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10
11 **BEFORE THE FAIR POLITICAL PRACTICES COMMISSION**
12
13 **STATE OF CALIFORNIA**

14 In the Matter of

15 RE-ELECT TERRY COX FOR CENTRAL
16 UNIFIED SCHOOL DISTRICT TRUSTEE
17 AREA 6, 2016, TERRY COX, and JULIE
18 LIM,

19 Respondents.

) FPPC No.: 17/382

) **DEFAULT DECISION AND
ORDER**

) (Government Code Sections 11506
and 11520)

20 Complainant, the Enforcement Division of the Fair Political Practices Commission, hereby
21 submits this Default Decision and Order for consideration by the Fair Political Practices Commission at
22 its next regularly scheduled meeting.

23 Pursuant to the California Administrative Procedure Act,¹ the committee Re-Elect Terry Cox for
24 Central Unified School District Trustee Area 6, 2016 (the "Committee"), Terry Cox ("Cox"), and Julie
25 Lim ("Lim") have been served with all of the documents necessary to conduct an administrative hearing
26 regarding the above-captioned matter, including the following:

- 27 1. An Order Finding Probable Cause;
- 28 2. An Accusation;
3. A Notice of Defense (Two Copies per Respondent);

¹ The California Administrative Procedure Act, which governs administrative adjudications, is contained in Sections 11370 through 11529 of the Government Code.

1 4. A Statement to Respondent; and

2 5. Copies of Sections 11506, 11507.5, 11507.6 and 11507.7 of the Government Code.

3 Government Code Section 11506 provides that failure of a respondent to file a Notice of Defense
4 within fifteen days after being served with an Accusation shall constitute a waiver of respondent's right
5 to a hearing on the merits of the Accusation. The Statement to Respondent, served on the Committee, Cox,
6 and Lim stated that a Notice of Defense must be filed in order to request a hearing. The Committee, Cox,
7 and Lim failed to file a Notice of Defense within fifteen days of being served with an Accusation.
8 Government Code Section 11520 provides that, if the respondent fails to file a Notice of Defense, the
9 Commission may take action, by way of a default, based upon the respondent's express admissions or
10 upon other evidence, and that affidavits may be used as evidence without any notice to the respondent.

11 The Committee, Cox, and Lim violated the Political Reform Act as described in Exhibit 1, which
12 is attached hereto and incorporated by reference as though fully set forth herein. Exhibit 1 is a true and
13 accurate summary of the law and evidence in this matter. This Default Decision and Order is submitted to
14 the Commission to obtain a final disposition of this matter.

15
16 Dated: 24 May 19

17 *Galena West*
18 Galena West, Chief of Enforcement
19 Fair Political Practices Commission
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ORDER

The Commission issues this Default Decision and Order and imposes a total administrative penalty of \$9,000 upon Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016, Terry Cox, and Julie Lim, payable to the "General Fund of the State of California."

IT IS SO ORDERED, effective upon execution below by the Chair of the Fair Political Practices Commission at Sacramento, California.

Dated: _____

Richard C. Miadich, Chair
Fair Political Practices Commission

EXHIBIT 1

INTRODUCTION

Respondent Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016 (the “Committee”) was a candidate-controlled committee for respondent Terry Cox (“Cox”), who is a member of the Central Unified School District Board of Trustees. Respondent Julie Lim (“Lim”) was the Committee’s treasurer.

The Political Reform Act (“Act”)¹ requires candidate-controlled committees to file campaign statements and reports to disclose their contributions received and expenditures made.

This matter arose out of a referral sent to the Fair Political Practices Commission’s (“Commission”) Enforcement Division by the Fresno County Clerk (the “Clerk”) for the Committee’s failure to file semiannual campaign statements.

As a controlled committee, its candidate, and its treasurer, the Committee, Cox, and Lim had a duty to file semiannual campaign statements. The Committee, Cox, and Lim failed to timely file three semiannual campaign statements for the reporting periods of October 23, 2016 through December 31, 2017.

DEFAULT PROCEEDINGS UNDER THE ADMINISTRATIVE PROCEDURE ACT

When the Commission determines that there is probable cause for believing that the Act has been violated, it may hold a hearing to determine if a violation has occurred.² Notice of the hearing, and the hearing itself, must be conducted in accordance with the Administrative Procedure Act (the “APA”).³ A hearing to determine whether the Act has been violated is initiated by the filing of an accusation, which shall be a concise written statement of the charges, specifying the statutes and rules which the respondent is alleged to have violated.⁴

Included among the rights afforded a respondent under the APA, is the right to file the Notice of Defense with the Commission within 15 days after service of the accusation, by which the respondent may (1) request a hearing; (2) object to the accusation on the ground it does not state acts or omissions upon which the agency may proceed; (3) object to the form of the accusation on the ground that it is so indefinite or certain that the respondent cannot identify the transaction or prepare a defense; (4) admit the accusation in whole or in part; (5) present new matter by way

¹ The Act is contained in Government Code Sections 81000 through 91014. All statutory references are to the Government Code, unless otherwise indicated. The regulations of the Fair Political Practices Commission (“Commission”) are contained in Sections 18110 through 18997 of Title 2 of the California Code of Regulations. All regulatory references are to Title 2, Division 6 of the California Code of Regulations, unless otherwise indicated.

² Section 83116.

³ The California Administrative Procedure Act, which governs administrative adjudications, is contained in Sections 11370 through 11529 of the Government Code; Section 83116.

⁴ Section 11503.

of a defense; or (6) object to the accusation on the ground that, under the circumstances, compliance with a Commission regulation would result in a material violation of another department's regulation affecting substantive rights.⁵

The APA provides that a respondent's failure to file a Notice of Defense within 15 days after service of an accusation constitutes a waiver of the respondent's right to a hearing.⁶ Moreover, when a respondent fails to file a Notice of Defense, the Commission may take action based on the respondent's express admissions or upon other evidence and affidavits may be used as evidence without any notice to the respondent.⁷

PROCEDURAL REQUIREMENTS AND HISTORY

A. Initiation of the Administrative Action

The service of the probable cause hearing notice, as required by Section 83115.5, upon the person alleged to have violated starts the administrative action.⁸

A finding of probable cause may not be made by the Commission unless the person alleged to have violated the Act is 1) notified of the violation by service of process or registered mail with return receipt requested; 2) provided with a summary of the evidence; and 3) informed of his or her right to be present in person and represented by counsel at any proceeding of the Commission held for the purpose of considering whether probable cause exists for believing the person violated the Act.⁹ Additionally, the required notice to the alleged violator shall be deemed made on the date of service, the date the registered mail receipt is signed, or if the registered mail receipt is not signed, the date returned by the post office.¹⁰

No administrative action pursuant to Chapter 3 of the Act alleging a violation of any of the provisions of the Act may be commenced more than five years after the date on which the violation occurred.¹¹

Documents supporting the procedural history are included in the attached Certification of Records ("Certification") filed herewith at Exhibit 1, A-1 through A-21, and incorporated herein by reference.

In accordance with Sections 83115.5 and 91000.5, the Enforcement Division initiated the administrative action against the Committee, Cox, and Lim in this matter by serving them with a Report in Support of a Finding of Probable Cause (the "Report"). (Certification, Exhibit A-1.) The

⁵ Section 11506, subds. (a)(1)–(6).

⁶ Section 11506, subd. (c).

⁷ Section 11520, subd. (a).

⁸ Section 91000.5, subd. (a).

⁹ Section 83115.5.

¹⁰ Section 83115.5.

¹¹ Section 91000.5.

Committee and Lim were served with the Report by certified mail, return receipt requested,¹² on February 23, 2018 (Certification, Exhibit A-2), and Cox was served with the Report by personal service on April 12, 2018 (Certification, Exhibit A-3). The administrative action commenced on April 12, 2018, and the five-year statute of limitations was effectively tolled on this date.

As required by Section 83115.5, the packet served on the Committee, Cox, and Lim contained a cover letter and a memorandum describing probable cause proceedings, advising that the Committee, Cox, and Lim had 21 days in which to request a probable cause conference and/or to file a written response to the Report. (Certification, Exhibit A-4.) The Committee, Cox, and Lim did not request a probable cause conference or submit a written response to the Report.

B. Ex Parte Request for a Finding of Probable Cause

Because the Committee, Cox, and Lim failed to request a probable cause conference or submit a written response to the Report by the statutory deadline, the Enforcement Division submitted an Ex Parte Request for a Finding of Probable Cause and an Order that an Accusation Be Prepared and Served to the Hearing Officer of the Commission on May 11, 2018. (Certification, Exhibit A-5.)

On May 15, 2018, Hearing Officer Sukhi Brar, Senior Commission Counsel, Legal Division, issued a Finding of Probable Cause and an Order to Prepare and Serve an Accusation on the Committee, Cox, and Lim. (Certification, Exhibit A-6.)

C. The Issuance and Service of the Accusation

Under the Act, if the Hearing Officer makes a finding of probable cause, the Enforcement Division must prepare an accusation pursuant to Section 11503 of the APA, and have it served on the persons who are the subject of the probable cause finding.¹³

Section 11503 states:

A hearing to determine whether a right, authority, license, or privilege should be revoked, suspended, limited, or conditioned shall be initiated by filing an accusation or District Statement of Reduction in Force. The accusation or District Statement of Reduction in Force shall be a written statement of charges that shall set forth in ordinary and concise language the acts or omissions with which the respondent is charged, to the end that the respondent will be able to prepare his or her defense. It shall specify the statutes and rules that the respondent is alleged to have violated, but shall not consist merely of charges phrased in the language of those statutes and rules. The accusation or District Statement of Reduction in Force shall be verified unless made by a public officer acting in his or her official capacity

¹² Section 83115.5.

¹³ Regulation 18361.4, subd. (e).

or by an employee of the agency before which the proceeding is to be held. The verification may be on information and belief.

Upon the filing of the accusation, the agency must 1) serve a copy thereof on the respondent as provided in Section 11505, subdivision (c); 2) include a post card or other form entitled Notice of Defense that, when signed by or on behalf of the respondent and returned to the agency, will acknowledge service of the accusation and constitute a notice of defense under Section 11506; 3) include (i) a statement that respondent may request a hearing by filing a notice of defense as provided in Section 11506 within 15 days after service upon the respondent of the accusation, and that failure to do so will constitute a waiver of the respondent's right to a hearing, and (ii) copies of Sections 11507.5, 11507.6, and 11507.7.¹⁴ The APA also sets forth the language required in the accompanying statement to the respondent.¹⁵

The Accusation and accompanying information may be sent to the respondent by any means selected by the agency, but no order adversely affecting the rights of the respondent may be made by the agency in any case unless the respondent has been served personally or by registered mail as set forth in the APA.¹⁶

On July 24, 2018, the Commission's Chief of Enforcement, Galena West, issued an Accusation against the Committee, Cox, and Lim. (Certification, Exhibit A-7.) In accordance with Section 11505, the Accusation and accompanying information, consisting of a Statement to Respondent, two copies of a Notice of Defense Form for each respondent, copies of Government Code Sections 11506, 11507.5, 11507.6, and 11507.7, were served upon the Committee and Lim on July 28, 2018, and upon Cox on July 29, 2018. (Certification, Exhibit A-8.)

Along with the Accusation, the Enforcement Division served the Committee, Cox, and Lim with a "Statement to Respondent," which notified them that they could request a hearing on the merits and warned that, unless a Notice of Defense was filed within 15 days of service of the Accusation, they would be deemed to have waived the right to a hearing. (Certification, Exhibit A-9.) The Committee, Cox, and Lim did not file a Notice of Defense within the statutory time period, which ended on August 13, 2018.

As a result, on September 19, 2018, the Enforcement Division sent a letter to the Committee, Cox, and Lim advising that this matter would be submitted for a Default Decision and Order at the Commission's public meeting scheduled for October 18, 2018. (Certification, Exhibit A-20.)

After attempts to settle this matter failed, on May 24, 2019, the Enforcement Division sent another letter to the Committee, Cox, and Lim advising that this matter would be submitted for a Default Decision and Order at the Commission's public meeting scheduled for June 13, 2019.

¹⁴ Section 11505, subd. (a).

¹⁵ Section 11505, subd. (b).

¹⁶ Section 11505, subd. (c).

(Certification, Exhibit A-21.) A copy of the Default Decision and Order, and this accompanying Exhibit 1 with attachments, was included with the letter.

SUMMARY OF THE LAW

The Act and its regulations are amended from time to time. The violations in this case occurred between 2016 and 2018. For this reason, all legal references and discussions of law pertain to the Act's provisions as they existed at that time.

An express purpose of the Act is to ensure voters are fully informed and improper practices are inhibited by requiring all candidates, as well as the committees that support or oppose them, to disclose all contributions and expenditures made throughout a campaign.¹⁷ In furtherance of this purpose, the Act requires candidate-controlled committees to file campaign statements at specific times disclosing information regarding contributions received and expenditures made.¹⁸

Controlled committees must file semiannual campaign statements each year no later than July 31 for the reporting period ending on June 30, and no later than January 31 of the following year for the reporting period ending on December 31.¹⁹

SUMMARY OF THE EVIDENCE

The Committee qualified as a recipient committee on or about August 10, 2016, when its contributions received exceeded \$2,000. On August 24, 2016, the Committee filed an initial statement of organization with the Secretary of State's Office and indicated it qualified as a recipient committee on August 10, 2016. (Certification, Exhibit A-10.) Cox was first elected to the Central Unified School District Board of Trustees on November 7, 2000, and was re-elected in 2004, 2008, 2012, and 2016, receiving 52.75 percent of the vote in the November 8, 2016 General Election. Her current term expires in 2020.

Through October 22, 2016, the Committee reported a total of \$14,590 in contributions received and \$7,508.88 in expenditures made. As of October 22, 2016, the Committee reported an ending cash balance of \$6,781.62. (Certification, Exhibits A-11 and A-12.) Since the Committee remained open after the election, it was required to continue to file campaign statements. However, Respondents failed to timely file semiannual campaign statements for the reporting periods of October 23, 2016 through December 31, 2016; January 1, 2017 through June 30, 2017; and July 1, 2017 through December 31, 2017.

On February 13, 2017, the Fresno County Clerk (the "Clerk") notified Respondents in writing that the Committee had failed to file its semiannual campaign statement for the reporting period ending on December 31, 2016. (Certification, Exhibit A-13.) On August 8, 2017, and August 21, 2017, the Clerk notified Respondents in writing that the Committee had failed to file

¹⁷ Section 81002, subd. (a).

¹⁸ Sections 84200 through 84225.

¹⁹ Section 84200, subd. (a)

its semiannual campaign statement for the reporting period ending on June 30, 2017. (Certification, Exhibit A-14.) After the Committee failed to file the required statements, the Clerk referred both matters to the Enforcement Division of the Commission on May 8, 2017, and August 30, 2017.

On May 11, 2017, the Enforcement Division sent Respondents a letter requesting that the Committee file its outstanding statement for the reporting period ending on December 31, 2016, within 30 days. (Certification, Exhibit A-15.) Furthermore, the Enforcement Division contacted Respondents at least twelve times between May 2017 and January 2018 via email and phone regarding the unfiled campaign statements for the reporting periods ending on December 31, 2016, and June 30, 2017. In June, August, and December of 2017, Cox repeatedly declared she would file the delinquent semiannual campaign statements.

On September 19, 2018, counsel for the Enforcement Division spoke with Cox, who indicated that she would be filing the missing campaign statements. On or about September 27, 2018, the Committee filed its semiannual campaign statements for the reporting periods of October 23, 2016 through December 31, 2016; January 1, 2017 through June 30, 2017; and July 1, 2017 through December 31, 2017. (Certification, Exhibits A-16 through A-18.) At the same time, the Committee also filed semiannual campaign statements for the reporting periods of January 1, 2018 through June 30, 2018, and July 1, 2018 through September 18, 2018. The Committee further filed an amended statement of organization, terminating the Committee as of September 18, 2018. (Certification, Exhibit A-19.)

The Accusation in this case contained additional counts for failure to timely file 24-hour contribution reports. However, Respondents later provided documentation evidencing that the subject reports had been timely filed. As a result, those counts have been removed from this default.

Summary of Contacts

Overall, Respondents were contacted at least eighteen times regarding their duties to file campaign statements and potential settlement, as follows:

- February 13, 2017: letter from the Clerk
- May 11, 2017: letter and email from the Enforcement Division
- June 12, 2017: email and voicemail from the Enforcement Division
- June 26, 2017: email and phone call from the Enforcement Division
- August 1, 2017: email and voicemail from the Enforcement Division
- August 8, 2017: letter from the Clerk
- August 21, 2017: letter from the Clerk
- December 12, 2017: email and phone call from the Enforcement Division
- December 26, 2017: email from the Enforcement Division
- January 4, 2018: email from the Enforcement Division
- February 23, 2018: Report in Support of a Finding of Probable Cause served on the Committee and Lim

- April 12, 2018: Report in Support of a Finding of Probable Cause served on Cox
- May 11, 2018: copy of Ex Parte Request for a Finding of Probable Cause and an Order that an Accusation Be Prepared and Served mailed to the Committee, Cox, and Lim
- July 28, 2018: Accusation served upon the Committee and Lim
- July 29, 2018: Accusation served upon Cox
- September 19, 2018: letter from Enforcement Division
- September 19, 2018: phone call with Enforcement Division
- September 27, 2018: campaign statements and terminating statement of organization filed by Committee
- November 26, 2018: letter from Enforcement Division
- December 14, 2018: voicemail from Enforcement Division
- January 28, 2019: voicemail from Enforcement Division
- February 25, 2019: voicemail from Enforcement Division
- May 24, 2019: letter from Enforcement Division

Although Respondents and the Enforcement Division engaged in some settlement negotiations in late 2018 and early 2019, the parties were unable to reach an agreeable settlement.

VIOLATIONS

The Committee, Cox, and Lim committed three violations of the Act, as follows:

COUNT 1

Failure to Timely File Semiannual Campaign Statement

The Committee, Cox, and Lim had a duty to file a semiannual campaign statement for the reporting period of October 23, 2016 through December 31, 2016, by the deadline of January 31, 2017. The Committee, Cox, and Lim failed to file the semiannual campaign statement for the period of October 23, 2016 through December 31, 2016, by the deadline. By failing to timely file this statement, the Committee, Cox, and Lim violated Government Code Section 84200, subdivision (a).

COUNT 2

Failure to Timely File Semiannual Campaign Statement

The Committee, Cox, and Lim had a duty to file a semiannual campaign statement for the reporting period of January 1, 2017 through June 30, 2017, by the deadline of July 31, 2017. The Committee, Cox, and Lim failed to file the semiannual campaign statement for the period of January 1, 2017 through June 30, 2017, by the deadline. By failing to timely file this statement, the Committee, Cox, and Lim violated Government Code Section 84200, subdivision (a).

COUNT 3

Failure to Timely File Semiannual Campaign Statement

The Committee, Cox, and Lim had a duty to file a semiannual campaign statement for the reporting period of July 1, 2017 through December 31, 2017, by the deadline of January 31, 2018. The Committee, Cox, and Lim failed to file the semiannual campaign statement for the period of July 1, 2017 through December 31, 2017, by the deadline. By failing to timely file this statement, the Committee, Cox, and Lim violated Government Code Section 84200, subdivision (a).

CONCLUSION

This matter consists of three counts of violating the Act, which carry a maximum total administrative penalty of \$15,000.²⁰

In determining the appropriate penalty for a particular violation of the Act, the Enforcement Division considers the typical treatment of a violation in the overall statutory scheme of the Act, with an emphasis on serving the purposes and intent of the Act. Additionally, the Enforcement Division considers the facts and circumstances of the violation in the context of the following factors set forth in Regulation 18361.5 subdivision (d)(1) through (6): (1) The seriousness of the violation; (2) The presence or absence of any intention to conceal, deceive or mislead; (3) Whether the violation was deliberate, negligent or inadvertent; (4) Whether the violator demonstrated good faith by consulting the Commission staff or any other governmental agency in a manner not constituting complete defense under Government Code Section 83114(b); (5) Whether the violation was isolated or part of a pattern and whether the violator has a prior record of violations of the Political Reform Act or similar laws; and (6) Whether the violator, upon learning of a reporting violation, voluntarily filed amendments to provide full disclosure.

In this matter, the Committee, Cox, and Lim failed to timely file semiannual campaign statements. The failure to comply with these obligations denied the public timely access to information about the Committee's activity and the disposition of the Committee's \$6,781.62 cash balance as of October 22, 2016.²¹

Respondents were aware of their obligations to file campaign statements as they were contacted at least eighteen times regarding this matter. Further, Cox has served in public office since at least 2001.

The Committee and Lim have no history of violating the Act. Cox was previously fined \$400 for failure to timely file her 2014 Annual Statement of Economic Interests in connection with the position on the Central Unified School District Board of Trustees. A streamlined stipulation in

²⁰ Section 83116, subd. (c).

²¹ This information was ultimately revealed on the Committee's late-filed campaign statements. (Certification, Exhibits A-16 through A-18.) In particular, the Committee spent the majority of its remaining funds on campaign consulting, advertising, and civic donations.

FPPC Case No. 15/1285, *In the Matter of Terry Cox*, was approved by the Commission on July 21, 2016.

Cox is still in office, and her current term expires in 2020.

The Enforcement Division also takes into consideration previous cases that were approved by the Commission in determining penalties. In this matter, the following cases were used as guidelines:

Counts 1–3

- *In the Matter of Ralph Denney and Vote Ralph 2012 Committee to Elect Ralph Denney to the Assembly – 78th District*; FPPC No. 15/156. (The Commission approved a default decision on April 20, 2017.) The respondents, among other violations, failed to timely file three semiannual campaign statements. The Commission imposed a penalty of \$3,000 per count for the semiannual campaign statements. In *Denney*, although they never filed paper versions of the statements, the respondents filed electronic versions of the statements, albeit late. Therefore, this case is analogous to *Denney* since the statements were eventually filed in some format.

PROPOSED PENALTY

After considering the factors of Regulation 18361.5 and the penalties imposed in prior cases, a penalty of \$3,000 per count for Counts 1-3, for a total penalty of \$9,000, is recommended.



**DECLARATION OF CUSTODIAN OF RECORDS
CALIFORNIA FAIR POLITICAL PRACTICES COMMISSION
Enforcement Division**

CERTIFICATION OF RECORDS

The undersigned declares and certifies as follows:

1. I am employed as an Associate Governmental Program Analyst by the California Fair Political Practices Commission (Commission). My business address is: California Fair Political Practices Commission, 1102 Q Street, Suite 3000, Sacramento, CA 95811.
2. I am a duly authorized custodian of the records maintained by the Commission in the Enforcement Division. As such, I am authorized to certify copies of those records as being true and correct copies of the original business records which are in the custody of the Commission.
3. I have reviewed documents maintained in *FPPC Case No. 17/382; Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016, Terry Cox, and Julie Lim*, and have caused copies to be made of documents contained therein. I certify that the copies attached hereto are true and correct copies of the documents prepared in the normal course of business and which are contained in files maintained by the Commission. The attached documents are as follows:

EXHIBIT A-1: Report in Support of a Finding of Probable Cause, dated January 29, 2018

EXHIBIT A-2: Proof of Service for the Report in Support of a Finding of Probable Cause and applicable statutes and regulations, dated February 9, 2018, and Return Receipt indicating service on February 23, 2018

EXHIBIT A-3: Proof of Service for the Report in Support of a Finding of Probable Cause and applicable statutes and regulations from process server, dated April 12, 2018

EXHIBIT A-4: Cover letter to the respondents regarding the Report in Support of a Finding of Probable Cause, memorandum describing Probable Cause Proceedings, and applicable statutes and regulations, dated February 9, 2018, and April 11, 2018

EXHIBIT A-5: Ex Parte Request for a Finding of Probable Cause and an Order that an Accusation Be Prepared and Served, dated May 11, 2018

- EXHIBIT A-6: Finding of Probable Cause and Order to Prepare and Serve an Accusation and Proof of Service, dated May 15, 2018
- EXHIBIT A-7: Accusation, dated July 24, 2018
- EXHIBIT A-8: Proofs of Service on July 28, 2018, and July 29, 2018, for Accusation and accompanying documents from process server, dated July 28, 2018, and July 29, 2018
- EXHIBIT A-9: Statement to the Respondent, Notices of Defense, applicable statutes, and Proof of Service for Accusation and accompanying documents, dated July 24, 2018
- EXHIBIT A-10: Initial statement of organization filed by the committee Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016 on August 24, 2016
- EXHIBIT A-11: Pre-election campaign statement for the reporting period of July 1, 2016 through September 24, 2016, filed by the committee Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016 on September 29, 2016
- EXHIBIT A-12: Pre-election campaign statement for the reporting period of September 25, 2016 through October 22, 2016, filed by the committee Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016 on October 27, 2016
- EXHIBIT A-13: Letter from the Fresno County Clerk to the committee Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016, dated February 13, 2017
- EXHIBIT A-14: Letters from the Fresno County Clerk to the committee Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016, dated August 8, 2017, and August 21, 2017
- EXHIBIT A-15: Letter from the Enforcement Division to the committee Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016, dated May 11, 2017
- EXHIBIT A-16: Semiannual campaign statement for the reporting period of October 23, 2016 through December 31, 2016, filed by the committee Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016 on September 27, 2018
- EXHIBIT A-17: Semiannual campaign statement for the reporting period of January 1, 2017 through June 30, 2017, filed by the committee Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016 on September 27, 2018
- EXHIBIT A-18: Semiannual campaign statement for the reporting period of July 1, 2017 through December 31, 2017, filed by the committee Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016 on September 27, 2018

EXHIBIT A-19: Amendment to statement of organization filed by the committee Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016 on September 27, 2018

EXHIBIT A-20: Notice of Default Decision and Order, dated September 19, 2018

EXHIBIT A-21: Notice of Intent to Enter into Default Decision and Order, dated May 24, 2019

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct. Executed on May 24, 2019, at Sacramento, California.



Dominika Wojenska
Associate Governmental Program Analyst
Enforcement Division
Fair Political Practices Commission

Exhibit A-1

1 GALENA WEST
Chief of Enforcement
2 CHRISTOPHER BURTON
Commission Counsel
3 **FAIR POLITICAL PRACTICES COMMISSION**
1102 Q Street, Suite 3000
4 Sacramento, CA 95811
Telephone: (916) 322-5660

5 Attorneys for Complainant
6 Fair Political Practices Commission, Enforcement Division

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8 **BEFORE THE FAIR POLITICAL PRACTICES COMMISSION**
9 **STATE OF CALIFORNIA**

10 In the Matter of) FPPC Case No. 17/382
11)
12) **REPORT IN SUPPORT OF A FINDING OF**
13) **PROBABLE CAUSE**
14 RE-ELECT TERRY COX FOR)
CENTRAL UNIFIED SCHOOL) Conference Date: TBA
15 DISTRICT TRUSTEE AREA 6, 2016,) Conference Time: TBA
TERRY COX, and JULIE LIM,) Conference Location: Commission Offices
16 Respondents.) 1102 Q Street, Suite 3000
Sacramento, CA 95811

17
18 **INTRODUCTION**

19 Respondent Terry Cox ("Cox") was a successful candidate for the Central Unified School
20 District Board of Trustees in the November 7, 2000 General Election and was re-elected in 2004, 2008,
21 2012, and 2016. Her current term expires in 2020. Respondent Re-Elect Terry Cox for Central Unified
22 School District Trustee Area 6, 2016 (the "Committee") is her candidate-controlled committee, created
23 in connection with Cox's bid for re-election in the November 8, 2016 General Election. Respondent
24 Julie Lim ("Lim") serves as the Committee's treasurer.

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26 ///

1 The Political Reform Act (“Act”)¹ requires controlled committees to file campaign statements
2 and reports for certain reporting periods and by certain deadlines. The Act also requires open
3 committees to pay to the Secretary of State (“SOS”) a \$50 annual fee each year until the committee is
4 terminated.

5 Respondents violated the Act by failing to timely file three semiannual campaign statements for
6 the reporting periods ending on December 31, 2016; June 30, 2017; and December 31, 2017, and four
7 24-hour contribution reports for late contributions received on August 10, 2016; September 26, 2016;
8 October 8, 2016; and October 18, 2016. Respondents also violated the Act by failing to timely pay the
9 \$50 annual fee for 2017 and 2018.

10 SUMMARY OF THE LAW

11 The Act and its regulations are amended from time to time. The discussion below regarding
12 jurisdiction, the standard for finding probable cause, and the contents of the probable cause report
13 includes references to current law. Unless otherwise noted, all other legal references and discussions of
14 law pertain to the Act’s provisions as they existed at the time of the violations in this case.

