscellaneous Increases to Cash.....	Schedule I, Line 4	
15. Cash Payments.....	Column A, Line 8 above	
16. ENDING CASH BALANCE.....	Add Lines 12 + 13 + 14, then subtract Line 15 \$	\$

*If this is a termination statement, Line 16 must be zero.*

## 17. LOAN GUARANTEES RECEIVED.....

Schedule B, Part 2 \$	\$
-----------------------	----

## Cash Equivalents and Outstanding Debts

18. Cash Equivalents.....	See instructions on reverse \$	\$
19. Outstanding Debts.....	Add Line 2 + Line 9 in Column B above \$	\$

## Calendar Year Summary for Candidates Running in Both the State Primary and General Elections

	1/1 through 6/30	7/1 to Date
20. Contributions Received \$	\$	\$
21. Expenditures Made \$	\$	\$

## Expenditure Limit Summary for State Candidates

22. Cumulative Expenditures Made* (If Subject to Voluntary Expenditure Limit)	Total to Date
Date of Election (mm/dd/yy)	_____ / _____ / _____
_____ / _____ / _____	\$ _____
_____ / _____ / _____	\$ _____

\*Amounts in this section may be different from amounts reported in Column B.

To calculate Column B, add amounts in Column A to the corresponding amounts from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).

# Recipient Committee Campaign Statement Cover Page

COVER PAGE

CALIFORNIA  
FORM  
**460**

Page 1 of 2

For Official Use Only

Date Stamp

Date of election if applicable:  
(Month, Day, Year)  
3/5/15

Statement covers period  
from 7/1/14  
through 12/30/14

SEE INSTRUCTIONS ON REVERSE

### 1. Type of Recipient Committee: All Committees - Complete Parts 1, 2, 3, and 4.

- Officeholder, Candidate Controlled Committee
- State Candidate Election Committee
- Recall (Also Complete Part 5)
- General Purpose Committee
- Sponsored
- Small Contributor Committee
- Political Party/Central Committee
- Primarily Formed Ballot Measure Committee
- Controlled
- Sponsored (Also Complete Part 6)
- Primarily Formed Candidate/Officeholder Committee (Also Complete Part 7)

### 2. Type of Statement:

- Pre-election Statement
- Semi-annual Statement
- Termination Statement (Also file a Form 410 Termination)
- Amendment (Explain below)
- Quarterly Statement
- Special Odd-Year Report

### 3. Committee Information

I.D. NUMBER 1362674

COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE)

Re-Elect Violeta Alvarez For Bell City Council 2015

### Treasurer(s)

NAME OF TREASURER

Violeta Alvarez

MAILING ADDRESS

6834 Otis Ave

STREET ADDRESS (NO P.O. BOX)

6834 Otis Ave

CITY

Bell

STATE

CA

ZIP CODE

90201

AREA CODE/PHONE

323 812-6871

MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BOX

MAILING ADDRESS

CITY

STATE

ZIP CODE

AREA CODE/PHONE

CITY

STATE

ZIP CODE

AREA CODE/PHONE

OPTIONAL: FAX / E-MAIL ADDRESS

OPTIONAL: FAX / E-MAIL ADDRESS

### 4. Verification

I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 8/19/2016  
Date

By Violeta Alvarez  
Signature of Treasurer or Assistant Treasurer

Executed on 8-19-2016  
Date

By \_\_\_\_\_  
Signature of Controlling Officeholder, Candidate, State Measure Proponent or Responsible Officer of Sponsor

Executed on \_\_\_\_\_  
Date

By \_\_\_\_\_  
Signature of Controlling Officeholder, Candidate, State Measure Proponent

Executed on \_\_\_\_\_  
Date

By \_\_\_\_\_  
Signature of Controlling Officeholder, Candidate, State Measure Proponent

**Recipient Committee  
Campaign Statement  
Cover Page — Part 2**

**5. Officeholder or Candidate Controlled Committee**

NAME OF OFFICEHOLDER OR CANDIDATE  
VIOLETA ALVAREZ FOR Bell City Council  
OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)  
Bell City Council  
RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET) CITY STATE ZIP  
6034 OHS Ave Bell CA 92201

**Related Committees Not Included in this Statement:** *List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or make expenditures on behalf of your candidacy.*

COMMITTEE NAME	I.D. NUMBER
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)
CITY	STATE ZIP CODE AREA CODE/PHONE
COMMITTEE NAME	I.D. NUMBER
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)
CITY	STATE ZIP CODE AREA CODE/PHONE

**6. Primarily Formed Ballot Measure Committee**

NAME OF BALLOT MEASURE

BALLOT NO. OR LETTER JURISDICTION  SUPPORT  OPPOSE

**Identify the controlling officeholder, candidate, or state measure proponent, if any.**

NAME OF OFFICEHOLDER, CANDIDATE, OR PROponent

OFFICE SOUGHT OR HELD DISTRICT NO. IF ANY

**7. Primarily Formed Candidate/Officeholder Committee** *List names of officeholder(s) or candidate(s) for which this committee is primarily formed.*

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE

**Attach continuation sheets if necessary**

# Campaign Disclosure Statement Summary Page

Amounts may be rounded to whole dollars.

SUMMARY PAGE

Statement covers period

CALIFORNIA FORM 460

from 7/1/14 through 12/30/14

Page 3 of 3

I.D. NUMBER

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Violeta Angrez

## Contributions Received

	Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B CALENDAR YEAR TOTAL TO DATE
1. Monetary Contributions.....	Schedule A, Line 3 \$ 0	\$
2. Loans Received.....	Schedule B, Line 3 \$ 0	\$
3. SUBTOTAL CASH CONTRIBUTIONS.....	Add Lines 1 + 2 \$ 0	\$
4. Nonmonetary Contributions.....	Schedule C, Line 3 \$ 0	\$
5. TOTAL CONTRIBUTIONS RECEIVED.....	Add Lines 3 + 4 \$ 0	\$

## Expenditures Made

6. Payments Made.....	Schedule E, Line 4 \$ 0	\$
7. Loans Made.....	Schedule H, Line 3 \$ 0	\$
8. SUBTOTAL CASH PAYMENTS.....	Add Lines 6 + 7 \$ 0	\$
9. Accrued Expenses (Unpaid Bills).....	Schedule F, Line 3 \$ 0	\$
10. Nonmonetary Adjustment.....	Schedule C, Line 3 \$ 0	\$
11. TOTAL EXPENDITURES MADE.....	Add Lines 8 + 9 + 10 \$ 0	\$

## Current Cash Statement

12. Beginning Cash Balance.....	Previous Summary Page, Line 16 \$	\$
13. Cash Receipts.....	Column A, Line 3 above \$	\$
14. Miscellaneous Increases to Cash.....	Schedule I, Line 4 \$	\$
15. Cash Payments.....	Column A, Line 8 above \$	\$
16. ENDING CASH BALANCE.....	Add Lines 12 + 13 + 14, then subtract Line 15 \$	\$

*If this is a termination statement, Line 16 must be zero.*

## LOAN GUARANTEES RECEIVED

17. LOAN GUARANTEES RECEIVED.....	Schedule B, Part 2 \$	\$
-----------------------------------	-----------------------	----

## Cash Equivalents and Outstanding Debts

18. Cash Equivalents.....	See instructions on reverse \$	\$
19. Outstanding Debts.....	Add Line 2 + Line 9 in Column B above \$	\$

## Calendar Year Summary for Candidates Running in Both the State Primary and General Elections

	1/1 through 6/30	7/1 to Date
20. Contributions Received.....	\$	\$
21. Expenditures Made.....	\$	\$

## Expenditure Limit Summary for State Candidates

22. Cumulative Expenditures Made* (If Subject to Voluntary Expenditure Limit)	Total to Date
Date of Election (mm/dd/yy)	\$
	\$

\*Amounts in this section may be different from amounts reported in Column B.

To calculate Column B, add amounts in Column A to the corresponding amounts from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).

**Recipient Committee  
Campaign Statement  
Cover Page**

Date Stamp \_\_\_\_\_

Page 1 of 3  
For Official Use Only

Date of election if applicable:  
(Month, Day, Year)  
03/08/15

Statement covers period  
from 01/01/15  
through 11/17/15

SEE INSTRUCTIONS ON REVERSE

**1. Type of Recipient Committee:** All Committees - Complete Parts 1, 2, 3, and 4.

Officeholder, Candidate Controlled Committee  
 State Candidate Election Committee  
 Recall (Also Complete Part 5)  
 General Purpose Committee  
 Sponsored  
 Small Contributor Committee  
 Political Party/Central Committee

Primarily Formed Ballot Measure Committee  
 Controlled  
 Sponsored (Also Complete Part 6)  
 Primarily Formed Candidate/Officeholder Committee (Also Complete Part 7)

**2. Type of Statement:**

Pre-election Statement  
 Semi-annual Statement  
 Termination Statement (Also file a Form 410 Termination)  
 Amendment (Explain below)

Quarterly Statement  
 Special Odd-Year Report

**3. Committee Information**

COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE)  
Rec - elect

I.D. NUMBER 1362674

STREET ADDRESS (NO P.O. BOX)  
Violeta Alvarez For Bell City Council 2015

CITY Bell STATE CA ZIP CODE 90201 AREA CODE/PHONE 323 812-1887

MAILING ADDRESS (IF DIFFERENT NO. AND STREET OR P.O. BOX)  
Bell STATE CA ZIP CODE 90201 AREA CODE/PHONE 323 812-1887

CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP CODE \_\_\_\_\_ AREA CODE/PHONE \_\_\_\_\_

OPTIONAL: FAX / E-MAIL ADDRESS \_\_\_\_\_

**Treasurer(s)**

NAME OF TREASURER  
MAURO ESTRADA

MAILING ADDRESS  
0034 OTS AVE

CITY Bell STATE CA ZIP CODE 90201 AREA CODE/PHONE 323 812-1887

NAME OF ASSISTANT TREASURER, IF ANY \_\_\_\_\_

MAILING ADDRESS \_\_\_\_\_

CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP CODE \_\_\_\_\_ AREA CODE/PHONE \_\_\_\_\_

OPTIONAL: FAX / E-MAIL ADDRESS \_\_\_\_\_

**4. Verification**

I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 8/19/16 Date  
By Mauro Estrada Signature of Treasurer or Assistant Treasurer

Executed on 8/19/16 Date  
By \_\_\_\_\_ Signature of Controlling Officerholder, Candidate, State Measure Proponent or Responsible Officer of Sponsor

Executed on \_\_\_\_\_ Date  
By \_\_\_\_\_ Signature of Controlling Officerholder, Candidate, State Measure Proponent

Executed on \_\_\_\_\_ Date  
By \_\_\_\_\_ Signature of Controlling Officerholder, Candidate, State Measure Proponent

**Recipient Committee  
Campaign Statement  
Cover Page — Part 2**

**5. Officeholder or Candidate Controlled Committee**

NAME OF OFFICEHOLDER OR CANDIDATE  
Violeta Alvarez

OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)  
Bell City Council

RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET) CITY STATE ZIP  
6034 OTS AVE Bell CA 90201

**Related Committees Not Included in this Statement:** *List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or make expenditures on behalf of your candidacy.*

COMMITTEE NAME	I.D. NUMBER
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)
CITY	STATE ZIP CODE AREA CODE/PHONE
COMMITTEE NAME	I.D. NUMBER
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)
CITY	STATE ZIP CODE AREA CODE/PHONE

**6. Primarily Formed Ballot Measure Committee**

NAME OF BALLOT MEASURE

BALLOT NO. OR LETTER JURISDICTION  SUPPORT  OPPOSE

**Identify the controlling officeholder, candidate, or state measure proponent, if any.**

NAME OF OFFICEHOLDER, CANDIDATE, OR PROPOSER

OFFICE SOUGHT OR HELD DISTRICT NO. IF ANY

**7. Primarily Formed Candidate/Officeholder Committee** *List names of officeholder(s) or candidate(s) for which this committee is primarily formed.*

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE

**Attach continuation sheets if necessary**

# Campaign Disclosure Statement Summary Page

Amounts may be rounded  
to whole dollars.

SUMMARY PAGE

Statement covers period  
from 01/01/15  
through 01/17/15

CALIFORNIA  
FORM **460**

Page 3 of 3

I.D. NUMBER

SEE INSTRUCTIONS ON REVERSE  
NAME OF FILER  
Re-Elect Xioleta Alvarez For Bell City Council 2015

## Contributions Received

	Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B CALENDAR YEAR TOTAL TO DATE
1. Monetary Contributions.....	Schedule A, Line 3 \$	\$
2. Loans Received.....	Schedule B, Line 3	\$
3. SUBTOTAL CASH CONTRIBUTIONS.....	Add Lines 1 + 2	\$
4. Nonmonetary Contributions.....	Schedule C, Line 3	\$
5. TOTAL CONTRIBUTIONS RECEIVED.....	Add Lines 3 + 4	\$

## Expenditures Made

6. Payments Made.....	Schedule E, Line 4	\$
7. Loans Made.....	Schedule H, Line 3	\$
8. SUBTOTAL CASH PAYMENTS.....	Add Lines 6 + 7	\$
9. Accrued Expenses (Unpaid Bills).....	Schedule F, Line 3	\$
10. Nonmonetary Adjustment.....	Schedule C, Line 3	\$
11. TOTAL EXPENDITURES MADE.....	Add Lines 8 + 9 + 10	\$

## Current Cash Statement

12. Beginning Cash Balance.....	Previous Summary Page, Line 16	\$
13. Cash Receipts.....	Column A, Line 3 above	\$
14. Miscellaneous Increases to Cash.....	Schedule I, Line 4	\$
15. Cash Payments.....	Column A, Line 8 above	\$
16. ENDING CASH BALANCE.....	Add Lines 12 + 13 + 14, then subtract Line 15	\$

*If this is a termination statement, Line 16 must be zero.*

## 17. LOAN GUARANTEES RECEIVED

17. LOAN GUARANTEES RECEIVED.....	Schedule B, Part 2	\$
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## Cash Equivalents and Outstanding Debts

18. Cash Equivalents.....	See instructions on reverse	\$
19. Outstanding Debts.....	Add Line 2 + Line 9 in Column B above	\$

## Calendar Year Summary for Candidates Running in Both the State Primary and General Elections

	1/1 through 6/30	7/1 to Date
20. Contributions Received.....	\$	\$
21. Expenditures Made.....	\$	\$

## Expenditure Limit Summary for State Candidates

22. Cumulative Expenditures Made* (If Subject to Voluntary Expenditure Limit)	Date of Election (mm/dd/yy)	Total to Date
	___/___/___	\$
	___/___/___	\$

\*Amounts in this section may be different from amounts reported in Column B.

To calculate Column B, add amounts in Column A to the corresponding amounts from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).



# Recipient Committee Campaign Statement Cover Page

COVER PAGE

CALIFORNIA  
FORM  
**460**

Date Stamp

Page 1 of 1

For Official Use Only

Date of election if applicable:  
(Month, Day, Year)

3/5/15

Statement covers period  
from 1/18/15  
through 2/14/15

SEE INSTRUCTIONS ON REVERSE

### 1. Type of Recipient Committee: All Committees - Complete Parts 1, 2, 3, and 4.

- Officer/holder, Candidate Controlled Committee
- State Candidate Election Committee
- Recall (Also Complete Part 5)
- General Purpose Committee
- Sponsored
- Small Contributor Committee
- Political Party/Central Committee
- Primarily Formed Ballot Measure Committee
- Controlled
- Sponsored (Also Complete Part 6)
- Primarily Formed Candidate/Officeholder Committee (Also Complete Part 7)

### 2. Type of Statement:

- Preelection Statement
- Semi-annual Statement
- Termination Statement (Also file a Form 410 Termination)
- Amendment (Explain below)
- Quarterly Statement
- Special Odd-Year Report

### 3. Committee Information

COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE)

Revelado Añoré For Bell City Council 2015

I.D. NUMBER 1362674

STREET ADDRESS (NO P.O. BOX)

6834 OTIS  
Bell

CITY

STATE

ZIP CODE

AREA CODE/PHONE

CA 9201 323812-6871

MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BOX

Bell

CITY

STATE

ZIP CODE

AREA CODE/PHONE

OPTIONAL: FAX / E-MAIL ADDRESS

OPTIONAL: FAX / E-MAIL ADDRESS

### 4. Verification

I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 3/9/15

Date

Executed on 3/9/15

Date

Executed on \_\_\_\_\_

Date

Executed on \_\_\_\_\_

Date

By [Signature]

Signature of Treasurer or Assistant Treasurer

By [Signature]

Signature of Controlling Officer/holder, Candidate, State Measure Proponent or Responsible Officer of Sponsor

By \_\_\_\_\_

Signature of Controlling Officer/holder, Candidate, State Measure Proponent

By \_\_\_\_\_

Signature of Controlling Officer/holder, Candidate, State Measure Proponent

**Recipient Committee  
Campaign Statement  
Cover Page — Part 2**

**5. Officeholder or Candidate Controlled Committee**

NAME OF OFFICEHOLDER OR CANDIDATE  
ViOLETA ANAYEZ

OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)  
Bell City Council

RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET) CITY STATE ZIP  
6834 OTIS Ave Bell CA 90201

**Related Committees Not Included in this Statement:** *List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or make expenditures on behalf of your candidacy.*

COMMITTEE NAME	I.D. NUMBER
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)
CITY	STATE ZIP CODE AREA CODE/PHONE
COMMITTEE NAME	I.D. NUMBER
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)
CITY	STATE ZIP CODE AREA CODE/PHONE

**6. Primarily Formed Ballot Measure Committee**

NAME OF BALLOT MEASURE

BALLOT NO. OR LETTER JURISDICTION  SUPPORT  OPPOSE

**Identify the controlling officeholder, candidate, or state measure proponent, if any.**

NAME OF OFFICEHOLDER, CANDIDATE, OR PROPONENT

OFFICE SOUGHT OR HELD DISTRICT NO. IF ANY

**7. Primarily Formed Candidate/Officeholder Committee** *List names of officeholder(s) or candidate(s) for which this committee is primarily formed.*

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE

*Attach continuation sheets if necessary*

# Campaign Disclosure Statement Summary Page

Amounts may be rounded to whole dollars.

SUMMARY PAGE

Statement covers period from 1/18/15 through 2/14/15

CALIFORNIA FORM **460**

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Re-Elect Violeta Alvarez for Bell City Council 2015

Page 3 of 7

I.D. NUMBER

## Contributions Received

	Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B CALENDAR YEAR TOTAL TO DATE
1. Monetary Contributions..... Schedule A, Line 3	\$ <u>400</u>	\$
2. Loans Received..... Schedule B, Line 3	\$	\$
3. SUBTOTAL CASH CONTRIBUTIONS..... Add Lines 1 + 2	\$ <u>400</u>	\$
4. Nonmonetary Contributions..... Schedule C, Line 3	\$ <u>300</u>	\$
5. TOTAL CONTRIBUTIONS RECEIVED..... Add Lines 3 + 4	\$ <u>700</u>	\$

## Calendar Year Summary for Candidates Running in Both the State Primary and General Elections

	1/1 through 6/30	7/1 to Date
20. Contributions Received	\$	\$
21. Expenditures Made	\$	\$

## Expenditures Made

6. Payments Made..... Schedule E, Line 4	\$
7. Loans Made..... Schedule H, Line 3	\$
8. SUBTOTAL CASH PAYMENTS..... Add Lines 6 + 7	\$
9. Accrued Expenses (Unpaid Bills)..... Schedule F, Line 3	\$
10. Nonmonetary Adjustment..... Schedule C, Line 3	\$
11. TOTAL EXPENDITURES MADE..... Add Lines 8 + 9 + 10	\$

## Expenditure Limit Summary for State Candidates

22. Cumulative Expenditures Made\*  
(If Subject to Voluntary Expenditure Limit)

Date of Election (mm/dd/yy)	Total to Date
/ /	\$
/ /	\$

## Current Cash Statement

12. Beginning Cash Balance..... Previous Summary Page, Line 16	\$ <u>400</u>
13. Cash Receipts..... Column A, Line 3 above	\$ <u>0</u>
14. Miscellaneous Increases to Cash..... Schedule I, Line 4	\$ <u>0</u>
15. Cash Payments..... Column A, Line 8 above	\$ <u>400</u>
16. ENDING CASH BALANCE..... Add Lines 12 + 13 + 14, then subtract Line 15	\$

*If this is a termination statement, Line 16 must be zero.*

To calculate Column B, add amounts in Column A to the corresponding amounts from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).

## 17. LOAN GUARANTEES RECEIVED

..... Schedule B, Part 2	\$
--------------------------	----

## Cash Equivalents and Outstanding Debts

18. Cash Equivalents..... See instructions on reverse	\$
19. Outstanding Debts..... Add Line 2 + Line 9 in Column B above	\$

# Schedule A Monetary Contributions Received

Amounts may be rounded  
to whole dollars.

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

*Re-Elect Violet Alvarez For Bell City Council 2015*

Statement covers period

from 1-18-15  
through 2-14-15

Page 4 of 7

I.D. NUMBER

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
				<b>SUBTOTAL \$</b>		

## Schedule A Summary

- Amount received this period - itemized monetary contributions.  
(Include all Schedule A subtotals.) .....\$ 0
- Amount received this period - unitemized monetary contributions of less than \$100 .....\$ 0
- Total monetary contributions received this period.  
(Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Line 1.) ..... **TOTAL \$** 0

\*Contributor Codes  
 IND - Individual  
 COM - Recipient Committee  
 (other than PTY or SCC)  
 OTH - Other (e.g., business entity)  
 PTY - Political Party  
 SCC - Small Contributor Committee

**Schedule B - Part 1  
Loans Received**

Amounts may be rounded to whole dollars.