15 Jurisdiction

16 The Fair Political Practices Commission (the “Commission”) has primary responsibility for the
17 impartial, effective administration and implementation of the Act.² This includes enforcement through
18 administrative prosecution.³ However, before the Commission’s Enforcement Division may commence
19 administrative prosecution by filing/serving an Accusation, a hearing officer (either the General
20 Counsel of the Commission or another attorney in the Commission’s Legal Division) must determine
21 whether there is probable cause to believe that one or more violations of the Act occurred.⁴ Any
22 finding of probable cause is required by law to be announced publicly, which includes the posting of a
23

24 ¹ The Political Reform Act is contained in Government Code Sections 81000 through 91014, and all statutory
25 references are to this code. The regulations of the Fair Political Practice Commission are contained in Sections 18110
through 18997 of Title 2 of the California Code of Regulations, and all regulatory references are to this source.

26 ² Section 83111.

27 ³ Section 83116.

28 ⁴ Sections 83115.5 and 83116; Regulations 18361, subd. (b), and 18361.4.

1 summary of the allegations on the Commission’s website.⁵ After a finding of probable cause, the
2 Commission may then hold a hearing to determine what violations have occurred—and levy an
3 administrative penalty of up to \$5,000 for each violation.⁶

4 Standard for Finding Probable Cause

5 For the hearing officer to make a finding of probable cause, it is only necessary that he or she
6 be presented with sufficient evidence to lead a person of ordinary caution and prudence to believe, or
7 entertain a strong suspicion, that a proposed respondent committed or caused a violation.⁷

8 Contents of the Probable Cause Report

9 The probable cause report is required to contain a summary of the law and evidence gathered in
10 connection with the investigation, including any exculpatory and mitigating information of which the
11 staff has knowledge and any other relevant material and arguments. The evidence recited in the
12 probable cause report may include hearsay.⁸

13 Need for Liberal Construction and Vigorous Enforcement of the Political Reform Act

14 When enacting the Act, the people of California found and declared that previous laws
15 regulating political practices suffered from inadequate enforcement by state and local authorities.⁹ To
16 that end, the Act is to be construed liberally to accomplish its purposes.¹⁰

17 One purpose of the Act is to promote transparency by ensuring that receipts and expenditures in
18 election campaigns are fully and truthfully disclosed so that voters are fully informed and improper
19 practices are inhibited.¹¹ Along these lines, the Act includes a comprehensive campaign reporting
20 system.¹² Another purpose of the Act is to provide adequate enforcement mechanisms so that the Act
21 will be “vigorously enforced.”¹³

22 ⁵ Regulation 18361.4, subd. (e).

23 ⁶ Section 83116; Regulation 18361.4, subd. (e).

24 ⁷ Section 83115.5; Regulation 18361.4, subd. (e).

25 ⁸ Regulation 18361.4, subd. (a).

26 ⁹ Section 81001, subd. (h).

27 ¹⁰ Section 81003.

28 ¹¹ Section 81002, subd. (a).

¹² Sections 84200, *et seq.*

¹³ Section 81002, subd. (f).

1 Mandatory Filing of Campaign Statements

2 At the core of the Act's campaign reporting system is the requirement that committees file
3 campaign statements and reports for certain reporting periods and by certain deadlines.¹⁴

4 The Act requires a recipient committee to file semiannual campaign statements twice per year
5 disclosing its campaign contributions and expenditures. A recipient committee must file a semiannual
6 statement by January 31 for the period ending December 31 and by July 31 for the period ending June
7 30, or the next business day if the deadline falls on a weekend or holiday.¹⁵

8 Duty to File 24-Hour Contribution Reports

9 Each candidate or committee that makes or receives a late contribution must file a report within
10 24 hours of making or receiving the contribution.¹⁶ A "late contribution" includes a contribution
11 aggregating \$1,000 or more that is made or received by a candidate or his or her controlled committee
12 during the 90-day period preceding an election or on the date of the election.¹⁷

13 Annual Fee Owed to Secretary of State

14 Each committee required to file a statement of organization must pay the Secretary of State an
15 annual fee of \$50 by January 15 each year until the termination of the committee.¹⁸ A committee that
16 fails to timely pay the annual fee is subject to a penalty equal to three times the amount of the fee.¹⁹
17 The Commission is required to enforce this provision of the Act.²⁰

18 Joint and Several Liability of Candidate, Committee, and Treasurer

19 It is the duty of a committee treasurer to ensure that the committee complies with the Act.²¹ A
20 treasurer may be held jointly and severally liable, along with the candidate and the committee, for
21 violations committed by the committee.²²

22 _____
¹⁴ Sections 84200, *et seq.*

23 ¹⁵ Section 84200, subd. (a); Regulation 18116, subd. (a).

24 ¹⁶ Section 84203.

25 ¹⁷ Section 82036.

26 ¹⁸ Section 84101.5, subs. (a) and (c).

27 ¹⁹ Section 84101.5, subd. (d)(1).

28 ²⁰ Section 84101.5, subd. (d)(2).

²¹ Sections 81004, 84100, 84104, and 84213; Regulation 18427.

²² Sections 83116.5 and 91006.

1 **SUMMARY OF THE EVIDENCE**

2 Cox was a successful candidate for the Central Unified School District Board of Trustees in the
3 November 7, 2000 General Election and was re-elected in 2004, 2008, 2012, and 2016, receiving 52.75
4 percent of the vote in the November 8, 2016 General Election. Her current term expires in 2020. The
5 Committee reported on its statement of organization that it qualified as a recipient committee on
6 August 10, 2016. Through October 22, 2016, the Committee had reported a total of \$14,590 in
7 contributions received and \$7,508.88 in expenditures made.

8 On September 29, 2016, the Committee filed a pre-election campaign statement for the
9 reporting period of July 1, 2016 through September 24, 2016, and reported receiving a contribution of
10 \$1,000 from West Coast Waste on August 10, 2016. On October 27, 2016, the Committee filed the
11 pre-election campaign statement for the reporting period of September 25, 2016 through October 22,
12 2016, and reported receiving the following contributions of \$1,000 or more.

13

Date Received	Name of Contributor	Amount Received
9/26/2016	Soria for City Council 2014	\$1,000
10/8/2016	NWPC of Fresno	\$2,000
10/18/2016	Granville Homes Inc.	\$1,000

14
15
16
17

18 The 90-day period preceding the November 8, 2016 General Election started on August 10,
19 2016. Respondents failed to timely file four 24-hour contribution reports for the above-referenced
20 contributions of \$1,000 or more received during the 90-day election cycle.

21 On the pre-election campaign statement for the reporting period ending on October 22, 2016,
22 the Committee reported an ending cash balance of \$6,781.62. Since the Committee remained open
23 after the election, Respondents were required to continue to file campaign statements. Despite this
24 obligation, Respondents failed to timely file the Committee's semiannual campaign statements for the
25 periods of October 23, 2016 through December 31, 2016; January 1, 2017 through June 30, 2017; and
26 July 1, 2017 through December 31, 2017.

1 On February 13, 2017, the Fresno County Clerk (the "Clerk") notified the Committee and Lim
2 in writing that the Committee had failed to file its semiannual campaign statement for the reporting
3 period ending on December 31, 2016. On August 8, 2017, and August 21, 2017, the Clerk notified the
4 Committee and Lim in writing that the Committee had failed to file its semiannual campaign statement
5 for the reporting period ending on June 30, 2017. After the Committee failed to file the required
6 statements, the Clerk referred both matters to the Enforcement Division of the Commission on May 8,
7 2017, and August 30, 2017.

8 On May 11, 2017, the Enforcement Division sent the Committee a letter requesting that the
9 Committee file its outstanding statement for the reporting period ending on December 31, 2016 within
10 30 days. Furthermore, the Enforcement Division contacted Cox at least eleven times between May
11 2017 and January 2018 via email and phone regarding the unfiled campaign statements for the
12 reporting periods ending on December 31, 2016, and June 30, 2017. As of the date of this report,
13 Respondents have failed to file semiannual campaign statements for the reporting periods ending on
14 December 31, 2016; June 30, 2017; and December 31, 2017.

15 The Committee was also required to pay the \$50 annual fee to the SOS by the January 15, 2017
16 and January 15, 2018 deadlines. The SOS sent the Committee three notices regarding the required
17 payments on November 18, 2016; June 5, 2017; and December 1, 2017. As the date of this report,
18 Respondents have failed to timely pay the \$50 annual fee for 2017 and 2018 as well as the associated
19 \$150 penalty for 2017.

20 VIOLATIONS

21 Count 1: Failure to Timely File Semiannual Campaign Statement

22 The Committee, Cox, and Lim failed to timely file a semiannual campaign statement for the
23 reporting period of October 23, 2016 through December 31, 2016, due January 31, 2017, in violation
24 of Section 84200, subdivision (a).

25 ///

26 ///

Exhibit A-2

PROOF OF SERVICE

At the time of service, I was over 18 years of age and not a party to this action. My business address is Fair Political Practices Commission, 1102 Q Street, Suite 3000, Sacramento, CA 95811. On February 9, 2018, I served the following document(s):

1. Letter dated February 9, 2018, from Christopher Burton;
2. FPPC No. 17/382 Report in Support of a Finding of Probable Cause;
3. Probable Cause Fact Sheet
4. Selected Sections of the California Government Code regarding Probable Cause Proceedings for the Fair Political Practices Commission; and
5. Selected Regulations of the Fair Political Practices Commission regarding Probable Cause Proceedings

By Personal Delivery. I personally delivered the document(s) listed above to the person(s) at the address(es) as shown on the service list below.

By United States Postal Service. I enclosed the document(s) in a sealed envelope or package addressed to the person(s) at the addresses listed below and placed the envelope or package for collection and mailing by certified mail, return receipt requested, following my company's ordinary business practices. I am readily familiar with this business' practice for collection and processing correspondence for mailing with the United States Postal Service. On the same day that correspondence is placed for collection and mailing, it is deposited in the ordinary course of business with the United States Postal Service, in a sealed envelope with postage fully prepaid.

I am a resident or employed in the county where the mailing occurred. The envelope or package was placed in the mail in Sacramento County, California.

SERVICE LIST

Certified Mail, Return Receipt Requested

Julie Lim

Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016

I declare under penalty of perjury under the laws of the State of California that the above is true and correct. Executed on February 9, 2018.



Dominika Wojenska
Staff Services Analyst

U.S. Postal Service™
CERTIFIED MAIL® RECEIPT
Domestic Mail Only

For delivery information, visit our website at www.usps.com®.

OFFICIAL USE

7016 0340 0000 4009 4767

Certified Mail Fee \$ _____ Extra Services & Fees (check box, add fee as appropriate) <input type="checkbox"/> Return Receipt (hardcopy) \$ _____ <input type="checkbox"/> Return Receipt (electronic) \$ _____ <input type="checkbox"/> Certified Mail Restricted Delivery \$ _____ <input type="checkbox"/> Adult Signature Required \$ _____ <input type="checkbox"/> Adult Signature Restricted Delivery \$ _____ Postage \$ _____ Total Postage and Fees \$ _____	Postmark Here
Sent To Julie Lim Street and Apt. No., or PO Box No. _____ City, State, ZIP+4® _____	
PS Form 3800, April 2015 PSN 7530-02-000-9047 See Reverse for Instructions	

SENDER: COMPLETE THIS SECTION

- Complete items 1, 2, and 3.
- Print your name and address on the reverse so that we can return the card to you.

Julie Lim

Re-Elect Terry Cox for Central Unified School Districts
 Trustee Area 6, 2016

COMPLETE THIS SECTION ON DELIVERY

A. Signature X 	<input type="checkbox"/> Agent <input type="checkbox"/> Addressee
B. Received by (Printed Name) Julie Lim	C. Date of Delivery 2-23-18
delivery address different from item 1? <input type="checkbox"/> Yes If YES, enter delivery address below: <input type="checkbox"/> No	



9590 9402 2865 7069 6458 15

2. Article Number (Transfer from service label)

7016 0340 0000 4009 4767

PS Form 3811, July 2015 PSN 7530-02-000-9053

3. Service Type <input type="checkbox"/> Adult Signature <input type="checkbox"/> Adult Signature Restricted Delivery <input checked="" type="checkbox"/> Certified Mail® <input type="checkbox"/> Certified Mail Restricted Delivery <input type="checkbox"/> Collect on Delivery <input type="checkbox"/> Collect on Delivery Restricted Delivery <input type="checkbox"/> Insured Mail <input type="checkbox"/> Insured Mail Restricted Delivery (over \$500)	<input type="checkbox"/> Priority Mail Express® <input type="checkbox"/> Registered Mail™ <input type="checkbox"/> Registered Mail Restricted Delivery <input checked="" type="checkbox"/> Return Receipt for Merchandise <input type="checkbox"/> Signature Confirmation™ <input type="checkbox"/> Signature Confirmation Restricted Delivery
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Domestic Return Receipt

Exhibit A-3

ATTORNEY OR PARTY WITHOUT ATTORNEY (NAME AND ADDRESS): Fair Political Practices Commission (916) 322-8246 1102 "Q" Street, Suite 3000 Sacramento, CA 9581100000		TELEPHONE NO.:	FOR COURT USE ONLY
ATTORNEY FOR (NAME): FAIR POLITICAL PRACTICES		Insert name of court, judicial district or branch court, if any, and post office and street address.	
PLAINTIFF: IN THE MATTER OF			
DEFENDANT: RE-ELECT TERRY COX, et al			
REFERENCE NUMBER: 00169950-01	PROOF OF SERVICE		CASE NUMBER: 17/382

I am and was on the dates herein mentioned over the age of eighteen years and not a party to this action;

I served the:

LETTER, REPORT IN SUPPORT OF A FINDING OF PROBABLE CAUSE, PROBABLE CAUSE FACT SHEET, REGULATIONS OF THE FAIR POLITICAL PRACTICES COMMISSION TITLE 2, DIVISION 6 OF THE CALIFORNIA CODE OF REGULATIONS,
as follows:

Name: TERRY COX

Date Of Service: 04/12/18

Time Of Service: 05:55 pm

Place Of Service: [REDACTED]

(Home)

Manner Of Service:

Personal Service - By personally delivering copies to the person on whom the service is required.

Fee for Service: \$ 44.50
FEE RECOVERABLE PURSUANT TO CCP 1033.5 (B)

Registered: Fresno County,
Number: S200213 EXP: 12/29/2019



ACTION
LEGAL SUPPORT SERVICE
5528 N. Palm - Suite 123
Fresno, CA 93704
(559) 432-3337 FAX (559) 432-1140

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct and that this declaration was executed
on: 04/12/18
at: Fresno, California.

Signature:
Name:

Robert Reyes
Robert Reyes

Exhibit A-4



FAIR POLITICAL PRACTICES COMMISSION

1102 Q Street • Suite 3000 • Sacramento, CA 95811

February 9, 2018

CERTIFIED MAIL, RETURN RECEIPT REQUESTED

Julie Lim

Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016

[REDACTED]
[REDACTED]

In the Matter of RE-ELECT TERRY COX FOR CENTRAL UNIFIED SCHOOL DISTRICT TRUSTEE AREA 6, 2016, TERRY COX, and JULIE LIM; FPPC No. 17/382

Dear Ms. Lim:

The Enforcement Division of the Fair Political Practices Commission (the "Commission") is proceeding with an administrative action against you for your failure to comply with the filing and disclosure provisions of the Political Reform Act (the "Act"). The enclosed Report in Support of a Finding of Probable Cause (the "Report") contains a summary of the alleged violations and the relevant law and evidence.

You have the right to file a written response to the Report. That response may contain any information you think is relevant and that you wish to bring to the attention of the Hearing Officer. In your response, please indicate whether you would like the Hearing Officer to make a determination of probable cause based on the written materials alone (the Report and your response) or request a conference, during which you may orally present your case to the Hearing Officer. Probable cause conferences are held in our office, which is located at 1102 Q Street, Suite 3000, Sacramento, CA 95811. You may appear at the conference in person or by telephone and you are entitled to be represented by counsel. *If you wish to submit a written response or request a probable cause conference, it must be filed with the Commission Assistant at the address listed above within 21 days from the date of service of this letter.* You can reach the Commission Assistant at (916) 327-8269.

Please note that probable cause conferences are not settlement conferences. The sole purpose of a probable cause conference is to determine whether there is probable cause to believe that the Act was violated. However, settlement discussions are encouraged by the Commission and may take place at any time except during a probable cause conference. *If you are interested in reaching a settlement in this matter, please contact me at (916) 322-5021 or cburton@fppc.ca.gov.*

Finally, you have the right to request discovery of the evidence in possession of, and relied upon by, the Enforcement Division. ***This request must also be filed with the Commission Assistant within 21 days from the date of service of this letter.*** Should you request discovery, the Enforcement Division will provide the evidence by service of process or certified mail. From the date you are served with the evidence, you would have an additional 21 days to file a written response to the Report, just as described above.

Should you take no action within 21 days from the date of service of this letter, your rights to respond and to request a conference are automatically waived and the Enforcement Division will independently pursue the issuance of an accusation.

For your convenience, I have enclosed a fact sheet on probable cause proceedings and copies of the most relevant statutes and regulations.

Sincerely,



Christopher Burton
Commission Counsel
Enforcement Division

Enclosures



FAIR POLITICAL PRACTICES COMMISSION

1102 Q Street • Suite 3000 • Sacramento, CA 95811

April 11, 2018

CERTIFIED MAIL, RETURN RECEIPT REQUESTED

Terry Cox

Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016

[REDACTED]
[REDACTED]

In the Matter of RE-ELECT TERRY COX FOR CENTRAL UNIFIED SCHOOL DISTRICT TRUSTEE AREA 6, 2016, TERRY COX, and JULIE LIM; FPPC No. 17/382

Dear Ms. Cox:

The Enforcement Division of the Fair Political Practices Commission (the "Commission") is proceeding with an administrative action against you for your failure to comply with the filing and disclosure provisions of the Political Reform Act (the "Act"). The enclosed Report in Support of a Finding of Probable Cause (the "Report") contains a summary of the alleged violations and the relevant law and evidence.

You have the right to file a written response to the Report. That response may contain any information you think is relevant and that you wish to bring to the attention of the Hearing Officer. In your response, please indicate whether you would like the Hearing Officer to make a determination of probable cause based on the written materials alone (the Report and your response) or request a conference, during which you may orally present your case to the Hearing Officer. Probable cause conferences are held in our office, which is located at 1102 Q Street, Suite 3000, Sacramento, CA 95811. You may appear at the conference in person or by telephone and you are entitled to be represented by counsel. *If you wish to submit a written response or request a probable cause conference, it must be filed with the Commission Assistant at the address listed above within 21 days from the date of service of this letter.* You can reach the Commission Assistant at (916) 327-8269.


Please note that probable cause conferences are not settlement conferences. The sole purpose of a probable cause conference is to determine whether there is probable cause to believe that the Act was violated. However, settlement discussions are encouraged by the Commission and may take place at any time except during a probable cause conference. *If you are interested in reaching a settlement in this matter, please contact me at (916) 322-5021 or cburton@fppc.ca.gov.*

Finally, you have the right to request discovery of the evidence in possession of, and relied upon by, the Enforcement Division. ***This request must also be filed with the Commission Assistant within 21 days from the date of service of this letter.*** Should you request discovery, the Enforcement Division will provide the evidence by service of process or certified mail. From the date you are served with the evidence, you would have an additional 21 days to file a written response to the Report, just as described above.

Should you take no action within 21 days from the date of service of this letter, your rights to respond and to request a conference are automatically waived and the Enforcement Division will independently pursue the issuance of an accusation.

For your convenience, I have enclosed a fact sheet on probable cause proceedings and copies of the most relevant statutes and regulations.

Sincerely,

A handwritten signature in blue ink, appearing to read 'Christopher Burton', is written over the typed name.

Christopher Burton
Commission Counsel
Enforcement Division

Enclosures

PROBABLE CAUSE FACT SHEET

INTRODUCTION

The Fair Political Practices Commission is required by law to determine whether probable cause exists to believe that the Political Reform Act (the "Act") was violated before a public administrative accusation may be issued.

The probable cause proceedings before the Fair Political Practices Commission are unique, and most respondents and their attorneys are unfamiliar with them. Therefore, we have prepared this summary to acquaint you with the process.

THE LAW

Government Code sections 83115.5 and 83116 set forth the basic requirement that a finding of probable cause be made in a "private" proceeding before a public accusation is issued and a public hearing conducted in accordance with the Administrative Procedure Act.

The Commission has promulgated regulations further defining the probable cause procedure and delegating to the General Counsel (the "Hearing Officer" for purposes of these proceedings) the authority to preside over such proceedings and decide probable cause. A copy of these statutes and regulations are attached for your convenience.

In summary, the statutes and regulations entitle you to the following:

- a) A written probable cause report containing a summary of the law alleged to have been violated, and a summary of the evidence, including any exculpatory and mitigating information and any other relevant material and arguments;
- b) The opportunity to request discovery, respond in writing, and to request a probable cause conference within 21 days of service of the probable cause report;
- c) If the Commission met to consider whether a civil lawsuit should be filed in this matter, a copy of any staff memoranda submitted to the Commission and a transcript of staff discussions with the Commission at any such meeting; and
- d) If a timely request was made, a non-public conference with the General Counsel and the Enforcement Division staff to consider whether or not probable cause exists to believe the Act was violated.

THE PROCEDURE

Probable Cause Report

Administrative enforcement proceedings are commenced with the service, by registered or certified mail or in person, of a probable cause report. The report will contain a summary of the law and the evidence, including any exculpatory and mitigating information of which the staff has knowledge and any other relevant material and arguments. It is filed with the Hearing Officer.

Discovery

Within 21 calendar days following the service of the probable cause report, you may request discovery of the evidence in the possession of the Enforcement Division. This is not a right to full discovery of the Enforcement Division file, but to the evidence relied upon by the Division along with any exculpatory or mitigating evidence¹.

This request must be sent by registered or certified mail to the Commission Assistant.

Response to Probable Cause Report

Within 21 calendar days following the service of the probable cause report (or, if you timely requested discovery, within 21 calendar days from the service of the evidence) you may submit a response to the Report. By regulation, the written response may contain, "... a summary of evidence, legal arguments, and any mitigating or exculpatory information." (Cal. Code Regs., tit. 2, § 18361.4, subd. (c).)

You must file your response with the Commission Assistant and provide a copy, by service of process or registered or certified mail with return receipt requested, to all other proposed respondents listed in the probable cause report.

Staff Reply

Within 10 calendar days following the date the response was filed with the Commission Assistant, Commission staff may submit any evidence or argument in rebuttal. You will be served with a copy of any such reply.

Probable Cause Conference

Probable cause conferences are held at the offices of the Fair Political Practices Commission, which is located at 428 J Street, Ste. 620, Sacramento, CA 95814. You may appear at the conference in person or by telephone. The proceedings are not public unless all proposed respondents agree to open the conference to the public. Otherwise, the probable cause report, any written responses, and the probable cause conference itself are confidential.

Unless the probable cause conference is public, the only persons who may attend are the staff of the Commission, any proposed respondent and his or her attorney or representative, and, at the discretion of the Hearing Officer, witnesses.

The Hearing Officer may, but need not, permit testimony from witnesses. Probable cause conferences are less formal than court proceedings. The rules of evidence do not apply. The conferences will be recorded and a copy of the recording will be provided upon request.

Since it has the burden of proof, the Enforcement Division is permitted to open and close the conference presentations. The Hearing Officer may also hold the record open to receive additional evidence or arguments.

Probable cause conferences are not settlement conferences. The sole purpose of a probable cause conference is to determine whether or not there is probable cause to believe that the

¹ But see Title 2, California Code of Regulations, Section 18362, which states that the Commission provides access to complaints, responses to complaints, and investigative files and information in accordance with the requirements of the Public Records Act. (Govt. Code § 6250, et seq.)

Political Reform Act was violated. Anyone who wishes to discuss settlement with the Enforcement Division may do so before or after the probable cause conference but not during the conference.

Pursuant to Title 2, California Code of Regulations, Section 18361.4, subdivision (e), the Hearing Officer will find probable cause "if the evidence is sufficient to lead a person of ordinary caution and prudence to believe or entertain a strong suspicion that a proposed respondent committed or caused a violation."

Ordinarily, probable cause determinations are made based upon the written probable cause report, any written response by the respondent, any written reply by the Enforcement Division, and the oral arguments presented at the conference. Timely written presentations are strongly recommended.

Probable Cause Order and Accusation

Once the matter is submitted to the Hearing Officer, the probable cause decision will normally be made within ten days. If the Hearing Officer finds probable cause, he will issue a Finding of Probable Cause, which will be publicly announced at the next Commission Meeting. An accusation will be issued soon after the Finding of Probable Cause is publicly announced.

Continuances

Every reasonable effort is made to accommodate the schedules of parties and counsel. However, once a date has been set it is assumed to be firm and will not be continued except upon the order of the Hearing Officer after a showing of good cause. Settlement negotiations will be considered good cause only if the Hearing Officer is presented with a fully executed settlement, or is convinced that settlement is imminent.

Settlements

Settlement discussions may take place at any time except during the probable cause conference. In order to open settlement discussions, a proposed respondent or his or her counsel or representative should present a written offer to settle stating, where appropriate, the violations to be admitted, and the monetary penalty or other remedy to be tendered.

The Enforcement Division attorney assigned to the case will negotiate any potential settlement on behalf of the Fair Political Practices Commission, and will draft the language of the settlement agreement. The Hearing Officer will not directly participate in the negotiations, but will be represented by Enforcement Division attorneys. Staff attorneys will present settlement offers to the Hearing Officer for his/her approval.

CONCLUSION

This fact sheet was intended to give you a brief summary of the probable cause process at the Fair Political Practices Commission. Such a summary cannot answer every question that might arise in such proceedings. Therefore, if you have any questions that are not addressed by this fact sheet or the copies of the law and regulations we have attached, feel free to contact the attorney whose name appears on the probable cause report.

Attachments: Relevant Sections of (1) California Government Code, and (2) Regulations of the Fair Political Practices Commission, Title 2, Division 6 of the California Code of Regulations.

CALIFORNIA GOVERNMENT CODE

Probable Cause Statutes

§ 83115.5. Probable cause; violation of title; notice of violation; summary of evidence; notice of rights; private proceedings

No finding of probable cause to believe this title has been violated shall be made by the commission unless, at least 21 days prior to the commission's consideration of the alleged violation, the person alleged to have violated this title is notified of the violation by service of process or registered mail with return receipt requested, provided with a summary of the evidence, and informed of his right to be present in person and represented by counsel at any proceeding of the commission held for the purpose of considering whether probable cause exists for believing the person violated this title. Notice to the alleged violator shall be deemed made on the date of service, the date the registered mail receipt is signed, or if the registered mail receipt is not signed, the date returned by the post office. A proceeding held for the purpose of considering probable cause shall be private unless the alleged violator files with the commission a written request that the proceeding be public.

§ 83116. Violation of title; probable cause; hearing; order

When the Commission determines there is probable cause for believing this title has been violated, it may hold a hearing to determine if a violation has occurred. Notice shall be given and the hearing conducted in accordance with the Administrative Procedure Act (Chapter 5 (commencing with Section 11500), Part 1, Division 3, Title 2, Government Code). The Commission shall have all the powers granted by that chapter. When the Commission determines on the basis of the hearing that a violation has occurred, it shall issue an order that may require the violator to do all or any of the following:

- (a) Cease and desist violation of this title.
- (b) File any reports, statements, or other documents or information required by this title.
- (c) Pay a monetary penalty of up to five thousand dollars (\$5,000) per violation to the General Fund of the state. When the Commission determines that no violation has occurred, it shall publish a declaration so stating.

**REGULATIONS OF THE FAIR POLITICAL PRACTICES COMMISSION
TITLE 2, DIVISION 6 OF THE CALIFORNIA CODE OF REGULATIONS**

Probable Cause Regulations

§ 18361 (b). Delegation by the Executive Director Pertaining to Enforcement Proceedings and Authority to Hear Probable Cause Proceedings.

Probable cause proceedings under Regulation 18361.4 shall be heard by the General Counsel or an attorney from the Legal Division. The General Counsel may delegate the authority to hear probable cause proceedings, in writing, to an administrative law judge.

§ 18361.4. Probable Cause Proceedings

(a) Probable Cause Report. If the Chief of the Enforcement Division decides to commence probable cause proceedings pursuant to Sections 83115.5 and 83116, he or she shall direct the Enforcement Division staff to prepare a written report, hereafter referred to as “the probable cause report.” The probable cause report shall contain a summary of the law and evidence gathered in connection with the investigation, including any exculpatory and mitigating information of which the staff has knowledge and any other relevant material and arguments. The evidence recited in the probable cause report may include hearsay, including declarations of investigators or others relating the statements of witnesses or concerning the examination of physical evidence.

(b) No probable cause hearing will take place until at least 21 calendar days after the Enforcement Division staff provides the following, by service of process or registered or certified mail with return receipt requested, to all proposed respondents:

- (1) A copy of the probable cause report;
- (2) Notification that the proposed respondents have the right to respond in writing to the probable cause report and to request a probable cause conference at which the proposed respondent may be present in person and represented by counsel, and;
- (3) If the Commission met in executive session on this matter pursuant to Regulation 18361.2, a copy of any staff memoranda submitted to the Commission at that time along with the recording of any discussion between the Commission and the staff at the executive session as required in subdivision (b) of Regulation 18361.2.

(c) Response to Probable Cause Report.

- (1) Each proposed respondent may submit a written response to the probable cause report. The response may contain a summary of evidence, legal arguments, and any mitigating or exculpatory information. A proposed respondent who submits a response must file it with the Commission Assistant who will forward the response to the General Counsel or an attorney in the Legal Division (the “hearing officer”) and provide a copy, by service of process or registered or certified mail with return receipt requested, to all other proposed respondents listed in the probable cause report not later than 21 days following service of the probable cause report.
- (2) Within 21 calendar days following the service of the probable cause report, a proposed

respondent may request discovery of evidence in the possession of the Enforcement Division. This request must be sent by registered or certified mail to the Commission Assistant. Upon receipt of the request, the Enforcement Division shall provide discovery of evidence relied upon by the Enforcement Division sufficient to lead a person of ordinary caution and prudence to believe or entertain a strong suspicion that a proposed respondent committed or caused a violation, along with any exculpatory or mitigating evidence. This is not a right to full discovery of the Enforcement Division file. The Enforcement Division shall provide access to documents for copying by the Respondent, or upon agreement among the parties, the Enforcement Division will provide copies of the requested documents upon payment of a fee for direct costs of duplication. The Enforcement Division shall provide such evidence by service of process or registered or certified mail with return receipt requested to all respondents, with a copy to the Commission Assistant. A respondent may submit a written response to the probable cause report described in subsection (1) no later than 21 calendar days after service of discovery.

- (3) The Commission staff may submit any evidence or argument in rebuttal to the response. When the Commission staff submits evidence or argument in rebuttal to the response, it shall provide a copy, by service of process or registered or certified mail with return receipt requested, to all proposed respondents listed in the probable cause report not later than 10 calendar days following the date the response was filed with the Commission Assistant. The hearing officer may extend the time limitations in this section for good cause. At any time prior to a determination of probable cause, the hearing officer may allow additional material to be submitted as part of the initial response or rebuttal.

(d) Probable Cause Conference. Any proposed respondent may request a probable cause conference. The request shall be served upon the Commission Assistant and all other proposed respondents not later than 21 days after service of the probable cause report unless the hearing officer extends the time for good cause. The Commission Assistant shall fix a time for the probable cause conference and the hearing officer shall conduct the conference informally. The conference shall be closed to the public unless a proposed respondent requests and all other proposed respondents agree to a public conference. If the conference is not public, only members of the Commission staff, any proposed respondent and his or her legal counsel or representative shall have the right to be present and participate. The hearing officer may allow witnesses to attend and participate in part or all of the probable cause conference. In making this determination, the hearing officer shall consider the relevancy of the witness' proposed testimony, whether the witness has a substantial interest in the proceedings, and whether fairness requires that the witness be allowed to participate. Representatives of any civil or criminal prosecutor with jurisdiction may attend the conference at the discretion of the hearing officer if they agree to respect the confidential nature of the proceedings. If the conference is not open to the public and none of the parties and the presiding officer object, the conference may be conducted in whole or in part by telephone. The probable cause conference shall be recorded. The hearing officer may determine whether there is probable cause based solely on the probable cause report, any responses or rebuttals filed and any arguments presented at the probable cause conference by the interested parties. If the hearing officer requires additional information before determining whether there is probable cause, he or she may permit any party to submit additional evidence at the probable cause conference.

(e) Finding of Probable Cause. The hearing officer may find there is probable cause to believe a violation has occurred if the evidence is sufficient to lead a person of ordinary caution and prudence to believe or entertain a strong suspicion that a proposed respondent committed or caused a violation. A finding of probable cause by the hearing officer does not constitute a finding that a violation has actually occurred. The hearing officer shall not make a finding of probable cause if he or she is presented with clear and convincing evidence that, at a time prior to the alleged violation, the violator consulted with the staff of the Commission in good faith, disclosed truthfully all the material facts, and committed the acts complained of either in reliance on the advice of the staff or because of the staff's failure to provide advice. If the hearing officer makes a finding of probable cause, the Enforcement Division shall prepare an Accusation pursuant to Section 11503 and have it served upon the person or persons who are subjects of the probable cause finding. The hearing officer shall publicly announce the finding of probable cause. The announcement shall contain a summary of the allegations and a cautionary statement that the respondent is presumed to be innocent of any violation of the Act unless a violation is proved in a subsequent proceeding. The Chief of the Enforcement Division shall be responsible for the presentation of the case in support of the Accusation at an administrative hearing held pursuant to Section 83116.

§ 18362. Access to Complaint Files

(a) Access to complaints, responses thereto, and investigative files and information shall be granted in accordance with the requirements of the Public Records Act (Government Code Section 6250, et seq.).

(b) When release of material is requested pursuant to subdivision (a), the Executive Director, or his or her designee, shall review the material prior to its release or prior to a claim of exemption to determine that the requirements of the Public Records Act have been satisfied.

(c) Any person requesting copies of material pursuant to subdivision (a) shall reimburse the Commission \$0.10 per page for each page copied or supply copying equipment and make copies in the offices of the Commission. Documents may not be removed from the offices of the Commission. If the request is for copies totaling ten pages or less, the copies shall be provided without charge for copying since the administrative costs do not warrant collection of \$1.00 or less. If the request is for copies totaling more than ten pages, reimbursements of copying costs shall include the cost for the first ten pages. Charges imposed pursuant to this subdivision are for the purpose of recovering the cost of copying.

(d) Requests for access and copies pursuant to subdivision (a) shall be made in writing and shall specifically identify the documents sought.

§ 18361.2. Memorandum Respecting Civil Litigation.

(a) If the Executive Director concludes civil litigation should be initiated, he or she shall submit to the Commission a written memorandum, which shall be first reviewed by the General Counsel, or an attorney from the Legal Division, summarizing the facts and the applicable law of the case and recommending the initiation of a lawsuit. The memorandum shall include all exculpatory and mitigating information known to the staff.

(b) The Commission shall review the memorandum at an executive session. The General Counsel, or an attorney from the Legal Division, and the Commission Assistant shall be in attendance. No other member of the staff may be present unless the Commission meets with a member of the staff for that person to answer questions. The Commission may not resume its deliberations until the person is no longer present. Any communication between the Commission and the person during the executive session shall be recorded. After review of the memorandum, the Commission may direct the Executive Director to do any of the following:

- (1) Initiate civil litigation.
- (2) Decide whether probable cause proceedings should be commenced pursuant to 2 Cal. Code of Regulations Section 18361.4.
- (3) Return the matter to the staff for further investigation.
- (4) Take no further action on the matter or take any other action it deems appropriate.

(c) If the Commission decides to initiate civil litigation, the Commission may then permit other members of the staff to attend the executive session.

(d) If the Executive Director deems it necessary, he or she may call a special meeting of the Commission to review a staff memorandum recommending the initiation of civil litigation.

(e) It is the intent of the Commission in adopting this section to preserve for the members of the Commission the authority to decide whether alleged violations should be adjudicated in administrative hearings or in civil litigation, while at the same time avoiding the possibility that discussions with members of the staff might cause members of the Commission to prejudge a case that might be heard by the Commission under Government Code Section 83116.

Exhibit A-5

GALENA WEST
Chief of Enforcement
CHRISTOPHER BURTON
Commission Counsel
FAIR POLITICAL PRACTICES COMMISSION
1102 Q Street, Suite 3000
Sacramento, CA 95811
Telephone: (916) 322-5660

Attorneys for Complainant

BEFORE THE FAIR POLITICAL PRACTICES COMMISSION

STATE OF CALIFORNIA

In the Matter of

) FPPC No. 17/382

RE-ELECT TERRY COX FOR
CENTRAL UNIFIED SCHOOL
DISTRICT TRUSTEE AREA 6, 2016,
TERRY COX, and JULIE LIM,

)
) EX PARTE REQUEST FOR A FINDING OF
) PROBABLE CAUSE AND AN ORDER THAT
) AN ACCUSATION BE PREPARED AND
) SERVED

) Gov. Code § 83115.5

Respondents.

TO THE HEARING OFFICER OF THE FAIR POLITICAL PRACTICES COMMISSION:

Pursuant to Section 83115.5 of the Political Reform Act (the "Act")¹ and Regulation 18361.4, Respondents Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016 (the "Committee"), Terry Cox ("Cox"), and Julie Lim ("Lim") were served with a copy of a report in support of a finding of probable cause (the "Report") in the above-entitled matter.² The Report, attached as "Exhibit A," was part of a packet of materials, including a cover letter and a memorandum describing probable cause proceedings, which was sent to the Committee and Lim on February 9, 2018, by certified mail, with a return receipt requested, and received by the Committee and Lim on February 23, 2018. A copy of the signed return receipt is attached as "Exhibit B." The packet of materials was personally served on Cox on April 12, 2018. A copy of the proof of service is attached as "Exhibit C."

¹ The Political Reform Act is contained in Government Code §§ 81000 through 91014, and all statutory references are to this code. The regulations of the Fair Political Practices Commission are contained in §§ 18110 through 18997 of Title 2 of the California Code of Regulations, and all regulatory references are to this source.

² Gov. Code § 83115.5; Cal. Code Reg., tit. 2, § 18361.4.

1 In the cover letters dated February 9, 2018, and April 11, 2018, the Committee, Cox, and Lim were
2 advised that they could respond in writing to the Report and orally present the case to the Hearing Officer
3 at a probable cause conference to be held in Sacramento. The Committee, Cox, and Lim were further
4 advised that in order to have a probable cause conference they needed to make a written request for one
5 on or before 21 days of the date they received the Report. Additionally, the Committee, Cox, and Lim
6 were advised that if they did not request a probable cause conference, such a conference would not be held
7 and probable cause would be determined based solely on the Report and any written response that they
8 submitted within 21 days of the date they were served with the Report. To date, neither the Committee,
9 Cox, or Lim have submitted a written response or requested a probable cause conference.

10 WHEREFORE, based on the attached Report, the Enforcement Division requests a finding by the
11 Hearing Officer that probable cause exists to believe that the Committee, Cox, and Lim committed
12 violations of the Act, stated as follows:

13 Count 1: The Committee, Cox, and Lim failed to timely file a semiannual campaign statement for the
14 reporting period of October 23, 2016 through December 31, 2016, due January 31, 2017, in
violation of Section 84200, subdivision (a).

15 Count 2: The Committee, Cox, and Lim failed to timely file a semiannual campaign statement for the
16 reporting period of January 1, 2017 through June 30, 2017, due July 31, 2017, in violation of
Section 84200, subdivision (a).

17 Count 3: The Committee, Cox, and Lim failed to timely file a semiannual campaign statement for the
18 reporting period of July 1, 2017 through December 31, 2017, due January 31, 2018, in violation
of Section 84200, subdivision (a).

19 Count 4: The Committee, Cox, and Lim failed to timely file a 24-hour contribution report for a \$1,000
late contribution received on August 10, 2016, in violation of Section 84203.

20 Count 5: The Committee, Cox, and Lim failed to timely file a 24-hour contribution report for a \$1,000
21 late contribution received on September 26, 2016, in violation of Section 84203.

22 Count 6: The Committee, Cox, and Lim failed to timely file a 24-hour contribution report for a \$2,000
late contribution received on October 8, 2016, in violation of Section 84203.

23 Count 7: The Committee, Cox, and Lim failed to timely file a 24-hour contribution report for a \$1,000
24 late contribution received on October 18, 2016, in violation of Section 84203.

25 Count 8: The Committee, Cox, and Lim failed to timely pay the requisite 2017 annual fee by the January
15, 2017 due date, and the resulting penalty, in violation of Section 84101.5, subdivisions (c)
26 and (d).

27 Count 9: The Committee, Cox, and Lim failed to timely pay the requisite 2018 annual fee by the January
15, 2018 due date, in violation of Section 84101.5, subdivision (c).

1 Additionally, after finding probable cause exists, the Enforcement Division requests an order by
2 the Hearing Officer that an accusation be prepared against the Committee, Cox, and Lim and served upon
3 them.³

4 A copy of this Request was mailed via U.S. Mail to the Committee, Cox, and Lim on May 11,
5 2018, at their last known address, as follows:

6 Terry Cox
7 Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016
8 [REDACTED]
9 [REDACTED]

10 Julie Lim
11 Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016
12 [REDACTED]
13 [REDACTED]

14 Dated: May 11, 2018

15 Respectfully Submitted,

16 **FAIR POLITICAL PRACTICES COMMISSION**

17 Galena West
18 Chief of Enforcement

19 

20 By: Christopher Burton
21 Commission Counsel
22 Enforcement Division

23
24
25
26
27 ³ Gov. Code § 11503.

EXHIBIT A

1 GALENA WEST
Chief of Enforcement
2 CHRISTOPHER BURTON
Commission Counsel
3 FAIR POLITICAL PRACTICES COMMISSION
1102 Q Street, Suite 3000
4 Sacramento, CA 95811
Telephone: (916) 322-5660

5 Attorneys for Complainant
6 Fair Political Practices Commission, Enforcement Division

7
8 BEFORE THE FAIR POLITICAL PRACTICES COMMISSION
9 STATE OF CALIFORNIA

10 In the Matter of) FPPC Case No. 17/382
11)
12) **REPORT IN SUPPORT OF A FINDING OF**
13) **PROBABLE CAUSE**
14 RE-ELECT TERRY COX FOR)
CENTRAL UNIFIED SCHOOL) Conference Date: TBA
15 DISTRICT TRUSTEE AREA 6, 2016,) Conference Time: TBA
TERRY COX, and JULIE LIM,) Conference Location: Commission Offices
16 Respondents.) 1102 Q Street, Suite 3000
Sacramento, CA 95811

17
18 INTRODUCTION

19 Respondent Terry Cox ("Cox") was a successful candidate for the Central Unified School
20 District Board of Trustees in the November 7, 2000 General Election and was re-elected in 2004, 2008,
21 2012, and 2016. Her current term expires in 2020. Respondent Re-Elect Terry Cox for Central Unified
22 School District Trustee Area 6, 2016 (the "Committee") is her candidate-controlled committee, created
23 in connection with Cox's bid for re-election in the November 8, 2016 General Election. Respondent
24 Julie Lim ("Lim") serves as the Committee's treasurer.

25 ///
26 ///

1 The Political Reform Act (“Act”)¹ requires controlled committees to file campaign statements
2 and reports for certain reporting periods and by certain deadlines. The Act also requires open
3 committees to pay to the Secretary of State (“SOS”) a \$50 annual fee each year until the committee is
4 terminated.

5 Respondents violated the Act by failing to timely file three semiannual campaign statements for
6 the reporting periods ending on December 31, 2016; June 30, 2017; and December 31, 2017, and four
7 24-hour contribution reports for late contributions received on August 10, 2016; September 26, 2016;
8 October 8, 2016; and October 18, 2016. Respondents also violated the Act by failing to timely pay the
9 \$50 annual fee for 2017 and 2018.

10 SUMMARY OF THE LAW

11 The Act and its regulations are amended from time to time. The discussion below regarding
12 jurisdiction, the standard for finding probable cause, and the contents of the probable cause report
13 includes references to current law. Unless otherwise noted, all other legal references and discussions of
14 law pertain to the Act’s provisions as they existed at the time of the violations in this case.

15 Jurisdiction

16 The Fair Political Practices Commission (the “Commission”) has primary responsibility for the
17 impartial, effective administration and implementation of the Act.² This includes enforcement through
18 administrative prosecution.³ However, before the Commission’s Enforcement Division may commence
19 administrative prosecution by filing/serving an Accusation, a hearing officer (either the General
20 Counsel of the Commission or another attorney in the Commission’s Legal Division) must determine
21 whether there is probable cause to believe that one or more violations of the Act occurred.⁴ Any
22 finding of probable cause is required by law to be announced publicly, which includes the posting of a
23

24 ¹ The Political Reform Act is contained in Government Code Sections 81000 through 91014, and all statutory
25 references are to this code. The regulations of the Fair Political Practice Commission are contained in Sections 18110
through 18997 of Title 2 of the California Code of Regulations, and all regulatory references are to this source.

26 ² Section 83111.

26 ³ Section 83116.

27 ⁴ Sections 83115.5 and 83116; Regulations 18361, subd. (b), and 18361.4.

1 summary of the allegations on the Commission’s website.⁵ After a finding of probable cause, the
2 Commission may then hold a hearing to determine what violations have occurred—and levy an
3 administrative penalty of up to \$5,000 for each violation.⁶

4 Standard for Finding Probable Cause

5 For the hearing officer to make a finding of probable cause, it is only necessary that he or she
6 be presented with sufficient evidence to lead a person of ordinary caution and prudence to believe, or
7 entertain a strong suspicion, that a proposed respondent committed or caused a violation.⁷

8 Contents of the Probable Cause Report

9 The probable cause report is required to contain a summary of the law and evidence gathered in
10 connection with the investigation, including any exculpatory and mitigating information of which the
11 staff has knowledge and any other relevant material and arguments. The evidence recited in the
12 probable cause report may include hearsay.⁸

13 Need for Liberal Construction and Vigorous Enforcement of the Political Reform Act

14 When enacting the Act, the people of California found and declared that previous laws
15 regulating political practices suffered from inadequate enforcement by state and local authorities.⁹ To
16 that end, the Act is to be construed liberally to accomplish its purposes.¹⁰

17 One purpose of the Act is to promote transparency by ensuring that receipts and expenditures in
18 election campaigns are fully and truthfully disclosed so that voters are fully informed and improper
19 practices are inhibited.¹¹ Along these lines, the Act includes a comprehensive campaign reporting
20 system.¹² Another purpose of the Act is to provide adequate enforcement mechanisms so that the Act
21 will be “vigorously enforced.”¹³

22 ⁵ Regulation 18361.4, subd. (e).

23 ⁶ Section 83116; Regulation 18361.4, subd. (e).

24 ⁷ Section 83115.5; Regulation 18361.4, subd. (e).

25 ⁸ Regulation 18361.4, subd. (a).

26 ⁹ Section 81001, subd. (h).

27 ¹⁰ Section 81003.

28 ¹¹ Section 81002, subd. (a).

¹² Sections 84200, *et seq.*

¹³ Section 81002, subd. (f).

1 Mandatory Filing of Campaign Statements

2 At the core of the Act's campaign reporting system is the requirement that committees file
3 campaign statements and reports for certain reporting periods and by certain deadlines.¹⁴

4 The Act requires a recipient committee to file semiannual campaign statements twice per year
5 disclosing its campaign contributions and expenditures. A recipient committee must file a semiannual
6 statement by January 31 for the period ending December 31 and by July 31 for the period ending June
7 30, or the next business day if the deadline falls on a weekend or holiday.¹⁵

8 Duty to File 24-Hour Contribution Reports

9 Each candidate or committee that makes or receives a late contribution must file a report within
10 24 hours of making or receiving the contribution.¹⁶ A "late contribution" includes a contribution
11 aggregating \$1,000 or more that is made or received by a candidate or his or her controlled committee
12 during the 90-day period preceding an election or on the date of the election.¹⁷

13 Annual Fee Owed to Secretary of State

14 Each committee required to file a statement of organization must pay the Secretary of State an
15 annual fee of \$50 by January 15 each year until the termination of the committee.¹⁸ A committee that
16 fails to timely pay the annual fee is subject to a penalty equal to three times the amount of the fee.¹⁹
17 The Commission is required to enforce this provision of the Act.²⁰

18 Joint and Several Liability of Candidate, Committee, and Treasurer

19 It is the duty of a committee treasurer to ensure that the committee complies with the Act.²¹ A
20 treasurer may be held jointly and severally liable, along with the candidate and the committee, for
21 violations committed by the committee.²²

22 ¹⁴ Sections 84200, *et seq.*

23 ¹⁵ Section 84200, subd. (a); Regulation 18116, subd. (a).

24 ¹⁶ Section 84203.

25 ¹⁷ Section 82036.

26 ¹⁸ Section 84101.5, subds. (a) and (c).

27 ¹⁹ Section 84101.5, subd. (d)(1).

28 ²⁰ Section 84101.5, subd. (d)(2).

²¹ Sections 81004, 84100, 84104, and 84213; Regulation 18427.

²² Sections 83116.5 and 91006.

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SUMMARY OF THE EVIDENCE

Cox was a successful candidate for the Central Unified School District Board of Trustees in the November 7, 2000 General Election and was re-elected in 2004, 2008, 2012, and 2016, receiving 52.75 percent of the vote in the November 8, 2016 General Election. Her current term expires in 2020. The Committee reported on its statement of organization that it qualified as a recipient committee on August 10, 2016. Through October 22, 2016, the Committee had reported a total of \$14,590 in contributions received and \$7,508.88 in expenditures made.

On September 29, 2016, the Committee filed a pre-election campaign statement for the reporting period of July 1, 2016 through September 24, 2016, and reported receiving a contribution of \$1,000 from West Coast Waste on August 10, 2016. On October 27, 2016, the Committee filed the pre-election campaign statement for the reporting period of September 25, 2016 through October 22, 2016, and reported receiving the following contributions of \$1,000 or more.

Date Received	Name of Contributor	Amount Received
9/26/2016	Soria for City Council 2014	\$1,000
10/8/2016	NWPC of Fresno	\$2,000
10/18/2016	Granville Homes Inc.	\$1,000

The 90-day period preceding the November 8, 2016 General Election started on August 10, 2016. Respondents failed to timely file four 24-hour contribution reports for the above-referenced contributions of \$1,000 or more received during the 90-day election cycle.

On the pre-election campaign statement for the reporting period ending on October 22, 2016, the Committee reported an ending cash balance of \$6,781.62. Since the Committee remained open after the election, Respondents were required to continue to file campaign statements. Despite this obligation, Respondents failed to timely file the Committee's semiannual campaign statements for the periods of October 23, 2016 through December 31, 2016; January 1, 2017 through June 30, 2017; and July 1, 2017 through December 31, 2017.

1 On February 13, 2017, the Fresno County Clerk (the "Clerk") notified the Committee and Lim
2 in writing that the Committtce had failed to file its semiannual campaign statement for the reporting
3 period ending on December 31, 2016. On August 8, 2017, and August 21, 2017, the Clerk notified the
4 Committee and Lim in writing that the Committee had failed to file its semiannual campaign statement
5 for the reporting period ending on June 30, 2017. After the Committee failed to file the required
6 statements, the Clerk referred both matters to the Enforcement Division of the Commission on May 8,
7 2017, and August 30, 2017.

8 On May 11, 2017, the Enforcement Division sent the Committec a letter requesting that the
9 Committee file its outstanding statement for the reporting period ending on December 31, 2016 within
10 30 days. Furthermore, the Enforcement Division contacted Cox at least eleven times between May
11 2017 and January 2018 via email and phone regarding the unfiled campaign statements for the
12 reporting periods ending on December 31, 2016, and June 30, 2017. As of the date of this report,
13 Respondents have failed to file semiannual campaign statements for the reporting periods ending on
14 December 31, 2016; June 30, 2017; and December 31, 2017.

15 The Committee was also required to pay the \$50 annual fee to the SOS by the January 15, 2017
16 and January 15, 2018 deadlines. The SOS sent the Committec three notices regarding the required
17 payments on November 18, 2016; June 5, 2017; and December 1, 2017. As the date of this report,
18 Respondents have failed to timely pay the \$50 annual fee for 2017 and 2018 as well as the associated
19 \$150 penalty for 2017.

20 VIOLATIONS

21 Count 1: Failure to Timely File Semiannual Campaign Statement

22 The Committee, Cox, and Lim failed to timely file a semiannual campaign statement for the
23 reporting period of October 23, 2016 through December 31, 2016, due January 31, 2017, in violation
24 of Section 84200, subdivision (a).

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EXCULPATORY OR MITIGATING INFORMATION

The late contributions that were not reported on 24-hour reports were otherwise disclosed on the Committee's timely filed pre-election campaign statements.

OTHER RELEVANT MATERIAL

Cox has been in office since 2000, and her current term expires in 2020. Previously, Cox was referred to the Enforcement Division for her failure to timely file her 2014 annual statement of economic interests. After being contacted by the Enforcement Division, Cox filed the required statement and paid a penalty in the amount of \$400, approved by the Commission on July 21, 2016, in FPPC Case No. 15/1285, *In the Matter of Terry Cox*.


CONCLUSION

Probable cause exists to believe that the Committee, Cox, and Lim violated the Act as described above. The Enforcement Division respectfully requests an order finding probable cause pursuant to Section 83115.5 and Regulation 18361.4.

Dated: January 29, 2018

Respectfully Submitted,

FAIR POLITICAL PRACTICES COMMISSION
Galena West
Enforcement Chief



By: Christopher Burton
Commission Counsel
Enforcement Division

PROOF OF SERVICE

At the time of service, I was over 18 years of age and not a party to this action. My business address is Fair Political Practices Commission, 1102 Q Street, Suite 3000, Sacramento, CA 95811. On March 15, 2018, I served the following document(s):

1. Letter dated March 15, 2018, from Christopher Burton;
2. FPPC No. 17/382 Report in Support of a Finding of Probable Cause;
3. Probable Cause Fact Sheet
4. Selected Sections of the California Government Code regarding Probable Cause Proceedings for the Fair Political Practices Commission; and
5. Selected Regulations of the Fair Political Practices Commission regarding Probable Cause Proceedings

By Personal Delivery. I personally delivered the document(s) listed above to the person(s) at the address(es) as shown on the service list below.

By United States Postal Service. I enclosed the document(s) in a sealed envelope or package addressed to the person(s) at the addresses listed below and placed the envelope or package for collection and mailing by certified mail, return receipt requested, following my company's ordinary business practices. I am readily familiar with this business' practice for collection and processing correspondence for mailing with the United States Postal Service. On the same day that correspondence is placed for collection and mailing, it is deposited in the ordinary course of business with the United States Postal Service, in a sealed envelope with postage fully prepaid.

I am a resident or employed in the county where the mailing occurred. The envelope or package was placed in the mail in Sacramento County, California.

SERVICE LIST

Certified Mail, Return Receipt Requested

Terry Cox
Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016
[REDACTED]
[REDACTED]

I declare under penalty of perjury under the laws of the State of California that the above is true and correct. Executed on March, 2018.



Dominika Wojenska

PROOF OF SERVICE

At the time of service, I was over 18 years of age and not a party to this action. My business address is Fair Political Practices Commission, 1102 Q Street, Suite 3000, Sacramento, CA 95811. On February 9, 2018, I served the following document(s):

1. Letter dated February 9, 2018, from Christopher Burton;
2. FPPC No. 17/382 Report in Support of a Finding of Probable Cause;
3. Probable Cause Fact Sheet
4. Selected Sections of the California Government Code regarding Probable Cause Proceedings for the Fair Political Practices Commission; and
5. Selected Regulations of the Fair Political Practices Commission regarding Probable Cause Proceedings

By Personal Delivery. I personally delivered the document(s) listed above to the person(s) at the address(es) as shown on the service list below.

By United States Postal Service. I enclosed the document(s) in a sealed envelope or package addressed to the person(s) at the addresses listed below and placed the envelope or package for collection and mailing by certified mail, return receipt requested, following my company's ordinary business practices. I am readily familiar with this business' practice for collection and processing correspondence for mailing with the United States Postal Service. On the same day that correspondence is placed for collection and mailing, it is deposited in the ordinary course of business with the United States Postal Service, in a sealed envelope with postage fully prepaid.

I am a resident or employed in the county where the mailing occurred. The envelope or package was placed in the mail in Sacramento County, California.

SERVICE LIST

Certified Mail, Return Receipt Requested

Julie Lim

Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016

I declare under penalty of perjury under the laws of the State of California that the above is true and correct. Executed on February 9, 2018.



Dominika Wojenska
Staff Services Analyst

EXHIBIT B

7016 0340 0000 4009 4767

U.S. Postal Service™
CERTIFIED MAIL® RECEIPT
Domestic Mail Only

For delivery information, visit our website at www.usps.com™.

OFFICIAL USE

Certified Mail Fee
 \$ _____

Extra Services & Fees (check box, add fee as appropriate)

Return Receipt (hardcopy) \$ _____

Return Receipt (electronic) \$ _____

Certified Mail Restricted Delivery \$ _____

Adult Signature Required \$ _____

Adult Signature Restricted Delivery \$ _____

Postage
 \$ _____

Total Postage and Fees
 \$ _____

Sent To
 Julie Lim

Signature
 [Redacted]

Date
 [Redacted]

PS Form 3800, April 2015 PSN 7530-02-000-9047 See Reverse for Instructions

SENDER: COMPLETE THIS SECTION

- Complete items 1, 2, and 3.
- Print your name and address on the reverse so that we can return the card to you.