SCHEDULE B - PART 1

**CALIFORNIA 460  
FORM**

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

*Re-Elect Violeta Alvarez For Ball City Council 2015*

Statement covers period from 1-18-15 through 2-14-15

Page 5 of 7

I.D. NUMBER

IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	OUTSTANDING BALANCE BEGINNING THIS PERIOD	AMOUNT RECEIVED THIS PERIOD	AMOUNT PAID OR FORGIVEN THIS PERIOD *	OUTSTANDING BALANCE AT CLOSE OF THIS PERIOD	INTEREST PAID THIS PERIOD	ORIGINAL AMOUNT OF LOAN	CUMULATIVE CONTRIBUTIONS TO DATE
<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC <i>Violeta Alvarez Self employed</i>	\$ <u>400</u>	\$ _____	<input type="checkbox"/> PAID \$ _____ <input type="checkbox"/> FORGIVEN \$ _____	\$ <u>400</u> DATE DUE _____	<del>0</del> % RATE	\$ <u>400</u>	CALENDAR YEAR <u>400</u> PER ELECTION ** \$ _____
<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	\$ _____	\$ _____	<input type="checkbox"/> PAID \$ _____ <input type="checkbox"/> FORGIVEN \$ _____	\$ _____ DATE DUE _____	% RATE	\$ _____	CALENDAR YEAR _____ PER ELECTION ** \$ _____
<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	\$ _____	\$ _____	<input type="checkbox"/> PAID \$ _____ <input type="checkbox"/> FORGIVEN \$ _____	\$ _____ DATE DUE _____	% RATE	\$ _____	CALENDAR YEAR _____ PER ELECTION ** \$ _____

**SUBTOTALS \$ \_\_\_\_\_ \$ \_\_\_\_\_ \$ \_\_\_\_\_**

**Schedule B Summary**

- Loans received this period ..... \$ \_\_\_\_\_  
(Total Column (b) plus unitemized loans of less than \$100.)
- Loans paid or forgiven this period ..... \$ \_\_\_\_\_  
(Total Column (c) plus loans under \$100 paid or forgiven.)  
(Include loans paid by a third party that are also itemized on Schedule A.)
- Net change this period. (Subtract Line 2 from Line 1.) ..... NET \$ \_\_\_\_\_  
Enter the net here and on the Summary Page, Column A, Line 2. (May be a negative number)

†Contributor Codes  
IND - Individual  
COM - Recipient Committee  
OTH - Other (e.g., business entity)  
PTY - Political Party  
SCC - Small Contributor Committee

\*Amounts forgiven or paid by another party also must be reported on Schedule A.  
\*\* If required.

**Schedule C  
Nonmonetary Contributions Received**

Amounts may be rounded  
to whole dollars.

Statement covers period  
from 1/18/15  
through 2/14/15

Page 6 of 7

SEE INSTRUCTIONS ON REVERSE  
NAME OF FILER

Re - Elect Violeta Alvarez For Bell City Council 2015

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	DESCRIPTION OF GOODS OR SERVICES	AMOUNT/FAIR MARKET VALUE	CUMULATIVE TO DATE CALENDAR YEAR (JAN 1 - DEC 31)	PER ELECTION TO DATE (IF REQUIRED)
	MARIA AARONSON 6815 WALKER AVE BELL CA 92001	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired.	T-shirts	\$300		
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC					
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC					
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC					
<b>SUBTOTAL \$</b>							

Attach additional information on appropriately labeled continuation sheets.

**Schedule C Summary**

1. Amount received this period – itemized nonmonetary contributions.  
(Include all Schedule C subtotals.) ..... \$ 300
2. Amount received this period – unitemized nonmonetary contributions of less than \$100 ..... \$ \_\_\_\_\_
3. Total nonmonetary contributions received this period.  
(Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Lines 4 and 10.) ..... **TOTAL \$** 300

\*Contributor Codes  
IND – Individual  
COM – Recipient Committee  
(other than PTY or SCC)  
OTH – Other (e.g., business entity)  
PTY – Political Party  
SCC – Small Contributor Committee

**Schedule F  
Accrued Expenses (Unpaid Bills)**

Amounts may be rounded  
to whole dollars.

Statement covers period  
from 1/18/15  
through 2/14/15

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Re-Elect Violeta Alvarez For Bell City Council 2015

Page 7 of 7  
I.D. NUMBER

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.  
 CMP campaign paraphernalia/misc.  
 CNS campaign consultants  
 CTB contribution (explain nonmonetary)\*  
 CVC civic donations  
 FIL candidate filing/ballot fees  
 FND fundraising events  
 IND independent expenditure supporting/opposing others (explain)\*  
 LEG legal defense  
 LIT campaign literature and mailings

MBR member communications  
 MTG meetings and appearances  
 OFC office expenses  
 PET petition circulating  
 PHO phone banks  
 POL polling and survey research  
 POS postage, delivery and messenger services  
 PRO professional services (legal, accounting)  
 PRT print ads  
 RAD radio airtime and production costs  
 RFD returned contributions  
 SAL campaign workers' salaries  
 TEL t.v. or cable airtime and production costs  
 TRC candidate travel, lodging, and meals  
 TRS staff/spouse travel, lodging, and meals  
 TSF transfer between committees of the same candidate/sponsor  
 VOT voter registration  
 WEB information technology costs (internet, e-mail)

NAME AND ADDRESS OF CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR DESCRIPTION OF PAYMENT	(a) OUTSTANDING BALANCE BEGINNING OF THIS PERIOD	(b) AMOUNT INCURRED THIS PERIOD	(c) AMOUNT PAID THIS PERIOD (ALSO REPORT ON E)	(d) OUTSTANDING BALANCE AT CLOSE OF THIS PERIOD
<b>SUBTOTALS \$</b>					

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**Schedule F Summary**

- Total accrued expenses incurred this period. (Include all Schedule F, Column (b) subtotals for accrued expenses of \$100 or more, plus total unitemized accrued expenses under \$100.) ..... **INCURRED TOTALS \$**
- Total accrued expenses paid this period. (Include all Schedule F, Column (c) subtotals for payments on accrued expenses of \$100 or more, plus total unitemized payments on accrued expenses under \$100.) ..... **PAID TOTALS \$**
- Net change this period. (Subtract Line 2 from Line 1. Enter the difference here and on the Summary Page, Column A, Line 9.) ..... **NET \$**

May be a negative number

# Recipient Committee Campaign Statement Cover Page

COVER PAGE

CALIFORNIA  
FORM  
**460**

Page 1 of 3  
For Official Use Only

Date Stamp  
AUG 19 2015

Date of election if applicable:  
(Month, Day, Year)

03 / 05 / 15

Statement covers period

from 02/15/2015  
through 06/30/2015

SEE INSTRUCTIONS ON REVERSE

### 1. Type of Recipient Committee: All Committees - Complete Parts 1, 2, 3, and 4.

- Officeholder, Candidate Controlled Committee
- State Candidate Election Committee
- Recall (Also Complete Part 5)
- General Purpose Committee
- Sponsored
- Small Contributor Committee
- Political Party/Central Committee
- Primarily Formed Ballot Measure Committee
- Controlled
- Sponsored (Also Complete Part 6)
- Primarily Formed Candidate/Officeholder Committee (Also Complete Part 7)

### 2. Type of Statement:

- Preliminary Statement
- Semi-annual Statement
- Termination Statement (Also file a Form 410 Termination)
- Amendment (Explain below)
- Quarterly Statement
- Special Odd-Year Report

### 3. Committee Information

COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE)

Re. Elected to Alvarez for Bell City Council 2015

I.D. NUMBER 1362674

### Treasurer(s)

NAME OF TREASURER

Yolanda Alvarez

MAILING ADDRESS

6834 Otis Ave

STREET ADDRESS (NO P.O. BOX)

Bell

CITY

CA

STATE

ZIP CODE

AREA CODE/PHONE

90201 323 812-6871

STATE

ZIP CODE

AREA CODE/PHONE

NAME OF ASSISTANT TREASURER, IF ANY

MAILING ADDRESS

CITY STATE ZIP CODE AREA CODE/PHONE

OPTIONAL: FAX / E-MAIL ADDRESS

OPTIONAL: FAX / E-MAIL ADDRESS

### 4. Verification

I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on

8-9-16

Date

By [Signature]

Signature of Treasurer or Assistant Treasurer

Executed on

8-9-16

Date

By [Signature]

Signature of Controlling Officer/Holder, Candidate, State Measure Proponent or Responsible Officer of Sponsor

Executed on

Date

By \_\_\_\_\_  
Signature of Controlling Officer/Holder, Candidate, State Measure Proponent

Executed on

Date

By \_\_\_\_\_  
Signature of Controlling Officer/Holder, Candidate, State Measure Proponent



**Recipient Committee  
Campaign Statement  
Cover Page — Part 2**

**5. Officeholder or Candidate Controlled Committee**

NAME OF OFFICEHOLDER OR CANDIDATE  
Re-Elect Violeta Alvarez for Bell City Council 2015  
OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)  
68 City Council  
RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET) CITY STATE ZIP  
6834 Otis Ave Bell Ca 92201

**Related Committees Not Included in this Statement:** *List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or make expenditures on behalf of your candidacy.*

COMMITTEE NAME	I.D. NUMBER
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)
CITY	STATE ZIP CODE AREA CODE/PHONE
COMMITTEE NAME	I.D. NUMBER
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)
CITY	STATE ZIP CODE AREA CODE/PHONE

**6. Primarily Formed Ballot Measure Committee**

NAME OF BALLOT MEASURE

BALLOT NO. OR LETTER	JURISDICTION	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
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Identify the controlling officeholder, candidate, or state measure proponent, if any.

NAME OF OFFICEHOLDER, CANDIDATE, OR PROponent

OFFICE SOUGHT OR HELD

DISTRICT NO. IF ANY

**7. Primarily Formed Candidate/Officeholder Committee** *List names of officeholder(s) or candidate(s) for which this committee is primarily formed.*

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE

Attach continuation sheets if necessary

# Campaign Disclosure Statement Summary Page

Amounts may be rounded to whole dollars.

SUMMARY PAGE

Statement covers period

CALIFORNIA FORM 460

from 2/15/15 through 4/30/15

SEE INSTRUCTIONS ON REVERSE  
NAME OF FILER

Page 3 of 3  
I.D. NUMBER

## Contributions Received

	Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B CALENDAR YEAR TOTAL TO DATE
1. Monetary Contributions	\$ 0	\$
2. Loans Received	\$ 0	\$
3. SUBTOTAL CASH CONTRIBUTIONS	\$ 0	\$
4. Nonmonetary Contributions	\$ 0	\$
5. TOTAL CONTRIBUTIONS RECEIVED	\$ 0	\$

## Calendar Year Summary for Candidates Running in Both the State Primary and General Elections

	1/1 through 6/30	7/1 to Date
20. Contributions Received	\$	\$
21. Expenditures Made	\$	\$

## Expenditures Made

6. Payments Made	\$ 0
7. Loans Made	\$ 0
8. SUBTOTAL CASH PAYMENTS	\$ 0
9. Accrued Expenses (Unpaid Bills)	\$ 0
10. Nonmonetary Adjustment	\$ 0
11. TOTAL EXPENDITURES MADE	\$ 0

## Expenditure Limit Summary for State Candidates

22. Cumulative Expenditures Made\*  
(If Subject to Voluntary Expenditure Limit)

Date of Election (mm/dd/yy)	Total to Date
/ /	\$
/ /	\$

## Current Cash Statement

12. Beginning Cash Balance	\$ 0
13. Cash Receipts	\$ 0
14. Miscellaneous Increases to Cash	\$ 0
15. Cash Payments	\$ 0
16. ENDING CASH BALANCE	\$ 0

If this is a termination statement, Line 16 must be zero.

To calculate Column B, add amounts in Column A to the corresponding amounts from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).

## 17. LOAN GUARANTEES RECEIVED

## Cash Equivalents and Outstanding Debts

18. Cash Equivalents	\$ 0
19. Outstanding Debts	\$ 0

**Recipient Committee  
Campaign Statement  
Cover Page**

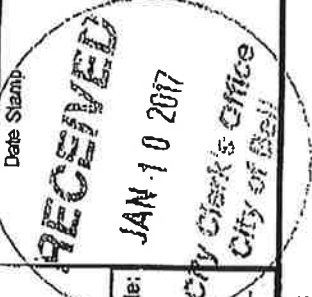
(Government Code Sections 84200-84216.5)

Type or print in ink.



CALIFORNIA FORM 460

Page 1 of 1  
For Official Use Only



Date of election if applicable:  
(Month, Day, Year)

03/8/15

Statement covers period  
from 7/1/15  
through 12/31/15

12/31/15

**1. Type of Recipient Committee:** All Committees - Complete Parts 1, 2, 3, and 4.

- Officeholder, Candidate Controlled Committee
- State Candidate Election Committee
- Recall (Also Complete Part 5)
- General Purpose Committee
- Sponsored
- Small Contributor Committee
- Political Party/Central Committee
- Primarily Formed Ballot Measure Committee
- Controlled
- Sponsored (Also Complete Part 6)
- Primarily Formed Candidate/Officeholder Committee (Also Complete Part 7)

**2. Type of Statement:**

- Preeligation Statement
- Semi-annual Statement
- Termination Statement (Also file a Form 410 Termination)
- Amendment (Explain below)
- Quarterly Statement
- Special Odd-Year Report
- Supplemental Preelection Statement - Attach Form 495

**3. Committee Information**

COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE)

Violeta Alvarez For Bell City Council 2015

I.D. NUMBER

Treasurer(s)

NAME OF TREASURER

MARIO ESTRADA

STREET ADDRESS (NO P.O. BOX)

6834 OTTS AVE BELL

CITY STATE ZIP CODE AREA CODE/PHONE

90201 323 812 6871

CITY STATE ZIP CODE AREA CODE/PHONE

Bell CA 90201 323 774 5025

MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BOX

CITY STATE ZIP CODE AREA CODE/PHONE

MAILING ADDRESS

CITY

STATE ZIP CODE

AREA CODE/PHONE

OPTIONAL: FAX / E-MAIL ADDRESS

v.alvarez1964@yahoo.com

OPTIONAL: FAX / E-MAIL ADDRESS

**4. Verification**

I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 1/10/17

Date

Executed on 1/10/17

Date

Executed on \_\_\_\_\_

Date

Executed on \_\_\_\_\_

Date

By Mario Estrada

Signature of Treasurer or Assistant Treasurer

By Mario Estrada

Signature of Controlling Officer/Candidate, State Measure Proponent or Responsible Officer of Sponsor

By \_\_\_\_\_

Signature of Controlling Officer/Candidate, State Measure Proponent

By \_\_\_\_\_

Signature of Controlling Officer/Candidate, State Measure Proponent

By \_\_\_\_\_

Signature of Controlling Officer/Candidate, State Measure Proponent

By \_\_\_\_\_

# Campaign Disclosure Statement Summary Page

Type or print in ink.  
Amounts may be rounded  
to whole dollars.

SEE INSTRUCTIONS ON REVERSE  
NAME OF FILER

Statement covers period  
from 7/1/15  
through 12/31/15

SUMMARY PAGE  
CALIFORNIA FORM **460**  
Page 2 of 2

I.D. NUMBER

## Contributions Received

	Column A TO THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B CALENDAR YEAR TOTAL TO DATE
1. Monetary Contributions	Schedule A, Line 3	\$
2. Loans Received	Schedule B, Line 3	\$
SUBTOTAL CASH CONTRIBUTIONS	Add Lines 1 + 2	\$
4. Nonmonetary Contributions	Schedule C, Line 3	\$
5. TOTAL CONTRIBUTIONS RECEIVED	Add Lines 3 + 4	\$

## Expenditures Made

6. Payments Made	Schedule E, Line 4	\$
7. Loans Made	Schedule H, Line 3	\$
SUBTOTAL CASH PAYMENTS	Add Lines 6 + 7	\$
9. Accrued Expenses (Unpaid Bills)	Schedule F, Line 3	\$
10. Nonmonetary Adjustment	Schedule C, Line 3	\$
11. TOTAL EXPENDITURES MADE	Add Lines 8 + 9 + 10	\$

## Current Cash Statement

2. Beginning Cash Balance	Previous Summary Page, Line 16	\$
13. Cash Receipts	Column A, Line 3 above	\$
14. Miscellaneous increases to Cash	Schedule I, Line 4	\$
15. Cash Payments	Column A, Line 8 above	\$
16. ENDING CASH BALANCE	Add Lines 12 + 13 + 14, then subtract Line 15	\$

*If this is a termination statement, Line 16 must be zero.*

## LOAN GUARANTEES RECEIVED

17. LOAN GUARANTEES RECEIVED	Schedule B, Part 2	\$
------------------------------	--------------------	----

## Cash Equivalents and Outstanding Debts

18. Cash Equivalents	See instructions on reverse	\$
19. Outstanding Debts	Add Line 2 + Line 9 in Column B above	\$

## Calendar Year Summary for Candidates Running in Both the State Primary and General Elections

	1/1 through 6/30	7/1 to Date
20. Contributions Received	\$	\$
21. Expenditures Made	\$	\$

## Expenditure Limit Summary for State Candidates

22. Cumulative Expenditures Made*	Total to Date
(# Subject to Voluntary Expenditure Limit)	
Date of Election (mm/dd/yyyy)	
	\$
	\$

\*Amounts in this section may be different from amounts reported in Column B.

To calculate Column B, add amounts in Column A to the corresponding amounts from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).

# Recipient Committee Campaign Statement Cover Page

COVER PAGE

CALIFORNIA  
FORM **460**

Date Stamp  
Page 1 of 2  
For Official Use Only

Date of election if applicable:  
(Month, Day, Year)  
3/5/15

Statement covers period  
from 1/1/16  
through 7/1/16

SEE INSTRUCTIONS ON REVERSE

### 1. Type of Recipient Committee: All Committees - Complete Parts 1, 2, 3, and 4.

- Officeholder, Candidate Controlled Committee
- State Candidate Election Committee
- Recall (Also Complete Part 5)
- General Purpose Committee
- Sponsored
- Small Contributor Committee
- Political Party/Central Committee
- Primarily Formed Ballot Measure Committee
- Controlled
- Sponsored (Also Complete Part 6)
- Primarily Formed Candidate/Officeholder Committee (Also Complete Part 7)

### 2. Type of Statement:

- Preelection Statement
- Semi-annual Statement
- Termination Statement (Also file a Form 410 Termination)
- Amendment (Explain below)
- Quarterly Statement
- Special Odd-Year Report

### 3. Committee Information

COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE)  
Re-Election Committee for Bell City Council 2015

I.D. NUMBER 1362674

STREET ADDRESS (NO P.O. BOX)  
6834 OTTIS AVE

CITY Bell STATE CA ZIP CODE 90201 AREA CODE/PHONE 323 812-6871

MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BOX  
Bell STATE CA ZIP CODE 90201 AREA CODE/PHONE 323 812-6871

### Treasurer(s)

NAME OF TREASURER  
Norio Estrada

MAILING ADDRESS  
6834 OTTIS AVE

CITY Bell STATE CA ZIP CODE 90201 AREA CODE/PHONE 323 812-6871

NAME OF ASSISTANT TREASURER, IF ANY

MAILING ADDRESS

CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP CODE \_\_\_\_\_ AREA CODE/PHONE \_\_\_\_\_

OPTIONAL: FAX / E-MAIL ADDRESS \_\_\_\_\_

### 4. Verification

I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 8/5/16 By Norio Estrada  
 Executed on 8/5/16 By Norio Estrada  
 Executed on \_\_\_\_\_ By \_\_\_\_\_  
 Executed on \_\_\_\_\_ By \_\_\_\_\_

By Norio Estrada Signature of Treasurer or Assistant Treasurer  
 By Norio Estrada Signature of Controlling Officerholder, Candidate, State Measure Proponent or Responsible Officer of Sponsor  
 By \_\_\_\_\_ Signature of Controlling Officerholder, Candidate, State Measure Proponent  
 By \_\_\_\_\_ Signature of Controlling Officerholder, Candidate, State Measure Proponent

**Recipient Committee  
Campaign Statement  
Cover Page — Part 2**

**5. Officeholder or Candidate Controlled Committee**

NAME OF OFFICEHOLDER OR CANDIDATE  
Yolanda Alvarez

OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)  
Bell City Council

RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET) CITY STATE ZIP  
6834 Otis Ave Bell CA 90201

**Related Committees Not Included in this Statement:** *List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or make expenditures on behalf of your candidacy.*

COMMITTEE NAME	I.D. NUMBER
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)
CITY	STATE ZIP CODE AREA CODE/PHONE
COMMITTEE NAME	I.D. NUMBER
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)
CITY	STATE ZIP CODE AREA CODE/PHONE

**6. Primarily Formed Ballot Measure Committee**

NAME OF BALLOT MEASURE

BALLOT NO. OR LETTER JURISDICTION  
 SUPPORT  OPPOSE

Identify the controlling officeholder, candidate, or state measure proponent, if any.

NAME OF OFFICEHOLDER, CANDIDATE, OR PROponent

OFFICE SOUGHT OR HELD DISTRICT NO. IF ANY

**7. Primarily Formed Candidate/Officeholder Committee** *List names of officeholder(s) or candidate(s) for which this committee is primarily formed.*

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE

**Attach continuation sheets if necessary**

# Campaign Disclosure Statement Summary Page

Amounts may be rounded  
to whole dollars.