COMPLETE THIS SECTION ON DELIVERY

A. Signature
 X [Signature] Agent
 Addressee

Julie Lim
 Re-Elect Terry Cox for Central Unified School District
 Trustee Area 6, 2016

[Redacted Address]

Received by (Printed Name)
 [Signature]

C. Date of Delivery
 2-23-18

Is delivery address different from item 1? Yes
 If YES, enter delivery address below: No



2. Article Number (Transfer from service label)
 7016 0340 0000 4009 4767

3. Service Type

Adult Signature

Adult Signature Restricted Delivery

Certified Mail®

Certified Mail Restricted Delivery

Collect on Delivery

Collect on Delivery Restricted Delivery

Insured Mail

Insured Mail Restricted Delivery (over \$500)

Priority Mail Express®

Registered Mail™

Registered Mail Restricted Delivery

Return Receipt for Merchandise

Signature Confirmation™

Signature Confirmation Restricted Delivery

EXHIBIT C

ATTORNEY OR PARTY WITHOUT ATTORNEY (NAME AND ADDRESS): Fair Political Practices Commission (916) 322-8246 1102 "Q" Street, Suite 3000 Sacramento, CA 9581100000		TELEPHONE NO.:	FOR COURT USE ONLY
ATTORNEY FOR (NAME): FAIR POLITICAL PRACTICES		Insert name of court, judicial district or branch court, if any, and post office and street address.	
PLAINTIFF: IN THE MATTER OF			
DEFENDANT: RE-ELECT TERRY COX, et al			
REFERENCE NUMBER: 00169950-01	PROOF OF SERVICE		CASE NUMBER 17/382

I am and was on the dates herein mentioned over the age of eighteen years and not a party to this action;

I served the:

LETTER, REPORT IN SUPPORT OF A FINDING OF PROBABLE CAUSE, PROBABLE CAUSE FACT SHEET, REGULATIONS OF THE FAIR POLITICAL PRACTICES COMMISSION TITLE 2, DIVISION 6 OF THE CALIFORNIA CODE OF REGULATIONS, as follows:

Name: TERRY COX

Date Of Service: 04/12/18

Time Of Service: 05:55 pm

Place Of Service: [REDACTED]

(Home)

Manner Of Service:

Personal Service - By personally delivering copies to the person on whom the service is required.

Fee for Service: \$ 44.50
FEE RECOVERABLE PURSUANT TO CCP 1033.5 (B)

Registered: Fresno County,
Number: S200213 EXP: 12/29/2019

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct and that this declaration was executed on: 04/12/18 at: Fresno California.



**ACTION
LEGAL SUPPORT SERVICE**
5528 N. Palm - Suite 123
Fresno, CA 93704
(559) 432-3337 FAX (559) 432-1140

Signature: Robert Reyes
Name: Robert Reyes

Exhibit A-6

1 the Political Reform Act as alleged by the Enforcement Division in the PC Report served on the
2 respondent.

3 Probable cause to believe a violation has occurred can be found to exist when “the evidence is
4 sufficient to lead a person of ordinary caution and prudence to believe or entertain a strong suspicion that
5 the proposed respondent(s) committed or caused a violation.”²

6 The PC Report served on the Committee, Cox, and Lim and the subsequent Ex Parte Request in
7 this matter allege violations of the Political Reform Act were committed, as follows:

8 Count 1: The Committee, Cox, and Lim failed to timely file a semiannual campaign statement for the
9 reporting period of October 23, 2016 through December 31, 2016, due January 31, 2017, in
violation of Section 84200, subdivision (a).

10 Count 2: The Committee, Cox, and Lim failed to timely file a semiannual campaign statement for the
11 reporting period of January 1, 2017 through June 30, 2017, due July 31, 2017, in violation of
Section 84200, subdivision (a).

12 Count 3: The Committee, Cox, and Lim failed to timely file a semiannual campaign statement for the
13 reporting period of July 1, 2017 through December 31, 2017, due January 31, 2018, in violation
of Section 84200, subdivision (a).

14 Count 4: The Committee, Cox, and Lim failed to timely file a 24-hour contribution report for a \$1,000
15 late contribution received on August 10, 2016, in violation of Section 84203.

16 Count 5: The Committee, Cox, and Lim failed to timely file a 24-hour contribution report for a \$1,000
17 late contribution received on September 26, 2016, in violation of Section 84203.

18 Count 6: The Committee, Cox, and Lim failed to timely file a 24-hour contribution report for a \$2,000
19 late contribution received on October 8, 2016, in violation of Section 84203.

20 Count 7: The Committee, Cox, and Lim failed to timely file a 24-hour contribution report for a \$1,000
21 late contribution received on October 18, 2016, in violation of Section 84203.

22 Count 8: The Committee, Cox, and Lim failed to timely pay the requisite 2017 annual fee by the January
23 15, 2017 due date, and the resulting penalty, in violation of Section 84101.5, subdivisions (c)
and (d).

24 Count 9: The Committee, Cox, and Lim failed to timely pay the requisite 2018 annual fee by the January
25 15, 2018 due date, in violation of Section 84101.5, subdivision (c).

26 Based on the Ex Parte Request given to me, I find that notice has been given to the Committee,
27 Cox, and Lim.³ I further find, based on the PC Report and the Ex Parte Request, that there is probable
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² Cal. Code Reg., tit. 2, § 18361.4, subd. (e).

³ Government Code § 83115.5; Cal. Code Reg., tit. 2, § 18361.4, subd. (b).

1 cause to believe the Committee, Cox, and Lim violated the Political Reform Act as alleged in Counts 1-
2 9, as identified above.

3 I therefore direct that the Enforcement Division issue an accusation against the Committee, Cox,
4 and Lim in accordance with this finding.

5 IT IS SO ORDERED.

7
8 Dated: 5/15/18



9 Sukhi Brar, Hearing Officer
Fair Political Practices Commission

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FPPC No. 17/382, In the matter of Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016, Terry Cox, and Julie Lim

PROOF OF SERVICE

At the time of service, I was over 18 years of age and not a party to this action. My business address is Fair Political Practices Commission, 1102 Q Street, Suite 3000, Sacramento, CA 95811. On the date below, I served the following document:

FINDING OF PROBABLE CAUSE AND ORDER TO PREPARE AND SERVE AN ACCUSATION

MANNER OF SERVICE

(U.S. Mail) By causing a true copy thereof to be served on the parties in this action through the U.S. Mail and addressed as listed below. I am familiar with the procedure of the Fair Political Practices Commission for collection and processing of correspondence for mailing with the United States Postal Service, and the fact that the correspondence would be deposited with the United States Postal Service that same day in the ordinary course of business.

SERVICE LIST

Terry Cox
Re-Elect Terry Cox for Central Unified School
District Trustee Area 6, 2016
[REDACTED]
[REDACTED]

Julie Lim
Re-Elect Terry Cox for Central Unified
School District Trustee Area 6, 2016
[REDACTED]
[REDACTED]

(By Personal Service) On Tuesday May 15, 2018, at approximately 3:15 p.m., I personally served:

Christopher Burton, Commission Counsel, at 1102 Q Street, Suite 3000, Sacramento, CA 95811.

I declare under penalty of perjury under the laws of the State of California that the above is true and correct and that this document is executed at Sacramento, California, on May 15, 2018.



Sasha Linker

Exhibit A-7

1 GALENA WEST
Chief of Enforcement
2 CHRISTOPHER BURTON
Commission Counsel
3 **FAIR POLITICAL PRACTICES COMMISSION**
1102 Q St, Suite 3000
4 Sacramento, CA 95811
Telephone: (916) 322-5660

5 Attorneys for Complainant
6
7

8 BEFORE THE FAIR POLITICAL PRACTICES COMMISSION
9 STATE OF CALIFORNIA

10 In the Matter of) FPPC No. 17/382
11)
12)
13 RE-ELECT TERRY COX FOR) **ACCUSATION**
CENTRAL UNIFIED SCHOOL)
14 DISTRICT TRUSTEE AREA 6, 2016,)
TERRY COX, and JULIE LIM,)
15) (Gov. Code § 11503)
Respondents.)
16)

17 Complainant, the Enforcement Division of the Fair Political Practices Commission, after a
18 finding of probable cause pursuant to Government Code Section 83115.5, alleges the following:

19 **JURISDICTION**

- 20 1. Complainant is the Enforcement Division of the Fair Political Practices Commission
21 (the "Commission") and makes this Accusation in its official capacity and in the public interest.
22 2. The authority to bring this action is derived from Title 2, California Code of
23 Regulations, Sections 18361 and 18361.4, subdivision (e), and the statutory law of the State of
24 California, specifically including, but not limited to, Government Code Sections 83111, 83116, and
25 91000.5, which assign to the Enforcement Division the duty to administer, implement, and enforce the
26 provisions of the Political Reform Act, found at Government Code Sections 81000 through 91014.
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1 received contributions totaling \$2,000 or more in a calendar year,⁶ commonly known as a “recipient
2 committee.”

3 **B. Duty to File Campaign Statements**

4 11. The Act requires candidate-controlled committees to file campaign statements at specific
5 times disclosing information regarding campaign contributions received and expenditures made by the
6 campaign committees.⁷

7 12. Candidate-controlled committees must file semiannual campaign statements each year
8 no later than July 31 for the reporting period ending on June 30, and no later than January 31 of the
9 following year for the reporting period ending December 31.⁸ When a filing deadline falls on a
10 Saturday, Sunday, or official state holiday, the filing deadline is extended to the next business day.⁹

11 **C. Duty to File 24-Hour Contribution Reports**

12 13. Each candidate or committee that makes or receives a late contribution must file a report
13 within 24 hours of making or receiving the contribution.¹⁰ A “late contribution” includes a contribution
14 aggregating \$1,000 or more that is made or received by a candidate or his or her controlled committee
15 during the 90-day period preceding an election or on the date of the election.¹¹

16 **D. Annual Fee**

17 14. Each committee required to file a statement of organization must pay the Secretary of
18 State (the “SOS”) an annual fee of \$50 by January 15 each year until the termination of the
19 committee.¹² A committee that fails to timely pay the annual fee is subject to a penalty equal to three
20 times the amount of the fee.¹³ The Commission is required to enforce this provision of the Act.¹⁴

21 **E. Factors to be Considered by the Fair Political Practices Commission**

22 15. In framing a proposed order following a finding of a violation pursuant to Section 83116,
23 the Commission and the administrative law judge shall consider all the surrounding circumstances

24 ⁶ Section 82013, subd. (a).

25 ⁷ Sections 84200 through 84225.

26 ⁸ Section 84200, subd. (a).

27 ⁹ Regulation 18116.

28 ¹⁰ Section 84203.

¹¹ Section 82036.

¹² Section 84101.5, subds. (a) and (c).

¹³ Section 84101.5, subd. (d)(1).

¹⁴ Section 84101.5, subd. (d)(2).

1 including but not limited to: (1) The seriousness of the violation; (2) The presence or absence of any
2 intention to conceal, deceive or mislead; (3) Whether the violation was deliberate, negligent or
3 inadvertent; (4) Whether the violator demonstrated good faith by consulting the Commission staff or any
4 other government agency in a manner not constituting a complete defense under Section 83114(b); (5)
5 Whether the violation was isolated or part of a pattern and whether the violator has a prior record of
6 violations of the Act or similar laws; and (6) Whether the violator, upon learning of a reporting
7 violation, voluntarily filed amendments to provide full disclosure.¹⁵

8 GENERAL FACTS

9 16. Cox was re-elected to the Central Unified School District Board of Trustees in the
10 November 8, 2016 General Election, receiving 52.75 percent of the vote. Her current term expires in
11 2020.

12 17. The Committee qualified as a recipient committee on or about August 10, 2016, when its
13 contributions exceeded \$2,000.

14 18. Through October 22, 2016, the Committee had reported a total of \$14,590 in
15 contributions received and \$7,508.88 in expenditures made.

16 24-Hour Contribution Reports

17 19. On September 29, 2016, the Committee filed a pre-election campaign statement for the
18 reporting period of July 1, 2016 through September 24, 2016, and reported receiving a contribution of
19 \$1,000 from West Coast Waste on August 10, 2016.

20 20. On October 27, 2016, the Committee filed the pre-election campaign statement for the
21 reporting period of September 25, 2016 through October 22, 2016, and reported receiving the following
22 contributions of \$1,000 or more.

Date Received	Name of Contributor	Amount Received
9/26/2016	Soria for City Council 2014	\$1,000
10/8/2016	NWPC of Fresno	\$2,000
10/18/2016	Granville Homes Inc.	\$1,000

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¹⁵ Regulation 18361.5, subd. (d).

1 21. The 90-day period preceding the November 8, 2016 General Election started on August
2 10, 2016.

3 22. The Committee was required to timely file four 24-hour contribution reports for the
4 above-referenced contributions of \$1,000 or more received during the 90-day election cycle, but failed
5 to do so.

6 Semiannual Campaign Statements

7 23. On the pre-election campaign statement for the reporting period ending on October 22,
8 2016, the Committee reported an ending cash balance of \$6,781.62.

9 24. Since the Committee has remained open after the election, it was required to timely file
10 semiannual campaign statements for the reporting periods of October 23, 2016 through December 31,
11 2016; January 1, 2017 through June 30, 2017; and July 1, 2017 through December 31, 2017; however,
12 the Committee failed to do so.

13 Annual Fee

14 25. The Committee has not been terminated with the SOS. As such, it was required to pay
15 the \$50 annual fee for 2017 and 2018 to the SOS by the respective January 15, 2017 and January 15,
16 2018 deadlines, as well as the associated penalty for 2017; however, the Committee failed to do so.

17 **PROCEDURAL HISTORY**

18 26. On February 13, 2017, the Fresno County Clerk (the "Clerk") notified the Committee
19 and Lim in writing that the Committee had failed to file its semiannual campaign statement for the
20 reporting period ending on December 31, 2016.

21 27. On August 8, 2017, and August 21, 2017, the Clerk notified the Committee and Lim in
22 writing that the Committee had failed to file its semiannual campaign statement for the reporting period
23 ending on June 30, 2017.

24 28. After the Committee failed to file the required campaign statements, the Clerk referred
25 both matters to the Enforcement Division on May 8, 2017, and August 30, 2017.

26 29. The Enforcement Division contacted the Committee, Cox, and Lim by U.S. Mail on
27 May 11, 2017, and requested that the Committee file its outstanding campaign statement for the
28 reporting period ending on December 31, 2016, within 30 days.

1 30. The Enforcement Division further contacted the Committee, Cox, and Lim by email and
2 phone on May 11, 2017; June 12, 2017; June 26, 2017; August 1, 2017; December 12, 2017; December
3 26, 2017; and January 4, 2018, regarding the required campaign statements for the reporting periods
4 ending on December 31, 2016, and June 30, 2017.

5 31. The SOS sent the Committee three notices on November 18, 2016; June 5, 2017; and
6 December 1, 2017, regarding the required annual fee payments.

7 32. The Enforcement Division initiated an administrative action against the Committee,
8 Cox, and Lim in this matter by serving them with a packet containing a cover letter, a Report in Support
9 of a Finding of Probable Cause (“PC Report”), a fact sheet regarding probable cause proceedings,
10 selected sections of the Government Code regarding probable cause proceedings for the Commission,
11 and selected regulations of the Commission regarding probable cause proceedings.

12 33. The Committee and Lim were served with the PC Report on February 23, 2018. Cox
13 was served with the PC Report on April 12, 2018. The information contained in the PC Report packet
14 advised the Committee, Cox, and Lim that they had 21 days in which to request a probable cause
15 conference, file a written response to the PC Report, or both. As of the date of this Accusation, neither
16 the Committee, Cox, or Lim have responded to the PC Report.

17 34. By means of an Ex Parte Request for a Finding of Probable Cause and an Order that an
18 Accusation be Prepared and Served (the “Ex Parte Request”), dated May 11, 2018, the Enforcement
19 Division submitted the matter to the Hearing Officer for a determination of probable cause.

20 35. On or about May 15, 2018, the Hearing Officer issued an order finding, based on the Ex
21 Parte Request and the PC Report, that there was probable cause to believe the Committee, Cox, and Lim
22 violated the Act and directed the Enforcement Division to issue an accusation against the Committee,
23 Cox, and Lim in accordance with the finding.

24 36. As of the date of this Accusation, the Committee has failed to file the outstanding
25 campaign statements and reports, and has failed to pay the requisite annual fees to the SOS.

26 **VIOLATIONS**

27 37. The Committee, Cox, and Lim committed nine violations of the Act as follows:

28 ///

1 **Count 1**

2 **Failure to Timely File Semiannual Campaign Statement**

3 38. Complainant incorporates paragraphs 1 through 37 of this Accusation, as though
4 completely set forth here.

5 39. The Committee, Cox, and Lim had a duty to file a semiannual campaign statement for the
6 period of October 23, 2016 through December 31, 2016, by the deadline of January 31, 2017.

7 40. The Committee, Cox, and Lim failed to file the semiannual campaign statement for the
8 period of October 23, 2016 through December 31, 2016, by the deadline.

9 41. By failing to file the semiannual campaign statement by January 31, 2017, the
10 Committee, Cox, and Lim violated Section 84200, subdivision (a).

11 **Count 2**

12 **Failure to Timely File Semiannual Campaign Statement**

13 42. Complainant incorporates paragraphs 1 through 41 of this Accusation, as though
14 completely set forth here.

15 43. The Committee, Cox, and Lim had a duty to file a semiannual campaign statement for the
16 period of January 1, 2017 through June 30, 2017, by the deadline of July 31, 2017.

17 44. The Committee, Cox, and Lim failed to file the semiannual campaign statement for the
18 period of January 1, 2017 through June 30, 2017, by the deadline.

19 45. By failing to file the semiannual campaign statement by July 31, 2017, the Committee,
20 Cox, and Lim violated Section 84200, subdivision (a).

21 **Count 3**

22 **Failure to Timely File Semiannual Campaign Statement**

23 46. Complainant incorporates paragraphs 1 through 45 of this Accusation, as though
24 completely set forth here.

25 47. The Committee, Cox, and Lim had a duty to file a semiannual campaign statement for the
26 period of July 1, 2017 through December 31, 2017, by the deadline of January 31, 2018.

27 48. The Committee, Cox, and Lim failed to file the semiannual campaign statement for the
28 period of July 1, 2017 through December 31, 2017, by the deadline.

1 59. The Committee, Cox, and Lim had a duty to file a 24-hour contribution report for a
2 \$2,000 late contribution received on October 8, 2016, by October 10, 2016.¹⁶

3 60. The Committee, Cox, and Lim failed to file the 24-hour contribution report for a \$2,000
4 late contribution received on October 8, 2016, by the deadline.

5 61. By failing to file the 24-hour contribution report by October 10, 2016, the Committee,
6 Cox, and Lim violated Section 84203.

7 **Count 7**

8 **Failure to Timely File 24-Hour Contribution Report**

9 62. Complainant incorporates paragraphs 1 through 61 of this Accusation, as though
10 completely set forth here.

11 63. The Committee, Cox, and Lim had a duty to file a 24-hour contribution report for a
12 \$1,000 late contribution received on October 18, 2016, by October 19, 2016.

13 64. The Committee, Cox, and Lim failed to file the 24-hour contribution report for a \$1,000
14 late contribution received on October 18, 2016, by the deadline.

15 65. By failing to file the 24-hour contribution report by October 19, 2016, the Committee,
16 Cox, and Lim violated Section 84203.

17 **Count 8**

18 **Failure to Timely Pay 2017 Annual Fee Owed to Secretary of State**

19 66. Complainant incorporates paragraphs 1 through 65 of this Accusation, as though
20 completely set forth here.

21 67. The Committee, Cox, and Lim had a duty to pay the requisite 2017 annual fee to the SOS
22 by the January 15, 2017 due date, and resulting penalty.

23 68. The Committee, Cox, and Lim failed to pay the requisite 2017 annual fee by the deadline,
24 and resulting penalty.

25 69. By failing to pay the requisite 2017 annual fee by the January 15, 2017 due date, and
26 resulting penalty, the Committee, Cox, and Lim violated Section 84101.5, subdivisions (c) and (d).

27
28 ¹⁶ Given that October 9, 2016 fell on a Sunday, the necessary 24-hour report was not due until the next regular business day, October 10, 2016, per Regulation 18116.

1 **Count 9**

2 **Failure to Timely Pay 2018 Annual Fee Owed to Secretary of State**

3 70. Complainant incorporates paragraphs 1 through 69 of this Accusation, as though
4 completely set forth here.

5 71. The Committee, Cox, and Lim had a duty to pay the requisite 2018 annual fee by the
6 January 15, 2018 due date.

7 72. The Committee, Cox, and Lim failed to pay the requisite 2018 annual fee by the deadline.

8 73. By failing to pay the requisite 2018 annual fee by the January 15, 2018 due date, the
9 Committee, Cox, and Lim violated Section 84101.5, subdivision (c).

10 **MITIGATING OR EXCULPATORY FACTORS**

11 74. The late contributions that were not timely reported on 24-hour contribution reports
12 were otherwise disclosed on the Committee's timely filed pre-election campaign statements.

13 **AGGRAVATING FACTORS AND OTHER RELEVANT MATERIALS**

14 75. Cox has been in office since 2000, and her current term expires in 2020.

15 76. Cox was previously referred to the FPPC's Enforcement Division for her failure to
16 timely file her 2014 annual statement of economic interests. After being contacted by the Enforcement
17 Division, Cox filed the required statement and paid a penalty in the amount of \$400, approved by the
18 Commission on July 21, 2016, in FPPC Case No. 15/1285, *In the Matter of Terry Cox*.

19 **PRAYER**

20 WHEREFORE, Complainant prays as follows:

- 21 1. That the Fair Political Practices Commission hold a hearing pursuant to Section 83116 and
22 Regulation 18361.5, and at such hearing find that the Committee, Cox, and Lim violated
23 the Act as alleged herein;
- 24 2. That the Fair Political Practices Commission, pursuant to Section 83116, subdivision (c),
25 order the Committee, Cox, and Lim to pay a monetary penalty of up to \$5,000 for the
26 violation of the Political Reform Act alleged in **Count 1**;
- 27
28

- 1 3. That the Fair Political Practices Commission, pursuant to Section 83116, subdivision (c),
2 order the Committee, Cox, and Lim to pay a monetary penalty of up to \$5,000 for the
3 violation of the Political Reform Act alleged in **Count 2**;
- 4 4. That the Fair Political Practices Commission, pursuant to Section 83116, subdivision (c),
5 order the Committee, Cox, and Lim to pay a monetary penalty of up to \$5,000 for the
6 violation of the Political Reform Act alleged in **Count 3**;
- 7 5. That the Fair Political Practices Commission, pursuant to Section 83116, subdivision (c),
8 order the Committee, Cox, and Lim to pay a monetary penalty of up to \$5,000 for the
9 violation of the Political Reform Act alleged in **Count 4**;
- 10 6. That the Fair Political Practices Commission, pursuant to Section 83116, subdivision (c),
11 order the Committee, Cox, and Lim to pay a monetary penalty of up to \$5,000 for the
12 violation of the Political Reform Act alleged in **Count 5**;
- 13 7. That the Fair Political Practices Commission, pursuant to Section 83116, subdivision (c),
14 order the Committee, Cox, and Lim to pay a monetary penalty of up to \$5,000 for the
15 violation of the Political Reform Act alleged in **Count 6**;
- 16 8. That the Fair Political Practices Commission, pursuant to Section 83116, subdivision (c),
17 order the Committee, Cox, and Lim to pay a monetary penalty of up to \$5,000 for the
18 violation of the Political Reform Act alleged in **Count 7**;
- 19 9. That the Fair Political Practices Commission, pursuant to Section 83116, subdivision (c),
20 order the Committee, Cox, and Lim to pay a monetary penalty of up to \$5,000 for the
21 violation of the Political Reform Act alleged in **Count 8**;
- 22 10. That the Fair Political Practices Commission, pursuant to Section 83116, subdivision (c),
23 order the Committee, Cox, and Lim to pay a monetary penalty of up to \$5,000 for the
24 violation of the Political Reform Act alleged in **Count 9**;
- 25 11. That the Fair Political Practices Commission, pursuant to Regulation 18361.5, subdivision
26 (d), consider the following factors in framing a proposed order following a finding of a
27 violation pursuant to Section 83116: (1) the seriousness of the violation; (2) the presence
28 or absence of any intention to conceal, deceive or mislead; (3) whether the violation was

1 deliberate, negligent or inadvertent; (4) whether the violator demonstrated good faith by
2 consulting the Commission staff or any other government agency in a manner not
3 constituting a complete defense under Section 83114, subdivision (b); (5) whether the
4 violation was isolated or part of a pattern and whether the violator has a prior record of
5 violations of the Act or similar laws; and (6) whether the violator, upon learning of a
6 reporting violation, voluntarily filed amendments to provide full disclosure.

- 7 12. That the Fair Political Practices Commission grant such other and further relief as it
8 deems just and proper.

9
10 Dated:

24 July 18



Galeha West
Chief of Enforcement
Fair Political Practices Commission

Exhibit A-8

ATTORNEY OR PARTY WITHOUT ATTORNEY (NAME AND ADDRESS): Fair Political Practices Commission (916) 322-8246 1102 "Q" Street, Suite 3000 Sacramento, CA 9581100000		TELEPHONE NO.:	FOR COURT USE ONLY
ATTORNEY FOR (NAME): Insert name of court, judicial district or branch court, if any, and post office and street address.			
PLAINTIFF: IN THE MATTER OF			
DEFENDANT: RE-ELECT TERRY COX, et al			
REFERENCE NUMBER: 00170523-02	PROOF OF SERVICE		CASE NUMBER: 17/382

I am and was on the dates herein mentioned over the age of eighteen years and not a party to this action;

I served the:

STATEMENT TO RESPONDENT, ACCUSATION FPPC NO. 17/382

as follows:

Name: JULIE LIM

Date Of Service: 07/28/18

Time Of Service: 03:43 pm

Place Of Service: [REDACTED]

(Home)

Manner Of Service:

Personal Service - By personally delivering copies to the person on whom the service is required.

Fee for Service: \$ 47.75
FEE RECOVERABLE PURSUANT TO CCP 1033.5 (B)

Registered: Fresno County,
Number: S200213 EXP: 12/29/2019



ACTION
LEGAL SUPPORT SERVICE
5528 N. Palm - Suite 123
Fresno, CA 93704
(559) 432-3337 FAX (559) 432-1140

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct and that this declaration was executed

on: 07/28/18
at: Fresno, California.

Signature: _____

Name:

Robert Reyes

ATTORNEY OR PARTY WITHOUT ATTORNEY (NAME AND ADDRESS): Fair Political Practices Commission (916) 322-8246 1102 "Q" Street, Suite 3000 Sacramento, CA 9581100000		TELEPHONE NO.:	FOR COURT USE ONLY
ATTORNEY FOR (NAME): Insert name of court, judicial district or branch court, if any, and post office and street address.			
PLAINTIFF: IN THE MATTER OF			
DEFENDANT: RE-ELECT TERRY COX, et al			
REFERENCE NUMBER: 00170523-01	PROOF OF SERVICE		CASE NUMBER: 17/382

I am and was on the dates herein mentioned over the age of eighteen years and not a party to this action;

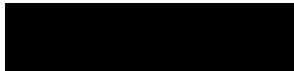
I served the:
STATEMENT TO RESPONDENT, ACCUSATION FPPC NO 17/382
as follows:

Name: TERRY COX

Date Of Service: 07/29/18

Time Of Service: 05:20 pm

Place Of Service:



(Home)

Manner Of Service:

Personal Service - By personally delivering copies to the person on whom the service is required.

Fee for Service: \$ 47.75
FEE RECOVERABLE PURSUANT TO CCP 1033.5 (B)

Registered: Fresno County,
Number: S200213 EXP: 12/29/2019



ACTION
LEGAL SUPPORT SERVICE
5528 N. Palm - Suite 123
Fresno, CA 93704
(559) 432-3337 FAX (559) 432-1140

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct and that this declaration was executed
on: 07/29/18
at: Fresno, California.

Signature:
Name: Robert Reyes

Exhibit A-9



FAIR POLITICAL PRACTICES COMMISSION

1102 Q Street • Suite 3000 • Sacramento, CA 95811

STATEMENT TO RESPONDENT

[Government Code Section 11505, subdivision (b)]

***Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016, Terry Cox, and
Julie Lim
FPPC Case No. 17/382***

Enclosed is an Accusation, which was filed with the Fair Political Practices Commission (the "FPPC") and which is hereby served upon you, along with two copies of a Notice of Defense and Government Code Sections 11506 through 11508.

Unless a written request for a hearing signed by you or on your behalf is delivered or mailed to the FPPC within 15 days after the Accusation was served on you, the FPPC may proceed upon the Accusation without a hearing. The request for a hearing may be made by delivering or mailing the enclosed form entitled Notice of Defense, or by delivering or mailing a notice of defense as provided by Section 11506 of the Government Code to the Commission Assistant at the FPPC.

You may, but need not, be represented by counsel at any or all stages of these proceedings.

If you desire a list of the names and addresses of witnesses against you, or an opportunity to inspect and copy the items mentioned in Section 11507.6 of the Government Code that are in the possession, custody, or control of this agency, or if you wish to discuss the possibility of resolving this matter without a formal hearing, you may contact Christopher Burton, Commission Counsel, Enforcement Division, at (916) 322-5021 or at cburton@fppc.ca.gov.

The hearing may be postponed for good cause. If you have good cause, you are obliged to notify the FPPC or, if an administrative law judge has been assigned to the hearing, the Office of Administrative Hearings, within 10 working days after you discover the good cause. Failure to give notice within 10 days will deprive you of a postponement.

After a hearing, the FPPC will consider the following factors in determining whether to assess a penalty (Title 2, California Code of Regulations, Section 18361.5, subdivision (d).):

1. The seriousness of the violation;
2. The presence or absence of any intention to conceal, deceive, or mislead;
3. Whether the violation was deliberate, negligent, or inadvertent;
4. Whether the violator demonstrated good faith by consulting Commission staff or any other government agency in a manner not constituting a complete defense under Government Code Section 83114, subdivision (b);
5. Whether the violation was isolated or part of a pattern;
6. Whether the violator has a prior record of violations of the Political Reform Act or similar laws; and
7. Whether the violator, upon learning of a reporting violation, voluntarily filed amendments to provide full disclosure.



Before the Fair Political Practices Commission

State of California

In the Matter of)	NOTICE OF DEFENSE
)	(Pursuant to Gov. Code § 11506)
)	
Re-Elect Terry Cox for Central Unified)	FPPC Case No. 17/382
School District Trustee Area 6, 2016,)	
Terry Cox, and Julie Lim,)	
)	
)	
Respondents.)	
)	

Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016, a respondent named in the above entitled proceeding, hereby acknowledges receipt of the Accusation, a copy of the Statement to Respondent, a copy of Government Code Sections 11506 through 11508, and two copies of a *NOTICE OF DEFENSE*.

Pursuant to Government Code Section 11506, subdivision (a), you may file this *NOTICE OF DEFENSE* requesting a hearing on the grounds listed below. Failure to file this *NOTICE OF DEFENSE* shall constitute a waiver of your right to a hearing. If you waive your right to a hearing, you may file a statement of mitigation by separate letter that will be considered by the Commission in assessing any penalties for the violations alleged in the Accusation.

If you wish to file a *NOTICE OF DEFENSE*, please check **all** applicable grounds for the *NOTICE OF DEFENSE*, complete the remainder of the form, and mail to the Commission within fifteen (15) days of receipt of the Accusation.

GROUND FOR NOTICE OF DEFENSE

- 1) I request a hearing;
- 2) I object to the Accusation upon the ground that it does not state acts or omissions upon which the agency may proceed;
- 3) I object to the form of the Accusation on the ground that it is so indefinite or uncertain that I cannot identify the transaction that is the subject of the Accusation or prepare my defense;
- 4) I admit the Accusation in whole or in part (check box "a" or "b");
 - a) I admit the Accusation in whole.
 - b) I admit the Accusation in part as indicated below:

- 5) I wish to present new matter by way of defense;
- 6) I object to the accusation upon the ground that, under the circumstances, compliance with the requirements of a regulation of the Fair Political Practices Commission would result in a material violation of another regulation enacted by another department affecting substantive rights.

Dated: _____

Respondent

Print Name

Mailing Address

City, State, Zip



Before the Fair Political Practices Commission

State of California

In the Matter of)	NOTICE OF DEFENSE
)	(Pursuant to Gov. Code § 11506)
)	
Re-Elect Terry Cox for Central Unified)	FPPC Case No. 17/382
School District Trustee Area 6, 2016,)	
Terry Cox, and Julie Lim,)	
)	
)	
Respondents.)	
)	

Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016, a respondent named in the above entitled proceeding, hereby acknowledges receipt of the Accusation, a copy of the Statement to Respondent, a copy of Government Code Sections 11506 through 11508, and two copies of a *NOTICE OF DEFENSE*.

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If you wish to file a *NOTICE OF DEFENSE*, please check **all** applicable grounds for the *NOTICE OF DEFENSE*, complete the remainder of the form, and mail to the Commission within fifteen (15) days of receipt of the Accusation.

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- 3) I object to the form of the Accusation on the ground that it is so indefinite or uncertain that I cannot identify the transaction that is the subject of the Accusation or prepare my defense;
- 4) I admit the Accusation in whole or in part (check box "a" or "b");
 - a) I admit the Accusation in whole.
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- 5) I wish to present new matter by way of defense;
- 6) I object to the accusation upon the ground that, under the circumstances, compliance with the requirements of a regulation of the Fair Political Practices Commission would result in a material violation of another regulation enacted by another department affecting substantive rights.

Dated: _____

Respondent

Print Name

Mailing Address

City, State, Zip



Before the Fair Political Practices Commission

State of California

In the Matter of)	NOTICE OF DEFENSE
)	(Pursuant to Gov. Code § 11506)
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Re-Elect Terry Cox for Central Unified)	FPPC Case No. 17/382
School District Trustee Area 6, 2016,)	
Terry Cox, and Julie Lim,)	
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Respondents.)	
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Terry Cox, a respondent named in the above entitled proceeding, hereby acknowledges receipt of the Accusation, a copy of the Statement to Respondent, a copy of Government Code Sections 11506 through 11508, and two copies of a *NOTICE OF DEFENSE*.

Pursuant to Government Code Section 11506, subdivision (a), you may file this *NOTICE OF DEFENSE* requesting a hearing on the grounds listed below. Failure to file this *NOTICE OF DEFENSE* shall constitute a waiver of your right to a hearing. If you waive your right to a hearing, you may file a statement of mitigation by separate letter that will be considered by the Commission in assessing any penalties for the violations alleged in the Accusation.

If you wish to file a *NOTICE OF DEFENSE*, please check **all** applicable grounds for the *NOTICE OF DEFENSE*, complete the remainder of the form, and mail to the Commission within fifteen (15) days of receipt of the Accusation.

GROUND FOR NOTICE OF DEFENSE

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- 2) I object to the Accusation upon the ground that it does not state acts or omissions upon which the agency may proceed;
- 3) I object to the form of the Accusation on the ground that it is so indefinite or uncertain that I cannot identify the transaction that is the subject of the Accusation or prepare my defense;
- 4) I admit the Accusation in whole or in part (check box "a" or "b");
 - a) I admit the Accusation in whole.
 - b) I admit the Accusation in part as indicated below:

- 5) I wish to present new matter by way of defense;
- 6) I object to the accusation upon the ground that, under the circumstances, compliance with the requirements of a regulation of the Fair Political Practices Commission would result in a material violation of another regulation enacted by another department affecting substantive rights.

Dated: _____

Respondent

Print Name

Mailing Address

City, State, Zip



Before the Fair Political Practices Commission

State of California

In the Matter of)	NOTICE OF DEFENSE
)	(Pursuant to Gov. Code § 11506)
)	
Re-Elect Terry Cox for Central Unified)	FPPC Case No. 17/382
School District Trustee Area 6, 2016,)	
Terry Cox, and Julie Lim,)	
)	
)	
Respondents.)	
)	

Terry Cox, a respondent named in the above entitled proceeding, hereby acknowledges receipt of the Accusation, a copy of the Statement to Respondent, a copy of Government Code Sections 11506 through 11508, and two copies of a *NOTICE OF DEFENSE*.

Pursuant to Government Code Section 11506, subdivision (a), you may file this *NOTICE OF DEFENSE* requesting a hearing on the grounds listed below. Failure to file this *NOTICE OF DEFENSE* shall constitute a waiver of your right to a hearing. If you waive your right to a hearing, you may file a statement of mitigation by separate letter that will be considered by the Commission in assessing any penalties for the violations alleged in the Accusation.

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GROUND FOR NOTICE OF DEFENSE

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- 2) I object to the Accusation upon the ground that it does not state acts or omissions upon which the agency may proceed;
- 3) I object to the form of the Accusation on the ground that it is so indefinite or uncertain that I cannot identify the transaction that is the subject of the Accusation or prepare my defense;
- 4) I admit the Accusation in whole or in part (check box "a" or "b");
 - a) I admit the Accusation in whole.
 - b) I admit the Accusation in part as indicated below:

- 5) I wish to present new matter by way of defense;
- 6) I object to the accusation upon the ground that, under the circumstances, compliance with the requirements of a regulation of the Fair Political Practices Commission would result in a material violation of another regulation enacted by another department affecting substantive rights.

Dated: _____

Respondent

Print Name

Mailing Address

City, State, Zip



Before the Fair Political Practices Commission

State of California

In the Matter of)	NOTICE OF DEFENSE
)	(Pursuant to Gov. Code § 11506)
)	
Re-Elect Terry Cox for Central Unified)	FPPC Case No. 17/382
School District Trustee Area 6, 2016,)	
Terry Cox, and Julie Lim,)	
)	
)	
Respondents.)	
)	

Julie Lim, a respondent named in the above entitled proceeding, hereby acknowledges receipt of the Accusation, a copy of the Statement to Respondent, a copy of Government Code Sections 11506 through 11508, and two copies of a *NOTICE OF DEFENSE*.

Pursuant to Government Code Section 11506, subdivision (a), you may file this *NOTICE OF DEFENSE* requesting a hearing on the grounds listed below. Failure to file this *NOTICE OF DEFENSE* shall constitute a waiver of your right to a hearing. If you waive your right to a hearing, you may file a statement of mitigation by separate letter that will be considered by the Commission in assessing any penalties for the violations alleged in the Accusation.

If you wish to file a *NOTICE OF DEFENSE*, please check **all** applicable grounds for the *NOTICE OF DEFENSE*, complete the remainder of the form, and mail to the Commission within fifteen (15) days of receipt of the Accusation.

GROUND FOR NOTICE OF DEFENSE

- 1) I request a hearing;
- 2) I object to the Accusation upon the ground that it does not state acts or omissions upon which the agency may proceed;
- 3) I object to the form of the Accusation on the ground that it is so indefinite or uncertain that I cannot identify the transaction that is the subject of the Accusation or prepare my defense;
- 4) I admit the Accusation in whole or in part (check box "a" or "b");
 - a) I admit the Accusation in whole.
 - b) I admit the Accusation in part as indicated below:

- 5) I wish to present new matter by way of defense;
- 6) I object to the accusation upon the ground that, under the circumstances, compliance with the requirements of a regulation of the Fair Political Practices Commission would result in a material violation of another regulation enacted by another department affecting substantive rights.

Dated: _____

Respondent

Print Name

Mailing Address

City, State, Zip



Before the Fair Political Practices Commission

State of California

In the Matter of)	NOTICE OF DEFENSE
)	(Pursuant to Gov. Code § 11506)
)	
Re-Elect Terry Cox for Central Unified)	FPPC Case No. 17/382
School District Trustee Area 6, 2016,)	
Terry Cox, and Julie Lim,)	
)	
)	
Respondents.)	
)	

Julie Lim, a respondent named in the above entitled proceeding, hereby acknowledges receipt of the Accusation, a copy of the Statement to Respondent, a copy of Government Code Sections 11506 through 11508, and two copies of a *NOTICE OF DEFENSE*.

Pursuant to Government Code Section 11506, subdivision (a), you may file this *NOTICE OF DEFENSE* requesting a hearing on the grounds listed below. Failure to file this *NOTICE OF DEFENSE* shall constitute a waiver of your right to a hearing. If you waive your right to a hearing, you may file a statement of mitigation by separate letter that will be considered by the Commission in assessing any penalties for the violations alleged in the Accusation.

If you wish to file a *NOTICE OF DEFENSE*, please check **all** applicable grounds for the *NOTICE OF DEFENSE*, complete the remainder of the form, and mail to the Commission within fifteen (15) days of receipt of the Accusation.

GROUND FOR NOTICE OF DEFENSE

- 1) I request a hearing;
- 2) I object to the Accusation upon the ground that it does not state acts or omissions upon which the agency may proceed;
- 3) I object to the form of the Accusation on the ground that it is so indefinite or uncertain that I cannot identify the transaction that is the subject of the Accusation or prepare my defense;
- 4) I admit the Accusation in whole or in part (check box "a" or "b");
 - a) I admit the Accusation in whole.
 - b) I admit the Accusation in part as indicated below:

- 5) I wish to present new matter by way of defense;
- 6) I object to the accusation upon the ground that, under the circumstances, compliance with the requirements of a regulation of the Fair Political Practices Commission would result in a material violation of another regulation enacted by another department affecting substantive rights.

Dated: _____

Respondent

Print Name

Mailing Address

City, State, Zip

California Government Code sections 11506 through 11508

§ 11506. Filing of notice of defense or notice of participation; Contents; Right to hearing on the merits

(a) Within 15 days after service of the accusation or District Statement of Reduction in Force the respondent may file with the agency a notice of defense, or, as applicable, notice of participation, in which the respondent may:

(1) Request a hearing.

(2) Object to the accusation or District Statement of Reduction in Force upon the ground that it does not state acts or omissions upon which the agency may proceed.

(3) Object to the form of the accusation or District Statement of Reduction in Force on the ground that it is so indefinite or uncertain that the respondent cannot identify the transaction or prepare a defense.

(4) Admit the accusation or District Statement of Reduction in Force in whole or in part.

(5) Present new matter by way of defense.

(6) Object to the accusation or District Statement of Reduction in Force upon the ground that, under the circumstances, compliance with the requirements of a regulation would result in a material violation of another regulation enacted by another department affecting substantive rights.

(b) Within the time specified the respondent may file one or more notices of defense, or, as applicable, notices of participation, upon any or all of these grounds but all of these notices shall be filed within that period unless the agency in its discretion authorizes the filing of a later notice.

(c) The respondent shall be entitled to a hearing on the merits if the respondent files a notice of defense or notice of participation, and the notice shall be deemed a specific denial of all parts of the accusation or District Statement of Reduction in Force not expressly admitted. Failure to file a notice of defense or notice of participation shall constitute a waiver of respondent's right to a hearing, but the agency in its discretion may nevertheless grant a hearing. Unless objection is taken as provided in paragraph (3) of subdivision (a), all objections to the form of the accusation or District Statement of Reduction in Force shall be deemed waived.

(d) The notice of defense or notice of participation shall be in writing signed by or on behalf of the respondent and shall state the respondent's mailing address. It need not be verified or follow any particular form.

(e) As used in this section, "file," "files," "filed," or "filing" means "delivered or mailed" to the agency as provided in Section 11505.

HISTORY: Added Stats 1945 ch 867 § 1. Amended Stats 1963 ch 931 § 1; Stats 1982 ch 606 § 1; Stats 1986 ch 951 § 20; Stats 1995 ch 938 § 29 (SB 523), operative July 1, 1997; Stats 2013 ch 90 § 5 (SB 546), effective January 1, 2014.

§ 11507. Amended or supplemental accusation or District Statement of Reduction in Force; Objections

At any time before the matter is submitted for decision, the agency may file, or permit the filing of, an amended or supplemental accusation or District Statement of Reduction in Force. All parties shall be notified of the filing. If the amended or supplemental accusation or District Statement of Reduction in Force presents new charges, the agency shall afford the respondent a reasonable opportunity to prepare his or her defense to the new charges, but he or she shall not be entitled to file a further pleading unless the agency in its discretion so orders. Any new charges shall be deemed controverted, and any objections to the amended or supplemental accusation or District Statement of Reduction in Force may be made orally and shall be noted in the record.

HISTORY: Added Stats 1945 ch 867 § 1. Amended Stats 2013 ch 90 § 6 (SB 546), effective January 1, 2014; Stats 2014 ch 71 § 69 (SB 1304), effective January 1, 2015.

§ 11507.3. Consolidated proceedings; Separate hearings

(a) When proceedings that involve a common question of law or fact are pending, the administrative law judge on the judge's own motion or on motion of a party may order a joint hearing of any or all the matters at issue in the proceedings. The administrative law judge may order all the proceedings consolidated and may make orders concerning the procedure that may tend to avoid unnecessary costs or delay.

(b) The administrative law judge on the judge's own motion or on motion of a party, in furtherance of convenience or to avoid prejudice or when separate hearings will be conducive to expedition and economy, may order a separate hearing of any issue, including an issue raised in the notice of defense or notice of participation, or of any number of issues.

HISTORY: Added Stats 1995 ch 938 § 30 (SB 523), operative July 1, 1997. Amended Stats 2013 ch 90 § 7 (SB 546), effective January 1, 2014.

///

§ 11507.5. Exclusivity of discovery provisions

The provisions of Section 11507.6 provide the exclusive right to and method of discovery as to any proceeding governed by this chapter.

HISTORY: Added Stats 1968 ch 808 § 3.

§ 11507.6. Request for discovery

After initiation of a proceeding in which a respondent or other party is entitled to a hearing on the merits, a party, upon written request made to another party, prior to the hearing and within 30 days after service by the agency of the initial pleading or within 15 days after the service of an additional pleading, is entitled to (1) obtain the names and addresses of witnesses to the extent known to the other party, including, but not limited to, those intended to be called to testify at the hearing, and (2) inspect and make a copy of any of the following in the possession or custody or under the control of the other party:

(a) A statement of a person, other than the respondent, named in the initial administrative pleading, or in any additional pleading, when it is claimed that the act or omission of the respondent as to this person is the basis for the administrative proceeding;

(b) A statement pertaining to the subject matter of the proceeding made by any party to another party or person;

(c) Statements of witnesses then proposed to be called by the party and of other persons having personal knowledge of the acts, omissions or events which are the basis for the proceeding, not included in (a) or (b) above;

(d) All writings, including, but not limited to, reports of mental, physical and blood examinations and things which the party then proposes to offer in evidence;

(e) Any other writing or thing which is relevant and which would be admissible in evidence;

(f) Investigative reports made by or on behalf of the agency or other party pertaining to the subject matter of the proceeding, to the extent that these reports (1) contain the names and addresses of witnesses or of persons having personal knowledge of the acts, omissions or events which are the basis for the proceeding, or (2) reflect matters perceived by the investigator in the course of his or her investigation, or (3) contain or include by attachment any statement or writing described in (a) to (e), inclusive, or summary thereof.

For the purpose of this section, "statements" include written statements by the person signed or otherwise authenticated by him or her, stenographic, mechanical, electrical or other recordings, or transcripts thereof, of oral statements by the person, and written reports or summaries of these oral statements.

Nothing in this section shall authorize the inspection or copying of any writing or thing which is privileged from disclosure by law or otherwise made confidential or protected as the attorney's work product.

HISTORY: Added Stats 1968 ch 808 § 4. Amended Stats 1985 ch 1328 § 5; Stats 1995 ch 938 § 31 (SB 523), operative July 1, 1997.

§ 11507.7. Motion to compel discovery; Order

(a) Any party claiming the party's request for discovery pursuant to Section 11507.6 has not been complied with may serve and file with the administrative law judge a motion to compel discovery, naming as respondent the party refusing or failing to comply with Section 11507.6. The motion shall state facts showing the respondent party failed or refused to comply with Section 11507.6, a description of the matters sought to be discovered, the reason or reasons why the matter is discoverable under that section, that a reasonable and good faith attempt to contact the respondent for an informal resolution of the issue has been made, and the ground or grounds of respondent's refusal so far as known to the moving party.

(b) The motion shall be served upon respondent party and filed within 15 days after the respondent party first evidenced failure or refusal to comply with Section 11507.6 or within 30 days after request was made and the party has failed to reply to the request, or within another time provided by stipulation, whichever period is longer.

(c) The hearing on the motion to compel discovery shall be held within 15 days after the motion is made, or a later time that the administrative law judge may on the judge's own motion for good cause determine. The respondent party shall have the right to serve and file a written answer or other response to the motion before or at the time of the hearing.

(d) Where the matter sought to be discovered is under the custody or control of the respondent party and the respondent party asserts that the matter is not a discoverable matter under the provisions of Section 11507.6, or is privileged against disclosure under those provisions, the administrative law judge may order lodged with it matters provided in subdivision (b) of *Section 915 of the Evidence Code* and examine the matters in accordance with its provisions.

(e) The administrative law judge shall decide the case on the matters examined in camera, the papers filed by the parties, and such oral argument and additional evidence as the administrative law judge may allow.

(f) Unless otherwise stipulated by the parties, the administrative law judge shall no later than 15 days after the hearing make its order denying or granting the motion. The order shall be in writing setting forth the matters the moving party is entitled to discover under Section 11507.6. A copy of the order shall forthwith be served by mail by the administrative law judge upon the parties. Where the order grants the motion in whole or in part, the order shall not become

effective until 10 days after the date the order is served. Where the order denies relief to the moving party, the order shall be effective on the date it is served.

HISTORY: Added Stats 1968 ch 808 § 5. Amended Stats 1971 ch 1303 § 8; Stats 1980 ch 548 § 2; Stats 1995 ch 938 § 32 (SB 523), operative July 1, 1997.

§ 11508. Time and place of hearing

(a) The agency shall consult the office, and subject to the availability of its staff, shall determine the time and place of the hearing. The hearing shall be held at a hearing facility maintained by the office in Sacramento, Oakland, Los Angeles, or San Diego and shall be held at the facility that is closest to the location where the transaction occurred or the respondent resides.

(b) Notwithstanding subdivision (a), the hearing may be held at either of the following places:

(1) A place selected by the agency that is closer to the location where the transaction occurred or the respondent resides.

(2) A place within the state selected by agreement of the parties.

(c) The respondent may move for, and the administrative law judge has discretion to grant or deny, a change in the place of the hearing. A motion for a change in the place of the hearing shall be made within 10 days after service of the notice of hearing on the respondent.

Unless good cause is identified in writing by the administrative law judge, hearings shall be held in a facility maintained by the office.

HISTORY: Added Stats 1945 ch 867 § 1. Amended Stats 1963 ch 710 § 1; Stats 1967 ch 17 § 39; Stats 1987 ch 50 § 1; Stats 1995 ch 938 § 33 (SB 523), operative July 1, 1997; Stats 2005 ch 674 § 22 (SB 231), effective January 1, 2006.

PROOF OF SERVICE

At the time of service, I was over 18 years of age and not a party to this action. My business address is Fair Political Practices Commission, 1102 Q Street, Suite 3000, Sacramento, California 95811. On July 24, 2018, I served the following document(s):

1. Statement to Respondent;
2. FPPC Case No. 17/382: Accusation;
3. Notice of Defense (Two Copies);
4. Selected Sections of the California Government Code, Administrative Procedure Act.

By Personal Delivery. I personally delivered the document(s) listed above to the person(s) at the address(es) as shown on the service list below.

By personal service. At 11:45 a.m./p.m.:

I personally delivered the document(s) listed above to the person(s) at the address(es) as shown on the service list below.

By providing the document(s) listed above with instructions for registered process server to personally deliver the envelope(s) to the person(s) at the address(es) set forth on the service list below. **The signed proof of service by the registered process server will be attached as soon as it is available.**

I am a resident or employed in the county where the mailing occurred. The envelope or package was placed in the mail in Sacramento County, California.

SERVICE LIST

Personal Delivery

Sasha Linker,
Commission Assistant
Fair Political
Practices Commission
1102 Q Street, Suite 3000
Sacramento, California 95811

Personal Service

Terry Cox
Re-Elect Terry Cox for
Central Unified School
District Trustee Area 6,
2016

Julie Lim
Re-Elect Terry Cox for
Central Unified School
District Trustee Area 6,
2016

I declare under penalty of perjury under the laws of the State of California that the above is true and correct. Executed on July 24, 2018.



Suzanna Gevorkyan

Exhibit A-10

**Statement of Organization
Recipient Committee**

Statement Type

Initial

Not yet qualified or

Amendment

List I.D. number:

Date qualified as committee
(If applicable)

Termination - See Part 5

List I.D. number:

Date of Termination

8/10/16
Date qualified as committee

Date qualified as committee
(If applicable)

Date of Termination

P 10
1389224

Date Stamp	CALIFORNIA FORM 410
	For Official Use Only
RECEIVED AND FILED In the office of the Secretary of State of the State of California	SEP 12 2016 FRESNO COUNTY CLERK By <i>[Signature]</i> DEPUTY
AUG 24 2016	

1. Committee Information

NAME OF COMMITTEE

Re-Elect Terry Cox for Central Unified School District Trustee
Area 6, 2016

STREET ADDRESS (NO P.O. BOX)

CITY	STATE	ZIP CODE	AREA CODE/PHONE
Fresno	CA	93723	(559)908-7654

MAILING ADDRESS (IF DIFFERENT)

FAX / E-MAIL ADDRESS

tlcox722@gmail.com

COUNTY OF DOMICILE

Fresno

JURISDICTION WHERE COMMITTEE IS ACTIVE

Fresno County

Attach additional information on appropriately labeled continuation sheets.

2. Treasurer and Other Principal Officers

NAME OF TREASURER

Julie Lim

STREET ADDRESS (NO P.O. BOX)

CITY	STATE	ZIP CODE	AREA CODE/PHONE
Fresno	CA	93722	(209)981-1821

NAME OF ASSISTANT TREASURER, IF ANY

STREET ADDRESS (NO P.O. BOX)

CITY	STATE	ZIP CODE	AREA CODE/PHONE
------	-------	----------	-----------------

NAME OF PRINCIPAL OFFICER(S)

STREET ADDRESS (NO P.O. BOX)

CITY	STATE	ZIP CODE	AREA CODE/PHONE
------	-------	----------	-----------------

3. Verification

I have used all reasonable diligence in preparing this state
penalty of perjury under the laws of the State of California

information contained herein is true and complete. I certify under

Executed on 8/11/16 By _____
DATE

STANT TREASURER

Executed on 8/11/16 By _____
DATE

SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, OR STATE MEASURE PROPONENT

Executed on _____ By _____
DATE

SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, OR STATE MEASURE PROPONENT

Executed on _____ By _____
DATE

SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, OR STATE MEASURE PROPONENT

**Statement of Organization
Recipient Committee**

INSTRUCTIONS ON REVERSE

Page 2

I.D. NUMBER

COMMITTEE NAME

Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016

- All committees must list the financial institution where the campaign bank account is located.

EECU

NAME OF FINANCIAL INSTITUTION <u>Educational Employees Credit Union</u>		AREA CODE/PHONE <u>800538-3328</u>	BANK ACCOUNT NUMBER	
ADDRESS	CITY <u>Fresno</u>	STATE <u>CA</u>	ZIP CODE <u>93721</u>	

4. Type of Committee Complete the applicable sections.

Controlled Committee

- List the name of each controlling officeholder, candidate, or state measure proponent. If candidate or officeholder controlled, also list the elective office sought or held, and district number, if any, and the year of the election.
- List the political party with which each officeholder or candidate is affiliated or check "nonpartisan."
- If this committee acts jointly with another controlled committee, list the name and identification number of the other controlled committee.

NAME OF CANDIDATE/OFFICEHOLDER/STATE MEASURE PROPONENT	ELECTIVE OFFICE SOUGHT OR HELD (INCLUDE DISTRICT NUMBER IF APPLICABLE)	YEAR OF ELECTION	PARTY
<u>Terry Cox</u>	<u>Central Unified School Trustee Area 6</u>	<u>2016</u>	<input checked="" type="checkbox"/> Nonpartisan
			<input type="checkbox"/> Nonpartisan

Primarily Formed Committee

Primarily formed to support or oppose specific candidates or measures in a single election. List below:

CANDIDATE(S) NAME OR MEASURE(S) FULL TITLE (INCLUDE BALLOT NO. OR LETTER)	CANDIDATE(S) OFFICE SOUGHT OR HELD OR MEASURE(S) JURISDICTION (INCLUDE DISTRICT NO., CITY OR COUNTY, AS APPLICABLE)	CHECK ONE	
		SUPPORT	OPPOSE
		<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>

**Statement of Organization
Recipient Committee**

INSTRUCTIONS ON REVERSE

Page 3

I.D. NUMBER

COMMITTEE NAME

Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016

4. Type of Committee (Continued)

General Purpose Committee

Not formed to support or oppose specific candidates or measures in a single election. Check only one box:

CITY Committee COUNTY Committee STATE Committee

PROVIDE BRIEF DESCRIPTION OF ACTIVITY

Sponsored Committee

List additional sponsors on an attachment.