SUMMARY PAGE

CALIFORNIA  
FORM **460**

SEE INSTRUCTIONS ON REVERSE  
NAME OF FILER

Statement covers period  
from 1-1-16  
through 7-1-16

Page 5 of 7  
I.D. NUMBER

## Contributions Received

Column A  
TOTAL THIS PERIOD  
(FROM ATTACHED SCHEDULES)

Column B  
CALENDAR YEAR  
TOTAL TO DATE

1. Monetary Contributions..... Schedule A, Line 3 \$
2. Loans Received..... Schedule B, Line 3
3. SUBTOTAL CASH CONTRIBUTIONS..... Add Lines 1 + 2 \$
4. Nonmonetary Contributions..... Schedule C, Line 3
5. TOTAL CONTRIBUTIONS RECEIVED..... Add Lines 3 + 4 \$

## Expenditures Made

6. Payments Made..... Schedule E, Line 4 \$
7. Loans Made..... Schedule H, Line 3
8. SUBTOTAL CASH PAYMENTS..... Add Lines 6 + 7 \$
9. Accrued Expenses (Unpaid Bills)..... Schedule F, Line 3
10. Nonmonetary Adjustment..... Schedule C, Line 3
11. TOTAL EXPENDITURES MADE..... Add Lines 8 + 9 + 10 \$

## Current Cash Statement

12. Beginning Cash Balance..... Previous Summary Page, Line 16 \$
13. Cash Receipts..... Column A, Line 3 above
14. Miscellaneous Increases to Cash..... Schedule I, Line 4
15. Cash Payments..... Column A, Line 8 above
16. ENDING CASH BALANCE..... Add Lines 12 + 13 + 14, then subtract Line 15 \$

If this is a termination statement, Line 16 must be zero.

## 17. LOAN GUARANTEES RECEIVED

18. Cash Equivalents..... See Instructions on reverse \$
19. Outstanding Debts..... Add Line 2 + Line 9 in Column B above \$

## Cash Equivalents and Outstanding Debts

To calculate Column B, add amounts in Column A to the corresponding amounts from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).

## Calendar Year Summary for Candidates Running in Both the State Primary and General Elections

20. Contributions Received \$ \_\_\_\_\_ 1/1 through 6/30 7/1 to Date
21. Expenditures Made \$ \_\_\_\_\_

## Expenditure Limit Summary for State Candidates

22. Cumulative Expenditures Made\*  
(if Subject to Voluntary Expenditure Limit)
- Date of Election (mm/dd/yy) \_\_\_\_\_ Total to Date \_\_\_\_\_
- \_\_\_\_\_ \$ \_\_\_\_\_
- \_\_\_\_\_ \$ \_\_\_\_\_

\*Amounts in this section may be different from amounts reported in Column B.

# **EXHIBIT A-14**

---

Default Decision and Order  
FPPC No. 15/150



Recipient Committee  
Campaign Statement  
Cover Page

For Official Use Only



Date of election if applicable:  
(Month, Day, Year)

03/05/2015

Statement covers period

from 01/01/2014 through 06/30/2014

1. Type of Recipient Committee: All Committees - Complete Parts 1, 2, 3, and 4.

- Officeholder, Candidate Controlled Committee
- State Candidate Election Committee
- Recall (Also Complete Part 5)
- General Purpose Committee
- Sponsored
- Small Contributor Committee
- Political Party/Central Committee
- Primarily Formed Ballot Measure Committee
- Controlled
- Sponsored (Also Complete Part 5)
- Primarily Formed Candidate/Officeholder Committee (Also Complete Part 7)

2. Type of Statement:

- Preelection Statement
- Semi-annual Statement
- Termination Statement (Also file a Form 410 Termination)
- Amendment (Explain below)

per F.P.P.C.

3. Committee Information

COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE)  
Re-Elect VIOLETA Alvarez For Bell  
City Council 2015  
STREET ADDRESS (NO P.O. BOX)  
6834 OTIS AVE Bell CA 90201  
CITY STATE ZIP CODE AREA CODE/PHONE  
Bell CA 90201 323 812-6871  
MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BOX  
1362674

Treasurer(s) VIOLETA Alvarez

NAME OF TREASURER  
6834 OTIS AV  
MAILING ADDRESS  
CITY STATE ZIP CODE AREA CODE/PHONE  
Bell CA 90201 323 812-6871  
NAME OF ASSISTANT TREASURER, IF ANY  
MAILING ADDRESS

CITY STATE ZIP CODE AREA CODE/PHONE

OPTIONAL: FAX / E-MAIL ADDRESS

4. Verification

I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 5/24/17  
Executed on 5/24/17  
Executed on \_\_\_\_\_  
Executed on \_\_\_\_\_

By Violeta Alvarez  
Signature of Treasurer or Assistant Treasurer  
By Violeta Alvarez  
Signature of Controlling Officerholder, Candidate, State Measure Proponent or Responsible Officer of Sponsor  
By \_\_\_\_\_  
Signature of Controlling Officerholder, Candidate, State Measure Proponent  
By \_\_\_\_\_  
Signature of Controlling Officerholder, Candidate, State Measure Proponent

**Recipient Committee  
Campaign Statement  
Cover Page — Part 2**

**5. Officeholder or Candidate Controlled Committee**

NAME OF OFFICEHOLDER OR CANDIDATE  
VIOLETA ALVAREZ

OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)  
Bell city Council

RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET) CITY STATE ZIP  
6884 Otis Ave Bell Ca 94201

**Related Committees Not Included in this Statement:** *List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or make expenditures on behalf of your candidacy.*

COMMITTEE NAME	I.D. NUMBER
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)
CITY	STATE ZIP CODE AREA CODE/PHONE
COMMITTEE NAME	I.D. NUMBER
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)
CITY	STATE ZIP CODE AREA CODE/PHONE

**6. Primarily Formed Ballot Measure Committee**

NAME OF BALLOT MEASURE

BALLOT NO. OR LETTER	JURISDICTION	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
----------------------	--------------	---

Identify the controlling officeholder, candidate, or state measure proponent, if any.

NAME OF OFFICEHOLDER, CANDIDATE, OR PROPONENT

OFFICE SOUGHT OR HELD

DISTRICT NO. IF ANY

**7. Primarily Formed Candidate/Officeholder Committee** *List names of officeholder(s) or candidate(s) for which this committee is primarily formed.*

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE

*Attach continuation sheets if necessary*

# Campaign Disclosure Statement Summary Page

Amounts may be rounded to whole dollars.

SUMMARY PAGE

Statement covers period from 01/01/2014 through 06/30/2014

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Wioleta Alvarez

Page 3 of 6

I.D. NUMBER

1302074

## Contributions Received

Column B  
CALENDAR YEAR  
TOTAL TO DATE

Column A  
TOTAL THIS PERIOD  
(FROM ATTACHED SCHEDULES)

- 1. Monetary Contributions ..... Schedule A, Line 3 \$ 2,750.00
- 2. Loans Received ..... Schedule B, Line 3 \$ 2,750.00
- SUBTOTAL CASH CONTRIBUTIONS ..... Add Lines 1 + 2 \$ 2,750.00
- 4. Nonmonetary Contributions ..... Schedule C, Line 3 \$ 2,750.00
- 5. TOTAL CONTRIBUTIONS RECEIVED ..... Add Lines 3 + 4 \$ 2,750.00

## Calendar Year Summary for Candidates Running in Both the State Primary and General Elections

1/1 through 6/30 7/1 to Date

- 20. Contributions Received \$ \_\_\_\_\_
- 21. Expenditures Made \$ \_\_\_\_\_

## Expenditures Made

- 6. Payments Made ..... Schedule E, Line 4 \$ 1,745.75
- 7. Loans Made ..... Schedule H, Line 3 \$ 1,745.75
- 8. SUBTOTAL CASH PAYMENTS ..... Add Lines 6 + 7 \$ 1,745.75
- 9. Accrued Expenses (Unpaid Bills) ..... Schedule F, Line 3 \$ 1,745.75
- 10. Nonmonetary Adjustment ..... Schedule C, Line 3 \$ 1,745.75
- 11. TOTAL EXPENDITURES MADE ..... Add Lines 8 + 9 + 10 \$ 1,745.75

## Expenditure Limit Summary for State Candidates

22. Cumulative Expenditures Made\* (if subject to Voluntary Expenditure Limit)

Date of Election (mm/dd/yy) \_\_\_\_\_ Total to Date \_\_\_\_\_  
 \_\_\_\_\_ \$ \_\_\_\_\_  
 \_\_\_\_\_ \$ \_\_\_\_\_

## Current Cash Statement

- 12. Beginning Cash Balance ..... Previous Summary Page, Line 16 \$ 0.00
- 13. Cash Receipts ..... Column A, Line 3 above \$ 2,750.00
- 14. Miscellaneous Increases to Cash ..... Schedule I, Line 4 \$ 1,745.75
- 15. Cash Payments ..... Column A, Line 8 above \$ 1,004.25
- 16. ENDING CASH BALANCE ..... Add Lines 12 + 13 + 14, then subtract Line 15 \$ 4,541.50

If this is a termination statement, Line 16 must be zero.

To calculate Column B, add amounts in Column A to the corresponding amounts from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).

\*Amounts in this section may be different from amounts reported in Column B.

- 17. LOAN GUARANTEES RECEIVED ..... Schedule B, Part 2 \$ \_\_\_\_\_

## Cash Equivalents and Outstanding Debts

- 18. Cash Equivalents ..... See instructions on reverse \$ \_\_\_\_\_
- 19. Outstanding Debts ..... Add Line 2 + Line 9 in Column B above \$ \_\_\_\_\_

**Schedule A  
Monetary Contributions Received**

Amounts may be rounded  
to whole dollars.

SCHEDULE A

CALIFORNIA **460**  
FORM

Statement covers period  
from 01/01/2014  
through 06/30/2014

SEE INSTRUCTIONS ON REVERSE  
NAME OF FILER

Violeta Alvarez

I.D. NUMBER

1362674

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
05/27/14		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		\$1,750.00	\$1,750.00	
06/02/14		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		\$1,000.00	\$2,750.00	
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
				<b>SUBTOTAL \$</b>	<b>2,750.00</b>	

**Schedule A Summary**

1. Amount received this period - itemized monetary contributions.  
(Include all Schedule A subtotals.) ..... \$ 2,750.00

2. Amount received this period - unitemized monetary contributions of less than \$100 ..... \$ \_\_\_\_\_

3. Total monetary contributions received this period.  
(Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Line 1.) ..... **TOTAL \$** 2,750.00

\*Contributor Codes  
IND - Individual  
COM - Recipient Committee  
(other than PTY or SCC)  
OTH - Other (e.g., business entity)  
PTY - Political Party  
SCC - Small Contributor Committee

**Schedule E  
Payments Made**

Amounts may be rounded to whole dollars.

SEE INSTRUCTIONS ON REVERSE  
NAME OF FILER

*Violeta Alvarez*

Statement covers period  
from 01/01/2014  
through 06/30/2014

Page 5 of 6  
I.D. NUMBER  
1302674

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- CMP campaign paraphernalia/misc.
- CNS campaign consultants
- CTB contribution (explain nonmonetary)\*
- CVC civic donations
- FIL candidate filing/ballot fees
- FND fundraising events
- L&G independent expenditure supporting/opposing others (explain)\*
- LIT legal defense
- LIT campaign literature and mailings
- MBR member communications
- MTG meetings and appearances
- OFC office expenses
- PET petition circulating
- PHO phone banks
- POL polling and survey research
- POS postage, delivery and messenger services
- PRO professional services (legal, accounting)
- PRT print ads
- RAD radio airtime and production costs
- RFD returned contributions
- SAL campaign workers' salaries
- TEL t.v. or cable airtime and production costs
- TRC candidate travel, lodging, and meals
- TRS staff/spouse travel, lodging, and meals
- TSF transfer between committees of the same candidate/sponsor
- VOT voter registration
- WEB information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
<i>ATM withdrawal Bell, CA</i>				<i>\$ 240.00</i>
<i>Cash withdrawal</i>				<i>\$ 480.00</i>
<i>ATM withdrawal Bell, CA</i>				<i>\$ 400.00</i>
<b>SUBTOTAL \$</b>				<b>1,320</b>

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**Schedule E Summary**

- Itemized payments made this period. (Include all Schedule E subtotals.) ..... \$ 1,746.75
- Unitemized payments made this period of under \$100 ..... \$ \_\_\_\_\_
- Total interest paid this period on loans. (Enter amount from Schedule B, Part 1, Column (e).) ..... \$ \_\_\_\_\_
- Total payments made this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Column A, Line 6.) ..... **TOTAL \$** 1,746.75



**Recipient Committee  
Campaign Statement  
Cover Page**

For Official Use Only

Date of election if applicable  
(Month, Day, Year)

03/05/2015

Statement covers period  
from

07/01/2014

through 12/31/2014

SEE INSTRUCTIONS ON REVERSE

**1. Type of Recipient Committee:** All Committees - Complete Parts 1, 2, 3, and 4.

- Officeholder, Candidate Controlled Committee
- State Candidate Election Committee
- Recall (Also Complete Part 5)
- General Purpose Committee
- Sponsored
- Small Contributor Committee
- Political Party/Central Committee
- Primarily Formed Ballot Measure Committee
- Controlled
- Sponsored (Also Complete Part 6)
- Primarily Formed Candidate/Officeholder Committee (Also Complete Part 7)

**2. Type of Statement:**

- Preelection Statement
- Semi-annual Statement
- Termination Statement (Also file a Form 410 Termination)
- Amendment (Explain below)

per FPPC

- Quarterly Statement
- Special Odd-Year Report

**3. Committee Information**

COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE)

Re-Elect VIOLETA ALVAREZ FOR BELL

City Council 2015

I.D. NUMBER

1362674

STREET ADDRESS (NO P.O. BOX)

6834 OTIS AVE BELL

90201 323 812 6871

CITY STATE ZIP CODE

CA 90201 323 812 6871

MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BOX

Treasurer(s) VIOLETA ALVAREZ

6834 OTIS AV

MAILING ADDRESS

CITY

Bell

STATE

CA

ZIP CODE

90201 323 812 6871

AREA CODE/PHONE

MAILING ADDRESS

CITY

STATE

ZIP CODE

AREA CODE/PHONE

OPTIONAL: FAX / E-MAIL ADDRESS

OPTIONAL: FAX / E-MAIL ADDRESS

**4. Verification**

I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 5/24/17

Date

Executed on 5/24/17

Date

Executed on \_\_\_\_\_

Date

Executed on \_\_\_\_\_

Date

By Violeta Alvarez

Signature of Treasurer or Assistant Treasurer

By \_\_\_\_\_

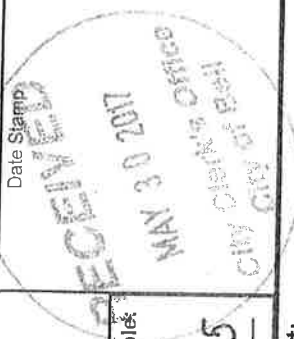
Signature of Controlling Officerholder, Candidate, State Measure Proponent or Responsible Officer of Sponsor

By \_\_\_\_\_

Signature of Controlling Officerholder, Candidate, State Measure Proponent

By \_\_\_\_\_

Signature of Controlling Officerholder, Candidate, State Measure Proponent



**Recipient Committee  
Campaign Statement  
Cover Page — Part 2**

**5. Officeholder or Candidate Controlled Committee**

NAME OF OFFICEHOLDER OR CANDIDATE  
VIOLETA AVAREZ

OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)  
Bell city Council

RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET) CITY STATE ZIP  
6084 Ots Ave Bell Ca 90201

**Related Committees Not Included in this Statement:** *List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or make expenditures on behalf of your candidacy.*

COMMITTEE NAME	I.D. NUMBER
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)
CITY	STATE ZIP CODE AREA CODE/PHONE
COMMITTEE NAME	I.D. NUMBER
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)
CITY	STATE ZIP CODE AREA CODE/PHONE

**6. Primarily Formed Ballot Measure Committee**

NAME OF BALLOT MEASURE

BALLOT NO. OR LETTER	JURISDICTION	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
----------------------	--------------	---

**Identify the controlling officeholder, candidate, or state measure proponent, if any.**

NAME OF OFFICEHOLDER, CANDIDATE, OR PROponent

OFFICE SOUGHT OR HELD

DISTRICT NO. IF ANY

**7. Primarily Formed Candidate/Officeholder Committee** *List names of officeholder(s) or candidate(s) for which this committee is primarily formed.*

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE

*Attach continuation sheets if necessary*



# Campaign Disclosure Statement Summary Page

Amounts may be rounded to whole dollars.

Statement covers period

CALIFORNIA FORM **460**

from 07/01/2014 through 12/31/2014

Page 3 of 13

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Violeta Alvarez

I.D. NUMBER

1362674

## Contributions Received

Column B  
CALENDAR YEAR  
TOTAL TO DATE

Column A  
TOTAL THIS PERIOD  
(FROM ATTACHED SCHEDULES)

	Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B CALENDAR YEAR TOTAL TO DATE
1. Monetary Contributions..... Schedule A, Line 3	\$ <u>3,949.00</u>	\$ <u>6,699.00</u>
2. Loans Received..... Schedule B, Line 3		
3. SUBTOTAL CASH CONTRIBUTIONS..... Add Lines 1 + 2	\$ <u>3,949.00</u>	\$ <u>6,699.00</u>
4. Nonmonetary Contributions..... Schedule C, Line 3		
5. TOTAL CONTRIBUTIONS RECEIVED..... Add Lines 3 + 4	\$ <u>3,949.00</u>	\$ <u>6,699.00</u>

## Calendar Year Summary for Candidates Running in Both the State Primary and General Elections

1/1 through 6/30 7/1 to Date

20. Contributions Received	\$ _____
21. Expenditures Made	\$ _____

## Expenditures Made

6. Payments Made..... Schedule E, Line 4	\$ <u>5,421.67</u>
7. Loans Made..... Schedule H, Line 3	
8. SUBTOTAL CASH PAYMENTS..... Add Lines 6 + 7	\$ <u>5,421.67</u>
9. Accrued Expenses (Unpaid Bills)..... Schedule F, Line 3	
10. Nonmonetary Adjustment..... Schedule C, Line 3	
11. TOTAL EXPENDITURES MADE..... Add Lines 8 + 9 + 10	\$ <u>5,421.67</u>

## Expenditure Limit Summary for State Candidates

22. Cumulative Expenditures Made\*  
(If Subject to Voluntary Expenditure Limit)

Date of Election (mm/dd/yy)	Total to Date
____/____/____	\$ _____
____/____/____	\$ _____

## Current Cash Statement

12. Beginning Cash Balance..... Previous Summary Page, Line 16	\$ <u>1,004.25</u>
Cash Receipts..... Column A, Line 3 above	\$ <u>3,949.00</u>
14. Miscellaneous Increases to Cash..... Schedule I, Line 4	
15. Cash Payments..... Column A, Line 8 above	\$ <u>3,675.92</u>
16. ENDING CASH BALANCE..... Add Lines 12 + 13 + 14, then subtract Line 15	\$ <u>1,277.33</u>

If this is a termination statement, Line 16 must be zero.

To calculate Column B, add amounts in Column A to the corresponding amounts from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).

17. LOAN GUARANTEES RECEIVED..... Schedule B, Part 2 \$ \_\_\_\_\_

## Cash Equivalents and Outstanding Debts

18. Cash Equivalents..... See instructions on reverse \$ \_\_\_\_\_

19. Outstanding Debts..... Add Line 2 + Line 9 in Column B above \$ \_\_\_\_\_

\*Amounts in this section may be different from amounts reported in Column B.

**Schedule A  
Monetary Contributions Received**

Amounts may be rounded  
to whole dollars.

SCHEDULE A

**CALIFORNIA 460  
FORM**

Page 4 of 13

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

NOBETA ALVAREZ

I.D. NUMBER

1362674

Statement covers period

from 07/01/2014

through 12/31/2014

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
11/10/14	Counter credit	<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		\$ 400.00	\$ 3,150.00	
11/24/14		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		\$ 300.00	\$ 3,450.00	
12/04/14	Deposit Alhambra, CA	<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		\$ 1,000	\$ 4,450.00	
12/08/14	Counter credit	<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		\$ 2,249.00	\$ 6,699	
				<b>SUBTOTAL \$</b>	<b>3,999.00</b>	

**Schedule A Summary**

- Amount received this period - itemized monetary contributions.  
(Include all Schedule A subtotals.) ..... \$ 3,949.00
- Amount received this period - unitemized monetary contributions of less than \$100 ..... \$ \_\_\_\_\_
- Total monetary contributions received this period.  
(Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Line 1.) ..... **TOTAL \$** 3,949.00

\*Contributor Codes  
 IND - Individual  
 COM - Recipient Committee  
       (Other than PTY or SCC)  
 OTH - Other (e.g., business entity)  
 PTY - Political Party  
 SCC - Small Contributor Committee

**Schedule E  
Payments Made**

Amounts may be rounded  
to whole dollars.