NAME OF SPONSOR

INDUSTRY GROUP OR AFFILIATION OF SPONSOR

STREET ADDRESS

NO. AND STREET

CITY

STATE

ZIP CODE

Small Contributor Committee

_____/_____/_____
Date qualified

5. Termination Requirements

By signing the verification, the treasurer, assistant treasurer and/or candidate, officeholder, or proponent certify that all of the following conditions have been met:

- This committee has ceased to receive contributions and make expenditures;
- This committee does not anticipate receiving contributions or making expenditures in the future;
- This committee has eliminated or has no intention or ability to discharge all debts, loans received, and other obligations;
- This committee has no surplus funds; and
- This committee has filed all campaign statements required by the Political Reform Act disclosing all reportable transactions.
 - There are restrictions on the disposition of surplus campaign funds held by elected officers who are leaving office and by defeated candidates. Refer to Government Code Section 89519.
 - Leftover funds of ballot measure committees may be used for political, legislative or governmental purposes under Government Code Sections 89511 - 89518, and are subject to Elections Code Section 18680 and FPPC Regulation 18521.5.

Exhibit A-11

**Recipient Committee
Campaign Statement
Cover Page**

(Government Code Sections 84200-84216.5)

Type or print in ink.

COVER PAGE

FILED
Date Stamp

CALIFORNIA FORM **460**

Page 1 of 14
For Official Use Only

Statement covers period
from 07-01-2016
through 09-24-2016

Date of election if applicable:
(Month, Day, Year)

SEP 29 2016

11-08-2016 By

FRESNO COUNTY CLERK

DEPUTY

SEE INSTRUCTIONS ON REVERSE

1. Type of Recipient Committee: All Committees – Complete Parts 1, 2, 3, and 4.

- Officeholder, Candidate Controlled Committee
 - State Candidate Election Committee
 - Recall
(Also Complete Part 5)
- General Purpose Committee
 - Sponsored
 - Small Contributor Committee
 - Political Party/Central Committee
- Primarily Formed Ballot Measure Committee
 - Controlled
 - Sponsored
(Also Complete Part 6)
- Primarily Formed Candidate/Officeholder Committee
(Also Complete Part 7)

2. Type of Statement:

- Preelection Statement
- Semi-annual Statement
- Termination Statement
(Also file a Form 410 Termination)
- Amendment (Explain below)
- Quarterly Statement
- Special Odd-Year Report
- Supplemental Preelection Statement - Attach Form 495

3. Committee Information

I.D. NUMBER
1389224

COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE)

Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016

STREET ADDRESS (NO P.O. BOX)

CITY	STATE	ZIP CODE	AREA CODE/PHONE
Fresno	CA	93723	(559)908-7654

MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BOX

PO Box 807

CITY	STATE	ZIP CODE	AREA CODE/PHONE
Fresno	CA	93712	

OPTIONAL: FAX / E-MAIL ADDRESS

tlcox722@gmail.com

Treasurer(s)

NAME OF TREASURER

Julie Lim

MAILING ADDRESS

CITY	STATE	ZIP CODE	AREA CODE/PHONE
Fresno	CA	93722	(209)981-1821

NAME OF ASSISTANT TREASURER, IF ANY

MAILING ADDRESS

CITY	STATE	ZIP CODE	AREA CODE/PHONE

OPTIONAL: FAX / E-MAIL ADDRESS

4. Verification

I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge under penalty of perjury under the laws of the State of California that the foregoing is true and correct

and in the attached schedules is true and complete. I certify

Executed on 9/29/16
Date

By _____

Executed on 9/29/16
Date

By _____
Signature

Executed on _____
Date

By _____

Signature of Controlling Officeholder, Candidate, State Measure Proponent

Executed on _____
Date

By _____

Signature of Controlling Officeholder, Candidate, State Measure Proponent

**Recipient Committee
Campaign Statement
Cover Page — Part 2**

Type or print in ink.

COVER PAGE - PART 2

CALIFORNIA FORM	460
Page <u>2</u> of <u>14</u>	

5. Officeholder or Candidate Controlled Committee

NAME OF OFFICEHOLDER OR CANDIDATE
Terry Cox

OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)
Terry Cox for Central Unified Trustee Area 6

RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET) CITY STATE ZIP
Fresno, CA 93723

Related Committees Not Included in this Statement: *List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or make expenditures on behalf of your candidacy.*

COMMITTEE NAME	I.D. NUMBER
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
COMMITTEE ADDRESS STREET ADDRESS (NO P.O. BOX)	
CITY STATE ZIP CODE AREA CODE/PHONE	

COMMITTEE NAME	I.D. NUMBER
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
COMMITTEE ADDRESS STREET ADDRESS (NO P.O. BOX)	
CITY STATE ZIP CODE AREA CODE/PHONE	

6. Primarily Formed Ballot Measure Committee

NAME OF BALLOT MEASURE

BALLOT NO. OR LETTER	JURISDICTION	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
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Identify the controlling officeholder, candidate, or state measure proponent, if any.

NAME OF OFFICEHOLDER, CANDIDATE, OR PROPONENT

OFFICE SOUGHT OR HELD DISTRICT NO. IF ANY

7. Primarily Formed Candidate/Officeholder Committee *List names of officeholder(s) or candidate(s) for which this committee is primarily formed.*

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE

Attach continuation sheets if necessary

**Campaign Disclosure Statement
Summary Page**

Type or print in ink.
Amounts may be rounded
to whole dollars.

SUMMARY PAGE

Statement covers period from <u>07-01-2016</u>	CALIFORNIA FORM 460
through <u>09-24-2016</u>	
Page <u>3</u> of <u>14</u>	I.D. NUMBER 1389224

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016

Contributions Received

	Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B CALENDAR YEAR TOTAL TO DATE
1. Monetary Contributions Schedule A, Line 3	\$ <u>7,075.00</u>	\$ <u>7,075.00</u>
2. Loans Received Schedule B, Line 3	\$ <u>0.00</u>	\$ <u>0.00</u>
3. SUBTOTAL CASH CONTRIBUTIONS Add Lines 1 + 2	\$ <u>7,075.00</u>	\$ <u>7,075.00</u>
4. Nonmonetary Contributions Schedule C, Line 3	\$ <u>300.00</u>	\$ <u>300.00</u>
5. TOTAL CONTRIBUTIONS RECEIVED Add Lines 3 + 4	\$ <u>7,375.00</u>	\$ <u>7,375.00</u>

**Calendar Year Summary for Candidates
Running in Both the State Primary and
General Elections**

	1/1 through 6/30	7/1 to Date
20. Contributions Received	\$ _____	\$ _____
21. Expenditures Made	\$ _____	\$ _____

Expenditures Made

	Column A	Column B
6. Payments Made Schedule E, Line 4	\$ <u>3,467.01</u>	\$ <u>3,467.01</u>
7. Loans Made Schedule H, Line 3	\$ <u>0.00</u>	\$ <u>0.00</u>
8. SUBTOTAL CASH PAYMENTS Add Lines 6 + 7	\$ <u>3,467.01</u>	\$ <u>3,467.01</u>
9. Accrued Expenses (Unpaid Bills) Schedule F, Line 3	\$ <u>0.00</u>	\$ <u>0.00</u>
10. Nonmonetary Adjustment Schedule C, Line 3	\$ <u>300.00</u>	\$ <u>300.00</u>
11. TOTAL EXPENDITURES MADE Add Lines 8 + 9 + 10	\$ <u>3,767.01</u>	\$ <u>3,767.01</u>

**Expenditure Limit Summary for State
Candidates**

22. Cumulative Expenditures Made*
(If Subject to Voluntary Expenditure Limit)

Date of Election (mm/dd/yy)	Total to Date
____/____/____	\$ _____
____/____/____	\$ _____

Current Cash Statement

12. Beginning Cash Balance Previous Summary Page, Line 16	\$ <u>0.00</u>
13. Cash Receipts Column A, Line 3 above	\$ <u>7,075.00</u>
14. Miscellaneous Increases to Cash Schedule I, Line 4	\$ <u>0.00</u>
15. Cash Payments Column A, Line 8 above	\$ <u>3,467.01</u>
16. ENDING CASH BALANCE Add Lines 12 + 13 + 14, then subtract Line 15	\$ <u>3,607.99</u>

To calculate Column B, add amounts in Column A to the corresponding amounts from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).

*Amounts in this section may be different from amounts reported in Column B.

If this is a termination statement, Line 16 must be zero.

17. LOAN GUARANTEES RECEIVED Schedule B, Part 2	\$ <u>0.00</u>
---	----------------

Cash Equivalents and Outstanding Debts

18. Cash Equivalents See instructions on reverse	\$ <u>0.00</u>
19. Outstanding Debts Add Line 2 + Line 9 in Column B above	\$ <u>0.00</u>

**Schedule A
Monetary Contributions Received**

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE A

Statement covers period from <u>07-01-2016</u>		CALIFORNIA FORM 460
through <u>09-24-2016</u>		
		Page <u>4</u> of <u>14</u>

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016

I.D. NUMBER

1389224

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
09/16/16	California Laborers for Equality and Progress 781984	<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input checked="" type="checkbox"/> SCC		500.00	500.00	
09/24/16	Elaine McGraw	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Campaign Staff Arambula for Assembly	50.00	50.00	
09/23/16	TFS Investment LLC 4444 L St Ste M	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		250.00	250.00	
09/21/16	Sheet Metal Worker's Association	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		500.00	500.00	
09/18/16	Barbara F. Thomas	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired	50.00	100.00	
SUBTOTAL \$				1,350.00		

Schedule A Summary

- Amount received this period – itemized monetary contributions.
(Include all Schedule A subtotals.) \$ 6,875
- Amount received this period – unitemized monetary contributions of less than \$100 \$ 200.00
- Total monetary contributions received this period.
(Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Line 1.) **TOTAL \$** 7,075

*Contributor Codes
IND – Individual
COM – Recipient Committee
(other than PTY or SCC)
OTH – Other (e.g., business entity)
PTY – Political Party
SCC – Small Contributor Committee

**Schedule A (Continuation Sheet)
Monetary Contributions Received**

Type or print in ink.
Amounts may be rounded to whole dollars.

SCHEDULE A (CONT.)

Statement covers period		CALIFORNIA FORM 460
from	07-01-2016	
through	09-24-2016	Page 5 of 14

NAME OF FILER Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016	I.D. NUMBER 1389224
--	------------------------

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
09/21/16	The Mehmet Noyan Company { f	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		250.00	250.00	
09/22/16	Thomas Boyajian { f	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Self-Employed Attorney	50.00	50.00	
09/14/16	Ismael Herrera 	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Trustee Fresno County Board of Education	50.00	50.00	
09/22/16	Juan Garza CLOVIS, CA 93619	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Counselor Eminence	50.00	50.00	
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
SUBTOTAL \$				1,300.00		

*Contributor Codes
 IND - Individual
 COM - Recipient Committee (other than PTY or SCC)
 OTH - Other (e.g., business entity)
 PTY - Political Party
 SCC - Small Contributor Committee

**Schedule A (Continuation Sheet)
Monetary Contributions Received**

Type or print in ink.
Amounts may be rounded to whole dollars.

SCHEDULE A (CONT.)

Statement covers period from <u>07-01-2016</u> through <u>09-24-2016</u>	CALIFORNIA FORM 460
	Page <u>6</u> of <u>14</u>

NAME OF FILER Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016	I.D. NUMBER 1389224
---	-------------------------------

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
09/22/16	Valerie Tillery	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Teacher Central Unified	50.00	50.00	
09/22/16	Annalisa Perea 7 F	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Associate Planner QK Inc	50.00	50.00	
09/23/16	Daniel Ronquillo 4 f	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired	100.00	350.00	
09/22/16	The Scozzari Company Inc	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		100.00	200.00	
09/22/16	Eric Johnson 4 f	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Public Relations AT&T	100.00	200.00	
SUBTOTAL \$				400.00		

*Contributor Codes
IND - Individual
COM - Recipient Committee (other than PTY or SCC)
OTH - Other (e.g., business entity)
PTY - Political Party
SCC - Small Contributor Committee

**Schedule A
Monetary Contributions Received**

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE A

Statement covers period from <u>07-01-2016</u> through <u>09-24-2016</u>	CALIFORNIA FORM 460 Page <u>7</u> of <u>14</u>
--	--

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016

I.D. NUMBER

1389224

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
09/22/16	Harim Martinez	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Public Relations Republic Services	100.00	100.00	
09/08/16	The Rios Company	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		250.00	250.00	
09/22/16	California Tiny House	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		500.00	500.00	
09/19/16	George Beal 1 CIVIS, CA 93012	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Self-Employed Beal Properties	500.00	500.00	
08/10/16	West Coast Waste	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		1,000.00	1,000.00	
SUBTOTAL \$				2,350.00		

Schedule A Summary

- Amount received this period – itemized monetary contributions.
(Include all Schedule A subtotals.) \$ _____
- Amount received this period – unitemized monetary contributions of less than \$100 \$ _____
- Total monetary contributions received this period.
(Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Line 1.) **TOTAL \$** _____

* Contributor Codes
IND – Individual
COM – Recipient Committee
(other than PTY or SCC)
OTH – Other (e.g., business entity)
PTY – Political Party
SCC – Small Contributor Committee

**Schedule A (Continuation Sheet)
Monetary Contributions Received**

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE A (CONT.)

Statement covers period from <u>07-01-2016</u> through <u>09-24-2016</u>	CALIFORNIA FORM 460
	Page <u>8</u> of <u>14</u>

NAME OF FILER Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016	I.D. NUMBER 1389224
---	-------------------------------

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
08/10/16	Patience Milrod	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Lawyer Self-Employed	100.00	100.00	
08/11/16	Jeffrey Roberts	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Public Relations Granville	250.00	250.00	
08/14/16	Randall Reed	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Manager Valley PBS	100.00	100.00	
08/10/16	Elizabeth Jonasson	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Community Relations Economic Opportunity Commission	50.00	50.00	
08/10/16	Lee Ann Eager	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Executive Director Economic Development Corporation	100.00	100.00	
SUBTOTAL \$				600.00		

*Contributor Codes
IND - Individual
COM - Recipient Committee
(other than PTY or SCC)
OTH - Other (e.g., business entity)
PTY - Political Party
SCC - Small Contributor Committee

**Schedule A (Continuation Sheet)
Monetary Contributions Received**

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE A (CONT.)

Statement covers period from <u>07-01-2016</u> through <u>09-24-2016</u>	CALIFORNIA FORM 460
	Page <u>9</u> of <u>14</u>

NAME OF FILER Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016	I.D. NUMBER 1389224
---	-------------------------------

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
08/10/16	Brian Cox	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Driver Aramark	100.00	100.00	
08/08/16	Debra Barletta	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Accountant City of Fresno	100.00	100.00	
08/03/16	Barbara F. Thomas	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired	50.00	100.00	
08/10/16	Lillian Dei 106	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Compliance Clerk City of Fresno	25.00	25.00	
08/10/16	Shan E-Punjab Inc	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		500.00	500.00	
SUBTOTAL \$				775.00		

*Contributor Codes
 IND - Individual
 COM - Recipient Committee
 (other than PTY or SCC)
 OTH - Other (e.g., business entity)
 PTY - Political Party
 SCC - Small Contributor Committee

**Schedule A (Continuation Sheet)
Monetary Contributions Received**

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE A (CONT.)

Statement covers period from <u>07-01-2016</u> through <u>09-24-2016</u>	CALIFORNIA FORM 460 Page <u>10</u> of <u>14</u>
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NAME OF FILER Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016	I.D. NUMBER 1389224
---	-------------------------------

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
08/10/16	Fresno's Music Hits-Shaan Punjab Di	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		200.00	200.00	
08/10/16	The Little Big Project	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		50.00	50.00	
08/10/16	Daniel Ronquillo	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired	250.00	350.00	
08/10/16	Pao T Yang	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Educator ITT Tech	50.00	50.00	
08/10/16	Cheryl Robinson	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Teacher Central Unified	100.00	100.00	
SUBTOTAL \$				650.00		

*Contributor Codes
 IND - Individual
 COM - Recipient Committee
 (other than PTY or SCC)
 OTH - Other (e.g., business entity)
 PTY - Political Party
 SCC - Small Contributor Committee

**Schedule A (Continuation Sheet)
Monetary Contributions Received**

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE A (CONT.)

Statement covers period from <u>07-01-2016</u> through <u>09-24-2016</u>	CALIFORNIA FORM 460
	Page <u>11</u> of <u>14</u>

NAME OF FILER Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016	I.D. NUMBER 1389224
---	-------------------------------

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
08/10/16	Ann Kloose	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Government Relations PG&E	100.00	100.00	
08/10/16	Erica Cabrera	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Government Relations PG&E	50.00	50.00	
08/10/16	Eric Johnson	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Public Relations AT&T	100.00	200.00	
08/10/16	The Scozzari Company 2400 Millerton Ave. Ste. 100	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		100.00	200.00	
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
SUBTOTAL \$				350.00		

*Contributor Codes
 IND - Individual
 COM - Recipient Committee
 (other than PTY or SCC)
 OTH - Other (e.g., business entity)
 PTY - Political Party
 SCC - Small Contributor Committee

**Schedule C
Nonmonetary Contributions Received**

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE C

Statement covers period from <u>07-01-2016</u> through <u>09-24-2016</u>	CALIFORNIA FORM 460
	Page <u>12</u> of <u>14</u>
I.D. NUMBER 1389224	

SEE INSTRUCTIONS ON REVERSE
NAME OF FILER

Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	DESCRIPTION OF GOODS OR SERVICES	AMOUNT/ FAIR MARKET VALUE	CUMULATIVE TO DATE CALENDAR YEAR (JAN 1 - DEC 31)	PER ELECTION TO DATE (IF REQUIRED)
08/12/16	Terry Cox	<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		Candidate Statement	300.00		
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC					
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC					
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC					
<i>Attach additional information on appropriately labeled continuation sheets.</i>					SUBTOTAL \$	300.00	

Schedule C Summary

1. Amount received this period – itemized nonmonetary contributions. (Include all Schedule C subtotals.)	\$	300.00
2. Amount received this period – unitemized nonmonetary contributions of less than \$100	\$	0.00
3. Total nonmonetary contributions received this period. (Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Lines 4 and 10.)	TOTAL \$	300.00

*Contributor Codes
IND – Individual
COM – Recipient Committee
(other than PTY or SCC)
OTH – Other (e.g., business entity)
PTY – Political Party
SCC – Small Contributor Committee

**Schedule E
Payments Made**

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE E

Statement covers period from <u>07-01-2016</u>	CALIFORNIA FORM 460
through <u>09-24-2016</u>	
Page <u>13</u> of <u>14</u>	I.D. NUMBER <u>1389224</u>

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP campaign paraphernalia/misc.	MBR member communications	RAD radio airtime and production costs
CNS campaign consultants	MTG meetings and appearances	RFD returned contributions
CTB contribution (explain nonmonetary)*	OFC office expenses	SAL campaign workers' salaries
CVC civic donations	PET petition circulating	TEL t.v. or cable airtime and production costs
FIL candidate filing/ballot fees	PHO phone banks	TRC candidate travel, lodging, and meals
FND fundraising events	POL polling and survey research	TRS staff/spouse travel, lodging, and meals
IND independent expenditure supporting/opposing others (explain)*	POS postage, delivery and messenger services	TSF transfer between committees of the same candidate/sponsor
LEG legal defense	PRO professional services (legal, accounting)	VOT voter registration
LIT campaign literature and mailings	PRT print ads	WEB information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
California Secretary of State Sacramento, CA 95834	FIL		50.00
Carns Consulting	CNS		500.00
COPS Voter Guide	LIT		250.00

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$ \$700.00

Schedule E Summary

1. Itemized payments made this period. (Include all Schedule E subtotals.)	\$	<u>3,467.01</u>
2. Unitemized payments made this period of under \$100	\$	<u>0.00</u>
3. Total interest paid this period on loans. (Enter amount from Schedule B, Part 1, Column (e).)	\$	<u>0.00</u>
4. Total payments made this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Column A, Line 6.)	TOTAL \$	<u>3,467.01</u>

**Schedule E
(Continuation Sheet)
Payments Made**

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE E (CONT.)

Statement covers period from <u>07-01-2016</u> through <u>09-24-2016</u>	CALIFORNIA FORM 460 Page <u>14</u> of <u>14</u> I.D. NUMBER 1389224
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SEE INSTRUCTIONS ON REVERSE
NAME OF FILER

Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- | | | |
|--|--|--|
| CMP campaign paraphernalia/misc. | MBR member communications | RAD radio airtime and production costs |
| CNS campaign consultants | MTG meetings and appearances | RFD returned contributions |
| CTB contribution (explain nonmonetary)* | OFC office expenses | SAL campaign workers' salaries |
| CVC civic donations | PET petition circulating | TEL t.v. or cable airtime and production costs |
| FL candidate filing/ballot fees | PHO phone banks | TRC candidate travel, lodging, and meals |
| FND fundraising events | POL polling and survey research | TRS staff/spouse travel, lodging, and meals |
| IND independent expenditure supporting/opposing others (explain)* | POS postage, delivery and messenger services | TSF transfer between committees of the same candidate/sponsor |
| LEG legal defense | PRO professional services (legal, accounting) | VOT voter registration |
| LIT campaign literature and mailings | PRT print ads | WEB information technology costs (internet, e-mail) |

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Zen Wok fresno, CA 93728	FND		406.08
Peerless Printers	LIT		1835.50
Peerless Printers 1 C	LIT		351.73
Peerless Printers C	CMP		173.70

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$ 2767.01

Exhibit A-12

**Recipient Committee
Campaign Statement
Cover Page**

(Government Code Sections 84200-84216.5)

Type or print in ink.

COVER PAGE

F I L E D

Date Stamp
OCT 27 2016

CALIFORNIA FORM 460

Page 1 of 9

For Official Use Only

By FRESNO COUNTY CLERK
[Signature] DEPUTY

Statement covers period
from 09-25-2016
through 10-22-2016

Date of election if applicable:
(Month, Day, Year)
11-08-2016

SEE INSTRUCTIONS ON REVERSE

1. Type of Recipient Committee: All Committees – Complete Parts 1, 2, 3, and 4.

- Officeholder, Candidate Controlled Committee
 - State Candidate Election Committee
 - Recall
(Also Complete Part 5)
- General Purpose Committee
 - Sponsored
 - Small Contributor Committee
 - Political Party/Central Committee
- Primarily Formed Ballot Measure Committee
 - Controlled
 - Sponsored
(Also Complete Part 6)
- Primarily Formed Candidate/Officeholder Committee
(Also Complete Part 7)

2. Type of Statement:

- Preelection Statement
- Semi-annual Statement
- Termination Statement
(Also file a Form 410 Termination)
- Amendment (Explain below)
- Quarterly Statement
- Special Odd-Year Report
- Supplemental Preelection Statement - Attach Form 495

3. Committee Information

I.D. NUMBER
1389224

COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE)
Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016

STREET ADDRESS (NO P.O. BOX)

CITY	STATE	ZIP CODE	AREA CODE/PHONE
<u>Fresno</u>	<u>CA</u>	<u>93723</u>	<u>(559)908-7654</u>

MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BOX

PO Box 807

CITY	STATE	ZIP CODE	AREA CODE/PHONE
<u>Fresno</u>	<u>CA</u>	<u>93712</u>	

OPTIONAL: FAX / E-MAIL ADDRESS

tlcox722@gmail.com

Treasurer(s)

NAME OF TREASURER

Julie Lim

MAILING ADDRESS

CITY	STATE	ZIP CODE	AREA CODE/PHONE
<u>Fresno</u>	<u>CA</u>	<u>93722</u>	<u>(209)981-1821</u>

NAME OF ASSISTANT TREASURER, IF ANY

MAILING ADDRESS

CITY	STATE	ZIP CODE	AREA CODE/PHONE
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OPTIONAL: FAX / E-MAIL ADDRESS

4. Verification

I have used all reasonable diligence in preparing and reviewing this statement and to the best under penalty of perjury under the laws of the State of California that the foregoing is true and

and in the attached schedules is true and complete. I certify

Executed on 10-27-2016
Date

By _____
Signature of Controlling Officerholder, Candidate, State Measure Proponent or Responsible Officer of Sponsor

Executed on _____
Date

By _____
Signature of Controlling Officerholder, Candidate, State Measure Proponent

Executed on _____
Date

By _____
Signature of Controlling Officerholder, Candidate, State Measure Proponent

Executed on _____
Date

By _____
Signature of Controlling Officerholder, Candidate, State Measure Proponent

**Recipient Committee
Campaign Statement
Cover Page — Part 2**

Type or print in ink.

COVER PAGE - PART 2

**CALIFORNIA
FORM 460**

Page 2 of 9

5. Officeholder or Candidate Controlled Committee

NAME OF OFFICEHOLDER OR CANDIDATE
Terry Cox

OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)
Terry Cox for Central Unified Trustee Area 6

RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET) CITY STATE ZIP
Fresno, CA 93723

Related Committees Not Included in this Statement: *List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or make expenditures on behalf of your candidacy.*

COMMITTEE NAME	I.D. NUMBER
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)
CITY	STATE ZIP CODE AREA CODE/PHONE

COMMITTEE NAME	I.D. NUMBER
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)
CITY	STATE ZIP CODE AREA CODE/PHONE

6. Primarily Formed Ballot Measure Committee

NAME OF BALLOT MEASURE

BALLOT NO. OR LETTER	JURISDICTION	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
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Identify the controlling officeholder, candidate, or state measure proponent, if any.

NAME OF OFFICEHOLDER, CANDIDATE, OR PROPONENT

OFFICE SOUGHT OR HELD	DISTRICT NO. IF ANY
-----------------------	---------------------

7. Primarily Formed Candidate/Officeholder Committee *List names of officeholder(s) or candidate(s) for which this committee is primarily formed.*

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE

Attach continuation sheets if necessary

**Campaign Disclosure Statement
Summary Page**

Type or print in ink.
Amounts may be rounded
to whole dollars.

SUMMARY PAGE

Statement covers period from <u>09-25-2016</u>	CALIFORNIA FORM 460
through <u>10-22-2016</u>	
Page <u>3</u> of <u>9</u>	I.D. NUMBER <u>1389224</u>

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016

Contributions Received

	Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B CALENDAR YEAR TOTAL TO DATE
1. Monetary Contributions Schedule A, Line 3	\$ <u>7,215.00</u>	\$ <u>14,290.00</u>
2. Loans Received Schedule B, Line 3	<u>0.00</u>	<u>0.00</u>
3. SUBTOTAL CASH CONTRIBUTIONS Add Lines 1 + 2	\$ <u>7,215.00</u>	\$ <u>14,290.00</u>
4. Nonmonetary Contributions Schedule C, Line 3	<u>0.00</u>	<u>300.00</u>
5. TOTAL CONTRIBUTIONS RECEIVED Add Lines 3 + 4	\$ <u>7,215.00</u>	\$ <u>14,590.00</u>

**Calendar Year Summary for Candidates
Running in Both the State Primary and
General Elections**

	1/1 through 6/30	7/1 to Date
20. Contributions Received	\$ _____	\$ _____
21. Expenditures Made	\$ _____	\$ _____

Expenditures Made

	Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B CALENDAR YEAR TOTAL TO DATE
6. Payments Made Schedule E, Line 4	\$ <u>4,041.87</u>	\$ <u>7,508.88</u>
7. Loans Made Schedule H, Line 3	<u>0.00</u>	<u>0.00</u>
8. SUBTOTAL CASH PAYMENTS Add Lines 6 + 7	\$ <u>4,041.87</u>	\$ <u>7,508.88</u>
9. Accrued Expenses (Unpaid Bills) Schedule F, Line 3	<u>0.00</u>	<u>0.00</u>
10. Nonmonetary Adjustment Schedule C, Line 3	<u>0.00</u>	<u>300.00</u>
11. TOTAL EXPENDITURES MADE Add Lines 8 + 9 + 10	\$ <u>4,041.87</u>	\$ <u>7,508.88</u>

**Expenditure Limit Summary for State
Candidates**

22. Cumulative Expenditures Made*
(If Subject to Voluntary Expenditure Limit)

Date of Election (mm/dd/yy)	Total to Date
____/____/____	\$ _____
____/____/____	\$ _____

Current Cash Statement

12. Beginning Cash Balance Previous Summary Page, Line 16	\$ <u>3,607.99</u>
13. Cash Receipts Column A, Line 3 above	<u>7,215.50</u>
14. Miscellaneous Increases to Cash Schedule I, Line 4	<u>0.00</u>
15. Cash Payments Column A, Line 8 above	<u>4,041.87</u>
16. ENDING CASH BALANCE Add Lines 12 + 13 + 14, then subtract Line 15	\$ <u>6,781.62</u>

If this is a termination statement, Line 16 must be zero.

To calculate Column B, add amounts in Column A to the corresponding amounts from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).

*Amounts in this section may be different from amounts reported in Column B.