SCHEDULE E

CALIFORNIA **460**  
FORM

Statement covers period  
from 07/01/2014

Page 5 of 13

SEE INSTRUCTIONS ON REVERSE  
NAME OF FILER

I.D. NUMBER

1362674

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP campaign paraphernalia/misc.  
CNS campaign consultants  
CTB contribution (explain nonmonetary)\*  
CVC civic donations  
FIL candidate filing/ballot fees  
FND fundraising events  
independent expenditure supporting/opposing others (explain)\*  
L-3 legal defense  
LIT campaign literature and mailings

MBR member communications  
MTG meetings and appearances  
OFC office expenses  
PET petition circulating  
PHO phone banks  
POL polling and survey research  
POS postage, delivery and messenger services  
PRO professional services (legal, accounting)  
PRT print ads

RAD radio airtime and production costs  
RFD returned contributions  
SAL campaign workers' salaries  
TEL t.v. or cable airtime and production costs  
TRC candidate travel, lodging, and meals  
TRS staff/spouse travel, lodging, and meals  
TSF transfer between committees of the same candidate/sponsor  
VOT voter registration  
WEB information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Cash withdrawal				\$ 320.00
ATM withdrawal South gate, CA				\$ 100.00
ATM withdrawal Powney, CA				\$ 80.00

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$ 500.00**

**Schedule E Summary**

- Itemized payments made this period. (Include all Schedule E subtotals.) ..... \$ 3,675.92
- Unitemized payments made this period of under \$100 ..... \$ \_\_\_\_\_
- Total interest paid this period on loans. (Enter amount from Schedule B, Part 1, Column (e).) ..... \$ \_\_\_\_\_
- Total payments made this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Column A, Line 6.) ..... **TOTAL \$ 3,675.92**

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

Statement covers period  
from 07/01/2014  
through 12/31/2014

Page 6 of 13

SEE INSTRUCTIONS ON REVERSE  
NAME OF FILER

VIOLETA A NAREZ

I.D. NUMBER

1362674

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |     |   |     |   |     |   |
|-----|---|-----|---|-----|---|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants  | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations   | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events  | POL | polling and survey research               | TSF | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TRF | transfer between committees of the same candidate/sponsor |
|     | legal defense   | PRO | professional services (legal, accounting) | VOT | voter registration  |
|     | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

NAME AND ADDRESS OF PAYEE  
(IF COMMITTEE, ALSO ENTER I.D. NUMBER)

CODE OR DESCRIPTION OF PAYMENT

AMOUNT PAID

Bank of America	Replacement ATM Fee	\$ 5.00
ATM withdrawal Bell ca		\$ 300.00
ATM withdrawal Bell		\$ 100.00
ATM withdrawal Bell		\$ 80.00
Bank of America	Service Fee on Bank Account	\$ 16.00

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$ 501.00

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

Statement covers period  
from 07/01/2014  
through 12/31/2014

SEE INSTRUCTIONS ON REVERSE  
NAME OF FILER

Page 7 of 13

Yolanda Alvarez

I.D. NUMBER

1362674

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |     |   |     |   |     |   |
|-----|---|-----|---|-----|---|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants  | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations   | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events  | POS | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | PRO | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
|     | legal defense   | PRT | professional services (legal, accounting) | VOT | voter registration  |
|     | campaign literature and mailings                              |     | print ads                                 | WEB | information technology costs (internet, e-mail)           |

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Bank of America			Service Fee on Campaign Bank account	\$16.00
Big Lots Cudahy CA	CMP			\$78.47
Bargain World Bell			Food For Volunteers	\$ 101.25
El Pescador Bell CA	CMP			\$120.7
			Food For Volunteers	\$120.00

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$ 327**

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

SEE INSTRUCTIONS ON REVERSE  
NAME OF FILER

Violento Alvarez

Page 8 of 13

I.D. NUMBER

1362674

Statement covers period  
from 8/10/2014  
through 12/31/2014

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |     |   |     |   |     |   |
|-----|---|-----|---|-----|---|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants  | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations   | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events  | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| 3   | legal defense   | PRO | professional services (legal, accounting) | VOT | voter registration  |
|     | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

NAME AND ADDRESS OF PAYEE  
(IF COMMITTEE, ALSO ENTER I.D. NUMBER)

99 Cents Store  
Maywood ca

ATM withdrawal

Bank of America

DESCRIPTION OF PAYMENT	CODE	OR	AMOUNT PAID
	<u>CMP</u>		<u>\$13.04</u>
			<u>\$200.00</u>
<u>Service Fee, Monthly Replacement Card Fee</u>			<u>\$21.00</u>

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$ 234.04

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

Statement covers period  
from 07/01/2014  
through 12/31/14

SEE INSTRUCTIONS ON REVERSE

Page 9 of 13

NAME OF FILER

VICTOR AVAREZ

I.D. NUMBER

1362674

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |     |   |     |   |     |   |
|-----|---|-----|---|-----|---|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants  | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations   | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events  | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
|     | legal defense   | PRO | professional services (legal, accounting) | VOT | voter registration  |
|     | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

NAME AND ADDRESS OF PAYEE  
(IF COMMITTEE, ALSO ENTER I.D. NUMBER)

DESCRIPTION OF PAYMENT

CODE OR

AMOUNT PAID

ATM withdrawal

\$100.00

ATM withdrawal  
Bell ca

\$120.00

ATM withdrawal  
Bell ca

\$100.00

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$ 320.00

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

Statement covers period  
from 07/01/2014  
through 12/31/2014

CALIFORNIA **460**  
FORM

Page 10 of 13

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Violeta Alvarez

I.D. NUMBER

B62674

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- CMP campaign paraphernalia/misc.
- CNS campaign consultants
- CTB contribution (explain nonmonetary)\*
- CVC civic donations
- FIL candidate filing/ballot fees
- FND fundraising events
- IND independent expenditure supporting/opposing others (explain)\*
- LEF legal defense
- LIT campaign literature and mailings
- MBR member communications
- MTG meetings and appearances
- OFC office expenses
- PET petition circulating
- PHO phone banks
- POL polling and survey research
- POS postage, delivery and messenger services
- PRO professional services (legal, accounting)
- PRT print ads
- RAD radio airtime and production costs
- RFD returned contributions
- SAL campaign workers' salaries
- TEL t.v. or cable airtime and production costs
- TRC candidate travel, lodging, and meals
- TRS staff/spouse travel, lodging, and meals
- TSF transfer between committees of the same candidate/sponsor
- VOT voter registration
- WEB information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE  
(IF COMMITTEE, ALSO ENTER I.D. NUMBER)

CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
		ATM withdrawal Bell	\$60.00
		VSPS Maywood Ca	\$31.85
CMP		H.P. Rubber	\$31.28
CMP		Big Lots Cudahy Ca	\$37.81
CMP		KMart Cudahy	\$71.12

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$ 232.06**



**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Violeta Alvarez

Statement covers period  
from 01/01/2014  
through 12/31/2014

Page 11 of 13

I.D. NUMBER

1362674

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |     |   |     |   |     |   |
|-----|---|-----|---|-----|---|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants  | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations   | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events  | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
|     | legal defense   | PRO | professional services (legal, accounting) | VOT | voter registration  |
|     | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Superior 2 Cudding	CMP			\$ 57.21
Bell	CMP			\$ 20.00
Luckys Southgate Ca			Volunteer Food	\$ 86.66
Amappola Maywood Ca			Volunteer Food	\$ 28.20
Superior A	CMP			\$ 43.24

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$ 235.31

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

Statement covers period  
from 07/01/2014  
through 12/31/2014

SEE INSTRUCTIONS ON REVERSE

Page 12 of 13

NAME OF FILER

Violeta Alvarez

I.D. NUMBER

1362674

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |     |   |     |   |     |   |
|-----|---|-----|---|-----|---|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants  | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations   | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events  | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| 3   | legal defense   | PRO | professional services (legal, accounting) | VOT | voter registration  |
|     | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

NAME AND ADDRESS OF PAYEE  
(IF COMMITTEE, ALSO ENTER I.D. NUMBER)

DESCRIPTION OF PAYMENT

CODE OR

AMOUNT PAID

99 Cents Ave  
Maywood Ca

CMP

\$40.88

ATM Withdrawal  
Bell Ca

\$160.00

Amatola Deli  
Paramount Ca

Volunteer Food

\$12.11

MAC Dona Ids  
Bell Gardens

Volunteer Food

\$12.85

ATM Withdrawal  
Bell Ca

\$100.00

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$ 325.84

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Violeta Alvarez

Statement covers period

from 07/01/2014

through 12/31/2014

Page 13 of 13

I.D. NUMBER

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |     |   |     |   |     |   |
|-----|---|-----|---|-----|---|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants  | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations   | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events  | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | PRO | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense   | PRT | professional services (legal, accounting) | VOT | voter registration  |
| LIT | campaign literature and mailings                              |     | print ads                                 | WEB | information technology costs (internet, e-mail)           |

NAME AND ADDRESS OF PAYEE  
(IF COMMITTEE, ALSO ENTER I.D. NUMBER)

check

CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
			<u>\$1,000</u>

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$**

**Recipient Committee  
Campaign Statement  
Cover Page**

For Official Use Only

Date Stamp

**RECEIVED**

MAY 30 2017

City Clerk's Office  
City of Bell

Date of election if applicable:  
(Month, Day, Year)

03/05/2015

Statement covers period

from 01/01/2015

through 01/17/2015

**1. Type of Recipient Committee:** All Committees - Complete Parts 1, 2, 3, and 4.

- Officeholder, Candidate Controlled Committee
- State Candidate Election Committee
- Recall (Also Complete Part 5)
- General Purpose Committee
- Sponsored
- Small Contributor Committee
- Political Party/Central Committee
- Primarily Formed Ballot Measure Committee
- Controlled
- Sponsored (Also Complete Part 6)
- Primarily Formed Candidate/Officeholder Committee (Also Complete Part 7)

**2. Type of Statement:**

- Preelection Statement
- Semi-annual Statement
- Termination Statement
- Amendment (Explain below)

Per FPPC

**3. Committee Information**

COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE) 1362674  
Re-Elect Violeta Alvarez For Bell  
City Council 2015  
 STREET ADDRESS (NO P.O. BOX) 6834 Otis Ave Bell 90201  
 CITY Bell STATE CA ZIP CODE 90201 AREA CODE/PHONE 323 812-6871  
 MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BOX

Treasurer(s) Violeta Alvarez  
 NAME OF TREASURER 6834 Otis Av  
 MAILING ADDRESS

CITY Bell STATE CA ZIP CODE 90201 AREA CODE/PHONE 323 812-6871  
 NAME OF ASSISTANT TREASURER, IF ANY  
 MAILING ADDRESS

CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP CODE \_\_\_\_\_ AREA CODE/PHONE \_\_\_\_\_  
 OPTIONAL: FAX / E-MAIL ADDRESS \_\_\_\_\_

CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP CODE \_\_\_\_\_ AREA CODE/PHONE \_\_\_\_\_  
 OPTIONAL: FAX / E-MAIL ADDRESS \_\_\_\_\_

**4. Verification**

I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 5/24/17 Date  
 Executed on 5/24/17 Date  
 Executed on \_\_\_\_\_ Date  
 Executed on \_\_\_\_\_ Date

By [Signature] Signature of Treasurer or Assistant Treasurer  
 By \_\_\_\_\_ Signature of Controlling Officerholder, Candidate, State Measure Proponent or Responsible Officer of Sponsor  
 By \_\_\_\_\_ Signature of Controlling Officerholder, Candidate, State Measure Proponent  
 By \_\_\_\_\_ Signature of Controlling Officerholder, Candidate, State Measure Proponent

**Recipient Committee  
Campaign Statement  
Cover Page — Part 2**

**5. Officeholder or Candidate Controlled Committee**

NAME OF OFFICEHOLDER OR CANDIDATE  
VIOLETA ALVAREZ

OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)  
Bell city Council

RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET) CITY STATE ZIP  
6024 Otis Ave Bell Ca 90201

**Related Committees Not Included in this Statement:** *List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or make expenditures on behalf of your candidacy.*

COMMITTEE NAME	I.D. NUMBER
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)
CITY	STATE ZIP CODE AREA CODE/PHONE
COMMITTEE NAME	I.D. NUMBER
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)
CITY	STATE ZIP CODE AREA CODE/PHONE

**6. Primarily Formed Ballot Measure Committee**

NAME OF BALLOT MEASURE

BALLOT NO. OR LETTER JURISDICTION

SUPPORT  OPPOSE

Identify the controlling officeholder, candidate, or state measure proponent, if any.

NAME OF OFFICEHOLDER, CANDIDATE, OR PROponent

OFFICE SOUGHT OR HELD DISTRICT NO. IF ANY

**7. Primarily Formed Candidate/Officeholder Committee** *List names of officeholder(s) or candidate(s) for which this committee is primarily formed.*

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE

*Attach continuation sheets if necessary*

# Campaign Disclosure Statement Summary Page

Amounts may be rounded to whole dollars.

SUMMARY PAGE

Statement covers period from 01/01/2015 through 01/17/2015

CALIFORNIA FORM **460**

SEE INSTRUCTIONS ON REVERSE  
NAME OF FILER  
Vivetta Alvarez

Page 3 of 7  
I.D. NUMBER  
1302674

## Contributions Received

Column B  
CALENDAR YEAR  
TOTAL TO DATE

## Calendar Year Summary for Candidates Running in Both the State Primary and General Elections

1. Monetary Contributions.....	Schedule A, Line 3	\$	250.00	\$	1/1 through 6/30	7/1 to Date
2. Loans Received.....	Schedule B, Line 3	\$	400.00	\$		
3. SUBTOTAL CASH CONTRIBUTIONS.....	Add Lines 1 + 2	\$	650.00	\$		
4. Nonmonetary Contributions.....	Schedule C, Line 3	\$	300.00	\$		
5. TOTAL CONTRIBUTIONS RECEIVED.....	Add Lines 3 + 4	\$	950.00	\$		

20. Contributions Received \$ \_\_\_\_\_  
21. Expenditures Made \$ \_\_\_\_\_

## Expenditures Made

6. Payments Made.....	Schedule E, Line 4	\$	38.39	\$		
7. Loans Made.....	Schedule H, Line 3	\$		\$		
8. SUBTOTAL CASH PAYMENTS.....	Add Lines 6 + 7	\$	38.39	\$		
9. Accrued Expenses (Unpaid Bills).....	Schedule F, Line 3	\$		\$		
10. Nonmonetary Adjustment.....	Schedule C, Line 3	\$		\$		
11. TOTAL EXPENDITURES MADE.....	Add Lines 8 + 9 + 10	\$	38.39	\$		

## Expenditure Limit Summary for State Candidates

22. Cumulative Expenditures Made\*  
(if Subject to Voluntary Expenditure Limit)

Date of Election (mm/dd/yy) \_\_\_\_\_ Total to Date \_\_\_\_\_

## Current Cash Statement

12. Beginning Cash Balance.....	Previous Summary Page, Line 16	\$	1,277.33	\$
13. Cash Receipts.....	Column A, Line 3 above	\$	650.00	\$
14. Miscellaneous Increases to Cash.....	Schedule I, Line 4	\$	38.39	\$
15. Cash Payments.....	Column A, Line 8 above	\$	1,898.41	\$
16. ENDING CASH BALANCE.....	Add Lines 12 + 13 + 14, then subtract Line 15	\$		\$

To calculate Column B, add amounts in Column A to the corresponding amounts from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).

\*Amounts in this section may be different from amounts reported in Column B.

If this is a termination statement, Line 16 must be zero.

17. LOAN GUARANTEES RECEIVED..... Schedule B, Part 2 \$ \_\_\_\_\_

## Cash Equivalents and Outstanding Debts

18. Cash Equivalents..... See instructions on reverse \$ \_\_\_\_\_  
19. Outstanding Debts..... Add Line 2 + Line 9 in Column B above \$ \_\_\_\_\_

# Schedule A Monetary Contributions Received

Amounts may be rounded to whole dollars.

SCHEDULE A

CALIFORNIA FORM **460**

Page 4 of 7

Statement covers period from 01/01/2015 through 01/17/2015

SEE INSTRUCTIONS ON REVERSE NAME OF FILER

Violeta Alvarez

I.D. NUMBER 1362674

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
01/14/15		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		\$650.00	\$650.00	
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
				<b>SUBTOTAL \$</b>	<b>\$650.00</b>	

## Schedule A Summary

- Amount received this period - itemized monetary contributions. (Include all Schedule A subtotals.) ..... \$ \$650.00
- Amount received this period - unitemized monetary contributions of less than \$100 ..... \$ \_\_\_\_\_
- Total monetary contributions received this period. (Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Line 1.) ..... **TOTAL \$** \$650.00

\*Contributor Codes  
 IND - Individual  
 COM - Recipient Committee (other than PTY or SCC)  
 OTH - Other (e.g., business entity)  
 PTY - Political Party  
 SCC - Small Contributor Committee

**Schedule B - Part 1  
Loans Received**

Amounts may be rounded  
to whole dollars.

SCHEDULE B - PART 1

**CALIFORNIA 460  
FORM**

SEE INSTRUCTIONS ON REVERSE

NAME OF FILE

Violeta Alvarez

Statement covers period  
from 01/01/2015  
through 01/17/2015

Page 5 of 7

I.D. NUMBER

1362674

<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC <input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC <input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	FULL NAME, STREET ADDRESS AND ZIP CODE OF LENDER (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	(a)	(b)	(c)	(d)	(e)	(f)	(g)
			OUTSTANDING BALANCE BEGINNING THIS PERIOD	AMOUNT RECEIVED THIS PERIOD	AMOUNT PAID OR FORGIVEN THIS PERIOD *	OUTSTANDING BALANCE AT CLOSE OF THIS PERIOD	INTEREST PAID THIS PERIOD	ORIGINAL AMOUNT OF LOAN	CUMULATIVE CONTRIBUTIONS TO DATE
		<u>Violeta Alvarez</u>	\$	\$	<input type="checkbox"/> PAID \$ <input type="checkbox"/> FORGIVEN \$	\$ <u>400</u>	<u>0</u> %	\$ <u>400</u>	\$ <u>400</u>
			\$	\$	<input type="checkbox"/> PAID \$ <input type="checkbox"/> FORGIVEN \$		%	\$	\$
			\$	\$	<input type="checkbox"/> PAID \$ <input type="checkbox"/> FORGIVEN \$		%	\$	\$

SUBTOTALS \$ 0.00 \$ 0.00 \$ 400.00 \$ 0.00

(Enter (e) on  
Schedule E, Line 3)

**Schedule B Summary**

- Loans received this period ..... \$ 400.00  
(Total Column (b) plus unitemized loans of less than \$100.)
- Loans paid or forgiven this period ..... \$  
(Total Column (c) plus loans under \$100 paid or forgiven.)  
(Include loans paid by a third party that are also itemized on Schedule A.)
- Net change this period. (Subtract Line 2 from Line 1.) ..... NET \$ 400.00  
Enter the net here and on the Summary Page, Column A, Line 2.

†Contributor Codes  
IND - Individual  
COM - Recipient Committee  
(other than PTY or SCC)  
OTH - Other (e.g., business entity)  
PTY - Political Party  
SCC - Small Contributor Committee

\*Amounts forgiven or paid by another party also must be reported on Schedule A.  
\*\* If required.



# Schedule C Nonmonetary Contributions Received

Amounts may be rounded to whole dollars.

Statement covers period from 01/01/2015 through 01/17/2015

Page 6 of 7

SEE INSTRUCTIONS ON REVERSE  
NAME OF FILER

Viòleta Alvarez

I.D. NUMBER  
1362674

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	DESCRIPTION OF GOODS OR SERVICES	AMOUNT/ FAIR MARKET VALUE	CUMULATIVE TO DATE CALENDAR YEAR (JAN 1 - DEC 31)	PER ELECTION TO DATE (IF REQUIRED)
1/2015	Maria Aronson 6815 Walker Ave Bell ca 90201	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired	T-shirts	\$300.00	\$300.00	
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC					
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC					
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC					
<b>SUBTOTAL \$</b>						<b>\$300.00</b>	

Attach additional information on appropriately labeled continuation sheets.

## Schedule C Summary

- Amount received this period – itemized nonmonetary contributions. (Include all Schedule C subtotals.) .....\$ 300.00
- Amount received this period – unitemized nonmonetary contributions of less than \$100 .....\$ \_\_\_\_\_
- Total nonmonetary contributions received this period. (Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Lines 4 and 10.) ..... **TOTAL \$** 300.00

\*Contributor Codes  
IND – Individual  
COM – Recipient Committee (other than PTY or SCC)  
OTH – Other (e.g., business entity)  
PTY – Political Party  
SCC – Small Contributor Committee

**Schedule E  
Payments Made**

Amounts may be rounded  
to whole dollars.

SCHEDULE E

CALIFORNIA  
FORM  
**460**

SEE INSTRUCTIONS ON REVERSE  
NAME OF FILER

Violeta Alvarez

Statement covers period  
from 01/01/2015  
through 01/17/2015

Page 7 of 7

I.D. NUMBER

1362674

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |     |   |     |   |     |   |
|-----|---|-----|---|-----|---|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants  | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations   | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events  | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense   | PRO | professional services (legal, accounting) | VOT | voter registration  |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Jack InTheBox Bell			Volunteer Food	\$20.87
Primo Burger Playwood ca			Volunteer Food	\$17.52

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$**

**Schedule E Summary**

- Itemized payments made this period. (Include all Schedule E subtotals.) ..... \$ 38.39
- Unitemized payments made this period of under \$100..... \$ \_\_\_\_\_
- Total interest paid this period on loans. (Enter amount from Schedule B, Part 1, Column (e).)..... \$ \_\_\_\_\_
- Total payments made this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Column A, Line 6.)..... **TOTAL \$** 38.39

Recipients Committee  
Campaign Statement  
Cover Page



Date Stamp  
Date of election if applicable:  
(Month, Day, Year)

03/05/2015

Statement covers period  
from

01/18/2015

through 02/14/2015

SEE INSTRUCTIONS ON REVERSE

1. Type of Recipient Committee: All Committees - Complete Parts 1, 2, 3, and 4.

- Officeholder, Candidate Controlled Committee
- State Candidate Election Committee
- Recall
- General Purpose Committee
- Sponsored
- Small Contributor Committee
- Political Party/Central Committee
- Primarily Formed Ballot Measure Committee
- Controlled
- Sponsored
- Primarily Formed Candidate/Officeholder Committee

2. Type of Statement:

- Pre-election Statement
- Semi-annual Statement
- Termination Statement
- Amendment (Explain below)
- Quarterly Statement
- Special Odd-Year Report

per FPOC

3. Committee Information

COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE)

Re-Elect Violeta Alvarez For Bell  
City Council 2015

I.D. NUMBER

STREET ADDRESS (NO P.O. BOX)

6834 OTIS AVE

CITY

Bell

STATE

CA

ZIP CODE

90201

AREA CODE/PHONE

3238126871

MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BOX

MAILING ADDRESS

CITY

STATE

ZIP CODE

AREA CODE/PHONE

CITY

STATE

ZIP CODE

AREA CODE/PHONE

OPTIONAL: FAX / E-MAIL ADDRESS

OPTIONAL: FAX / E-MAIL ADDRESS

4. Verification

I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on

05-25-17

Date

Executed on

05-25-17

Date

Executed on

Date

Executed on

Date

Signature of Treasurer or Assistant Treasurer

By

By

By

By

Signature of Controlling Officer/holder, Candidate, State Measure Proponent or Responsible Officer of Sponsor

Signature of Controlling Officer/holder, Candidate, State Measure Proponent

Signature of Controlling Officer/holder, Candidate, State Measure Proponent

**Recipient Committee  
Campaign Statement  
Cover Page — Part 2**

**5. Officeholder or Candidate Controlled Committee**

NAME OF OFFICEHOLDER OR CANDIDATE  
VIOLETA ANOJICZ

OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)  
Bell city Council

RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET) CITY STATE ZIP  
6034 OTIS AVE Bell CA 90201

**Related Committees Not Included in this Statement:** *List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or make expenditures on behalf of your candidacy.*

COMMITTEE NAME	I.D. NUMBER
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)
CITY	STATE ZIP CODE AREA CODE/PHONE
COMMITTEE NAME	I.D. NUMBER
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)
CITY	STATE ZIP CODE AREA CODE/PHONE

**6. Primarily Formed Ballot Measure Committee**

NAME OF BALLOT MEASURE

BALLOT NO. OR LETTER JURISDICTION

SUPPORT  
 OPPOSE

**Identify the controlling officeholder, candidate, or state measure proponent, if any.**

NAME OF OFFICEHOLDER, CANDIDATE, OR PROponent

OFFICE SOUGHT OR HELD

DISTRICT NO. IF ANY

**7. Primarily Formed Candidate/Officeholder Committee** *List names of officeholder(s) or candidate(s) for which this committee is primarily formed.*

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE

*Attach continuation sheets if necessary*

# Campaign Disclosure Statement Summary Page

Amounts may be rounded to whole dollars.

SUMMARY PAGE

Statement covers period from 01/10/2015 through 02/14/2015

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Violeta Alvarez

Page 3 of 1

I.D. NUMBER

13026074

## Contributions Received

	Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B CALENDAR-YEAR TOTAL TO DATE
1. Monetary Contributions..... Schedule A, Line 3	\$ 1,314.00	\$ 1,504.00
2. Loans Received..... Schedule B, Line 3		\$ 400.00
3. JBTOTAL CASH CONTRIBUTIONS..... Add Lines 1 + 2	\$ 1,314.00	\$ 1,904.00
4. Nonmonetary Contributions..... Schedule C, Line 3		\$ 300.00
5. TOTAL CONTRIBUTIONS RECEIVED..... Add Lines 3 + 4	\$ 1,314.00	\$ 2,204.00

## Expenditures Made

6. Payments Made..... Schedule E, Line 4	\$ 2,248.04	\$ 2,286.43
7. Loans Made..... Schedule H, Line 3		
8. SUBTOTAL CASH PAYMENTS..... Add Lines 6 + 7	\$ 2,248.04	\$ 2,286.43
9. Accrued Expenses (Unpaid Bills)..... Schedule F, Line 3		
10. Nonmonetary Adjustment..... Schedule C, Line 3		
11. TOTAL EXPENDITURES MADE..... Add Lines 8 + 9 + 10	\$ 2,248.04	\$ 2,286.43

## Current Cash Statement

12. Beginning Cash Balance..... Previous Summary Page, Line 16	\$ 1,888.94
13. Cash Receipts..... Column A, Line 3 above	\$ 1,314.00
14. Miscellaneous Increases to Cash..... Schedule I, Line 4	\$ 2,248.04
15. Cash Payments..... Column A, Line 8 above	\$ 954.90
16. ENDING CASH BALANCE..... Add Lines 12 + 13 + 14, then subtract Line 15	\$

If this is a termination statement, Line 16 must be zero.

17. LOAN GUARANTEES RECEIVED..... Schedule B, Part 2 \$

## Cash Equivalents and Outstanding Debts

18. Cash Equivalents..... See instructions on reverse \$

19. Outstanding Debts..... Add Line 2 + Line 9 in Column B above \$

## Calendar Year Summary for Candidates Running in Both the State Primary and General Elections

	1/1 through 6/30	7/1 to Date
20. Contributions Received	\$	\$
21. Expenditures Made	\$	\$

## Expenditure Limit Summary for State Candidates

22. Cumulative Expenditures Made* (if Subject to Voluntary Expenditure Limit)	Total to Date
Date of Election (mm/dd/yy)	
/ /	\$
/ /	\$

\*Amounts in this section may be different from amounts reported in Column B.

To calculate Column B, add amounts in Column A to the corresponding amounts from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).

**Schedule A**

**Monetary Contributions Received**

Amounts may be rounded to whole dollars.

Statement covers period from 01/19/2015 through 02/14/2015

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Victoria Alvarez

I.D. NUMBER

1302674

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
02/03/15	Refund Monthly Service Fee	<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		\$16.00	\$16.00	
02/04/15	Deposit Bell, CA	<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		\$449.00	\$1,115.00	
02/13/15	Deposit Bell, CA	<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		\$49.00	\$1,904	
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
<b>SUBTOTAL \$</b>				<b>1,314.00</b>		

**Schedule A Summary**

- Amount received this period - itemized monetary contributions. (Include all Schedule A subtotals.) ..... \$ 1,314.00
- Amount received this period - unitemized monetary contributions of less than \$100 ..... \$ \_\_\_\_\_
- Total monetary contributions received this period. (Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Line 1.) ..... **TOTAL \$** 1,314.00

\*Contributor Codes  
 IND - Individual  
 COM - Recipient Committee (other than PTY or SCC)  
 OTH - Other (e.g., business entity)  
 PTY - Political Party  
 SCC - Small Contributor Committee

# Schedule E Payments Made

Amounts may be rounded  
to whole dollars.

Statement covers period  
from 01/16/2015  
through 02/14/2015

Page 5 of 11

SEE INSTRUCTIONS ON REVERSE  
NAME OF FILER

Vicketa Alvarez

I.D. NUMBER

1302074

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- CMP campaign paraphernalia/misc.
- CNS campaign consultants
- CTB contribution (explain nonmonetary)\*
- CVC civic donations
- FIL candidate filing/ballot fees
- FND fundraising events
- independent expenditure supporting/opposing others (explain)\*
- LLS legal defense
- LIT campaign literature and mailings
- MBR member communications
- MTG meetings and appearances
- OFC office expenses
- PET petition circulating
- PHO phone banks
- POL polling and survey research
- POS postage, delivery and messenger services
- PRO professional services (legal, accounting)
- PRT print ads
- RAD radio airtime and production costs
- RFD returned contributions
- SAL campaign workers' salaries
- TEL t.v. or cable airtime and production costs
- TRC candidate travel, lodging, and meals
- TRS staff/spouse travel, lodging, and meals
- TSF transfer between committees of the same candidate/sponsor
- VOT voter registration
- WEB information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
<u>ATM WilmCrawway</u>				<u>\$400.00</u>
<u>Bell, CA</u>				
<u>superior 4</u>	<u>CMP</u>			<u>\$52.40</u>
<u>Maywood, CA</u>				
<u>The Habit</u>			<u>volunteer food</u>	<u>\$25.47</u>
<u>South Gate, CA</u>				

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 477.87

## Schedule E Summary

1. Itemized payments made this period. (Include all Schedule E subtotals.) ..... \$ 2,248.04
2. Unitemized payments made this period of under \$100 ..... \$ \_\_\_\_\_
3. Total interest paid this period on loans. (Enter amount from Schedule B, Part 1, Column (e).) ..... \$ \_\_\_\_\_
4. Total payments made this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Column A, Line 6.) ..... **TOTAL \$** 2,248.04

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

*Violeta Alvarez*

Statement covers period

from *01/16/2015*

through *02/14/2015*

Page *6* of *11*

I.D. NUMBER

*13 626 74*

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- CMP campaign paraphernalia/misc.
- CNS campaign consultants
- CTB contribution (explain nonmonetary)\*
- CVC civic donations
- FIL candidate filing/ballot fees
- FND fundraising events
- IND independent expenditure supporting/opposing others (explain)\*
- LEG legal defense
- L campaign literature and mailings
- MBR member communications
- MTG meetings and appearances
- OFC office expenses
- PET petition circulating
- PHO phone banks
- POL polling and survey research
- POS postage, delivery and messenger services
- PRO professional services (legal, accounting)
- PRT print ads
- RAD radio airtime and production costs
- RFD returned contributions
- SAL campaign workers' salaries
- TEL t.v. or cable airtime and production costs
- TRC candidate travel, lodging, and meals
- TRS staff/spouse travel, lodging, and meals
- TSF transfer between committees of the same candidate/sponsor
- VOT voter registration
- WEB information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE  
(IF COMMITTEE, ALSO ENTER I.D. NUMBER)

CODE OR

DESCRIPTION OF PAYMENT

AMOUNT PAID

*Superior A*

*CMP*

*\$10.78*

*Interjet*

*TRC*

*\$228.67*

*El pollo loco*

*Volunteer Food*

*\$ 3.91*

*Maywood*

*Kp*

*Cudahy*

*\$10.00*

*IN - N-Out*

*Huntington Park*

*\$13.03*

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$ 266.39**



**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Utopia Alvarez

Statement covers period

from 01/18/2015

through 02/14/2015

Page 7 of 11

I.D. NUMBER

1362674

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- CMP campaign paraphernalia/misc.
- CNS campaign consultants
- CTB contribution (explain nonmonetary)\*
- CVC civic donations
- FIL candidate filing/ballot fees
- FND fundraising events
- IND independent expenditure supporting/opposing others (explain)\*
- LEG legal defense
- campaign literature and mailings
- MBR member communications
- MTG meetings and appearances
- OFC office expenses
- PET petition circulating
- PHO phone banks
- POL polling and survey research
- POS postage, delivery and messenger services
- PRO professional services (legal, accounting)
- PRT print ads

- RAD radio airtime and production costs
- RFD returned contributions
- SAL campaign workers' salaries
- TEL t.v. or cable airtime and production costs
- TRC candidate travel, lodging, and meals
- TRS staff/spouse travel, lodging, and meals
- TSF transfer between committees of the same candidate/sponsor
- VOT voter registration
- WEB information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE  
(IF COMMITTEE, ALSO ENTER I.D. NUMBER)

DESCRIPTION OF PAYMENT

CODE OR

AMOUNT PAID

99 cents store

\$14.98

ATM withdrawal

\$200.00

Jack in the box

\$18.27

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$ 233.25

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

Statement covers period  
from 01/10/2015  
through 02/14/2015

SEE INSTRUCTIONS ON REVERSE  
NAME OF FILER

Vivette Alvarez

Page 8 of 11  
I.D. NUMBER  
1302674

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |     |   |     |   |     |   |
|-----|---|-----|---|-----|---|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants  | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations   | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events  | POL | polling and survey research               | TSF | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | PRO | postage, delivery and messenger services  | VOT | transfer between committees of the same candidate/sponsor |
| LEG | legal defense   | PRT | professional services (legal, accounting) | WEB | voter registration  |
| IT  | campaign literature and mailings                              |     | print ads                                 |     | information technology costs (internet, e-mail)           |

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
<u>USA</u>				<u>\$30.62</u>
<u>Bell CA</u>				
<u>Ralphs</u>				<u>\$16.01</u>
<u>orange CA</u>				
<u>Smart - n - final</u>				<u>\$29.77</u>
<u>ATM withdrawal</u>				
<u>Bell CA</u>				<u>\$300.00</u>
<u>Superior A</u>				
<u>Maywood, CA</u>				<u>\$29.93</u>

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$ 436.33**

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

*Violeta Alvarez*

Statement covers period

from *01/10/2015*

through *02/14/2015*

Page *9* of *11*

I.D. NUMBER

*1362674*

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |     |   |     |   |     |   |
|-----|---|-----|---|-----|---|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants  | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations   | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events  | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense   | PRO | professional services (legal, accounting) | VOT | voter registration  |
| lit | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

NAME AND ADDRESS OF PAYEE  
(IF COMMITTEE, ALSO ENTER I.D. NUMBER)

*ATM withdrawal  
Bell Ca*

DESCRIPTION OF PAYMENT

CODE OR

AMOUNT PAID

*\$200.00*

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** *200*

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

SEE INSTRUCTIONS ON REVERSE  
NAME OF FILER

Viviana Alvarez

Statement covers period  
from 01/18/2015  
through 02/14/2015

Page 10 of 11  
I.D. NUMBER  
1362674

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |     |   |     |   |     |   |
|-----|---|-----|---|-----|---|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants  | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations   | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events  | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSE | transfer between committees of the same candidate/sponsor |
| LEG | legal defense   | PRO | professional services (legal, accounting) | VOT | voter registration  |
| .IT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

NAME AND ADDRESS OF PAYEE  
(IF COMMITTEE, ALSO ENTER I.D. NUMBER)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Panda Express Bell, CA			volunteer food	\$18.09
Future Communication Bell, CA	PHO			\$160.00
McDonalds			volunteer food	\$5.22
United Oil Los Angeles, CA	CMP			\$45.91
Tacos Cravilan, Inc Bell, CA	CMP			\$15.73

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$ 252.95**



**Recipient Committee  
Campaign Statement  
Cover Page**



Date of election if applicable:  
(Month, Day, Year)

03/05/2015

Statement covers period  
from 02/15/2015  
through 06/30/2015

SEE INSTRUCTIONS ON REVERSE

**1. Type of Recipient Committee:** All Committees - Complete Parts 1, 2, 3, and 4.

- Officeholder, Candidate Controlled Committee
- State Candidate Election Committee
- Recall (Also Complete Part 5)
- General Purpose Committee
- Sponsored
- Small Contributor Committee
- Political Party/Central Committee
- Primarily Formed Ballot Measure Committee
- Controlled
- Sponsored (Also Complete Part 6)
- Primarily Formed Candidate/Officeholder Committee (Also Complete Part 7)

**2. Type of Statement:**

- Preflection Statement
- Semi-annual Statement
- Termination Statement (Also file a Form 410 Termination)
- Amendment (Explain below)

per FPOC

**3. Committee Information**

COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE)  
Re-Elect Violeta Alvarez For Bell  
City Council 2015  
STREET ADDRESS (NO P.O. BOX)  
6834 OTIS AVE  
CITY Bell STATE CA ZIP CODE 90201 AREA CODE/PHONE 3238126871  
MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BOX

**Treasurer(s)**

NAME OF TREASURER MARIO ESTRADA  
MAILING ADDRESS 6834 OTIS AV  
CITY Bell STATE CA ZIP CODE 90201 AREA CODE/PHONE 223 812-6871  
NAME OF ASSISTANT TREASURER, IF ANY

**MAILING ADDRESS**

CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP CODE \_\_\_\_\_ AREA CODE/PHONE \_\_\_\_\_  
OPTIONAL: FAX / E-MAIL ADDRESS \_\_\_\_\_

**4. Verification**

I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 05-25-17 Date  
Executed on 05-25-17 Date  
Executed on \_\_\_\_\_ Date  
Executed on \_\_\_\_\_ Date

By Mario Estrada Signature of Treasurer or Assistant Treasurer  
By \_\_\_\_\_ Signature of Controlling Officerholder, Candidate, State Measure Proponent or Responsible Officer of Sponsor  
By \_\_\_\_\_ Signature of Controlling Officerholder, Candidate, State Measure Proponent  
By \_\_\_\_\_ Signature of Controlling Officerholder, Candidate, State Measure Proponent

**Recipient Committee  
Campaign Statement  
Cover Page — Part 2**

**5. Officeholder or Candidate Controlled Committee**

NAME OF OFFICEHOLDER OR CANDIDATE  
VIOLETA ALVAREZ

OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)  
Bell city Council

RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET) CITY STATE ZIP  
6824 Otis Ave Bell Ca 90201

**Related Committees Not Included in this Statement:** *List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or make expenditures on behalf of your candidacy.*

COMMITTEE NAME	I.D. NUMBER
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)
CITY	STATE ZIP CODE AREA CODE/PHONE
COMMITTEE NAME	I.D. NUMBER
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)
CITY	STATE ZIP CODE AREA CODE/PHONE

**6. Primarily Formed Ballot Measure Committee**

NAME OF BALLOT MEASURE

BALLOT NO. OR LETTER JURISDICTION

SUPPORT  OPPOSE

Identify the controlling officeholder, candidate, or state measure proponent, if any.

NAME OF OFFICEHOLDER, CANDIDATE, OR PROPONENT

OFFICE SOUGHT OR HELD DISTRICT NO. IF ANY

**7. Primarily Formed Candidate/Officeholder Committee** *List names of officeholder(s) or candidate(s) for which this committee is primarily formed.*

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE

*Attach continuation sheets if necessary*

# Campaign Disclosure Statement Summary Page

Amounts may be rounded  
to whole dollars.

SUMMARY PAGE

Statement covers period  
from 02/15/2015  
through 06/30/2015

CALIFORNIA FORM **460**  
Page 3 of 14

I.D. NUMBER \_\_\_\_\_

SEE INSTRUCTIONS ON REVERSE  
NAME OF FILER \_\_\_\_\_

## Contributions Received

	Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B CALENDAR YEAR TOTAL TO DATE
1. Monetary Contributions..... Schedule A, Line 3	\$ <u>1,489.00</u>	\$ <u>2,053.00</u>
2. Loans Received..... Schedule B, Line 3		
3. SUBTOTAL CASH CONTRIBUTIONS..... Add Lines 1 + 2	\$ <u>1,489.00</u>	\$ <u>3,053.00</u>
Nonmonetary Contributions..... Schedule C, Line 3		
5. TOTAL CONTRIBUTIONS RECEIVED..... Add Lines 3 + 4	\$ <u>1,489.00</u>	\$ <u>3,053.00</u>

## Expenditures Made

6. Payments Made..... Schedule E, Line 4	\$ <u>2,591.14</u>	\$ <u>4,877.57</u>
7. Loans Made..... Schedule H, Line 3		
8. SUBTOTAL CASH PAYMENTS..... Add Lines 6 + 7	\$ <u>2,591.14</u>	\$ <u>4,877.57</u>
9. Accrued Expenses (Unpaid Bills)..... Schedule F, Line 3		
10. Nonmonetary Adjustment..... Schedule C, Line 3		
11. TOTAL EXPENDITURES MADE..... Add Lines 8 + 9 + 10	\$ <u>2,591.14</u>	\$ <u>4,877.57</u>

## Current Cash Statement

12. Beginning Cash Balance..... Previous Summary Page, Line 16	\$ <u>954.90</u>
Cash Receipts..... Column A, Line 3 above	\$ <u>1,489.00</u>
14. Miscellaneous Increases to Cash..... Schedule I, Line 4	\$ <u>2,591.14</u>
15. Cash Payments..... Column A, Line 8 above	\$ <u>-197.24</u>
16. ENDING CASH BALANCE..... Add Lines 12 + 13 + 14, then subtract Line 15	\$ _____

*If this is a termination statement, Line 16 must be zero.*

## 17. LOAN GUARANTEES RECEIVED

Schedule B, Part 2	\$ _____
--------------------	----------

## Cash Equivalents and Outstanding Debts

18. Cash Equivalents..... See instructions on reverse	\$ _____
19. Outstanding Debts..... Add Line 2 + Line 9 in Column B above	\$ _____

## Calendar Year Summary for Candidates Running in Both the State Primary and General Elections

	1/1 through 6/30	7/1 to Date
20. Contributions Received	\$ _____	\$ _____
21. Expenditures Made	\$ _____	\$ _____

## Expenditure Limit Summary for State Candidates

22. Cumulative Expenditures Made* (If Subject to Voluntary Expenditure Limit)	Date of Election (mm/dd/yy)	Total to Date
	____/____/____	\$ _____
	____/____/____	\$ _____

\*Amounts in this section may be different from amounts reported in Column B.

To calculate Column B, add amounts in Column A to the corresponding amounts from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).



**Schedule A  
Monetary Contributions Received**

Amounts may be rounded  
to whole dollars.

SCHEDULE A

CALIFORNIA **460**  
FORM

Statement covers period  
from 02/15/2015  
through 06/30/2015

Page 9 of 14

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Violeta Alvarez

I.D. NUMBER

13102074

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
02/19/2015		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		\$99.00	\$2,063.00	
02/23/15		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		\$300.00	\$2,363.00	
02/23/15		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		\$250.00	\$2,613	
02/25/15		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		\$300.00	\$2,913	
05/09/17	deposit	<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		\$500	\$2,913	
<b>SUBTOTAL \$</b>					<b>1469</b>	

**Schedule A Summary**

- Amount received this period – itemized monetary contributions.  
(Include all Schedule A subtotals.) ..... \$ 1,489.00
- Amount received this period – unitemized monetary contributions of less than \$100 ..... \$ \_\_\_\_\_
- Total monetary contributions received this period.  
(Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Line 1.) ..... **TOTAL \$** 1,489.00

\*Contributor Codes  
IND – Individual  
COM – Recipient Committee  
(other than PTY or SCC)  
OTH – Other (e.g., business entity)  
PTY – Political Party  
SCC – Small Contributor Committee

**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

Amounts may be rounded  
to whole dollars.