17. LOAN GUARANTEES RECEIVED Schedule B, Part 2	\$ <u>0.00</u>
---	----------------

Cash Equivalents and Outstanding Debts

18. Cash Equivalents See instructions on reverse	\$ <u>0.00</u>
19. Outstanding Debts Add Line 2 + Line 9 in Column B above	\$ <u>0.00</u>

**Schedule A
Monetary Contributions Received**

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE A

Statement covers period from <u>09-25-2016</u> through <u>10-22-2016</u>	CALIFORNIA FORM 460
	Page <u>4</u> of <u>9</u>

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016

I.D. NUMBER

1389224

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
09/30/16	Northern California Carpenters Regional Council Oakland, CA 94621 972104	<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input checked="" type="checkbox"/> SCC		600.00	600.00	
10/06/16	Political Action Together 12011 Hanover Dr. Hanover, MD 21076	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		500.00	500.00	
10/14/16	Shan E Punjab Inc Fresno, CA 93722	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		100.00	600.00	
10/14/16	RK Carriers Inc Fresno, CA 93722	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		100.00	100.00	
10/14/16	Gurdeep Singh Shergill Fresno, CA 93722	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Teacher Central Unified	100.00	100.00	
SUBTOTAL \$				1,400.00		

Schedule A Summary

- Amount received this period – itemized monetary contributions.
(Include all Schedule A subtotals.) \$ 7,125.00
- Amount received this period – unitemized monetary contributions of less than \$100 \$ 90.00
- Total monetary contributions received this period.
(Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Line 1.) **TOTAL \$** 7,215.00

*Contributor Codes
IND – Individual
COM – Recipient Committee
(other than PTY or SCC)
OTH – Other (e.g., business entity)
PTY – Political Party
SCC – Small Contributor Committee

**Schedule A (Continuation Sheet)
Monetary Contributions Received**

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE A (CONT.)

Statement covers period		CALIFORNIA FORM 460
from	07-01-2016	
through	09-24-2016	Page <u>5</u> of <u>9</u>

NAME OF FILER Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016	I.D. NUMBER 1389224
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DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
10/14/16	Harjinder Singh Dhillon Fresno, CA 93722	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Self-Employed Rani Food & Liquor	100.00	100.00	
10/08/16	Paramjit Dhaliwal Clovis, CA 93619	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Self-Employed AM/PM Gas Station	50.00	50.00	
10/14/16	Sonha Jewelers and Fabrics Fresno, CA 93711	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		75.00	75.00	
10/14/16	DBA Mid Valley Driving School Fresno, CA 93722	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		100.00	100.00	
10/18/16	Granville Homes Inc Fresno, CA 93711	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		1,000.00	1,000.00	
SUBTOTAL \$				1,325.00		

*Contributor Codes
 IND – Individual
 COM – Recipient Committee
 (other than PTY or SCC)
 OTH – Other (e.g., business entity)
 PTY – Political Party
 SCC – Small Contributor Committee

**Schedule A (Continuation Sheet)
Monetary Contributions Received**

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE A (CONT.)

Statement covers period		CALIFORNIA FORM 460
from	09-25-2016	
through	10-22-2016	Page <u>6</u> of <u>9</u>
NAME OF FILER		I.D. NUMBER
Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016		1389224

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
10/08/16	Bryn Forhan	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Self-Employed The Forhan Company	150.00	150.00	
10/08/16	NWPC of Fresno Fresno, CA 93755 932202	<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input checked="" type="checkbox"/> SCC		2,000.00	2,000.00	
09/30/16	San Joaquin Valley Latino Leaders PAC Long Beach, CA 90807 1384298	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		500.00	500.00	
09/30/16	District Council of Ironworkers Pinole, CA 94564 831693	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		500.00	500.00	
09/28/16	UA Local 246 Plumbers and Pipefitters Fresno, CA 93727 1389224	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		250.00	250.00	
SUBTOTAL \$				3,400.00		

*Contributor Codes
 IND - Individual
 COM - Recipient Committee
 (other than PTY or SCC)
 OTH - Other (e.g., business entity)
 PTY - Political Party
 SCC - Small Contributor Committee

**Schedule A (Continuation Sheet)
Monetary Contributions Received**

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE A (CONT.)

Statement covers period from <u>09-25-2016</u> through <u>10-22-2016</u>	CALIFORNIA FORM 460
	Page <u>7</u> of <u>9</u>

NAME OF FILER Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016	I.D. NUMBER 1389224
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DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
09/26/16	Soria for City Council 2014 Sacramento, CA 95815 1361223	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		1,000.00	1,000.00	
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				

SUBTOTAL \$	1,000.00
--------------------	----------

*Contributor Codes
 IND - Individual
 COM - Recipient Committee
 (other than PTY or SCC)
 OTH - Other (e.g., business entity)
 PTY - Political Party
 SCC - Small Contributor Committee

**Schedule E
Payments Made**

Type or print in ink.
Amounts may be rounded
to whole dollars.

Statement covers period		CALIFORNIA FORM 460	
from	09-25-2016	Page	8 of 9
through	10-22-2016	I.D. NUMBER	1389224

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP campaign paraphernalia/misc.	MBR member communications	RAD radio airtime and production costs
CNS campaign consultants	MTG meetings and appearances	RFD returned contributions
CTB contribution (explain nonmonetary)*	OFC office expenses	SAL campaign workers' salaries
CVC civic donations	PET petition circulating	TEL t.v. or cable airtime and production costs
FL candidate filing/ballot fees	PHO phone banks	TRC candidate travel, lodging, and meals
FND fundraising events	POL polling and survey research	TRS staff/spouse travel, lodging, and meals
ND independent expenditure supporting/opposing others (explain)*	POS postage, delivery and messenger services	TSF transfer between committees of the same candidate/sponsor
LEG legal defense	PRO professional services (legal, accounting)	VOT voter registration
LIT campaign literature and mailings	PRT print ads	WEB information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Home Depot Fresno, CA 93711	CMP		202.10
Fresno Trade and Bindery Fresno, CA 93727	LIT		823.19
A.G.E. Graphics, LLC Little Hocking, OH 45742	LIT		1,190.00

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$ 2,215.29

Schedule E Summary

1. Itemized payments made this period. (Include all Schedule E subtotals.)	\$	3,973.89
2. Unitemized payments made this period of under \$100	\$	67.98
3. Total interest paid this period on loans. (Enter amount from Schedule B, Part 1, Column (e).)	\$	0.00
4. Total payments made this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Column A, Line 6.)	TOTAL \$	4,041.87

**Schedule E
(Continuation Sheet)
Payments Made**

Type or print in Ink.
Amounts may be rounded
to whole dollars.

SCHEDULE E (CONT.)

Statement covers period		CALIFORNIA FORM 460
from	09-25-2016	
through	10-22-2016	Page <u>9</u> of <u>9</u>
I.D. NUMBER		1389224

SEE INSTRUCTIONS ON REVERSE
NAME OF FILER

Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- | | | | | | |
|-----|---|-----|---|-----|---|
| CMP | campaign paraphernalia/misc. | MBR | member communications | RAD | radio airtime and production costs |
| CNS | campaign consultants | MTG | meetings and appearances | RFD | returned contributions |
| CTB | contribution (explain nonmonetary)* | OFC | office expenses | SAL | campaign workers' salaries |
| CVC | civic donations | PET | petition circulating | TEL | t.v. or cable airtime and production costs |
| FIL | candidate filing/ballot fees | PHO | phone banks | TRC | candidate travel, lodging, and meals |
| FND | fundraising events | POL | polling and survey research | TRS | staff/spouse travel, lodging, and meals |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense | PRO | professional services (legal, accounting) | VOT | voter registration |
| LIT | campaign literature and mailings | PRT | print ads | WEB | information technology costs (internet, e-mail) |

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Fresno Trade and Bindery Fresno, CA 93727	LIT			823.59
Fresno Trade and Bindery Fresno, CA 93727	LIT			935.01

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$ 1,758.60

Exhibit A-13



County of Fresno
COUNTY CLERK / REGISTRAR OF VOTERS
BRANDI L. ORTH

February 13, 2017

Re-Elect Terry Cox for Central Unified School Dist. Trustee Area 6, 2016
Julie Lim

Subject: Notice of Non-Filing

Dear Candidate/Treasurer:

Our records indicate that you have not filed your Semi-Annual Statement for the reporting period of 07/01/2016 through 12/31/2016 or the day following your last filed statement. Your statement should have been filed on or before the 1/31/2017 deadline.

The Political Reform Act prohibits filing officers from extending a filing deadline. Statements filed late are subject to a \$10 per day penalty fee pursuant to Government Code Section 91013. Please file your statement with our office immediately to avoid further penalties.

For your convenience, forms are available in our office at 2221 Kern Street, Fresno, CA 93721, Monday through Friday between the hours of 8:30am - 5:00pm. You may also download forms from the Fair Political Practices Commission's web site at www.fppc.ca.gov.

If you have any questions regarding this notice, please contact me at the telephone number below.

Thank you for your cooperation,

Stacey Warrick
Program Technician I
Fresno County Clerk/Elections
(559) 600-3020
swarrick@co.fresno.ca.us

Exhibit A-14



COUNTY OF FRESNO
COUNTY CLERK / REGISTRAR OF VOTERS
BRANDI L. ORTH

August 08, 2017

CERTIFIED MAIL, RETURN RECEIPT REQUESTED

Julie Lim, Treasurer
[REDACTED]

**Subject: Re-Elect Terry Cox for Central Unified School Dist. Trustee Area 6, 2016
Non-Filing Semi-Annual Campaign Statement**

Dear Treasurer:

We have not received your semi-annual campaign disclosure statement that was due on July 31, 2017. Please file your statement or report within 10 days of the date of this letter to avoid penalties and referral to the Fair Political Practices Commission. A penalty cannot be waived if the statement is not filed within the 10 day period.

Government Code Section 91013 imposes a fine of \$10 per day after the filing deadline until the statement is filed. The liability is limited to the cumulative amount of contributions received or expenditures made for the period covered by the late statement or \$100, whichever is greater.

For questions concerning the notice, contact our office at (559) 600-1620.

Sincerely,

Stacey Warrick
Program Tech I
Fresno County Clerk's Office
(559)600-1620
swarrick@co.fresno.ca.us

cc: Candidate if applicable



COUNTY OF FRESNO
COUNTY CLERK / REGISTRAR OF VOTERS
BRANDI L. ORTH

August 21, 2017

CERTIFIED MAIL, RETURN RECEIPT REQUESTED

Julie Lim, Treasurer
[REDACTED]

Subject: Re-Elect Terry Cox for Central Unified School Dist. Trustee Area 6, 2016
Second Notice Non-Filing Semi-Annual Campaign Statement

Dear Treasurer:

Our office notified you on August 8, 2017 to inform you that we did not receive your semi-annual campaign disclosure statement that was due on July 31, 2017.

Government Code Section 91013 imposes a fine of \$10 per day after the filing deadline until the statement is filed. The liability is limited to the cumulative amount of contributions received or expenditures made for the period covered by the late statement or \$100, whichever is greater.

Your semi-annual campaign disclosure statement was not filed within the 10 day grace period (or 5 days) for pre-election statements, and must be filed immediately. As stated in the previous notice, penalties cannot be waived if the statement is not filed within the 10 day period. The committee is now liable to pay a penalty of \$10 per day beginning the day after the deadline until the statement or report is filed, and the committee is referred to the Fair Political Practices Commission's Enforcement Division.

Sincerely,

Stacey Warrick
Program Technician
Fresno County Clerk's Office
(559)600-1620
swarrick@co.fresno.ca.us

cc: Candidate if applicable

Exhibit A-15



STATE OF CALIFORNIA
FAIR POLITICAL PRACTICES COMMISSION
428 J Street • Suite 620 • Sacramento, CA 95814-2329

May 11, 2017

Julie Lim

o/b/o Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016

[REDACTED]
[REDACTED]

Terry Cox

o/b/o Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016

[REDACTED]
[REDACTED]
[REDACTED]

RE: Failure to File Campaign Statement: FPPC No. 17/00382

Dear Ms. Lim and Mr. Cox:

The Enforcement Division of the Fair Political Practices Commission enforces the provisions of the Political Reform Act (the "Act").¹ The Enforcement Division has received a referral from the Fresno County Clerk's office regarding Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016's failure to file the following campaign statement:

Statement/Report Type	Reporting Period	Filing Deadline
Semi-Annual	10/23/2016-12/31/2016	01/31/2017

Failing to timely file a campaign statement is a violation of the Act. As the controlling candidate and treasurer, you are liable for the committee's filing violation. The Enforcement Division has commenced an enforcement action against you, and can obtain an order that you pay a monetary penalty to the State of California of up to \$5,000 per violation.²

On May 21, 2015, the Fair Political Practices Commission approved an Enforcement Streamlined Program that allows committees who failed to file campaign statements to qualify for a reduced fine, in certain circumstances, through the Enforcement Division's streamlined program. In order to qualify for this program, you must first **file any past-due campaign statements** with your filing officer and **provide** a copy of the file stamped statements to the Enforcement Division **within 30 days** of the date of this letter. Once the Enforcement Division receives your campaign statement, we will examine your statement to determine whether you

¹ The Political Reform Act is contained in Government Code Sections 81000 through 91014.

² Section 83116.

meet the streamline qualification criteria. If you qualify for the program, the Enforcement Division staff will contact you to settle this matter for a significantly reduced fine.

Failing to file the statement identified above within 30 days of the date of this letter will automatically disqualify you from the streamlined program even if you would have otherwise been eligible. By not responding you could incur a fine of up to \$5,000 per violation. These fines can be pursued against you personally even if you choose not to respond or update your filings.

For more information on your campaign forms, visit our website at www.fppc.ca.gov. If you have any questions regarding this letter or your filing obligations, please contact Chloe Hackert at 916-322-8190 or chackert@fppc.ca.gov.

Sincerely,

A handwritten signature in black ink that reads "Chloe Hackert". The signature is written in a cursive, flowing style.

Chloe Hackert
Political Reform Consultant
Enforcement Division

Exhibit A-16

**Recipient Committee
Campaign Statement
Cover Page**

(Government Code Sections 84200-84216.5)

Type or print in ink.

COVER PAGE

Date Stamp

FILED

SEP 27 2018

FRESNO COUNTY CLERK
[Signature]
DEPUTY

CALIFORNIA FORM 460

Page 1 of 6

For Official Use Only

Statement covers period
from 10-23-2016
through 12-31-2016

Date of election if applicable:
(Month, Day, Year)
11-08-2016

SEE INSTRUCTIONS ON REVERSE

1. Type of Recipient Committee: All Committees – Complete Parts 1, 2, 3, and 4.

- | | |
|---|--|
| <input checked="" type="checkbox"/> Officeholder, Candidate Controlled Committee
<input type="checkbox"/> State Candidate Election Committee
<input type="checkbox"/> Recall
<small>(Also Complete Part 5)</small> | <input type="checkbox"/> Primarily Formed Ballot Measure Committee
<input type="checkbox"/> Controlled
<input type="checkbox"/> Sponsored
<small>(Also Complete Part 6)</small> |
| <input type="checkbox"/> General Purpose Committee
<input type="checkbox"/> Sponsored
<input type="checkbox"/> Small Contributor Committee
<input type="checkbox"/> Political Party/Central Committee | <input type="checkbox"/> Primarily Formed Candidate/Officeholder Committee
<small>(Also Complete Part 7)</small> |

2. Type of Statement:

- | | |
|---|---|
| <input type="checkbox"/> Preelection Statement | <input type="checkbox"/> Quarterly Statement |
| <input checked="" type="checkbox"/> Semi-annual Statement | <input type="checkbox"/> Special Odd-Year Report |
| <input type="checkbox"/> Termination Statement
<small>(Also file a Form 410 Termination)</small> | <input type="checkbox"/> Supplemental Preelection Statement - Attach Form 495 |
| <input type="checkbox"/> Amendment (Explain below) | |

3. Committee Information

I.D. NUMBER
1389224

COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE)
Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016

STREET ADDRESS (NO P.O. BOX)
7218 W Olive Ave

CITY	STATE	ZIP CODE	AREA CODE/PHONE
<u>Fresno</u>	<u>CA</u>	<u>93723</u>	<u>(559)908-7654</u>

MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BOX
PO Box 807

CITY	STATE	ZIP CODE	AREA CODE/PHONE
<u>Fresno</u>	<u>CA</u>	<u>93712</u>	

OPTIONAL: FAX / E-MAIL ADDRESS
tlcox722@gmail.com

Treasurer(s)

NAME OF TREASURER
Brandon Cox

MAILING ADDRESS
P O Box 807

CITY	STATE	ZIP CODE	AREA CODE/PHONE
<u>Fresno</u>	<u>CA</u>	<u>93712</u>	<u>559 908 3274</u>

NAME OF ASSISTANT TREASURER, IF ANY

MAILING ADDRESS

CITY	STATE	ZIP CODE	AREA CODE/PHONE

OPTIONAL: FAX / E-MAIL ADDRESS

4. Verification

I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 9/20/18
Date

Executed on 9/19/18
Date

Executed on _____
Date

Executed on _____
Date

By [Signature]
Signature of Treasurer or Assistant Treasurer

By [Signature]
Signature of Controlling Officeholder, Candidate, State Measure Proponent or Responsible Officer of Sponsor

By _____
Signature of Controlling Officeholder, Candidate, State Measure Proponent

By _____
Signature of Controlling Officeholder, Candidate, State Measure Proponent

**Recipient Committee
Campaign Statement
Cover Page — Part 2**

Type or print in ink.

COVER PAGE - PART 2

CALIFORNIA FORM **460**

Page 2 of 6

5. Officeholder or Candidate Controlled Committee

NAME OF OFFICEHOLDER OR CANDIDATE

Terry Cox

OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)

Terry Cox for Central Unified Trustee Area 6

RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET) CITY STATE ZIP

7218 W Olive Ave Fresno, CA 93723

Related Committees Not Included in this Statement: *List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or make expenditures on behalf of your candidacy.*

COMMITTEE NAME	I.D. NUMBER
----------------	-------------

NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
-------------------	---

COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)
-------------------	------------------------------

CITY	STATE	ZIP CODE	AREA CODE/PHONE
------	-------	----------	-----------------

COMMITTEE NAME	I.D. NUMBER
----------------	-------------

NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
-------------------	---

COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)
-------------------	------------------------------

CITY	STATE	ZIP CODE	AREA CODE/PHONE
------	-------	----------	-----------------

6. Primarily Formed Ballot Measure Committee

NAME OF BALLOT MEASURE

BALLOT NO. OR LETTER	JURISDICTION	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
----------------------	--------------	---

Identify the controlling officeholder, candidate, or state measure proponent, if any.

NAME OF OFFICEHOLDER, CANDIDATE, OR PROPONENT

OFFICE SOUGHT OR HELD	DISTRICT NO. IF ANY
-----------------------	---------------------

7. Primarily Formed Candidate/Officeholder Committee *List names of officeholder(s) or candidate(s) for which this committee is primarily formed.*

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
-----------------------------------	-----------------------	---

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
-----------------------------------	-----------------------	---

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
-----------------------------------	-----------------------	---

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
-----------------------------------	-----------------------	---

Attach continuation sheets if necessary

**Campaign Disclosure Statement
Summary Page**

Type or print in ink.
Amounts may be rounded
to whole dollars.

SUMMARY PAGE

Statement covers period from <u>10-23-2016</u> through <u>12-31-2016</u>	CALIFORNIA FORM 460
	Page <u>3</u> of <u>6</u>
	I.D. NUMBER 1389224

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016

Contributions Received

	Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B CALENDAR YEAR TOTAL TO DATE
1. Monetary Contributions Schedule A, Line 3	\$ <u>1,000.00</u>	\$ <u>15,290.00</u>
2. Loans Received Schedule B, Line 3	<u>0.00</u>	<u>0.00</u>
3. SUBTOTAL CASH CONTRIBUTIONS Add Lines 1 + 2	\$ <u>1,000.00</u>	\$ <u>15,290.00</u>
4. Nonmonetary Contributions Schedule C, Line 3	<u>0.00</u>	<u>300.00</u>
5. TOTAL CONTRIBUTIONS RECEIVED Add Lines 3 + 4	\$ <u>1,000.00</u>	\$ <u>15,590.00</u>

**Calendar Year Summary for Candidates
Running in Both the State Primary and
General Elections**

	1/1 through 6/30	7/1 to Date
20. Contributions Received	\$ _____	\$ _____
21. Expenditures Made	\$ _____	\$ _____

Expenditures Made

	Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B CALENDAR YEAR TOTAL TO DATE
6. Payments Made Schedule E, Line 4	\$ <u>5,928.66</u>	\$ <u>13,437.54</u>
7. Loans Made Schedule H, Line 3	<u>0.00</u>	<u>0.00</u>
8. SUBTOTAL CASH PAYMENTS Add Lines 6 + 7	\$ <u>5,928.66</u>	\$ <u>13,437.54</u>
9. Accrued Expenses (Unpaid Bills) Schedule F, Line 3	<u>0.00</u>	<u>0.00</u>
10. Nonmonetary Adjustment Schedule C, Line 3	<u>0.00</u>	<u>300.00</u>
11. TOTAL EXPENDITURES MADE Add Lines 8 + 9 + 10	\$ <u>5,928.66</u>	\$ <u>13,737.54</u>

**Expenditure Limit Summary for State
Candidates**

22. Cumulative Expenditures Made*
(If Subject to Voluntary Expenditure Limit)

Date of Election (mm/dd/yy)	Total to Date
____/____/____	\$ _____
____/____/____	\$ _____

Current Cash Statement

12. Beginning Cash Balance Previous Summary Page, Line 16	\$ <u>6,781.62</u>
13. Cash Receipts Column A, Line 3 above	<u>1,000.00</u>
14. Miscellaneous Increases to Cash Schedule I, Line 4	<u>2.43</u>
15. Cash Payments Column A, Line 8 above	<u>5,948.56</u>
16. ENDING CASH BALANCE Add Lines 12 + 13 + 14, then subtract Line 15	\$ <u>1,835.49</u>

If this is a termination statement, Line 16 must be zero.

To calculate Column B, add amounts in Column A to the corresponding amounts from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).

*Amounts in this section may be different from amounts reported in Column B.

17. LOAN GUARANTEES RECEIVED Schedule B, Part 2	\$ <u>0.00</u>
---	----------------

Cash Equivalents and Outstanding Debts

18. Cash Equivalents See instructions on reverse	\$ <u>0.00</u>
19. Outstanding Debts Add Line 2 + Line 9 in Column B above	\$ <u>0.00</u>

**Schedule A
Monetary Contributions Received**

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE A

Statement covers period		CALIFORNIA FORM 460
from	10-23-2016	
through	12-31-2016	Page <u>4</u> of <u>6</u>

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016

I.D. NUMBER

1389224

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
10/25/16	Operating Engineers Local Union No 3 1620 S Loop Rd Alameda, CA 94502 891399	<input type="checkbox"/> IND <input checked="" type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		500	500	
11/04/16	Republic Services Inc 18500 N Allied Way Phoenix, AZ 85054	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		250	250	
10/23/16	PG&E Corporation 77 Beale St San Francisco, CA 94105	<input type="checkbox"/> IND <input type="checkbox"/> COM <input checked="" type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		250	250	
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
SUBTOTAL \$				1,000		

Schedule A Summary

- Amount received this period – itemized monetary contributions.
(Include all Schedule A subtotals.) \$ 1,000
- Amount received this period – unitemized monetary contributions of less than \$100 \$ 0
- Total monetary contributions received this period.
(Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Line 1.) **TOTAL \$** 1,000

*Contributor Codes
IND – Individual
COM – Recipient Committee
(other than PTY or SCC)
OTH – Other (e.g., business entity)
PTY – Political Party
SCC – Small Contributor Committee

**Schedule E
Payments Made**

Type or print in ink.
Amounts may be rounded
to whole dollars.

Statement covers period		CALIFORNIA FORM 460	
from	10-23-2016	Page	5 of 6
through	12-31-2016	I.D. NUMBER	1389224

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- | | | |
|---|---|---|
| CMP campaign paraphernalia/misc. | MBR member communications | RAD radio airtime and production costs |
| CNS campaign consultants | MTG meetings and appearances | RFD returned contributions |
| CTB contribution (explain nonmonetary)* | OFC office expenses | SAL campaign workers' salaries |
| CVC civic donations | PET petition circulating | TEL t.v. or cable airtime and production costs |
| FIL candidate filing/ballot fees | PHO phone banks | TRC candidate travel, lodging, and meals |
| FND fundraising events | POL polling and survey research | TRS staff/spouse travel, lodging, and meals |
| IND independent expenditure supporting/opposing others (explain)* | POS postage, delivery and messenger services | TSF transfer between committees of the same candidate/sponsor |
| LEG legal defense | PRO professional services (legal, accounting) | VOT voter registration |
| LIT campaign literature and mailings | PRT print ads | WEB information technology costs (internet, e-mail) |

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Fresno Trade and Bindery 1766 N Helm Ave Fresno, CA 93727	LIT		1,045.70
Carns Consulting 934 S Stanford Ave Fresno CA 93727	CNS		2,736.73
Carns Consulting 934 S Stanford Ave Fresno, CA 93727	FND		205.97

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$ 3,988.40

Schedule E Summary

1. Itemized payments made this period. (Include all Schedule E subtotals.)	\$	5,649.49
2. Unitemized payments made this period of under \$100	\$	299.07
3. Total interest paid this period on loans. (Enter amount from Schedule B, Part 1, Column (e).)	\$	0.00
4. Total payments made this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Column A, Line 6.)	TOTAL \$	5,948.56

**Schedule E
(Continuation Sheet)
Payments Made**

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE E (CONT.)

Statement covers period		CALIFORNIA FORM 460
from	10-23-2016	
through	12-31-2016	Page <u>6</u> of <u>6</u>

SEE INSTRUCTIONS ON REVERSE
NAME OF FILER

Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016

I.D. NUMBER
1389224

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP campaign paraphernalia/misc.	MBR member communications	RAD radio airtime and production costs
CNS campaign consultants	MTG meetings and appearances	RFD returned contributions
CTB contribution (explain nonmonetary)*	OFC office expenses	SAL campaign workers' salaries
CVC civic donations	PET petition circulating	TEL t.v. or cable airtime and production costs
FIL candidate filing/ballot fees	PHO phone banks	TRC candidate travel, lodging, and meals
FND fundraising events	POL polling and survey research	TRS staff/spouse travel, lodging, and meals
IND independent expenditure supporting/opposing others (explain)*	POS postage, delivery and messenger services	TSF transfer between committees of the same candidate/sponsor
LEG legal defense	PRO professional services (legal, accounting)	VOT voter registration
LIT campaign literature and mailings	PRT print ads	WEB information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Brandon Cox 7218 W Olive Ave Fresno, CA 93723	CMP		714.00
Yosemite Fall Cafe 4248 W Ashlan Ave Fresno, CA 93722	CMP		247.09
Amy Nadeen Wilson 615 N Coronado Ave Los Angeles, CA 90026	CMP		400.00
Gagan Singh 1212 N Van Ness Ave Fresno, CA 93728	RAD		300.00

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$ 1,661.09

Exhibit A-17

**Recipient Committee
Campaign Statement
Cover Page**

FILE
Date Stamp
SEP 27 2018
By *[Signature]*
FRESNO COUNTY CLERK
DEPUTY

CALIFORNIA FORM **460**
Page 1 of 4
For Official Use Only

Statement covers period
from 01-01-17
through 06-30-17

Date of election if applicable:
(Month, Day, Year)
11/08/2016

SEE INSTRUCTIONS ON REVERSE

1. Type of Recipient Committee: All Committees – Complete Parts 1, 2, 3, and 4.

Officeholder, Candidate Controlled Committee
 State Candidate Election Committee
 Recall
(Also Complete Part 5)

General Purpose Committee
 Sponsored
 Small Contributor Committee
 Political Party/Central Committee

Primarily Formed Ballot Measure Committee
 Controlled
 Sponsored
(Also Complete Part 6)

Primarily Formed Candidate/Officeholder Committee
(Also Complete Part 7)

2. Type of Statement:

Preelection Statement
 Semi-annual Statement
 Termination Statement
(Also file a Form 410 Termination)
 Amendment (Explain below)

Quarterly Statement
 Special Odd-Year Report

3. Committee Information

I.D. NUMBER
1389224

COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE)
Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016

STREET ADDRESS (NO P.O. BOX)
7218 W Olive Ave

CITY	STATE	ZIP CODE	AREA CODE/PHONE
<u>Fresno</u>	<u>CA</u>	<u>93727</u>	<u>(559) 908-7654</u>

MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BOX
PO Box 807

CITY	STATE	ZIP CODE	AREA CODE/PHONE
<u>Fresno</u>	<u>CA</u>	<u>93712</u>	

OPTIONAL: FAX / E-MAIL ADDRESS
tcox722@gmail.com

Treasurer(s)

NAME OF TREASURER
Brandon Cox

MAILING ADDRESS
PO Box 807

CITY	STATE	ZIP CODE	AREA CODE/PHONE
<u>Fresno</u>	<u>CA</u>	<u>93712</u>	<u>(559) 908 3274</u>

NAME OF ASSISTANT TREASURER, IF ANY

MAILING ADDRESS

CITY	STATE	ZIP CODE	AREA CODE/PHONE

OPTIONAL: FAX / E-MAIL ADDRESS

4. Verification

I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 9/20/18 Date
 Executed on 9/19/18 Date
 Executed on _____ Date
 Executed on _____ Date

By _____
 Signature of Treasurer or Assistant Treasurer

By *[Signature]*
 Signature of Controlling Officeholder, Candidate, State Measure Proponent or Responsible Officer of Sponsor

By _____
 Signature of Controlling Officeholder, Candidate, State Measure Proponent

By _____
 Signature of Controlling Officeholder, Candidate, State Measure Proponent

**Recipient Committee
Campaign Statement
Cover Page — Part 2**

5. Officeholder or Candidate Controlled Committee

NAME OF OFFICEHOLDER OR CANDIDATE

Terry Cox

OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)

Terry Cox for Central Unified Trustee Area 6

RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET) CITY STATE ZIP

7218 W Olive Ave Fresno, CA 93723

Related Committees Not Included in this Statement: *List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or make expenditures on behalf of your candidacy.*

COMMITTEE NAME	I.D. NUMBER
----------------	-------------

NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
-------------------	---

COMMITTEE ADDRESS STREET ADDRESS (NO P.O. BOX)

CITY STATE ZIP CODE AREA CODE/PHONE

COMMITTEE NAME	I.D. NUMBER
----------------	-------------

NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
-------------------	---

COMMITTEE ADDRESS STREET ADDRESS (NO P.O. BOX)

CITY STATE ZIP CODE AREA CODE/PHONE

6. Primarily Formed Ballot Measure Committee

NAME OF BALLOT MEASURE

BALLOT NO. OR LETTER	JURISDICTION	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
----------------------	--------------	---

Identify the controlling officeholder, candidate, or state measure proponent, if any.

NAME OF OFFICEHOLDER, CANDIDATE, OR PROPONENT

OFFICE SOUGHT OR HELD	DISTRICT NO. IF ANY
-----------------------	---------------------

7. Primarily Formed Candidate/Officeholder Committee *List names of officeholder(s) or candidate(s) for which this committee is primarily formed.*

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
-----------------------------------	-----------------------	---

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
-----------------------------------	-----------------------	---

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
-----------------------------------	-----------------------	---

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
-----------------------------------	-----------------------	---

Attach continuation sheets if necessary

**Campaign Disclosure Statement
Summary Page**

Amounts may be rounded
to whole dollars.

SUMMARY PAGE

Statement covers period		CALIFORNIA FORM 460
from	01-01-17	
through		Page <u>3</u> of <u>4</u>
		I.D. NUMBER 1389224

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016

Contributions Received

	Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B CALENDAR YEAR TOTAL TO DATE
1. Monetary Contributions..... <i>Schedule A, Line 3</i>	\$ 0.00	\$ 0.00
2. Loans Received..... <i>Schedule B, Line 3</i>	0.00	0.00
3. SUBTOTAL CASH CONTRIBUTIONS..... <i>Add Lines 1 + 2</i>	\$ 0.00	\$ 0.00
4. Nonmonetary Contributions..... <i>Schedule C, Line 3</i>	0.00	0.00
5. TOTAL CONTRIBUTIONS RECEIVED..... <i>Add Lines 3 + 4</i>	\$ 0.00	\$ 0.00

**Calendar Year Summary for Candidates
Running in Both the State Primary and
General Elections**

	1/1 through 6/30	7/1 to Date
20. Contributions Received	\$ _____	\$ _____
21. Expenditures Made	\$ _____	\$ _____

Expenditures Made

	Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B CALENDAR YEAR TOTAL TO DATE
6. Payments Made..... <i>Schedule E, Line 4</i>	\$ 566.97	\$ 566.97
7. Loans Made..... <i>Schedule H, Line 3</i>	0.00	0.00
8. SUBTOTAL CASH PAYMENTS..... <i>Add Lines 6 + 7</i>	\$ 566.97	\$ 566.97
9. Accrued Expenses (Unpaid Bills)..... <i>Schedule F, Line 3</i>	0.00	0.00
10. Nonmonetary Adjustment..... <i>Schedule C, Line 3</i>	0.00	0.00
11. TOTAL EXPENDITURES MADE..... <i>Add Lines 8 + 9 + 10</i>	\$ 566.97	\$ 566.97

**Expenditure Limit Summary for State
Candidates**

22. Cumulative Expenditures Made*
(If Subject to Voluntary Expenditure Limit)

Date of Election (mm/dd/yy)	Total to Date
____/____/____	\$ _____
____/____/____	\$ _____

Current Cash Statement

12. Beginning Cash Balance..... <i>Previous Summary Page, Line 16</i>	\$ 1,835.49
13. Cash Receipts..... <i>Column A, Line 3 above</i>	0.00
14. Miscellaneous Increases to Cash..... <i>Schedule I, Line 4</i>	0.40
15. Cash Payments..... <i>Column A, Line 8 above</i>	566.97
16. ENDING CASH BALANCE..... <i>Add Lines 12 + 13 + 14, then subtract Line 15</i>	\$ 1,268.92

If this is a termination statement, Line 16 must be zero.

17. LOAN GUARANTEES RECEIVED..... <i>Schedule B, Part 2</i>	\$ 0.00
---	---------

Cash Equivalents and Outstanding Debts

18. Cash Equivalents..... <i>See instructions on reverse</i>	\$ 0.00
19. Outstanding Debts..... <i>Add Line 2 + Line 9 in Column B above</i>	\$ 0.00

To calculate Column B, add amounts in Column A to the corresponding amounts from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).

*Amounts in this section may be different from amounts reported in Column B.

**Schedule E
Payments Made**

Amounts may be rounded
to whole dollars.

SCHEDULE E

Statement covers period		CALIFORNIA FORM 460
from	01-01-17	
through	06-30-17	Page <u>4</u> of <u>4</u>
I.D. NUMBER		1389224

SEE INSTRUCTIONS ON REVERSE
NAME OF FILER

Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- | | | |
|---|---|---|
| CMP campaign paraphernalia/misc. | MBR member communications | RAD radio airtime and production costs |
| CNS campaign consultants | MTG meetings and appearances | RFD returned contributions |
| CTB contribution (explain nonmonetary)* | OFC office expenses | SAL campaign workers' salaries |
| CVC civic donations | PET petition circulating | TEL t.v. or cable airtime and production costs |
| FIL candidate filing/ballot fees | PHO phone banks | TRC candidate travel, lodging, and meals |
| FND fundraising events | POL polling and survey research | TRS staff/spouse travel, lodging, and meals |
| IND independent expenditure supporting/opposing others (explain)* | POS postage, delivery and messenger services | TSF transfer between committees of the same candidate/sponsor |
| LEG legal defense | PRO professional services (legal, accounting) | VOT voter registration |
| LIT campaign literature and mailings | PRT print ads | WEB information technology costs (internet, e-mail) |

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Real Change for Fresno 2331 Fresno St Fresno, CA 93721	CVC		500.00

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$ 500.00

Schedule E Summary

1. Itemized payments made this period. (Include all Schedule E subtotals.).....	\$	500.00
2. Unitemized payments made this period of under \$100.....	\$	66.97
3. Total interest paid this period on loans. (Enter amount from Schedule B, Part 1, Column (e).).....	\$	0.00
4. Total payments made this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Column A, Line 6.).....	TOTAL \$	566.97

Exhibit A-18

**Recipient Committee
Campaign Statement
Cover Page**

FILE
Date Stamp
SEP 27 2018
FRESNO COUNTY CLERK
James [Signature]
DEPUTY

CALIFORNIA FORM 460
Page 1 of 5
For Official Use Only

Statement covers period
from 07-01-17
through 12-31-17

Date of election if applicable:
(Month, Day, Year)
11/08/2016 By _____

SEE INSTRUCTIONS ON REVERSE

1. Type of Recipient Committee: All Committees – Complete Parts 1, 2, 3, and 4.

- Officeholder, Candidate Controlled Committee
 - State Candidate Election Committee
 - Recall
(Also Complete Part 5)
- General Purpose Committee
 - Sponsored
 - Small Contributor Committee
 - Political Party/Central Committee
- Primarily Formed Ballot Measure Committee
 - Controlled
 - Sponsored
(Also Complete Part 6)
- Primarily Formed Candidate/Officeholder Committee
(Also Complete Part 7)

2. Type of Statement:

- Preelection Statement
- Semi-annual Statement
- Termination Statement
(Also file a Form 410 Termination)
- Amendment (Explain below)
- Quarterly Statement
- Special Odd-Year Report

3. Committee Information

I.D. NUMBER
1389224

COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE)

Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016

STREET ADDRESS (NO P.O. BOX)

7218 W Olive Ave

CITY	STATE	ZIP CODE	AREA CODE/PHONE
<u>Fresno</u>	<u>CA</u>	<u>93727</u>	<u>(559) 908-7654</u>

MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BOX

PO Box 807

CITY	STATE	ZIP CODE	AREA CODE/PHONE
<u>Fresno</u>	<u>CA</u>	<u>93712</u>	

OPTIONAL: FAX / E-MAIL ADDRESS

tlcox722@gmail.com

Treasurer(s)

NAME OF TREASURER

Brandon Cox

MAILING ADDRESS

PO Box 807

CITY	STATE	ZIP CODE	AREA CODE/PHONE
<u>Fresno</u>	<u>CA</u>	<u>93712</u>	<u>(559) 908 3274</u>

NAME OF ASSISTANT TREASURER, IF ANY

MAILING ADDRESS

CITY	STATE	ZIP CODE	AREA CODE/PHONE

OPTIONAL: FAX / E-MAIL ADDRESS

4. Verification

I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 9/20/18
Date

Executed on 9/19/18
Date

Executed on _____
Date

Executed on _____
Date

By [Signature]
Signature of Treasurer or Assistant Treasurer

By [Signature]
Signature of Controlling Officeholder, Candidate, State Measure Proponent or Responsible Officer of Sponsor

By _____
Signature of Controlling Officeholder, Candidate, State Measure Proponent

By _____
Signature of Controlling Officeholder, Candidate, State Measure Proponent

**Recipient Committee
Campaign Statement
Cover Page — Part 2**

5. Officeholder or Candidate Controlled Committee

NAME OF OFFICEHOLDER OR CANDIDATE

Terry Cox

OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)

Terry Cox for Central Unified Trustee Area 6

RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET) CITY STATE ZIP

7218 W Olive Ave Fresno, CA 93723

Related Committees Not Included in this Statement: *List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or make expenditures on behalf of your candidacy.*

COMMITTEE NAME	I.D. NUMBER
----------------	-------------

NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
-------------------	---

COMMITTEE ADDRESS STREET ADDRESS (NO P.O. BOX)

CITY STATE ZIP CODE AREA CODE/PHONE

COMMITTEE NAME	I.D. NUMBER
----------------	-------------

NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO
-------------------	---

COMMITTEE ADDRESS STREET ADDRESS (NO P.O. BOX)

CITY STATE ZIP CODE AREA CODE/PHONE

6. Primarily Formed Ballot Measure Committee

NAME OF BALLOT MEASURE

BALLOT NO. OR LETTER	JURISDICTION	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
----------------------	--------------	---

Identify the controlling officeholder, candidate, or state measure proponent, if any.

NAME OF OFFICEHOLDER, CANDIDATE, OR PROPONENT

OFFICE SOUGHT OR HELD	DISTRICT NO. IF ANY
-----------------------	---------------------

7. Primarily Formed Candidate/Officeholder Committee *List names of officeholder(s) or candidate(s) for which this committee is primarily formed.*

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
-----------------------------------	-----------------------	---

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
-----------------------------------	-----------------------	---

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
-----------------------------------	-----------------------	---

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
-----------------------------------	-----------------------	---

Attach continuation sheets if necessary

Campaign Disclosure Statement Summary Page

Amounts may be rounded
to whole dollars.

SUMMARY PAGE

Statement covers period		CALIFORNIA FORM 460	
from	07-01-17	Page	3 of 5
through	12-31-17	I.D. NUMBER	1389224

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016

Contributions Received

	Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B CALENDAR YEAR TOTAL TO DATE
1. Monetary Contributions..... Schedule A, Line 3	\$ 0.00	\$ 0.00
2. Loans Received..... Schedule B, Line 3	\$ 0.00	\$ 0.00
3. SUBTOTAL CASH CONTRIBUTIONS..... Add Lines 1 + 2	\$ 0.00	\$ 0.00
4. Nonmonetary Contributions..... Schedule C, Line 3	\$ 0.00	\$ 0.00
5. TOTAL CONTRIBUTIONS RECEIVED.....Add Lines 3 + 4	\$ 0.00	\$ 0.00

Calendar Year Summary for Candidates Running in Both the State Primary and General Elections

	1/1 through 6/30	7/1 to Date
20. Contributions Received	\$ _____	\$ _____
21. Expenditures Made	\$ _____	\$ _____

Expenditures Made

	Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B CALENDAR YEAR TOTAL TO DATE
6. Payments Made..... Schedule E, Line 4	\$ 805.42	\$ 1,372.39
7. Loans Made..... Schedule H, Line 3	\$ 0.00	\$ 0.00
8. SUBTOTAL CASH PAYMENTS..... Add Lines 6 + 7	\$ 805.42	\$ 1,372.39
9. Accrued Expenses (Unpaid Bills)..... Schedule F, Line 3	\$ 0.00	\$ 0.00
10. Nonmonetary Adjustment..... Schedule C, Line 3	\$ 0.00	\$ 0.00
11. TOTAL EXPENDITURES MADE..... Add Lines 8 + 9 + 10	\$ 805.42	\$ 1,372.39

Expenditure Limit Summary for State Candidates

22. Cumulative Expenditures Made*
(If Subject to Voluntary Expenditure Limit)

Date of Election (mm/dd/yy)	Total to Date
____/____/____	\$ _____
____/____/____	\$ _____

Current Cash Statement

12. Beginning Cash Balance..... Previous Summary Page, Line 16	\$ 1,268.92
13. Cash Receipts..... Column A, Line 3 above	\$ 0.00
14. Miscellaneous Increases to Cash..... Schedule I, Line 4	\$ 0.12
15. Cash Payments..... Column A, Line 8 above	\$ 805.42
16. ENDING CASH BALANCE.....Add Lines 12 + 13 + 14, then subtract Line 15	\$ 463.62

If this is a termination statement, Line 16 must be zero.

17. LOAN GUARANTEES RECEIVED..... Schedule B, Part 2	\$ 0.00
--	---------

Cash Equivalents and Outstanding Debts

18. Cash Equivalents..... See instructions on reverse	\$ 0.00
19. Outstanding Debts..... Add Line 2 + Line 9 in Column B above	\$ 0.00

To calculate Column B, add amounts in Column A to the corresponding amounts from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).

*Amounts in this section may be different from amounts reported in Column B.

**Schedule E
Payments Made**

Amounts may be rounded
to whole dollars.

Statement covers period		CALIFORNIA FORM 460
from	07-01-17	
through	12-31-17	Page <u>4</u> of <u>5</u>
I.D. NUMBER		1389224

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP campaign paraphernalia/misc.	MBR member communications	RAD radio airtime and production costs
CNS campaign consultants	MTG meetings and appearances	RFD returned contributions
CTB contribution (explain nonmonetary)*	OFC office expenses	SAL campaign workers' salaries
CVC civic donations	PET petition circulating	TEL t.v. or cable airtime and production costs
FIL candidate filing/ballot fees	PHO phone banks	TRC candidate travel, lodging, and meals
FND fundraising events	POL polling and survey research	TRS staff/spouse travel, lodging, and meals
IND independent expenditure supporting/opposing others (explain)*	POS postage, delivery and messenger services	TSF transfer between committees of the same candidate/sponsor
LEG legal defense	PRO professional services (legal, accounting)	VOT voter registration
LIT campaign literature and mailings	PRT print ads	WEB information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Walmart 7065 N Ingram Ave Fresno, CA 93650	CVC		School Supply Donation	123.46
REI Sporting Good 7810 N Blackstone Ave Fresno, CA 93720	CVC		School Supply Donation	113.37
Foundation for Central Schools 4605 N Polk Ave Fresno, CA 93722	CVC			200.00

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$ 436.83

Schedule E Summary

1. Itemized payments made this period. (Include all Schedule E subtotals.).....	\$	736.83
2. Unitemized payments made this period of under \$100.....	\$	68.59
3. Total interest paid this period on loans. (Enter amount from Schedule B, Part 1, Column (e).).....	\$	0.00
4. Total payments made this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Column A, Line 6.).....	TOTAL \$	805.42

**Schedule E
(Continuation Sheet)
Payments Made**

Amounts may be rounded
to whole dollars.

SCHEDULE E (CONT.)

Statement covers period		CALIFORNIA FORM 460
from	07-01-17	
through	12-31-17	Page <u>5</u> of <u>5</u>
Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016		I.D. NUMBER 1389224

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- | | | |
|---|---|---|
| CMP campaign paraphernalia/misc. | MBR member communications | RAD radio airtime and production costs |
| CNS campaign consultants | MTG meetings and appearances | RFD returned contributions |
| CTB contribution (explain nonmonetary)* | OFC office expenses | SAL campaign workers' salaries |
| CVC civic donations | PET petition circulating | TEL t.v. or cable airtime and production costs |
| FIL candidate filing/ballot fees | PHO phone banks | TRC candidate travel, lodging, and meals |
| FND fundraising events | POL polling and survey research | TRS staff/spouse travel, lodging, and meals |
| IND independent expenditure supporting/opposing others (explain)* | POS postage, delivery and messenger services | TSF transfer between committees of the same candidate/sponsor |
| LEG legal defense | PRO professional services (legal, accounting) | VOT voter registration |
| LIT campaign literature and mailings | PRT print ads | WEB information technology costs (internet, e-mail) |

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Central Unified School District 4605 N Polk Ave Fresno, CA 93722	CVC		300

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$ 300

Exhibit A-19

OCT 15 2018 Date Stamp
FILED
SEP 27 2018
By *[Signature]* FRESNO COUNTY CLERK
DEPUTY

CALIFORNIA FORM 410
For Official Use Only
[Signature]
2017 AFIP
2018

Statement of Organization Recipient Committee

R10
L

1389224

Statement Type Initial Amendment Termination - See Part 5
Not yet qualified or
List I.D. number: # 1389224
Date qualified as committee: ___/___/___ Date qualified as committee (if applicable): ___/___/___
Date of Termination: 09/18/2018

1. Committee Information **2. Treasurer and Other Principal Officers**

NAME OF COMMITTEE
Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016
STREET ADDRESS (NO P.O. BOX)
7218 W Olive Ave
CITY STATE ZIP CODE AREA CODE/PHONE
Fresno CA 93723 (559)908-7654
MAILING ADDRESS (IF DIFFERENT)
PO Box 807, Fresno, CA 93712
FAX / E-MAIL ADDRESS
tlcox722@gmail.com
COUNTY OF DOMICILE JURISDICTION WHERE COMMITTEE IS ACTIVE
Fresno Fresno County

NAME OF TREASURER
Brandon Cox
STREET ADDRESS (NO P.O. BOX)
PO Box 807
CITY STATE ZIP CODE AREA CODE/PHONE
Fresno CA 93712 (559)908-3274
NAME OF ASSISTANT TREASURER, IF ANY
STREET ADDRESS (NO P.O. BOX)
CITY STATE ZIP CODE AREA CODE/PHONE
NAME OF PRINCIPAL OFFICER(S)
STREET ADDRESS (NO P.O. BOX)
CITY STATE ZIP CODE AREA CODE/PHONE

Attach additional information on appropriately labeled continuation sheets.

3. Verification

I have used all reasonable diligence in preparing this statement and to the best of my knowledge the information contained herein is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 9/20/18 By *[Signature]*
DATE SIGNATURE OF TREASURER OR ASSISTANT TREASURER
Executed on 9/19/18 By *[Signature]*
DATE SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, OR STATE MEASURE PROPONENT
Executed on _____ By _____
DATE SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, OR STATE MEASURE PROPONENT
Executed on _____ By _____
DATE SIGNATURE OF CONTROLLING OFFICEHOLDER, CANDIDATE, OR STATE MEASURE PROPONENT

**Statement of Organization
Recipient Committee**

INSTRUCTIONS ON REVERSE

Page 2

COMMITTEE NAME
Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016

I.D. NUMBER

- All committees must list the financial institution where the campaign bank account is located.

NAME OF FINANCIAL INSTITUTION Educational Employees Credit Union	AREA CODE/PHONE (559)437-7700	BANK ACCOUNT NUMBER [REDACTED]
ADDRESS 1177 Van Ness Ave	CITY Fresno	STATE CA
		ZIP CODE 93721

4. Type of Committee Complete the applicable sections.

Controlled Committee

- List the name of each controlling officeholder, candidate, or state measure proponent. If candidate or officeholder controlled, also list the elective office sought or held, and district number, if any, and the year of the election.
- List the political party with which each officeholder or candidate is affiliated or check "nonpartisan."
- If this committee acts jointly with another controlled committee, list the name and identification number of the other controlled committee.

NAME OF CANDIDATE/OFFICEHOLDER/STATE MEASURE PROPONENT	ELECTIVE OFFICE SOUGHT OR HELD (INCLUDE DISTRICT NUMBER IF APPLICABLE)	YEAR OF ELECTION	PARTY
Terry Cox	Central Unified School Trustee Area 6	2016	<input checked="" type="checkbox"/> Nonpartisan
			<input type="checkbox"/> Nonpartisan

Primarily Formed Committee

Primarily formed to support or oppose specific candidates or measures in a single election. List below:

CANDIDATE(S) NAME OR MEASURE(S) FULL TITLE (INCLUDE BALLOT NO. OR LETTER)	CANDIDATE(S) OFFICE SOUGHT OR HELD OR MEASURE(S) JURISDICTION (INCLUDE DISTRICT NO., CITY OR COUNTY, AS APPLICABLE)	CHECK ONE	
		SUPPORT	OPPOSE
		<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>

**Statement of Organization
Recipient Committee**

INSTRUCTIONS ON REVERSE

Page 3

I.D. NUMBER

COMMITTEE NAME
Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016

4. Type of Committee (Continued)

General Purpose Committee

Not formed to support or oppose specific candidates or measures in a single election. Check only one box:

CITY Committee COUNTY Committee STATE Committee

PROVIDE BRIEF DESCRIPTION OF ACTIVITY

Sponsored Committee

List additional sponsors on an attachment.

NAME OF SPONSOR

INDUSTRY GROUP OR AFFILIATION OF SPONSOR

STREET ADDRESS

NO. AND STREET

CITY

STATE

ZIP CODE

Small Contributor Committee

_____/_____/_____
Date qualified

5. Termination Requirements

By signing the verification, the treasurer, assistant treasurer and/or candidate, officeholder, or proponent certify that all of the following conditions have been met:

- This committee has ceased to receive contributions and make expenditures;
- This committee does not anticipate receiving contributions or making expenditures in the future;
- This committee has eliminated or has no intention or ability to discharge all debts, loans received, and other obligations;
- This committee has no surplus funds; and
- This committee has filed all campaign statements required by the Political Reform Act disclosing all reportable transactions.
 - There are restrictions on the disposition of surplus campaign funds held by elected officers who are leaving office and by defeated candidates. Refer to Government Code Section 89519.
 - Leftover funds of ballot measure committees may be used for political, legislative or governmental purposes under Government Code Sections 89511 - 89518, and are subject to Elections Code Section 18680 and FPPC Regulation 18521.5.

Exhibit A-20

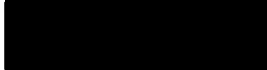


STATE OF CALIFORNIA
FAIR POLITICAL PRACTICES COMMISSION
1102 Q Street, Suite 3000 • Sacramento, CA 95811

September 19, 2018

Terry Cox

Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016



Julie Lim

Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016



NOTICE OF DEFAULT DECISION AND ORDER

Re: FPPC No. 17/382, In the Matter of RE-ELECT TERRY COX FOR CENTRAL UNIFIED SCHOOL DISTRICT TRUSTEE AREA 6, 2016, TERRY COX, and JULIE LIM

Dear Ms. Cox and Ms. Lim:

On July 28, 2018, and July 29, 2018, you were personally served with an accusation in the above referenced matter. Pursuant to the Administrative Procedure Act, you were required to file a notice of defense within 15 days after service of the accusation to request an administrative hearing. You did not file a notice of defense. **As a result, you have waived your right to an administrative hearing.**¹

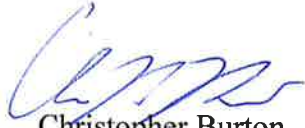
The Fair Political Practices Commission (the "Commission") will proceed with a default, decision and order ("default") against you. The initial notice of this default will appear on the published agenda for the Commission's public meeting on September 20, 2018. This agenda will be public and you could be contacted by the media with questions. The Commission will be asked to adopt the default at the subsequent public meeting on October 18, 2018 and impose an administrative penalty of \$20,000 against you.

Following the issuance of the default, the Commission will obtain a judgment in superior court for the amount owed and then take action to collect the judgment. Please be advised that administrative penalties for violations of the Political Reform Act cannot be discharged in bankruptcy proceedings.

¹ Government Code section 11505.

You may still resolve this matter informally by way of a stipulated settlement if an agreement can be reached prior to this matter appearing for consideration by the Commission. Please contact me at (916) 322-5021 or cburton@fppc.ca.gov if you wish to enter into a settlement to resolve this matter in its entirety.

Sincerely,

A handwritten signature in blue ink, appearing to read 'C. Burton', is positioned above the typed name.

Christopher Burton
Commission Counsel
Enforcement Division

Exhibit A-21



STATE OF CALIFORNIA
FAIR POLITICAL PRACTICES COMMISSION
1102 Q Street, Suite 3000 • Sacramento, CA 95811

May 24, 2019

Terry Cox

Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016



Julie Lim

Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016



Re-Elect Terry Cox for Central Unified School District Trustee Area 6, 2016
c/o Brandon Cox



NOTICE OF DEFAULT DECISION AND ORDER

Re: FPPC No. 17/382, In the Matter of RE-ELECT TERRY COX FOR CENTRAL UNIFIED SCHOOL DISTRICT TRUSTEE AREA 6, 2016, TERRY COX, and JULIE LIM

Dear Ms. Cox and Ms. Lim:

Enclosed please find a copy of an amended version of the Default Decision and Order, and accompanying Exhibit and attachments, for the above-referenced matter. Please disregard the prior versions of the default documents sent to you on May 20, 2019. The amended version proposes a lower fine. The Fair Political Practices Commission (the "Commission") will consider these papers at its public meeting on **June 13, 2019**, and decide whether to impose an administrative penalty of \$9,000 against you.

You were previously served a Report in Support of a Finding of Probable Cause, commonly known as a Probable Cause Report, advising you of your right to request a probable cause conference or submit a written response to the probable cause report. You did not request a probable cause conference, nor did you submit anything in writing for the Commission's Hearing Officer to consider in his determination of probable cause.

Following the issuance of the Report in Support of a Finding of Probable Cause, the Commission's Hearing Officer found probable cause that you committed nine violations of the Political Reform Act's campaign provisions. Thereafter, the Commission's Chief of Enforcement issued an Accusation against you on these violations. The Accusation was personally served on you on July 28, 2018 and July 29, 2018. Under the law, you have


therefore received adequate notice of these proceedings and the action filed against you. Under the Administrative Procedure Act, you were required to file your Notices of Defense within 15 days after service of the Accusation. You failed to file the Notice of Defense forms. As such, your right to an administrative hearing on this matter has been forfeited, and you are in a default position.

You may, but you are not required to, provide a response brief, along with any supporting materials, no later than five calendar days before the Commission hearing at which the default is scheduled to be heard. Your response brief must be served on the Commission Assistant, at the above address.

Following the issuance of the default order and imposition of the administrative penalty, we will commence legal proceedings to collect this fine, which may include converting the Commission's order to a court judgment. Please be advised that administrative penalties for violations of the Political Reform Act cannot be discharged in bankruptcy proceedings.

This letter is your last opportunity to resolve this matter informally by way of a stipulated settlement, before the default proceedings are commenced. If we do not reach a resolution, the enclosed documents will be placed on the Commission's agenda for the June 13, 2019 meeting. Please contact me at (916) 322-5021 or cburton@fppc.ca.gov if you wish to enter into a negotiated settlement.

Sincerely,



Christopher B. Burton
Senior Commission Counsel
Enforcement Division

Enclosures