Statement covers period

from 08/15/2015

through 08/30/2015

NAME OF FILER  
Violeta Alvarez  
I.D. NUMBER  
1362074

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
04/07/19		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		\$20	\$2983	
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
<b>SUBTOTAL \$</b>					\$20.00	

\*Contributor Codes  
 IND - Individual  
 COM - Recipient Committee  
 (other than PTY or SCC)  
 OTH - Other (e.g., business entity)  
 PTY - Political Party  
 SCC - Small Contributor Committee

**Schedule E  
Payments Made**

Amounts may be rounded  
to whole dollars.

Statement covers period

from 02/15/2015

Page 6 of 14

through 06/30/2015

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Violeta Alvarez

I.D. NUMBER

1362674

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |     |   |     |   |     |   |
|-----|---|-----|---|-----|---|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants  | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations   | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events  | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
|     | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
|     | legal defense   | PRO | professional services (legal, accounting) | VOT | voter registration  |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (Internet, e-mail)           |

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
<u>McDonalds</u>			<u>volunteer food</u>	<u>\$10.32</u>
<u>Cudahy, CA</u>				
<u>Staples</u>				
<u>Huntington Park, CA</u>				
<u>McDonalds</u>				
<u>Cudahy, CA</u>				
<b>SUBTOTAL \$</b>				<b>43.80</b>

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**Schedule E Summary**

- Itemized payments made this period. (Include all Schedule E subtotals.) ..... \$ 2,591.14
- Unitemized payments made this period of under \$100 ..... \$ \_\_\_\_\_
- Total interest paid this period on loans. (Enter amount from Schedule B, Part 1, Column (e).) ..... \$ \_\_\_\_\_
- Total payments made this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Column A, Line 6.) ..... **TOTAL \$** 2,591.14

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

MBR	member communications	RAD	radio airtime and production costs
MTG	meetings and appearances	RFD	returned contributions
OFC	office expenses	SAL	campaign workers' salaries
PET	petition circulating	TEL	t.v. or cable airtime and production costs
PHO	phone banks	TRC	candidate travel, lodging, and meals
POL	polling and survey research	TRS	staff/spouse travel, lodging, and meals
POS	postage, delivery and messenger services	TSF	transfer between committees of the same candidate/sponsor
PRO	professional services (legal, accounting)	VOT	voter registration
PRT	print ads	WEB	information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
<u>Primo Burgers</u>				
<u>Maywood, CA</u>			<u>Volunteer Food</u>	<u>\$37.69</u>
<u>Home depot</u>	<u>CMP</u>			<u>\$12.94</u>
<u>Huntington Park, CA</u>				
<u>ATM withdrawal</u>				<u>\$80.00</u>
<u>Bell, CA</u>				
<u>United oil</u>				<u>\$ 40.00</u>

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$ 170.18**

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

SCHEDULE E (CONT.)

CALIFORNIA  
FORM **460**

Statement covers period  
from 2/15/2015  
through 6/30/2015

Page 8 of 14

SEE INSTRUCTIONS ON REVERSE  
NAME OF FILER

Viveta Alvarez

I.D. NUMBER  
1362674

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP	campaign paraphernalia/misc.	MBR	member communications	RAD	radio airtime and production costs
CNS	campaign consultants	MTG	meetings and appearances	RFD	returned contributions
CTB	contribution (explain nonmonetary)*	OFC	office expenses	SAL	campaign workers' salaries
CVC	civic donations	PET	petition circulating	TEL	t.v. or cable airtime and production costs
FIL	candidate filing/ballot fees	PHO	phone banks	TRC	candidate travel, lodging, and meals
FND	fundraising events	POL	polling and survey research	TRS	staff/spouse travel, lodging, and meals
IND	independent expenditure supporting/opposing others (explain)*	POS	postage, delivery and messenger services	TSF	transfer between committees of the same candidate/sponsor
LEG	legal defense	PRO	professional services (legal, accounting)	VOT	voter registration
T	campaign literature and mailings	PRT	print ads	WEB	information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Prime Burgers Maywood, CA			Volunteer food	\$17.08
Food 4 Less Maywood, CA			Volunteer food	\$65.92
Prime Burgers Maywood, CA				\$24.59
Express Bell, CA		CMP		\$19.73
ATM Withdrawal Bell, CA				\$80.00

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$ 207.32

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

Statement covers period  
from 2/15/2015  
through 6/30/2015

SEE INSTRUCTIONS ON REVERSE  
NAME OF FILER

Page 9 of 14

Violeta Alvarez

I.D. NUMBER

1362674

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |     |   |     |   |     |   |
|-----|---|-----|---|-----|---|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants  | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations   | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events  | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense   | PRO | professional services (legal, accounting) | VOT | voter registration  |
| T   | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Chapman University Orange, CA			Parking	\$ 5.00
Penny Saver	CMP			\$ 250.00
Carl's Junior Bell, CA			Volunteer food	\$ 38.43
Big Lots Cudahy, CA	CMP			\$ 92.71
Carl's Junior Bell, CA			Volunteer food	\$ 5.77

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$ 391.91

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

Statement covers period  
from 2/15/2015  
through 6/30/2015

SEE INSTRUCTIONS ON REVERSE  
NAME OF FILER

Page 10 of 14

Violeta Alvarez

I.D. NUMBER

1362674

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |     |   |     |   |     |   |
|-----|---|-----|---|-----|---|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants  | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations   | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events  | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense   | PRO | professional services (legal, accounting) | VOT | voter registration  |
| T   | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
<u>Marshalls</u>	<u>CMP</u>			<u>\$7.60</u>
<u>Prell Gardens, CA</u>				
<u>ATM Withdraw</u>				
<u>Lakewood, CA</u>				<u>\$80</u>
<u>Staples</u>	<u>CMP</u>			<u>\$17.25</u>
<u>Huntington Park, CA</u>				
<u>Kohl's</u>	<u>CMP</u>			<u>\$22.24</u>
<u>Alhambra, CA</u>				
<u>ATM Withdraw</u>				
<u>Lakewood, CA</u>				<u>\$180</u>
<b>SUBTOTAL \$</b>				<u>307.09</u>

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

SEE INSTRUCTIONS ON REVERSE  
NAME OF FILER

Violeta Alvarez

Page 11 of 14

I.D. NUMBER

1362674

Statement covers period  
from 2/15/2015  
through 6/30/2015

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- CMP campaign paraphernalia/misc.
- CNS campaign consultants
- CTB contribution (explain nonmonetary)\*
- CVC civic donations
- FIL candidate filing/ballot fees
- FND fundraising events
- IND independent expenditure supporting/opposing others (explain)\*
- ...3 legal defense
- campaign literature and mailings
- MBR member communications
- MTG meetings and appearances
- OFC office expenses
- PET petition circulating
- PHO phone banks
- POL polling and survey research
- POS postage, delivery and messenger services
- PRO professional services (legal, accounting)
- PRT print ads

- RAD radio airtime and production costs
- RFD returned contributions
- SAL campaign workers' salaries
- TEL t.v. or cable airtime and production costs
- TRC candidate travel, lodging, and meals
- TRS staff/spouse travel, lodging, and meals
- TSF transfer between committees of the same candidate/sponsor
- VOT voter registration
- WEB information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE  
(IF COMMITTEE, ALSO ENTER I.D. NUMBER)

CODE OR

DESCRIPTION OF PAYMENT

AMOUNT PAID

Staples			
Huntington Park, CA			\$ 2.16
ATM Withdrawal	COMP		\$ 200
ATM Withdrawal			\$ 80
Penny Games			\$ 194.35
ATM Withdrawal			\$ 220.00
<b>SUBTOTAL \$</b>			<u>696.45</u>

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.



**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

SEE INSTRUCTIONS ON REVERSE  
NAME OF FILER

Victoria Alvarez

Page 12 of 14

I.D. NUMBER

1362674

Statement covers period  
from 2/15/2015  
through 6/30/2015

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.  
 CMP campaign paraphernalia/misc.  
 CNS campaign consultants  
 CTB contribution (explain nonmonetary)\*  
 CVC civic donations  
 FIL candidate filing/ballot fees  
 FND fundraising events  
 IND independent expenditure supporting/opposing others (explain)\*  
 LEG legal defense  
 IT campaign literature and mailings

MBR member communications  
 MTG meetings and appearances  
 OFC office expenses  
 PET petition circulating  
 PHO phone banks  
 POL polling and survey research  
 POS postage, delivery and messenger services  
 PRO professional services (legal, accounting)  
 PRT print ads  
 RAD radio airtime and production costs  
 RFD returned contributions  
 SAL campaign workers' salaries  
 TEL t.v. or cable airtime and production costs  
 TRC candidate travel, lodging, and meals  
 TRS staff/spouse travel, lodging, and meals  
 TSF transfer between committees of the same candidate/sponsor  
 VOT voter registration  
 WEB information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE  
(IF COMMITTEE, ALSO ENTER I.D. NUMBER)

CODE OR DESCRIPTION OF PAYMENT

AMOUNT PAID

Bank of America

Service fee

\$16.00

Primo Burgers

Volunteer food

\$46.67

Maywood, CA

Primo Burgers

Volunteers food

\$15.24

Maywood, CA

Wal-Mart

CMP

Bell Gardens, CA

\$125.87

Northgate

CMP

\$52.73

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$ 256.57**

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

SEE INSTRUCTIONS ON REVERSE  
NAME OF FILER

Volcker Alvarez

Page 13 of 14

I.D. NUMBER

1362674

Statement covers period  
from 2/15/2015  
through 2/28/2015

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.  
 CMP campaign paraphernalia/misc.  
 CNS campaign consultants  
 CTB contribution (explain nonmonetary)\*  
 CVC civic donations  
 FIL candidate filing/ballot fees  
 FND fundraising events  
 IND independent expenditure supporting/opposing others (explain)\*  
 LEG legal defense  
 IT campaign literature and mailings

MBR member communications  
 MTG meetings and appearances  
 OFC office expenses  
 PET petition circulating  
 PHO phone banks  
 POL polling and survey research  
 POS postage, delivery and messenger services  
 PRO professional services (legal, accounting)  
 PRT print ads  
 RAD radio airtime and production costs  
 RFD returned contributions  
 SAL campaign workers' salaries  
 TEL tv. or cable airtime and production costs  
 TRC candidate travel, lodging, and meals  
 TRS staff/spouse travel, lodging, and meals  
 TSF transfer between committees of the same candidate/sponsor  
 VOT voter registration  
 WEB information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Olive Garden			Volunteer food	\$19.97
Montebello, CA			Volunteer food	\$43.00
Martinez, Contra			Volunteer food	\$68.94
Wingstop			Volunteer food	\$9.55
Pell Gardens			Volunteer food	\$16.78
McDonalds				
Maywood, CA				
King Taco				
Maywood, CA				

SUBTOTAL \$ 158.24

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

Statement covers period  
from 2/15/2015  
through 6/30/2015

Page 14 of 14

SEE INSTRUCTIONS ON REVERSE  
NAME OF FILER

Violeta Alvarez

I.D. NUMBER

1362674

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.  
 CMP campaign paraphernalia/misc.  
 CNS campaign consultants  
 CTB contribution (explain nonmonetary)\*  
 CVC civic donations  
 FIL candidate filing/ballot fees  
 FND fundraising events  
 IND independent expenditure supporting/opposing others (explain)\*  
 LEG legal defense  
 \*T campaign literature and mailings

MBR member communications  
 MTG meetings and appearances  
 OFC office expenses  
 PET petition circulating  
 PHO phone banks  
 POL polling and survey research  
 POS postage, delivery and messenger services  
 PRO professional services (legal, accounting)  
 PRT print ads

RAD radio airtime and production costs  
 RPD returned contributions  
 SAL campaign workers' salaries  
 TEL tv. or cable airtime and production costs  
 TRC candidate travel, lodging, and meals  
 TRS staff/spouse travel, lodging, and meals  
 TSF transfer between committees of the same candidate/sponsor  
 VOT voter registration  
 WEB information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE  
(IF COMMITTEE, ALSO ENTER I.D. NUMBER)

CODE OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
	McDonalds Bell	\$9.13
	Overdraft fees	\$350.00
	Bank of America	\$16.00
	Volunteer food	
	Service fee	

SUBTOTAL \$ 375.13

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**Recipient Committee  
Campaign Statement  
Cover Page**

Date Stamp  
**RECEIVED**  
MAY 30 2015  
City Clerk's Office  
City of Bell

Statement covers period  
from 07/01/2015  
through 12/31/2015

Date of election if applicable:  
(Month, Day, Year)  
03/05/2015

Page 1 of 1  
For Official Use Only

SEE INSTRUCTIONS ON REVERSE

**1. Type of Recipient Committee: All Committees - Complete Parts 1, 2, 3, and 4.**

- Officeholder, Candidate Controlled Committee
- State Candidate Election Committee
- Recall (Also Complete Part 5)
- General Purpose Committee
- Sponsored
- Small Contributor Committee
- Political Party/Central Committee
- Primarily Formed Ballot Measure Committee
- Controlled
- Sponsored (Also Complete Part 6)
- Primarily Formed Candidate/Officeholder Committee (Also Complete Part 7)

**2. Type of Statement:**

- Prelection Statement
- Semi-annual Statement
- Termination Statement (Also file a Form 410 Termination)
- Amendment (Explain below) PRC FPOC
- Quarterly Statement
- Special Odd-Year Report

**3. Committee Information**

COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE)  
Re-Elect Violeta Alvarez For Bell  
City Council 2015  
STREET ADDRESS (NO P.O. BOX)  
6834 OTIS AVE  
CITY Bell STATE CA ZIP CODE 90201 AREA CODE/PHONE 3238126871  
MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BOX

**Treasurer(s)**

NAME OF TREASURER MARIO ESTADA  
MAILING ADDRESS 6834 OTIS AV  
CITY Bell STATE CA ZIP CODE 90201 AREA CODE/PHONE 323 812-6871  
NAME OF ASSISTANT TREASURER, IF ANY  
MAILING ADDRESS

CITY STATE ZIP CODE AREA CODE/PHONE

CITY STATE ZIP CODE AREA CODE/PHONE

OPTIONAL: FAX / E-MAIL ADDRESS

OPTIONAL: FAX / E-MAIL ADDRESS

**4. Verification**

I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 05-25-17 Date  
Executed on 05-25-17 Date  
Executed on \_\_\_\_\_ Date  
Executed on \_\_\_\_\_ Date

By MARIO ESTADA Signature of Treasurer or Assistant Treasurer  
By MARIO ESTADA Signature of Controlling Officeholder, Candidate, State Measure Proponent or Responsible Officer of Sponsor  
By \_\_\_\_\_ Signature of Controlling Officeholder, Candidate, State Measure Proponent  
By \_\_\_\_\_ Signature of Controlling Officeholder, Candidate, State Measure Proponent

**Recipient Committee  
Campaign Statement  
Cover Page — Part 2**

**5. Officeholder or Candidate Controlled Committee**

NAME OF OFFICEHOLDER OR CANDIDATE  
VIOLETA AVAREZ

OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)  
Bell city Council

RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET) CITY STATE ZIP  
6034 Otis Ave Bell Ca 90201

**Related Committees Not Included in this Statement:** *List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or make expenditures on behalf of your candidacy.*

COMMITTEE NAME	I.D. NUMBER
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)
CITY	STATE ZIP CODE AREA CODE/PHONE
COMMITTEE NAME	I.D. NUMBER
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)
CITY	STATE ZIP CODE AREA CODE/PHONE

**6. Primarily Formed Ballot Measure Committee**

NAME OF BALLOT MEASURE

BALLOT NO. OR LETTER JURISDICTION

SUPPORT  OPPOSE

Identify the controlling officeholder, candidate, or state measure proponent, if any.

NAME OF OFFICEHOLDER, CANDIDATE, OR PROPONENT

OFFICE SOUGHT OR HELD DISTRICT NO. IF ANY

**7. Primarily Formed Candidate/Officeholder Committee** *List names of officeholder(s) or candidate(s) for which this committee is primarily formed.*

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE

*Attach continuation sheets if necessary*

# Campaign Disclosure Statement Summary Page

Amounts may be rounded  
to whole dollars.

SUMMARY PAGE

Statement covers period  
from 07/01/2015  
through 12/31/2015

CALIFORNIA FORM **460**  
Page 3 of 4

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Violeta Alvarez

I.D. NUMBER

1302674

## Contributions Received

	Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B CALENDAR YEAR TOTAL TO DATE
1. Monetary Contributions.....	Schedule A, Line 3 \$ <u>183.24</u>	\$
2. Loans Received.....	Schedule B, Line 3	\$
SUBTOTAL CASH CONTRIBUTIONS.....	Add Lines 1 + 2	\$
4. Nonmonetary Contributions.....	Schedule C, Line 3	\$
5. TOTAL CONTRIBUTIONS RECEIVED.....	Add Lines 3 + 4	\$

Calendar Year Summary for Candidates  
Running in Both the State Primary and  
General Elections

1/1 through 6/30 7/1 to Date

20. Contributions Received	\$
21. Expenditures Made	\$

## Expenditures Made

6. Payments Made.....	Schedule E, Line 4 \$ <u>0.00</u>
7. Loans Made.....	Schedule H, Line 3
8. SUBTOTAL CASH PAYMENTS.....	Add Lines 6 + 7 \$ <u>0.00</u>
9. Accrued Expenses (Unpaid Bills).....	Schedule F, Line 3
10. Nonmonetary Adjustment.....	Schedule C, Line 3
11. TOTAL EXPENDITURES MADE.....	Add Lines 8 + 9 + 10 \$ <u>0.00</u>

## Expenditure Limit Summary for State Candidates

22. Cumulative Expenditures Made\*  
(If Subject to Voluntary Expenditure Limit)

Date of Election (mm/dd/yy)	Total to Date
/ /	\$
/ /	\$

## Current Cash Statement

12. Beginning Cash Balance.....	Previous Summary Page, Line 16 \$ <u>-147.24</u>
Cash Receipts.....	Column A, Line 3 above <u>183.20</u>
14. Miscellaneous Increases to Cash.....	Schedule I, Line 4
15. Cash Payments.....	Column A, Line 8 above <u>0.00</u>
16. ENDING CASH BALANCE.....	Add Lines 12 + 13 + 14, then subtract Line 15 <u>0.00</u>

To calculate Column B, add amounts in Column A to the corresponding amounts from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).

\*Amounts in this section may be different from amounts reported in Column B.

If this is a termination statement, Line 16 must be zero.

## 17. LOAN GUARANTEES RECEIVED

Schedule B, Part 2 \$

## Cash Equivalents and Outstanding Debts

18. Cash Equivalents.....	See instructions on reverse \$
19. Outstanding Debts.....	Add Line 2 + Line 9 in Column B above \$

**Schedule A  
Monetary Contributions Received**

Amounts may be rounded  
to whole dollars.

SCHEDULE A

CALIFORNIA **460**  
FORM

Page 4 of 4

Statement covers period

from 07/01/2015

through 12/31/2015

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Violeta Alvarez

I.D. NUMBER

1302674

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
<u>07/01/15</u>		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		<u>\$183.24</u>		
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
				<b>SUBTOTAL \$</b>	<u>183.24</u>	

**Schedule A Summary**

1. Amount received this period – itemized monetary contributions.  
(Include all Schedule A subtotals.) ..... \$ 183.24

2. Amount received this period – unitemized monetary contributions of less than \$100 ..... \$ \_\_\_\_\_

3. Total monetary contributions received this period.  
(Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Line 1.) ..... **TOTAL \$** 183.24

\*Contributor Codes  
 IND – Individual  
 COM – Recipient Committee  
 (other than PTY or SCC)  
 OTH – Other (e.g., business entity)  
 PTY – Political Party  
 SCC – Small Contributor Committee

# Recipient Committee Campaign Statement Cover Page

COVER PAGE

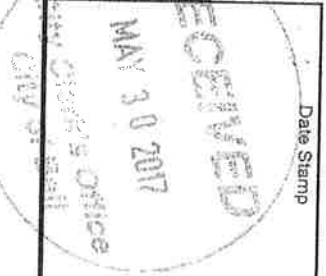
SEE INSTRUCTIONS ON REVERSE

### 1. Type of Recipient Committee: All Committees - Complete Parts 1, 2, 3, and 4.

- Officeholder, Candidate Controlled Committee
- State Candidate Election Committee
- Recall (Also Complete Part 5)
- General Purpose Committee
- Sponsored
- Small Contributor Committee
- Political Party/Central Committee
- Primarily Formed Candidate/Officeholder Committee (Also Complete Part 6)
- Primarily Formed Ballot Measure Committee
- Controlled
- Sponsored (Also Complete Part 6)
- Primarily Formed Candidate/Officeholder Committee (Also Complete Part 7)

Statement covers period from 01/01/2016 through 06/30/2016

Date of election if applicable: (Month, Day, Year) 03/05/2015



### 2. Type of Statement:

- Preflection Statement
- Semi-annual Statement
- Termination Statement (Also file a Form 410 Termination)
- Amendment (Explain below)
- Quarterly Statement
- Special Odd-Year Report

PER FPPCC

CALIFORNIA FORM 460

Page 1 of 2  
For Official Use Only

### 3. Committee Information

I.D. NUMBER

COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE)

Re-Elect Violeta Alvarez For Ball

STREET ADDRESS (NO P.O. BOX)

City Council 2015

CITY

Ball

STATE

CA

ZIP CODE

90201

AREA CODE/PHONE

323812-6871

MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BOX

CITY

STATE

ZIP CODE

AREA CODE/PHONE

OPTIONAL: FAX / E-MAIL ADDRESS

### Treasurer(s)

NAME OF TREASURER

NOVIO ESTRADA

MAILING ADDRESS

6834 OTIS AV

CITY

Ball

STATE

CA

ZIP CODE

90201

AREA CODE/PHONE

323 812-6871

NAME OF ASSISTANT TREASURER, IF ANY

MAILING ADDRESS

CITY

STATE

ZIP CODE

AREA CODE/PHONE

OPTIONAL: FAX / E-MAIL ADDRESS

### 4. Verification

I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 05-23-17 Date

Executed on 05-23-17 Date

Executed on \_\_\_\_\_ Date

Executed on \_\_\_\_\_ Date

By [Signature] Signature of Treasurer or Assistant Treasurer

By [Signature] Signature of Controlling Officeholder, Candidate, State Measure Proponent or Responsible Officer of Sponsor

By \_\_\_\_\_ Signature of Controlling Officeholder, Candidate, State Measure Proponent

By \_\_\_\_\_ Signature of Controlling Officeholder, Candidate, State Measure Proponent



**Recipient Committee  
Campaign Statement  
Cover Page — Part 2**

**5. Officeholder or Candidate Controlled Committee**

NAME OF OFFICEHOLDER OR CANDIDATE  
Viola Alvarez

OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)  
Bell City Council

RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET) CITY STATE ZIP  
6834 Otis Ave Bell CA 90201

**Related Committees Not Included in this Statement:** *List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or make expenditures on behalf of your candidacy.*

COMMITTEE NAME	I.D. NUMBER		
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO		
COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)		
CITY	STATE	ZIP CODE	AREA CODE/PHONE
COMMITTEE NAME	I.D. NUMBER		
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO		
COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)		
CITY	STATE	ZIP CODE	AREA CODE/PHONE

**6. Primarily Formed Ballot Measure Committee**

NAME OF BALLOT MEASURE

BALLOT NO. OR LETTER JURISDICTION

SUPPORT  
 OPPOSE

Identify the controlling officeholder, candidate, or state measure proponent, if any.

NAME OF OFFICEHOLDER, CANDIDATE, OR PROPONENT

OFFICE SOUGHT OR HELD

DISTRICT NO. IF ANY

**7. Primarily Formed Candidate/Officeholder Committee** *List names of officeholder(s) or candidate(s) for which this committee is primarily formed.*

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE

*Attach continuation sheets if necessary*

# Campaign Disclosure Statement Summary Page

Amounts may be rounded to whole dollars.

SEE INSTRUCTIONS ON REVERSE  
NAME OF FILER

Violeta Alvarez

Statement covers period from 01/01/2016 through 07/01/2016

Page 3 of 3

I.D. NUMBER  
1362674

## Contributions Received

	Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B CALENDAR YEAR TOTAL TO DATE	1/1 through 6/30	7/1 to Date
1. Monetary Contributions.....	Schedule A, Line 3 \$ <u>0.00</u>	\$ <u>0.00</u>		
2. Loans Received.....	Schedule B, Line 3			
3. SUBTOTAL CASH CONTRIBUTIONS.....	Add Lines 1 + 2 \$ <u>0.00</u>	\$ <u>0.00</u>		
Nonmonetary Contributions.....	Schedule C, Line 3			
5. TOTAL CONTRIBUTIONS RECEIVED.....	Add Lines 3 + 4 \$ <u>0.00</u>	\$ <u>0.00</u>		

## Calendar Year Summary for Candidates Running in Both the State Primary and General Elections

20. Contributions Received	\$ _____
21. Expenditures Made	\$ _____

## Expenditures Made

6. Payments Made.....	Schedule E, Line 4 \$ <u>0.00</u>
7. Loans Made.....	Schedule H, Line 3
8. SUBTOTAL CASH PAYMENTS.....	Add Lines 6 + 7 \$ <u>0.00</u>
9. Accrued Expenses (Unpaid Bills).....	Schedule F, Line 3
10. Nonmonetary Adjustment.....	Schedule C, Line 3
11. TOTAL EXPENDITURES MADE.....	Add Lines 8 + 9 + 10 \$ <u>0.00</u>

## Expenditure Limit Summary for State Candidates

22. Cumulative Expenditures Made* (If Subject to Voluntary Expenditure Limit)	Total to Date
Date of Election (mm/dd/yy)	_____ / _____ / _____
	\$ _____
	\$ _____

## Current Cash Statement

12. Beginning Cash Balance	Previous Summary Page, Line 16 \$ <u>0.00</u>
Cash Receipts	Column A, Line 3 above \$ <u>0.00</u>
14. Miscellaneous Increases to Cash	Schedule I, Line 4
15. Cash Payments	Column A, Line 8 above \$ <u>0.00</u>
16. ENDING CASH BALANCE	Add Lines 12 + 13 + 14, then subtract Line 15 \$ <u>0.00</u>

*If this is a termination statement, Line 16 must be zero.*

To calculate Column B, add amounts in Column A to the corresponding amounts from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).

\*Amounts in this section may be different from amounts reported in Column B.

## 17. LOAN GUARANTEES RECEIVED

18. Cash Equivalents	See instructions on reverse \$ _____
19. Outstanding Debts	Add Line 2 + Line 9 in Column B above \$ _____

## Cash Equivalents and Outstanding Debts

# **EXHIBIT A-15**

---

Default Decision and Order  
FPPC No. 15/150



P.O. Box 15284  
Wilmington, DE 19850

VIOLETA ALVAREZ  
CAMPAIGN ACCOUNT  
[REDACTED]

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Tampa, FL 33622-5118

## Your Business Fundamentals Checking

for November 1, 2014 to November 30, 2014

VIOLETA ALVAREZ CAMPAIGN ACCOUNT

Account number: [REDACTED]

### Account summary

Beginning balance on November 1, 2014	-\$12.75
Deposits and other credits	700.00
Withdrawals and other debits	-524.71
Checks	-0.00
Service fees	-21.00
<b>Ending balance on November 30, 2014</b>	<b>\$141.54</b>

# of deposits/credits: 2

# of withdrawals/debits: 8

# of items-previous cycle<sup>1</sup>: 0

# of days in cycle: 30

Average ledger balance: \$115.49

<sup>1</sup>Includes checks paid, deposited items & other debits

**Deposits and other credits**

Date	Description	Amount
11/10/14	Counter Credit	400.00
11/24/14	Bkofamerica Atm 11/22 #000005617 Deposit Bell Bell Ca	300.00
<b>Total deposits and other credits</b>		<b>\$700.00</b>

**Withdrawals and other debits**

Date	Description	Amount
Card account # XXXX XXXX XXXX [REDACTED]		
11/14/14	BIG LOTS 7925 11/14 #000212080 PURCHASE BIG LOTS 7925 AT CUDAHY CA	-78.47
11/14/14	SMARTNFINAL319 11/14 #000270931 PURCHASE SMARTNFINAL319 BELL CA	-101.13
11/14/14	BARGAIN WORLD 11/14 #000294476 PURCHASE BARGAIN WORLD BELL CA	-12.07
11/18/14	CHECKCARD 1114 EL PESCADOR BELL CA 24071054321985378145390 CKCD 5812 XXXXXXXXXXXX0786 XXXX XXXX XXXX [REDACTED]	-120.00
<b>Subtotal for card account # XXXX XXXX XXXX [REDACTED]</b>		<b>-\$311.67</b>
Card account # XXXX XXXX XXXX [REDACTED]		
11/24/14	99-CENTS- 6161 11/24 #000313865 PURCHASE 99-CENTS- 6161 AT MAYWOOD CA	-13.04
11/25/14	BKOFAMERICA ATM 11/25 #000006204 WITHDRWL BELL BELL CA	-200.00
<b>Subtotal for card account # XXXX XXXX XXXX [REDACTED]</b>		<b>-\$213.04</b>
<b>Total withdrawals and other debits</b>		<b>-\$524.71</b>



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VIOLETA ALVAREZ  
CAMPAIGN ACCOUNT



## Your Business Fundamentals Checking

for February 1, 2015 to February 28, 2015

VIOLETA ALVAREZ CAMPAIGN ACCOUNT

Account number:

### Account summary

Beginning balance on February 1, 2015	\$275.10
Deposits and other credits	2,263.00
Withdrawals and other debits	-2,451.41
Checks	-0.00
Service fees	-16.00
<b>Ending balance on February 28, 2015</b>	<b>\$70.69</b>

# of deposits/credits: 7

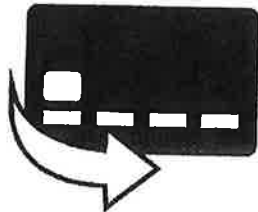
# of withdrawals/debits: 35

# of Items-previous cycle<sup>1</sup>: 0

# of days in cycle: 28

Average ledger balance: \$488.97

<sup>1</sup>Includes checks paid, deposited items & other debits



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**Deposits and other credits**

Date	Description	Amount
02/03/15	REFUND MONTHLY FEE CHARGED 2-2-15	16.00
02/04/15	BKOFAMERICA ATM 02/04 #000006653 DEPOSIT BELL BELL CA	449.00
02/13/15	BKOFAMERICA ATM 02/13 #000002319 DEPOSIT BELL BELL CA	849.00
02/19/15	BKOFAMERICA ATM 02/19 #000004956 DEPOSIT BELL BELL CA	99.00
02/23/15	Counter Credit	300.00
02/23/15	CHECKCARD 0221 HHS*PENNYSAVER 714-577-4271 CA 7469216505200081403	250.00
02/25/15	BKOFAMERICA ATM 02/25 #000008095 DEPOSIT BELL BELL CA	300.00
<b>Total deposits and other credits</b>		<b>\$2,263.00</b>

**Withdrawals and other debits**

Date	Description	Amount
Card account # XXXX XXXX XXXX [REDACTED]		
02/06/15	CHECKCARD 0205 PANDA EXPRESS 1410 BELL CA 24431065037838000045734 CKCD 5814 XXXXXXXXXXXX9621 XXXX XXXX XXXX [REDACTED]	-18.09
02/06/15	FUTURE COMMUNI 02/06 #000422648 PURCHASE FUTURE COMMUNIC BELL CA	-168.00
02/09/15	CHECKCARD 0205 MCDONALD'S F3100 LOS ANGELES CA 24427335037710045562580 CKCD 5814 XXXXXXXXXXXX9621 XXXX XXXX XXXX [REDACTED]	-5.22
02/09/15	CHECKCARD 0206 UNITED OIL#154 LOS ANGELES CA 24493985038191000188200 CKCD 5542 XXXXXXXXXXXX9621 XXXX XXXX XXXX [REDACTED]	-45.91
02/09/15	CHECKCARD 0206 TACOS GAVILAN, INC. BELL CA 24707805039001071286943 CKCD 5812 XXXXXXXXXXXX9621 XXXX XXXX XXXX [REDACTED]	-15.73
02/09/15	CHECKCARD 0207 HHS*PENNYSAVER 714-577-4271 CA 24692165038000164797227 CKCD 5969 XXXXXXXXXXXX9621 XXXX XXXX XXXX [REDACTED]	-381.25
02/17/15	CHECKCARD 0212 MCDONALD'S F14287 CUDAHY CA 24427335044710033533099 CKCD 5814 XXXXXXXXXXXX9621 XXXX XXXX XXXX [REDACTED]	-10.32
02/17/15	CHECKCARD 0212 STAPLES 00113670 HUNTINGTON PACA 24164075044105200675477 CKCD 5943 XXXXXXXXXXXX9621 XXXX XXXX XXXX [REDACTED]	-20.86
02/17/15	CHECKCARD 0213 MCDONALD'S F14287 CUDAHY CA 24427335045710039096900 CKCD 5814 XXXXXXXXXXXX9621 XXXX XXXX XXXX [REDACTED]	-12.62

continued on the next page

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**Service fees**

Based on the activity on your business accounts for the statement period ending 01/30/15, a Monthly Fee was charged for your Business Fundamentals checking account (Primary). You can avoid the fee in the future by meeting one of the requirements below:

At least one of the following occurred

- \$250+ in net new purchases on a linked Business debit card
- \$250+ in net new purchases on a linked Business credit card
- \$3,000+ minimum daily balance in primary checking account
- \$5,000+ average monthly balance in primary checking account
- \$15,000+ combined average monthly balance in linked business accounts

A check mark indicates that you have qualified for a monthly fee waiver on the account based on your usage of these products or services. For information on how to open a new product or to link an existing service to your account please call 1-888-BUSINESS or visit [bankofamerica.com/smallbusiness](http://bankofamerica.com/smallbusiness).

Date	Transaction description	Amount
02/02/15	Monthly Fee for Business Fundamentals	-16.00
<b>Total service fees</b>		<b>-\$16.00</b>

Note your Ending Balance already reflects the subtraction of Service Fees.

**Daily ledger balances**

Date	Balance (\$)	Date	Balance(\$)	Date	Balance (\$)
02/01	275.10	02/09	89.90	02/23	489.44
02/02	259.10	02/13	938.90	02/24	467.20
02/03	275.10	02/17	724.52	02/25	485.04
02/04	724.10	02/18	641.52	02/26	290.69
02/06	538.01	02/19	616.20	02/27	70.69

- To help you BALANCE YOUR CHECKING ACCOUNT, visit [bankofamerica.com/statementbalance](http://bankofamerica.com/statementbalance) or the Statements and Documents tab in Online Banking for a printable version of the How to Balance Your Account Worksheet.



# **EXHIBIT A-16**

---

Default Decision and Order  
FPPC No. 15/150



P.O. Box 15284  
Wilmington, DE 19850

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VIOLETA ALVAREZ  
CAMPAIGN ACCOUNT



### Your Business Fundamentals Checking

for May 27, 2014 to May 31, 2014

VIOLETA ALVAREZ CAMPAIGN ACCOUNT

Account number:

#### Account summary

Beginning balance on May 27, 2014	\$0.00
Deposits and other credits	1,750.00
Withdrawals and other debits	-440.00
Checks	-0.00
Service fees	-0.00
<b>Ending balance on May 31, 2014</b>	<b>\$1,310.00</b>

# of deposits/credits: 1

# of withdrawals/debits: 1

# of items-previous cycle<sup>1</sup>: 0

# of days in cycle: 5

Average ledger balance: \$1,574.00

<sup>1</sup>Includes checks paid, deposited items & other debits

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**Deposits and other credits**

Date	Description	Amount
05/27/14	Bkofamerica Atm 05/27 #000001582 Deposit Atlantic Whittie Los Angeles Ca	1,750.00
<b>Total deposits and other credits</b>		<b>\$1,750.00</b>

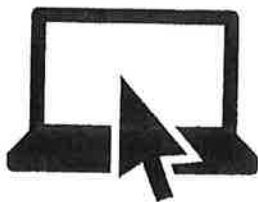
**Withdrawals and other debits**

Date	Description	Amount
05/30/14	Card account # XXXX XXXX XXXX [REDACTED]	
05/30/14	BKOFAMERICA ATM 05/29 #000007420 WITHDRWL BELL BELL CA	-440.00
<b>Subtotal for card account # XXXX XXXX XXXX [REDACTED]</b>		<b>-\$440.00</b>
<b>Total withdrawals and other debits</b>		<b>-\$440.00</b>

**Daily ledger balances**

Date	Balance (\$)	Date	Balance(\$)
05/27	1,750.00	05/30	1,310.00

✓ To help you BALANCE YOUR CHECKING ACCOUNT, visit [bankofamerica.com/statementbalance](http://bankofamerica.com/statementbalance) or the Statements and Documents tab in Online Banking for a printable version of the How to Balance Your Account Worksheet.



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VIOLETA ALVAREZ  
CAMPAIGN ACCOUNT



## Your Business Fundamentals Checking

for June 1, 2014 to June 30, 2014

VIOLETA ALVAREZ CAMPAIGN ACCOUNT

Account number: 

### Account summary

Beginning balance on June 1, 2014	\$1,310.00
Deposits and other credits	1,000.00
Withdrawals and other debits	-1,305.75
Checks	-0.00
Service fees	-0.00
<b>Ending balance on June 30, 2014</b>	<b>\$1,004.25</b>

# of deposits/credits: 1

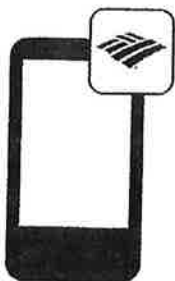
# of withdrawals/debits: 4

# of Items-previous cycle<sup>1</sup>: 2

# of days in cycle: 30

Average ledger balance: \$1,953.13

<sup>1</sup>Includes checks paid, deposited items & other debits



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AR65HU7N | AD-03-14-0284.B



**Deposits and other credits**

Date	Description	Amount
06/03/14	Bkofamerica Atm 06/03 #000005146 Deposit Bell Bell Ca	1,000.00
<b>Total deposits and other credits</b>		<b>\$1,000.00</b>

**Withdrawals and other debits**

Date	Description	Amount
06/26/14	CA TLR cash withdrawal from CHK 5403	-480.00
Card account # XXXX XXXX XXXX [REDACTED]		
06/23/14	BKOFAMERICA ATM 06/21 #000005378 WITHDRWL BELL BELL CA	-400.00
06/23/14	FOOD 4 LE 4400 06/21 #000212922 PURCHASE FOOD 4 LE 4400 E MAYWOOD CA	-125.75
06/24/14	BKOFAMERICA ATM 06/24 #000003498 WITHDRWL SLAUSON-PACIFIC HUNTINGTON PA CA	-300.00
<b>Subtotal for card account # XXXX XXXX XXXX [REDACTED]</b>		<b>-\$825.75</b>
<b>Total withdrawals and other debits</b>		<b>-\$1,305.75</b>

**Service fees**

Based upon the activity below, the monthly fee on your Business Fundamentals checking account was waived for the statement period ending 05/30/14:

At least one of the following occurred

- \$250+ in net new purchases on a linked Business debit card
- \$250+ In net new purchases on a linked Business credit card
- \$3,000+ minimum daily balance in primary checking account
- \$5,000+ average monthly balance In primary checking account
- \$15,000+ combined average monthly balance In linked business accounts

A check mark Indicates that you have qualified for a monthly fee waiver on the account based on your usage of these products or services. For information on how to open a new product or to link an existing service to your account please call 1-888-BUSINESS or visit [bankofamerica.com/smallbusiness](http://bankofamerica.com/smallbusiness).



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VIOLETA ALVAREZ  
CAMPAIGN ACCOUNT



## Your Business Fundamentals Checking

for July 1, 2014 to July 31, 2014

VIOLETA ALVAREZ CAMPAIGN ACCOUNT

Account number:

### Account summary

Beginning balance on July 1, 2014	\$1,004.25
Deposits and other credits	0.00
Withdrawals and other debits	-500.00
Checks	-0.00
Service fees	-5.00
<b>Ending balance on July 31, 2014</b>	<b>\$499.25</b>

# of deposits/credits: 0

# of withdrawals/debits: 4

# of items-previous cycle<sup>1</sup>: 1

# of days in cycle: 31

Average ledger balance: \$722.79

<sup>1</sup>Includes checks paid, deposited items & other debits

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**Withdrawals and other debits**

Date	Description	Amount
07/09/14	CA TLR cash withdrawal from CHK 5403	-320.00
Card account # XXXX XXXX XXXX [REDACTED]		
07/22/14	BKOFAMERICA ATM 07/22 #000001634 WITHDRWL SOUTH GATE SOUTH GATE CA	-100.00
<b>Subtotal for card account # XXXX XXXX XXXX [REDACTED]</b>		<b>-\$100.00</b>
Card account # XXXX XXXX XXXX [REDACTED]		
07/28/14	BKOFAMERICA ATM 07/28 #000002153 WITHDRWL IMPERIAL-PARAMOU DOWNEY CA	-80.00
<b>Subtotal for card account # XXXX XXXX XXXX [REDACTED]</b>		<b>-\$80.00</b>
<b>Total withdrawals and other debits</b>		<b>-\$500.00</b>

**Service fees**

Based upon the activity below, the monthly fee on your Business Fundamentals checking account was waived for the statement period ending 06/30/14:

At least one of the following occurred

- \$250+ in net new purchases on a linked Business debit card
- \$250+ in net new purchases on a linked Business credit card
- \$3,000+ minimum daily balance in primary checking account
- \$5,000+ average monthly balance in primary checking account
- \$15,000+ combined average monthly balance in linked business accounts

A check mark indicates that you have qualified for a monthly fee waiver on the account based on your usage of these products or services. For information on how to open a new product or to link an existing service to your account please call 1-888-BUSINESS or visit [bankofamerica.com/smallbusiness](http://bankofamerica.com/smallbusiness).

Date	Transaction description	Amount
07/23/14	Replacement ATM or Debit Card Fee	-5.00
<b>Total service fees</b>		<b>-\$5.00</b>

Note your Ending Balance already reflects the subtraction of Service Fees.

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VIOLETA ALVAREZ  
CAMPAIGN ACCOUNT



**Customer service information**

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P.O. Box 25118  
Tampa, FL 33622-5118

## Your Business Fundamentals Checking

for August 1, 2014 to August 31, 2014

VIOLETA ALVAREZ CAMPAIGN ACCOUNT

Account number:

### Account summary

Beginning balance on August 1, 2014	\$499.25
Deposits and other credits	0.00
Withdrawals and other debits	-480.00
Checks	-0.00
Service fees	-0.00
<b>Ending balance on August 31, 2014</b>	<b>\$19.25</b>

# of deposits/credits: 0

# of withdrawals/debits: 3

# of items-previous cycle<sup>1</sup>: 0

# of days in cycle: 31

Average ledger balance: \$342.47

<sup>1</sup>Includes checks paid, deposited items & other debits

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\*Earn 1% cash back on purchases, 2% on purchases at restaurants and 3% on purchases at gas stations and office supply stores. (Up to \$250,000 in gas station and office supply store purchases annually; 1% after that.) Subject to Cash Rewards Program rules. Program is subject to change. See application for more details. This credit card program is issued and administered by FIA Card Services, N.A. MasterCard is a registered trademark of MasterCard International Incorporated, and is used by the issuer pursuant to license. ARREAXSP | AD-05-14-0173.B



**Withdrawals and other debits**

Date	Description	Amount
Card account # XXXX XXXX XXXX [REDACTED]		
08/20/14	BKOFAMERICA ATM 08/20 #000002335 WITHDRWL BELL BELL CA	-300.00
08/25/14	BKOFAMERICA ATM 08/23 #000003816 WITHDRWL BELL BELL CA	-100.00
08/25/14	BKOFAMERICA ATM 08/25 #000004954 WITHDRWL BELL BELL CA	-80.00
<b>Subtotal for card account # XXXX XXXX XXXX [REDACTED]</b>		<b>-\$480.00</b>
<b>Total withdrawals and other debits</b>		<b>-\$480.00</b>

**Service fees**

Based upon the activity below, the monthly fee on your Business Fundamentals checking account was waived for the statement period ending 07/31/14:

At least one of the following occurred

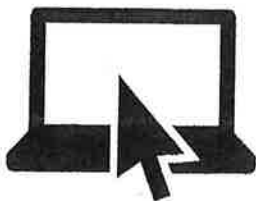
- \$250+ in net new purchases on a linked Business debit card
- \$250+ in net new purchases on a linked Business credit card
- \$3,000+ minimum daily balance in primary checking account
- \$5,000+ average monthly balance in primary checking account
- \$15,000+ combined average monthly balance in linked business accounts

A check mark indicates that you have qualified for a monthly fee waiver on the account based on your usage of these products or services. For information on how to open a new product or to link an existing service to your account please call 1-888-BUSINESS or visit [bankofamerica.com/smallbusiness](http://bankofamerica.com/smallbusiness).

**Daily ledger balances**

Date	Balance (\$)	Date	Balance (\$)	Date	Balance (\$)
08/01	499.25	08/20	199.25	08/25	19.25

✓ To help you BALANCE YOUR CHECKING ACCOUNT, visit [bankofamerica.com/statementbalance](http://bankofamerica.com/statementbalance) or the Statements and Documents tab in Online Banking for a printable version of the How to Balance Your Account Worksheet.



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VIOLETA ALVAREZ  
CAMPAIGN ACCOUNT



**Your Business Fundamentals Checking**

for November 1, 2014 to November 30, 2014

VIOLETA ALVAREZ CAMPAIGN ACCOUNT

Account number:

**Account summary**

Beginning balance on November 1, 2014	-\$12.75
Deposits and other credits	700.00
Withdrawals and other debits	-524.71
Checks	-0.00
Service fees	-21.00
<b>Ending balance on November 30, 2014</b>	<b>\$141.54</b>

# of deposits/credits: 2

# of withdrawals/debits: 8

# of Items-previous cycle<sup>1</sup>: 0

# of days in cycle: 30

Average ledger balance: \$115.49

<sup>1</sup>Includes checks paid, deposited items & other debits



**Deposits and other credits**

Date	Description	Amount
11/10/14	Counter Credit	400.00
11/24/14	Bkofamerica Atm 11/22 #000005617 Deposit Bell Bell Ca	300.00
<b>Total deposits and other credits</b>		<b>\$700.00</b>

**Withdrawals and other debits**

Date	Description	Amount
Card account # XXXX XXXX XXXX [REDACTED]		
11/14/14	BIG LOTS 7925 11/14 #000212080 PURCHASE BIG LOTS 7925 AT CUDAHY CA	-78.47
11/14/14	SMARTNFINAL319 11/14 #000270931 PURCHASE SMARTNFINAL319 BELL CA	-101.13
11/14/14	BARGAIN WORLD 11/14 #000294476 PURCHASE BARGAIN WORLD BELL CA	-12.07
11/18/14	CHECKCARD 1114 EL PESCADOR BELL CA 24071054321985378145390 CKCD 5812 XXXXXXXXXXXX0786 XXXX XXXX XXXX [REDACTED]	-120.00
<b>Subtotal for card account # XXXX XXXX XXXX [REDACTED]</b>		<b>-\$311.67</b>
Card account # XXXX XXXX XXXX [REDACTED]		
11/24/14	99-CENTS- 6161 11/24 #000313865 PURCHASE 99-CENTS- 6161 AT MAYWOOD CA	-13.04
11/25/14	BKOFAMERICA ATM 11/25 #000006204 WITHDRWL BELL BELL CA	-200.00
<b>Subtotal for card account # XXXX XXXX XXXX [REDACTED]</b>		<b>-\$213.04</b>
<b>Total withdrawals and other debits</b>		<b>-\$524.71</b>

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VIOLETA ALVAREZ  
CAMPAIGN ACCOUNT



Please see the Account Changes section of your statement for details regarding important changes to your account.

## Your Business Fundamentals Checking

for December 1, 2014 to December 31, 2014

Account number: 

VIOLETA ALVAREZ CAMPAIGN ACCOUNT

### Account summary

Beginning balance on December 1, 2014	\$141.54
Deposits and other credits	3,249.00
Withdrawals and other debits	-1,113.21
Checks	-1,000.00
Service fees	-0.00
<b>Ending balance on December 31, 2014</b>	<b>\$1,277.33</b>

# of deposits/credits: 2

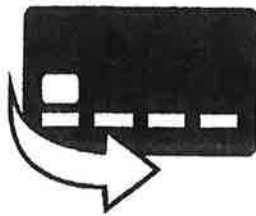
# of withdrawals/debits: 19

# of Items-previous cycle<sup>1</sup>: 1

# of days in cycle: 31

Average ledger balance: \$1,556.35

<sup>1</sup>Includes checks paid, deposited items&other debits



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Merchant Services

**Deposits and other credits**

Date	Description	Amount
12/04/14	Bkofamerica Atm 12/04 #000003833 Deposit Valley-Almansor Alhambra Ca	1,000.00
12/08/14	Counter Credit	2,249.00
<b>Total deposits and other credits</b>		<b>\$3,249.00</b>

**Withdrawals and other debits**

Date	Description	Amount
Card account # XXXX XXXX XXXX [REDACTED]		
12/05/14	BKOFAMERICA ATM 12/05 #000007399 WITHDRWL BELL BELL CA	-100.00
12/08/14	BKOFAMERICA ATM 12/06 #000004318 WITHDRWL BELL BELL CA	-120.00
12/10/14	BKOFAMERICA ATM 12/10 #000006303 WITHDRWL BELL BELL CA	-100.00
12/15/14	BKOFAMERICA ATM 12/14 #000005334 WITHDRWL BELL BELL CA	-60.00
12/15/14	USPS 050579040 12/15 #000000370 PURCHASE USPS 0505790403 MAYWOOD CA	-31.85
12/19/14	CHECKCARD 1218 HUNTINGTON PARK RUBBER 3235826461 CA 24431064353207820600129 CKCD 5969 XXXXXXXXXXXX [REDACTED] XXXX XXXX XXXX [REDACTED]	-31.28
12/22/14	BIG LOTS 7925 12/21 #000030564 PURCHASE BIG LOTS 7925 AT CUDAHY CA	-37.81
12/22/14	KMART 3337 12/21 #000074511 PURCHASE KMART 3337 CUDAHY CA	-71.12
12/22/14	SUPERIOR 7300 12/21 #000227437 PURCHASE SUPERIOR 7300 AT CUDAHY CA	-57.21
12/22/14	USA 63009 12/22 #000438057 PURCHASE USA 63009 BELL CA	-20.00
12/22/14	#06142 LUCKYS 12/22 #000019529 PURCHASE #06142 LUCKYS SOUTH GATE CA	-86.66
12/24/14	CHECKCARD 1223 AMAPOLA MEXICAN DELI 2 MAYWOOD CA 24493984358286935201014 CKCD 5814 XXXXXXXXXXXX [REDACTED] XXXX XXXX XXXX [REDACTED]	-28.20
12/24/14	SUPERIOR1 4308 12/24 #000527984 PURCHASE SUPERIOR1 4308 SL MAYWOOD CA	-43.24
12/24/14	99-CENTS- 6161 12/24 #000625193 PURCHASE 99-CENTS- 6161 AT MAYWOOD CA	-40.88
12/29/14	BKOFAMERICA ATM 12/28 #000006170 WITHDRWL BELL BELL CA	-160.00
12/29/14	AMAPOLA DELI & 12/29 #000753114 PURCHASE AMAPOLA DELI & PARAMOUNT CA	-12.11
12/30/14	CHECKCARD 1229 MCDONALD'S F15995 BELL GARDENS CA 24427334363720051822965 CKCD 5814 XXXXXXXXXXXX [REDACTED] XXXX XXXX XXXX [REDACTED]	-12.85
12/30/14	BKOFAMERICA ATM 12/30 #000002905 WITHDRWL BELL BELL CA	-100.00
<b>Subtotal for card account # XXXX XXXX XXXX 9621</b>		<b>-\$1,113.21</b>
<b>Total withdrawals and other debits</b>		<b>-\$1,113.21</b>

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3% cash back at gas stations and office supply stores\*



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VIOLETA ALVAREZ  
CAMPAIGN ACCOUNT



## Your Business Fundamentals Checking

for January 1, 2015 to January 31, 2015

VIOLETA ALVAREZ CAMPAIGN ACCOUNT

Account number: 

### Account summary

Beginning balance on January 1, 2015	\$1,277.33
Deposits and other credits	650.00
Withdrawals and other debits	-1,652.23
Checks	-0.00
Service fees	-0.00
<b>Ending balance on January 31, 2015</b>	<b>\$275.10</b>

# of deposits/credits: 1

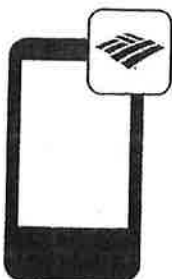
# of withdrawals/debits: 20

# of items-previous cycle<sup>1</sup>: 9

# of days in cycle: 31

Average ledger balance: \$1,212.27

<sup>1</sup>Includes checks paid, deposited items & other debits



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AR65HU7N | AD-03-14-0284B

**Deposits and other credits**

Date	Description	Amount
01/14/15	Bkofamerica Atm 01/14 #000005633 Deposit Bell Bell Ca	650.00
<b>Total deposits and other credits</b>		<b>\$650.00</b>

**Withdrawals and other debits**

Date	Description	Amount
Card account # XXXX XXXX XXXX [REDACTED]		
01/14/15	CHECKCARD 0113 JACK IN THE BOX #0242 BELL CA 24435655014091119001120 CKCD 5814 XXXXXXXXXXXX9621 XXXX XXXX XXXX [REDACTED]	-20.87
01/15/15	CHECKCARD 0113 TMS*PRIMO BURGERS MAYWOOD CA 24071055014987141243451 CKCD 5814 XXXXXXXXXXXX [REDACTED] XXXX XXXX XXXX [REDACTED]	-17.52
01/20/15	BKOFAMERICA ATM 01/17 #00007363 WITHDRWL BELL BELL CA	-400.00
01/20/15	SUPERIOR1 4308 01/19 #000221030 PURCHASE SUPERIOR1 4308 SL MAYWOOD CA	-3.99
01/20/15	SUPERIOR1 4308 01/19 #000216738 PURCHASE SUPERIOR1 4308 SL MAYWOOD CA	-48.41
01/21/15	CHECKCARD 0119 THE HABIT-SOUTH GATE #8 SOUTH GATE CA 24055235020207788700709 CKCD 5814 XXXXXXXXXXXX [REDACTED] XXXX XXXX XXXX [REDACTED]	-25.47
01/21/15	SUPERIOR 7300 01/21 #000832101 PURCHASE SUPERIOR 7300 AT CUDAHY CA	-10.78
01/22/15	CHECKCARD 0120 INTERJET 00000307627 SAN ANTONIO TX 24717055021580210038232 CKCD 4511 XXXXXXXXXXXX [REDACTED] XXXX XXXX XXXX [REDACTED]	-228.67
01/22/15	CHECKCARD 0120 EL POLLO LOCO RESTAURAN MAYWOOD CA 24445005021100373461776 CKCD 5814 XXXXXXXXXXXX [REDACTED] XXXX XXXX XXXX [REDACTED]	-3.91
01/22/15	CHECKCARD 0121 KP RX01177 CUDAHY CA 24431065021869000199708 CKCD 5912 XXXXXXXXXXXX [REDACTED] XXXX XXXX XXXX [REDACTED]	-10.00
01/23/15	CHECKCARD 0121 IN-N-OUT BURGER #74 HUNTINGTON PACA 24445005022100378060630 CKCD 5814 XXXXXXXXXXXX [REDACTED] XXXX XXXX XXXX [REDACTED]	-13.03
01/23/15	CHECKCARD 0121 99-CENTS-ONLY #0014 MAYWOOD CA 24445005022100378060556 CKCD 5411 XXXXXXXXXXXX [REDACTED] XXXX XXXX XXXX [REDACTED]	-14.98
01/23/15	BKOFAMERICA ATM 01/23 #000001135 WITHDRWL BELL BELL CA	-200.00
01/26/15	CHECKCARD 0123 JACK IN THE BOX #0225 MAYWOOD CA 24435655024400503001414 CKCD 5814 XXXXXXXXXXXX [REDACTED] XXXX XXXX XXXX [REDACTED]	-18.27
01/26/15	USA 63009 01/26 #000259208 PURCHASE USA 63009 BELL CA	-30.62
01/26/15	RALPHS 1435 01/26 #000028965 PURCHASE RALPHS 1435 W. ORANGE CA	-46.01
01/26/15	SMARTNFINAL319 01/26 #000447660 PURCHASE SMARTNFINAL319 BELL CA	-29.77

continued on the next page

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ARN5FMML | AD-10-14-0543.B

# **EXHIBIT A-17**

---

Default Decision and Order  
FPPC No. 15/150



1 Galena West, Chief of Enforcement  
2 Angela Brereton, Senior Commission Counsel  
3 Fair Political Practices Commission  
4 428 J Street, Suite 620  
5 Sacramento, California 95814  
6 Telephone: (916) 322-5771

6 Attorneys for Complainant

7 BEFORE THE FAIR POLITICAL PRACTICES COMMISSION  
8 STATE OF CALIFORNIA  
9

10 In the Matter of

FPPC Subpoena Number 15150-03

11  
12 FAIR POLITICAL PRACTICES  
13 COMMISSION  
14 CASE NUMBER 15/150

DECLARATION OF  
CUSTODIAN OF RECORDS

15  
16 I, Violeta Alvarez, declare as follows:  
(Name of Custodian)

17 1. I am a duly authorized custodian of records for the business records of  
18 The Committee to Re-Elect  
19 Violeta Alvarez and I have authority to certify his/her records.  
(Name of Business)

20  
21 2. In response to Subpoena 15150-03 I have diligently searched the records  
(Subpoena Number)  
22 maintained by The Committee, and:  
(Name of Business)

- 23 a.  I have provided a true copy of all of the records described in the subpoena.  
24 b.  I have provided a true copy of all of the records described in the subpoena,  
25 except for the following document(s), which have not been produced for the  
26 reasons stated herein:

27  
28 c.  The Committee does not have within his/her possession or  
control any of the records described in the subpoena.


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3. These records were prepared by the committee or his/her designated agents in the  
(Name of Business)  
ordinary course of the committee's activities, at or near the time of the act, condition, or  
(Name of Business)  
event, to which the records pertain.

I declare under penalty of perjury under the laws of the State of California that the foregoing  
is true and correct.

Date: 6/2/17

Violeta Alvarez  
(Type or print name)

  
(Signature)

**EXHIBIT A-18**

---

Default Decision and Order  
FPPC No. 15/150

ORIGINAL NO. pd

R19  
L  
1362674

### Statement of Organization Recipient Committee

Statement Type  Initial  Not yet qualified

Amendment List I.D. number: #

Termination - See Part 5 List I.D. number: #

Date qualified as committee: / / or Date qualified as committee (if applicable): / /

Date of Termination: 12 / 31 / 2016

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Date Stamp  
**JAN 12 2017**

CALIFORNIA **410**  
FORM

### 1. Committee Information

NAME OF COMMITTEE  
Re-Elect Violeta Alvarez for Bell City Council 2015

STREET ADDRESS (NO P.O. BOX)  
6834 Otis Avenue  
CITY STATE ZIP CODE AREA CODE/PHONE  
Bell CA 90201 (323)812-6871

MAILING ADDRESS (IF DIFFERENT)  
FAX / E-MAIL ADDRESS

COUNTY OF DOMICILE JURISDICTION WHERE COMMITTEE IS ACTIVE

### 2. Treasurer and Other Principal Officers

NAME OF TREASURER  
Violeta Alvarez  
STREET ADDRESS (NO P.O. BOX)  
6834 Otis Avenue  
CITY STATE ZIP CODE AREA CODE/PHONE  
Bell CA 90201 (323)812-6871  
NAME OF ASSISTANT TREASURER, IF ANY  
STREET ADDRESS (NO P.O. BOX)

CITY STATE ZIP CODE AREA CODE/PHONE

NAME OF PRINCIPAL OFFICER(S)  
STREET ADDRESS (NO P.O. BOX)

Attach additional information on appropriately labeled continuation sheets.

### 3. Verification

I have used all reasonable diligence in preparing this statement and to the best of my knowledge the information contained herein is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 01/09/2017 By [Signature] SIGNATURE OF TREASURER OR ASSISTANT TREASURER

Executed on 01/09/2017 By [Signature] SIGNATURE OF CONTROLLING OFFICER/HOLDER, CANDIDATE, OR STATE MEASURE PROponent

Executed on \_\_\_\_\_ By \_\_\_\_\_ SIGNATURE OF CONTROLLING OFFICER/HOLDER, CANDIDATE, OR STATE MEASURE PROponent

Executed on \_\_\_\_\_ By \_\_\_\_\_ SIGNATURE OF CONTROLLING OFFICER/HOLDER, CANDIDATE, OR STATE MEASURE PROponent

# **EXHIBIT A-19**

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Default Decision and Order  
FPPC No. 15/150



## FAIR POLITICAL PRACTICES COMMISSION

1102 Q Street • Suite 3000 • Sacramento, CA 95811

September 26, 2018

Violeta Alvarez  
[REDACTED]  
[REDACTED]

Re-Elect Violeta Alvarez for Bell City  
Council 2015  
[REDACTED]  
[REDACTED]

Mario Estrada  
[REDACTED]  
[REDACTED]

### **NOTICE OF INTENT TO ENTER DEFAULT DECISION AND ORDER**

**Re: FPPC No. 15/150  
In the Matter of Violeta Alvarez, Re-Elect Violeta Alvarez for Bell City Council  
2015, and Mario Estrada**

Dear Ms. Alvarez and Mr. Estrada and Re-Elect Violeta Alvarez for Bell City Council 2015:

Enclosed please find a copy of the Default Decision and Order, and accompanying Exhibit and attachments, for the above-referenced matter. The Fair Political Practices Commission (the "Commission") will consider these papers at its public meeting on **October 18, 2018**, and decide whether to impose an administrative penalty of \$5,500 against you.

You were previously served a Report in Support of a Finding of Probable Cause, commonly known as a Probable Cause Report, advising you of your right to request a probable cause conference or submit a written response to the probable cause report. You did not request a probable cause conference, nor did you submit anything in writing for the Commission's Hearing Officer to consider in his determination of probable cause.

Following the issuance of the Report in Support of a Finding of Probable Cause, the Commission's Hearing Officer found probable cause that you committed three violations of the Political Reform Act's campaign provisions. Thereafter, the Commission's Chief of Enforcement issued an Accusation against you on these violations. The Accusation was personally served on you on June 2, 2018. Under the law, you have therefore received adequate notice of these proceedings and the action filed against you. Under the Administrative Procedure Act, you were required to file your Notices of Defense within 15 days after service of the Accusation. You failed to file the Notice of Defense forms. As such, your right to an administrative hearing on this matter has been forfeited, and you are in a default position.

You may, but you are not required to, provide a response brief, along with any supporting materials, no later than five calendar days before the Commission hearing at which the default is scheduled to be heard. Your response brief must be served on the Commission Assistant, at the above address.

Following the issuance of the default order and imposition of the administrative penalty, we will commence legal proceedings to collect this fine, which may include converting the Commission's order to a court judgment. Please be advised that administrative penalties for violations of the Political Reform Act cannot be discharged in bankruptcy proceedings.

This letter is your last opportunity to resolve this matter informally by way of a stipulated settlement, before the default proceedings are commenced. If we do not reach a resolution, the enclosed documents will be placed on the Commission's agenda for the August 16, 2018 meeting. Please contact me at (916) 322-5771 or [abreron@fppc.ca.gov](mailto:abreron@fppc.ca.gov) if you wish to enter into a negotiated settlement.

Sincerely,



Angela J. Brereton  
Senior Commission Counsel  
Enforcement Division

Enclosures